COVALENT AND NON-COVALENT FUNCTIONALIZATION OF GRAPHENE FOR APPLICATION IN CATALYSIS AND DEVICE TECHNOLOGY: A FIRST PRINCIPLES COMPUTATIONAL STUDY

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ABSTRACT

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In the past few decades, nanomaterials have received increasing attention in various applications such as drug delivery, sensors, hydrogen storage and solar cells. This thesis focuses on the theoretical exploration of pristine and defective graphene in order to highlight the functionalization potential with the use of a wide variety of molecules such as benzene (C_6H_6), toluene (C_7H_8), fluorobenzene (C_6H_5F), benzonitrile (C_7H_5N), benzoic acid ($C_7H_6O_2$), and boron (B) atom. The structures as well as electronic properties of these graphene based nano structures are investigated in detail using first-principle calculations using planewave pseudopotential method based on density functional theory (DFT).

In order to understand the effect of different van der Waals (vdW) dispersion forces on structural and electronic properties, the adsorption of C_6H_6 and C_7H_8 on pristine and defective graphene are investigated with three different exchange-correlation functionals namely vdW-DF, vdW-DF2-C09 and Grimme-D2. This study reveals that the vdW-DF2-C09 exchange-correlation protocol for the vdW interactions produces the closest agreement with literature. Furthermore, the adsorption of C_6H_6 and C_7H_8 is investigated on bilayer graphene to understand the effects of number of layers on adsorption characteristics. This study reveals that the presence of the second layer increases the adsorption energy by approximately 70 meV for both molecules.

Following the exploration of the interaction of pristine graphene and its derivatives with C₆H₆ and C₇H₈, boron (B) is introduced in the graphene network with mono and divacancy defect to controllablly engineer the electronic properties of graphene. A systematic study for the magnetization characteristics is demonstrated where the resulting magnetization of B-doped vacancy-defected graphene (BVG) is 0.9 μ_B per cell while it is 0 μ_B per cell for B-doped divacancy-defected graphene (BDG). For the electronic properties, we show the role of B concentration and position on charge transfer and band structure. The band gap opening increases with the increased concentration of B while graphene sheet becomes p-doped with B as a dopant.

Finally, we focus on organic adsorbates for additional control of the electronic properties of BVG. C_6H_6 , C_7H_8 , C_6H_5F , C_7H_5N , and $C_7H_6O_2$ are studied with different adsorption geometries. C_6H_5F and $C_7H_6O_2$ are found to adsorb through weak vdW interactions, C_7H_5N molecules are observed to form strong covalent bonds with the atoms surrounding the defect, and in particular the B atoms. Different bond formation characteristics are observed resulting in phenyl (C_6H_5) and benzaldehyde (C_7H_6O) formation. The charge transfer analysis indicate the fact that organic adsorbates donate electron resulting in positively charged species at the end of calculations.

Keywords: Graphene, Density Functional Theory, Benzene, Toluene, Boron, Fluorobenzene, Benzonitrile, Benzoic Acid

KATALİZ VE CİHAZ TEKNOLOJİSİ UYGULAMALARI İÇİN GRAFENİN KOVALENT VE KOVALENT OLMAYAN ŞEKİLDE İŞLEVSELLEŞTİRİLMESİ: İLK-İLKELER HESAPLAMA ÇALIŞMASI

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Son birkaç on yılda, nanomalzemeler ilaç salınımı, sensörler, hidrojen depolama ve güneş pilleri gibi çeşitli uygulamalarda artan bir ilgi görmektedir. Bu tez, benzen (C_6H_6) , toluen (C_7H_8) , florobenzen (C_6H_5F) , benzonitril (C_7H_5N) , benzoik asit $(C_7-H_6O_2)$, ve boron (B) atomu gibi çok çeşitli moleküllerin kullanımı ile işlevselleştirme potansiyelini vurgulamak için bozulmamış ve kusurlu grafenin teorik araştırılması üzerine odaklanmaktadır. Grafene dayalı nano yapıların yapıları ve elektronik özellikleri, yoğunluk fonksiyonel teorisine (YFT) dayalı düzlem dalga sanki potansiyel metodu kullanılarak ilk-ilkeler hesaplamaları kullanılarak detaylı olarak incelenmiştir.

Farklı van der Waals (vdW) dağılma kuvvetlerinin yapısal ve elektronik özelliklere etkisini anlamak için, C_6H_6 ve C_7H_8 'in bozulmamış ve kusurlu grafene adsorpsiyonu vdW-DF, vdW-DF2-C09 ve Grimme-D2 olmak üzere üç farklı değişim-korelasyon fonksiyonu ile araştırılmıştır. Bu çalışma, vdW etkileşimleri için vdW-DF2-C09 değişim-korelasyon protokolünün literatür ile en yakın sonucu ürettiğini ortaya koymaktadır. Ayrıca, tabaka sayılarının adsorpsiyon karakteristiğine etkilerini anlamak için iki katmanlı grafen üzerinde C_6H_6 ve C_7H_8 'lerin adsorpsiyonu araştırılmıştır. Bu çalışma, ikinci katmanın varlığının, adsorpsiyon enerjisini her iki molekül için de yaklaşık olarak 70 meV arttırdığını ortaya koymaktadır.

Bozulmamış grafen ve türevlerinin, C_6H_6 ve C_7H_8 ile etkileşiminin araştırılmasını takiben, grafenin elektronik özelliklerini kontrol edebilmek için grafen ağına, tek ve çift boşluk kusuru ile birlikte boron (B) verilir. B-katkılı ve tek boşluk kusurlu grafenin (BVG) sonuçlanan manyetizasyonunun hücre başına 0.9 μ_B iken B-katkılı çift boşluk kusurlu grafen (BDG) için bu değerin hücre başına 0 μ_B olarak hesaplandığı manyetizasyon özellikleri için sistematik bir çalışma gösterilmiştir. Elektronik özellikler için, B konsantrasyonunun ve konumunun yük aktarımı ve bant yapısı üzerindeki etkilerini gösteriyoruz. Bant aralığı, artmış B konsantrasyonuyla birlikte artarken, grafen p-katkılı hale gelir.

Son olarak, BVG'nin elektronik özelliklerinin daha ileri kontrolü için organik adsorbantlara odaklanılmıştır. C_6H_6 , C_7H_8 , C_6H_5F , C_7H_5N , ve $C_7H_6O_2$ farklı adsorpsiyon geometrileri ile incelenmiştir. C_6H_5F ve $C_7H_6O_2$ 'lerin zayıf vdW etkileşimleri vasıtasıyla adsorbe edildiği, C_7H_5N moleküllerinin ise kusuru çevreleyen atomlar ve özellikle B atomları ile kuvvetli kovalent bağlar oluşturduğu gözlenmiştir. Fenil (C_6H_5) ve benzaldehit (C_7H_6O) oluşumuna neden olan farklı bağ oluşum özellikleri gözlemlenmiştir. Yük transferi analizi, hesaplamaların sonunda pozitif yüklü türler oluşturan organik adsorbatların elektron bağışı yaptığını göstermektedir.

Anahtar Kelimeler: Grafen, Yoğunluk Fonksiyoneli Teorisi, Benzen, Toluen, Boron, Florobenzen, Benzonitril, Benzoik Asit

To my family

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TABLE OF CONTENTS

| ABSTR | ACT | |
|--------|---------|---|
| ÖZ | | vii |
| ACKNO | OWLEDO | GMENTS |
| TABLE | OF CON | TENTS |
| LIST O | F TABLE | ZS |
| LIST O | F FIGUR | ES |
| LIST O | F ABBRI | EVIATIONS |
| СНАРТ | ERS | |
| 1 | INTRO | DUCTION 1 |
| | 1.1 | Graphene |
| | 1.2 | Applications Involving Graphene-Based Materials 4 |
| | 1.3 | Research Objective and Scope |
| | 1.4 | Organization of This Dissertation |
| 2 | THEOF | RETICAL BACKGROUND |
| | 2.1 | Density Functional Theory 14 |
| | | 2.1.1 The Schrödinger Equation |

| | | 2.1.2 | Hohenberg-Kohn Theorem | 15 |
|---|-------|-----------|--|--------|
| | | 2.1.3 | The Kohn-Sham Method | 16 |
| | | 2.1.4 | Exchange-Correlation Functionals | 18 |
| | | 2.1.5 | Van der Waals Interactions | 19 |
| | | 2.1.6 | Pseudopotentials | 22 |
| | 2.2 | Mechanis | sm of a Density Functional Theory Calculation | 24 |
| | | 2.2.1 | Self-Consistency Cycle | 24 |
| | | 2.2.2 | Structure Optimization | 25 |
| | | 2.2.3 | Computational Details | 25 |
| | 2.3 | Prelimina | ry Calculations | 27 |
| | | 2.3.1 | Lattice Constant Optimization Calculations | 27 |
| | | 2.3.2 | Pristine and Defective Graphene Calculations | 28 |
| 3 | ORGAN | NIC MOLI | ECULES ON PRISTINE AND DEFECTIVE GRAPH | ENE 31 |
| | 3.1 | Previous | Work | 31 |
| | 3.2 | Organic N | Molecules on Single Layer Graphene | 33 |
| | | 3.2.1 | Organic Molecules on Pristine Graphene | 35 |
| | | | 3.2.1.1 Unoccupied States in PDOS Plots | 41 |
| | | 3.2.2 | Organic Molecules on Vacancy-Defected Graphene | 42 |
| | | 3.2.3 | Organic Molecules on Divacancy-Defected Graphene | 48 |
| | 3.3 | Organic N | Molecules on Bilayer Graphene | 54 |
| 4 | BORON | N DOPINO | G TO DEFECTIVE GRAPHENE | 67 |

| | 4.1 | Previous | Work | 67 |
|--------|----------------|-----------|--|-----|
| | 4.2 | Magnetiz | ation of Boron Doped Defective Graphene | 69 |
| | | 4.2.1 | Magnetization of Boron Doped Vacancy-Defected Graphene | 69 |
| | | 4.2.2 | Magnetization of Boron Doped Divacancy-Defected Graphene | 70 |
| | 4.3 | Electroni | c Properties of Boron Doped Defective Graphene | 72 |
| | | 4.3.1 | Boron Doped Vacancy-Defected Graphene | 73 |
| | | 4.3.2 | Boron Doped Divacancy-Defected Graphene | 76 |
| 5 | GRAPH TIVES | IENE FUI | NCTIONALIZATION WITH BENZENE DERIVA- | 83 |
| | 5.1 | Previous | Work | 83 |
| | 5.2 | Molecule | ×s | 84 |
| | 5.3 | Molecula | ar Doping to B Doped Defective Graphene | 85 |
| | | 5.3.1 | Fluorine Containing Benzene Derivative | 90 |
| | | 5.3.2 | Nitrogen Containing Benzene Derivative | 94 |
| | | 5.3.3 | Oxygen Containing Benzene Derivative | 97 |
| 6 | CONCI | LUSION . | | 105 |
| REFERI | ENCES | | | 109 |
| CURRIC | CULUM | VITAE . | | 133 |

LIST OF TABLES

TABLES

| Table 2.1 | The lattice constant optimization for graphene | 27 |
|---|--|----|
| Table 3.1 differe | The adsorption energies and distances of C_6H_6 and C_7H_8 on PG at ent sites. | 38 |
| Table 3.2 | The adsorption energies of C_6H_6 and C_7H_8 on VG at different sites. | 45 |
| Table 3.3 | The adsorption energies of C_6H_6 and C_7H_8 on DG at different sites. | 51 |
| Table 3.4 ' graphe | The adsorption energies for C_6H_6 C_7H_8 on single layer and bilayer ene | 65 |
| Table 3.5 C ₆ H ₆ emplo | The adsorption distances, energies and charge transfer values of and C_7H_8 on single layer and bilayer graphene. (vdW-DF2-C09 yed calculations are displayed) | 66 |
| Table 4.1 of opti and 4.0 | The displacement of B atoms and total magnetization (μ_B per cell) imized BVG. The configurations of BVG are displayed in Figure 4.5 6 | 75 |
| Table 4.2 of opti and 4. | The displacement of B atoms and total magnetization (μ_B per cell) imized BDG. The configurations of BDG are displayed in Figure 4.9 10 | 79 |
| Table 5.1 phase | Isotropic polarizabilities (α), and dipole moments (μ) of the gas molecules | 85 |

| Table 5.2 | Adsorption distances, energies, magnetization (μ_B per cell) and | |
|--|---|-----|
| charge | e transfer values of C_6H_5F on BVG | 92 |
| Table 5.3 C ₇ H ₅ | Adsorption distances, energies and magnetization (μ_B per cell) of N on BVG. | 96 |
| Table 5.4 | Adsorption distances, energies, magnetization (μ_B per cell) and | |
| charge | e transfer values of $C_7H_6O_2$ on BVG | 100 |

LIST OF FIGURES

FIGURES

| Figure 1.1 | The unit cell and the BZ of graphene | 3 |
|------------|---|----|
| Figure 1.2 | The electron dispersion relation of graphene (a) when the Fermi | |
| level 1 | s above the Dirac point, (b) when the Fermi level is below the Dirac | |
| point. | | 4 |
| Figure 1.3 | The organization of thesis. | 11 |
| Figure 2.1 | Classification of functionals as "stairway to heaven" | 20 |
| Figure 2.2 | The real and pseudo potentials and wave functions. | 23 |
| Figure 2.3 | Kohn-Sham self-consistency cycle. | 24 |
| Figure 2.4 | Optimized structures, band structures, DOS and charge density | |
| 1 | | |
| plots of | of pristine graphene (a), spin-unpolarized VG (b), spin-polarized | |
| VG (c |), and DG (d) | 29 |
| Figure 3.1 | Configuration of C_6H_6 on single layer graphene for adsorption | |
| height | calculations. | 34 |
| Figure 3.2 | The adsorption energy curve of C_6H_6 on single layer graphene with | |
| vdW-I | DF (a), vdW-DF2-C09 (b), and Grimme-D2 (c) | 35 |
| Figure 3.3 | Different initial configurations for C_6H_6 on PG for parallel (a), and | |
| perper | ndicular adsorption (b). | 36 |

| Figure 3.4 Different initial configurations for C_7H_8 on PG for parallel (a), and | |
|--|----|
| perpendicular adsorption (b) | 36 |
| Figure 3.5 The top view, the side view and the band structure of C_6H_6 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 39 |
| Figure 3.6 The top view, the side view and the band structure of C_7H_8 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 39 |
| Figure 3.7 PDOS and charge density plot of C_6H_6 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00011 Å ⁻³ . | 40 |
| Figure 3.8 PDOS and charge density plot of C_7H_8 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.0003 Å ⁻³ . | 40 |
| Figure 3.9 The benchmark study for Gaussian broadening value: (a) 0.01 Ry, (b) 0.015 Ry, and (c) 0.02 Ry | 42 |
| Figure 3.10 PDOS plot of C_6H_6 on PG (a), and d and f-states of C_6H_6 and PG including DOS (b) | 43 |
| Figure 3.11 The different initial configurations for C_6H_6 (a) and C_7H_8 (b) on VG. | 43 |
| Figure 3.12 The top view, the side view and the band structure of C_6H_6 on spin- unpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 46 |
| Figure 3.13 The top view, the side view and the band structure of C ₆ H ₆ on spin-polarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 46 |
| Figure 3.14 The top view, the side view and the band structure of C_7H_8 on spin- unpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 47 |
| Figure 3.15 The top view, the side view and the band structure of C ₇ H ₈ on spin-polarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 47 |
| | |

| Figure 3.16 PDOS and charge density plots of C_6H_6 on VG for spin-unpolarized | |
|---|----|
| to ± 0.00029 Å ⁻³ | 48 |
| Figure 3.17 PDOS and charge density plots of C_6H_6 on VG for spin-polarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.0002 \text{ Å}^{-3}$ | 49 |
| Figure 3.18 PDOS and charge density plots of C_7H_8 on VG for spin-unpolarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.00042 \text{ Å}^{-3}$ | 49 |
| Figure 3.19 PDOS and charge density plots of C ₇ H ₈ on VG for spin-polarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to ± 0.0004 Å ⁻³ | 50 |
| Figure 3.20 The different initial configurations for C_6H_6 and C_7H_8 on DG | 50 |
| Figure 3.21 The top view, the side view and the band structure of C_6H_6 on DG | |
| for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 51 |
| Figure 3.22 The top view, the side view and the band structure of C_7H_8 on DG | |
| for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 52 |
| Figure 3.23 PDOS and charge density plots of C_6H_6 on DG for vdW-DF (a), | |
| vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00019 | |
| Å ⁻³ | 52 |
| Figure 3.24 PDOS and charge density plots of C_7H_8 on DG for vdW-DF (a), | |
| vDW-DF2-C09 (b), and Grimme-D2 (c).The isovalue is set to ± 0.00035 | |
| $Å^{-3}$ | 53 |
| Figure 3.25 The adsorption energy curve of bilayer graphene with vdW-DF (a), | |
| vdW-DF2-C09 (b), and Grimme-D2 (c) | 55 |
| Figure 3.26 The top view and the side view for optimized geometries of C_6H_6 | |
| on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 55 |

| Figure 3.27 The top view and the side view for optimized geometries of C_7H_8 | |
|---|----|
| on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 56 |
| Figure 3.28 The top view and the side view for optimized geometries of spin- | |
| unpolarized C_6H_6 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme- | |
| D2 (c) | 56 |
| Figure 3.29 The top view and the side view for optimized geometries of spin- | |
| polarized C_6H_6 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme- | |
| D2 (c) | 57 |
| Figure 3.30 The top view and the side view for optimized geometries of spin- | |
| unpolarized C_7H_8 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme- | |
| D2 (c) | 57 |
| Figure 3.31 The top view and the side view for optimized geometries of spin- | |
| polarized C_7H_8 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme- | |
| D2 (c) | 57 |
| Figure 3.32 The top view and the side view for optimized geometries of C_6H_6 | |
| on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 58 |
| Figure 3.33 The top view and the side view for optimized geometries of C_7H_8 | |
| on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). \ldots | 58 |
| Figure 3.34 Band structure of (1) PP alone, (2) C_6H_6 on PP, and (3) C_7H_8 on | |
| PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 59 |
| Figure 3.35 Band structure of (1) PV alone, (2) C_6H_6 on PV, and (3) C_7H_8 on | |
| PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 59 |
| Figure 3.36 Band structure of (1) PV alone, (2) C_6H_6 on PV, and (3) C_7H_8 on | |
| PV for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). | 60 |
| Figure 3.37 Band structure of (1) PD alone, (2) C_6H_6 on PD, and (3) C_7H_8 on | |
| PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c) | 60 |

| Figure 3.38 PDOS and charge density plots of C_6H_6 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to +0.000095 | |
|--|----|
| $Å^{-3}$ | 51 |
| Figure 3.39 PDOS and charge density plots of C_7H_8 on PP for vdW-DF (a), | |
| vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.0003 | |
| $Å^{-3}$ | 51 |
| Figure 3.40 PDOS and charge density plots of C_6H_6 on PV for spin-unpolarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.00035 \text{ Å}^{-3}$ | 52 |
| Figure 3.41 PDOS and charge density plots of C ₆ H ₆ on PV for spin-polarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.0002 \text{ Å}^{-3}$ | 52 |
| Figure 3.42 PDOS and charge density plots of C_7H_8 on PV for spin-unpolarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.0004 \text{ Å}^{-3}$ | 53 |
| Figure 3.43 PDOS and charge density plots of C_7H_8 on PV for spin-polarized | |
| vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set | |
| to $\pm 0.0004 \text{ Å}^{-3}$ | 53 |
| Figure 3.44 PDOS and charge density plots of C_6H_6 on PD for vdW-DF (a), | |
| vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00013 | |
| $Å^{-3}$ | 54 |
| Figure 3.45 PDOS and charge density plots of C_7H_8 on PD for vdW-DF (a), | |
| vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00038 | |
| $Å^{-3}$ | 54 |
| Figure 4.1 The geometry of the B doped vacancy-defected graphene (BVG). | 70 |
| Figure 4.2 The total energy (in eV) vs. total magnetization (in μ_B) for BVG. | |
| The energy values are referenced to the minimum energy | 70 |

| Figure 4.3 The geometry of the B doped divacancy-defected graphene (BDG). | 71 |
|--|----|
| Figure 4.4 Total energy (in eV) vs. total magnetization (in μ_B) for BDG. The energy values are referenced to the minimum energy. | 71 |
| Figure 4.5 The optimized configurations of BVG | 73 |
| Figure 4.6 The initial and the optimized configurations of BVG where B is doped as an adatom. | 74 |
| Figure 4.7 Band structure of PG (a), spin-polarized VG (b), BVG with con- figuration labeled as A (c), B (d), C (e), D (f), E (g) and adatom (h) | 75 |
| Figure 4.8 PDOS plot of PG (a), spin-polarized VG (b), BVG with configura- tion labeled as A (c), B (d), C (e), D (f), E (g) and adatom (h) | 76 |
| Figure 4.9 The optimized configurations of BDG | 77 |
| Figure 4.10 The initial and the optimized configurations of BDG where B is doped as an adatom. | 78 |
| Figure 4.11 Total energy (in eV) vs. total magnetization (in μ_B) for where B is doped as an adatom. The energy values are referenced to the minimum energy. | 78 |
| Figure 4.12 Band structure of spin-unpolarized VG (a), DG (b) and BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g), F (h), G (i), H (j), I (k) and adatom (l). | 80 |
| Figure 4.13 PDOS plot of spin-unpolarized VG (a), DG (b) and BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g), F (h), G (i), H (j), I (k) and adatom (l). | 81 |
| Figure 5.1 Geometries of benzene (C_6H_6) (a), toluene (C_7H_8) (b), fluoroben- zene (C_6H_5F) (c), benzonitrile (C_7H_5N) (d) and benzoicacid $(C_7H_6O_2)$ (e). C: gray, H: blue, F: green, N: purple, and O: red. | 85 |

| Figure 5.2 Top view and side view of C ₆ H ₆ on VG with spin-polarized vdW-DF2-C09 (a), and spin-unpolarized vdW-DF2-C09 (b), C ₆ H ₆ on BVG with spin-polarized vdW-DF2-C09 (c), and spin-unpolarized vdW-DF2- | |
|---|---|
| C09 (d) | 6 |
| Figure 5.3 Top view and side view of C ₇ H ₈ on VG with spin-polarized vdW-DF2-C09 (a), and spin-unpolarized vdW-DF2-C09 (b), C ₇ H ₈ on BVG with spin-polarized vdW-DF2-C09 (c), and spin-unpolarized vdW-DF2- | |
| C09 (d) | 7 |
| Figure 5.4 Band structure of C ₆ H ₆ adsorption on spin-unpolarized VG (a), spin-unpolarized BVG (b), spin-polarized VG (c), and spin-polarized BVG (d) | 8 |
| Figure 5.5 Band structure of C₇H₈ adsorption on spin-unpolarized VG (a), spin-unpolarized BVG (b), spin-polarized VG (c), and spin-polarized BVG (d) | 8 |
| Figure 5.6 PDOS and charge density plots of C_6H_6 on spin-polarized BVG (a), and spin-unpolarized BVG (b). The isovalue is set to $\pm 0.0003 \text{ Å}^{-3}$ 89 | 9 |
| Figure 5.7 PDOS and charge density plots of C_7H_8 on spin-polarized BVG (a), and spin-unpolarized BVG (b). The isovalue is set to ± 0.00045 Å ⁻³ . | 9 |
| Figure 5.8 Initial (a), and optimized configurations of C ₆ H ₅ F doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) 90 | 0 |
| Figure 5.9 Initial (a), and optimized configuration of parallel adsorption of C_6H_5F on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c). 9 | 1 |
| Figure 5.10 Initial (a), and optimized configuration of C ₆ H ₅ F on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom | 3 |

| Figure 5.11 Band structure, PDOS and charge density plots of C ₆ H ₅ F on BVG with differents configurations labeled as F1-1 (a), F2-1 (b), F3-1 (c), and adatom (d) where vdW dispersion forces are included. The isovalue is set |
|---|
| to $\pm 0.0003 \text{ Å}^{-3}$ |
| Figure 5.12 Band structure, PDOS and charge density plots of C_6H_5F on BVG with differents configurations labeled as F1-2 (a), F2-2 (b), F3-2 (c), and adatom (d) where vdW dispersion forces are excluded. The isovalue is set to ± 0.0004 Å ⁻³ |
| Figure 5.13 Initial (a), and optimized configurations of C ₇ H ₅ N doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) 96 |
| Figure 5.14 Initial (a), and optimized configuration of C ₇ H ₅ N on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom. |
| Figure 5.15 Band structure, PDOS and charge density plots of C_7H_5N on BVG with differents configurations labeled as N1-1 (a), N1-2 (b), N2-1 (c), N2-2 (d), adatom (e), and adatom w/o vdW (f). The isovalue is set to $\pm 0.00015 \text{ Å}^{-3}$ |
| Figure 5.16 Initial (a), and optimized configurations of C ₇ H ₆ O ₂ doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) |
| Figure 5.17 Initial (a), and optimized configuration of C ₇ H ₆ O ₂ on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom |
| Figure 5.18 Band structure, PDOS and charge density plots of $C_7H_6O_2$ on BVG with differents configurations labeled as O1-1 (a), O2-1 (b), O3-1 (c), and adatom (d) where vdW dispersion forces are included. The isovalue is set to $\pm 0.00025 \text{ Å}^{-3}$ |

| | Figure 5.19 Band structure, PDOS and charge density plots of C ₇ H ₆ O ₂ on BVG |
|-----|--|
| | with differents configurations labeled as O1-2 (a), O2-2 (b), O3-2 (c), and |
| | adatom (d) where vdW dispersion forces are excluded. The isovalue is set |
| 103 | to ± 0.00012 Å ⁻³ . |

LIST OF ABBREVIATIONS

| α-В | α -Rhombohedral Boron Crystal |
|---|---|
| β-В | β -Rhombohedral Boron Crystal |
| γ-В | γ -Orthorhombic Boron Crystal |
| adatom | Additional Atom |
| Al | Aluminum |
| В | Boron |
| B_2H_6 | Diborane |
| $B_3N_3H_3$ | Borazine |
| B(CH ₃) ₃ | Trimethylborazine |
| BCl ₃ | Boron Trichloride |
| BDG | Boron-Doped Divacancy-Defected Graphene |
| Be | Beryllium |
| BN | Boron Nitride |
| BVG | Boron-Doped Vacancy-Defected Graphene |
| BZ | Brillouin Zone |
| С | Carbon |
| $C_3N_3H_3$ | Triazine |
| C_6H_5 | Phenyl |
| C_6H_5F | Fluorobenzene |
| $C_6H_5NH_2$ | Aniline |
| C ₆ H ₅ NO ₂ | Nitrobenzene |
| C ₆ H ₆ | Benzene |
| C ₆ H ₆ O | Phenol |
| C_7H_5N | Benzonitrile |
| C ₇ H ₆ O | Benzaldehyde |
| $C_7H_6O_2$ | Benzoic Acid |
| C_7H_8 | Toluene |
| $C_{10}H_{8}$ | Naphthalene |

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| ORR | Oxygen Reduction Reaction |
|----------------------|--|
| Р | Phosphorus |
| РАН | Polycyclic Aromatic Hydrocarbon |
| PBE | The Perdew-Burke-Ernzerhof Exchange Functional |
| PDOS | Partial Density of States |
| PD | Divacancy-Defected Graphene Layer On Top Of Pristine Graphene Layer |
| PhB(OH) ₂ | Phenylboronic Acid |
| РР | Pristine Graphene Layer On Top Of Another Pristine Graphene Layer |
| PV | Vacancy-Defected Graphene Layer On Top Of Pristine Graphene Layer |
| PWscf | Plane-Wave-Self-Consistent Field |
| QE | Quantum Espresso |
| revPBE | The Revised Perdew-Burke-Ernzerhof Exchange Functional |
| S | Sulphur |
| TCNE | Tetracyanoethylene |
| vdW | Van Der Waals |
| VG | Vacancy-Defected Graphene |
| XC | Exchange-Correlation Functional |

CHAPTER 1

INTRODUCTION

In the past few decades, nanomaterials have received increasing attention with the development of nanotechnology. They display unique properties when compared with their bulk counterparts. These properties have opened up numerous possibilities of using nanomaterials in many applications such as drug delivery [1], sensors [2], hydrogen storage [3,4] and solar cells [5].

Materials at the nanoscale exhibit properties that are completely different from the macroscopic scale. As the system size approaches the nanoscale, many special and useful features of nanomaterials emerge. Carbon nanotubes [6], nanowires [7], graphene [8] and quantum dots [9] are some of the most commonly investigated nanoscale materials in the literature displaying a much wider range of physical, mechanical, electrical and magnetic properties compared with their bulk forms. The electrical [10] and thermal [11] resistances of nanoscale materials go down to very low values while the magnetization [12,13] may emerge despite the fact that bulk form of such materials does not exhibit magnetic properties. Small structural and compositional changes can lead to large variations in electronic and optical properties of the nanomaterials. This large selection of properties enables the fabrication of devices tailored specifically to the goals of each application. Since the properties of a specific material can not be extrapolated by simply scaling down the bulk material scale of that material, experimental work supported by an atomistic scale description of such systems becomes crucially important in materials design.

In this thesis, we focus on pristine and defective graphene, highlighting potential for functionalization with the use of a wide variety of molecules. This chapter provides an overview of the properties of graphene and its extraordinary properties.

1.1 Graphene

Since carbon can form various organic compounds with other elements, in addition to the capability of forming a variety of allotropes, it receives significant attention in the scientific community [14]. Two of its allotropes, diamond and graphite, have been known since antiquity. A recent allotrope, synthesized successfully for the first time in 2004 [15], is the two-dimensional graphene.

Graphite is a three dimensional layered hexagonal lattice of carbon atoms. A single layer of graphite forms a two dimensional material namely graphene. In the broader sense, graphene is a single layer of carbon atoms bonded in the form of two-dimensional hexagonal sp^2 bonds [15, 16]. Even if it was theoretically proved that a free ideal two-dimensional film cannot be obtained due to instability with respect to folding or twisting [17, 18], graphene is now synthesized routinely in many labs [15]. In graphite, each carbon atom forms a two-dimensional structure by bonding covalently with three neighboring carbon atoms. Each layer is then connected to the neighboring layers via van der Waals (vdW) forces to form graphite. Since the vdW bond strength is much weaker than the covalent bond, graphite can be easily separated into its constituent layers. The distance between two adjacent layers (3.35 Å) is much larger than the distance between two carbon atoms (C-C bond length) which is 1.42 Å [19, 20].

The unit cell and the Brillouin zone (BZ) of graphene are given in Figure 1.1. \vec{a}_1 and \vec{a}_2 are the lattice vectors in real space while \vec{b}_1 and \vec{b}_2 are reciprocal lattice vectors.

The real space unit vectors \vec{a}_1 and \vec{a}_2 of the hexagonal lattice are expressed as:

$$\vec{a}_1 = \left(\frac{\sqrt{3}}{2}a, \frac{a}{2}\right), \ \vec{a}_2 = \left(\frac{\sqrt{3}}{2}a, \frac{-a}{2}\right)$$
 (1.1)

where a is 2.46 Å(1.42 x $\sqrt{3}$) which is the lattice constant of graphene and equal to $|\vec{a_1}|$ and $|\vec{a_2}|$.



Figure 1.1: The unit cell and the BZ of graphene.

The reciprocal lattice vectors \vec{b}_1 and \vec{b}_2 of the reciprocal lattice are expressed as:

$$\vec{b}_1 = \left(\frac{2\pi}{\sqrt{3a}}, \frac{2\pi}{a}\right), \ \vec{b}_2 = \left(\frac{2\pi}{\sqrt{3a}}, \frac{-2\pi}{a}\right) \tag{1.2}$$

The first BZ is the shaded hexagon shown in Figure 1.1, and the highest symmetry points designated by Γ , K and M are the center, the corner and the center of the edge, respectively. The symmetry points are expressed as;

$$\Gamma = (0,0), \quad K = \left(\frac{2\pi}{\sqrt{3}a}, \frac{2\pi}{3a}\right), \quad M = \left(\frac{2\pi}{\sqrt{3}a}, 0\right) \tag{1.3}$$

As shown in Figure 1.1, there are two carbon atoms per unit cell. Each carbon atom has four valence electrons three of which are utilized to make chemical bonds with the other three carbon atoms on the graphene plane [21] which are referred to as sigma (σ) bonds. σ bonds are responsible for the robustness of the lattice structure. The fourth electron is in the $2p_z$ orbital and perpendicular to the surface constituting the π system.

The two π electrons produce two bands, namely π and π^* , intersecting at six points in the first BZ, K and K'. As shown in Figure 1.2, these points are called Dirac points, and the electron dispersion relation is linear in their vicinity.

In many simple calculations, only π energy bands are considered since conduction is realized via the delocalized π electrons.



Figure 1.2: The electron dispersion relation of graphene (a) when the Fermi level is above the Dirac point, (b) when the Fermi level is below the Dirac point.

1.2 Applications Involving Graphene-Based Materials

Graphene can be seen as the building block of carbon materials such as graphite, carbon nanotubes, fullerenes and a variety of other materials. As such it has become one of the most intensively studied systems after the mechanical exfoliation (repeated peeling) of graphite to prepare graphene sheets in 2004 by Novoselov et al. [15]. In this study, it was shown that very thin graphite sheets (including monolayer graphene) can be obtained in stable and in high-quality crystal form under normal conditions, and these structures possess superior electronic properties.

Just six years after 2004, when it was shown to be an isolated and stable structure, its popularity enjoyed a steady increase in physics and material science, bringing the 2010 Nobel Prize for Physics. The reason behind this interest is the superior physical properties of graphene such as high elastic constants [22], mechanical stability [23, 24], low defect rate [25], very high electron mobility [26], long spin relaxation distance and time [27, 28] in addition to spin Hall effect [29, 30]. Additionally, with superior electronic properties and having at least 10 times more mobility than that of silicon, graphene seems to be a breakthrough in device technology, sensors, etc. [31, 32]. Several comprehensive review articles [33–36] show the potential of graphene and its derivatives.

Graphene has been widely investigated for the applications in drug delivery [1], sensors [2], hydrogen storage and solar cells [5]. For sensor applications, graphene is a

very sensitive sensor due to single atomic thickness. Unlike similar sensors, graphene allows recognition of even a single molecule with its high electrical conductivity and low noise level. Schedin et al. were able to detect the sticking and breaking events of various gas molecules by using graphene detectors at the micrometer scale [37]. Graphene-based nanoscale devices was already synthesized successfully [38, 39]. Recently, graphene has been used as an alternative nanofiller in the preparation of nanocomposites having improved mechanical [40], thermal (having thermal conductivity 25 times that of silicon) [41] and electrical properties [42]. Due to its excellent electrical conductivity and mechanical flexibility, graphene has great potential of replacing metal conductors in electronic devices [43]. Graphene is also considered as an attractive material for nanoelectromechanical system (NEMS) applications with properties such as high strength, low mass density and high elastic constants.

One of the most interesting electronic feature of graphene is massless Dirac fermions. As mentioned previously, the Fermi surface of graphene consists of six points at the edges of the BZ where the valence and conduction bands meet with linear dispersion. The dynamics of these particles are similar to the massless fermions in quantum electrodynamics. As a result, it is possible to observe the quantum Hall effect [44] in graphene at room temperature. Furthermore, the electron and hole densities can be adjusted up to 10^{13} cm², and the charge carrier mobility can exceed 15×10^3 cm²/Vs even at room temperature [15, 31, 44].

While monolayer graphene is a semimetal, it was demonstrated that bilayer graphene can be treated as a variable band-gap semiconductor by applying an external electric field perpendicular to the structure [45].

Even if graphene has been explored in several investigations for the electronics applications, it has one very severe limitation as it has no band gap [34, 46, 47]. Since the band gap governs the operation of devices such as p-n junctions, transistors, photo diodes and lasers, having no band gap limits the usage of graphene in electronic devices due to the lack of a high on/off ratio [48]. In order to eliminate this limitation, several methods have been utilized under the umbrella approach of band gap engineering, including the introduction of defects, application of strain and chemical functionalization [8]. By tuning the band gap and controlling the location of the Fermi level, flexibility is achieved in design and optimization of devices. The electronic properties of graphene can be changed by shifting the Fermi level relative to the Dirac point by controlling the carrier type and concentration through electrical [44, 48] or chemical doping [1, 48].

The functionalization techniques can be divided into three as defect introduction, doping and molecular adsorption. In the first technique, defects and additional atoms (adatoms) can be introduced to the network [33, 49, 50]. Point defects such as mono and divacancies and adatoms locally change the electronic structure and act as functionalization centers [39,49–51]. By breaking down the symmetry of pristine graphene, point defects may induce magnetism in the graphene sheet [52, 53]. In this thesis, in addition to the pristine graphene, defective graphene with a single vacancy (VG) and a divacancy (DG) is considered for adsorption of organic molecules since the vacancy sites exhibit high reactivity with them [54–56].

In literature, there are several studies regarding the doping of graphene in order to obtain improved electronic properties. Depending upon the dopant type, the Fermi level can shift downwards or upwards. When compared with the number of valence electrons of C, the electron deficient atoms like boron (B), aluminum (Al), beryllium (Be) are the atoms causing Fermi level shift downwards (p-type doping) while the electron rich atoms like nitrogen (N) and alkali metals cause Fermi level shift upwards (n-type doping) [57–60]. Since the atomic radii of B and N are very close to the atomic radius of C atom, B and N are the mostly used atoms as dopants with which graphene becomes p-type and n-type, respectively [57,61]. Parallel to the result obtained by Chen et al. [22], it was found that the charge carrier mobility of n-type graphene (n-doped) is in the range 200-450 cm²/Vs [61] while the charge carrier mobility of undoped graphene is around $2x10^5$ cm²/Vs [29]. Furthermore, it was observed that band gap position shifts with respect to the Fermi energy [62] for both B and N doping. In numerous studies, it has been reported that B and N doping to graphene has a promising potential for energy storage applications such as lithium ion batteries (LiBs) [58] and with the altered chemical reactivity properties in the presence of dopant, B and N doped graphene can be used as bio-sensors [63, 64]. In this thesis, the change of electronic and magnetic properties as a result of B doping is investigated in Chapter 4. Additionaly, B-doped vacancy-defected graphene (BVG) is studied as a substrate for molecular adsorption of benzene (C_6H_6) derivatives on BVG and given in Chapter 5.

The third alternative way of functionalization can be achieved by means of covalently or noncovalently bonded atomic or molecular species adsorbed on graphene [65–67]. Among them, the adsorption of transition metal atoms [68], the bonding of light atoms including small gas molecules (H₂O, H₂, O₂, CO, NO₂, NO, and NH₃) [69], aromatic and non-aromatic molecules to the graphene surface are among the most commonly studied. For the adsorption of small molecules, it has been reported that nitrogen dioxide (NO₂) and water (H₂O) act as acceptors (p-type doping), while ammonia (NH₃), carbon monoxide (CO), and nitric oxide (NO) act as donors (n-type doping) [48, 70]. In several studies, it has been observed that graphene can be n-doped by depositing alkali metal atoms on the surface [38]. For both techniques, it was observed that they were very effective in order to enhance the properties of graphene and to obtain processable graphene. However, electrical conductivity and surface area of functionalized graphene obtained from both cases were observed to decrease when compared with pure graphene [71]. Furthermore, in the presence of charged impurities, it was reported that carrier mobility of graphene is reduced [22]. As an example of a noncovalently bonded molecule, tetrafluoro-tetracyanoquinodimethane (F4-TCNQ) molecule is in the group of non-aromatic molecules with a strong electron affinity, 5.2 eV [72], and molecular adsorption of F4-TCNQ results in p-type doped graphene with charge of 0.3 e/molecule being transferred from the highest occupied molecular orbital (HOMO) to the lowest unoccupied molecular orbital (LUMO) of graphene [22, 59, 69, 73]. F4-TCNQ, the halogenated adsorbate, is widely used in organic solar cells as an electron acceptor [74] with decreased carrier mobility because of the molecular separation of adsorbate and graphene and as the concentration of adsorbate increases, the Fermi level of graphene shifts towards the Dirac point. In order to see the effect of vdW interactions to the binding of molecules on graphene surfaces and since graphene has been observed to be a promising material for DNA sequencing [75–79], Le et al. [80] studied the physisorption of DNA bases namely adenine, cytosine, guanine, and thymine on graphene while Cho et al. [81] studied noncovalent interactions of these DNA bases with naphthalene and graphene. In DNA related studies, the binding energies of nucleobases on graphene were found to be 9.31, 8.48, 8.53, 7.30 kcal/mol (404, 368, 370, 317 meV/molecule) for guanine,

adenine, thymine, and cytosine, respectively while the binding separations were in the order of 3 Å for all DNA bases [80, 82, 83].

To compare the effect of functionalization techniques on electrical properties of graphene, the covalent functionalization of graphene converts the planar, sp^2 hybridization into a tetrahedral sp^3 resulting in distorted Dirac cone and introducing band gap while no change is observed in the structure after the noncovalent functionalization [84]. Noncovalent interactions basically involve hydrophobic, vdW, and electrostatic forces. VdW interaction between aromatic molecules and graphene is rather weak (order 50 meV) [85]. As a result, the superior physical properties of graphene are preserved, while improving its solubility, increasing conductivity or modifying other properties [29, 57, 61, 71, 86, 87].

The covalent functionalization of graphene is the most studied type of graphene functionalization because of resulting in more significant changes for electrical properties of graphene than the other techniques [84]. In literature, four different mechanisms have been offered to achieve covalent functionalization of graphene [86]. Different kinds of covalent functionalization have been possible with different modifying agents, dispersion stability in various solvents, dispersibility, and electrical conductivity [71]. The first mechanism offered is nucleophilic substitution where dodecyl amine [88], 4-amin-obenzenesulfonic acid [89], polyethylene [90], dopamine [91] and polyglycerol [92] are the most commonly studied functionalizing molecules while sulfanilic acid [93] and polystyrene [94] are the functionalizing molecules for the second mechanism named as electrophilic addition. Amino acids and protein [95] can functionalize graphene covalently with condensation reaction. The last mechanism offered is addition reaction where polyacetylene [96], aryne [97] and alkylazides [98] are utilized as functionalizing molecules.

Another way of functionalization is through chemical adsorption of species, which has potential in applications since it enables graphene to be processed by solvent-assisted techniques. Chemical functionalization also maintains the properties of graphene by preventing its agglomeration [71]. As a starting material, graphene oxide (GO) has been used widely for the synthesis of processable graphene. The presence of additional carbonyl and carboxyl groups located at the edge of the sheets makes
GO sheets hydrophilic which allows the sheets to disperse in water [99–101].Liu et al. [102] reported GO functionalization and the product is highly oxygenated resulting in improved noncovalent vdW interactions and leading to a range of solubility in water and organic solvents [101, 103–105].

There are various methods used for studying the physical properties of nanomaterials, and the theoretical methods are so well developed for designing materials with desired properties. One of the most preferred theoretical methods in calculations is the Density Functional Theory (DFT) that yields successful results in predetermining several properties of systems not yet synthesized and giving rise to experimental work. In this thesis, the structural and electronic properties of graphene based nanomaterials are investigated by DFT.

Accordingly, research objectives and scopes are defined, along with an outline of a roadmap for this research.

1.3 Research Objective and Scope

In this thesis, the focus is graphene functionalization by covalent and noncovalent techniques. With this respect, combination of three functionalization techniques given in Section 1.2, as defect introducing followed by B-doping and molecular adsorption, have been utilized in order to have more control on the properties of graphene. The method of choice is the state-of-the-art computational materials science methods based on DFT and its generalizations to treat long range vdW interactions.

Our aim in these investigations is to design, develop, and characterize novel materials and structures that can realize the functionality of conventional electronic devices in much smaller scales and with superior properties. It is our hope that theoretical and computational studies proposed in this thesis will support, clarify, and possibly lead to some experimental studies in graphene device physics.

Our work explores the complex interplay between defects, dopants and adsorption of organic molecules. As a benchmark of our method, the well-known adsorption characteristics of C_6H_6 on pristine graphene are reproduced. To see the effect of

different vdW corrections and functionals on adsorption geometry, adsorption energy and band structure, two different vdW functionals are used, namely vdW-DF [106], vdW-DF2-C09 [107,108] and one vdW correction is used, namely Grimme-D2 [109]. This study reveals that the vdW-DF2-C09 exchange-correlation protocol for the vdW interactions produces the closest agreement with literature.

Using this protocol, the adsorption of C_6H_6 and toluene (C_7H_8) is investigated on bilayer graphene to understand the effects of number of layers on adsorption characteristics. This study reveals an interesting fact that the presence of the second layer increases the adsorption energy by approximately 70 meV for both molecules.

As a means of extending the degree of control over functionalization, two complementary, and sometimes opposing techniques are introduced simultaneously. One or two B atoms are combined with various single and double vacancy (divacancy) configurations in a 6x6 graphene unit cell. Several configurations are explored and their electronic structures are found to reveal interesting trends.

Finally, C_6H_6 , C_7H_8 , fluorobenzene (C_6H_5F), benzonitrile (C_7H_5N), and benzoic acid ($C_7H_6O_2$) molecules are adsorbed on the B-doped defected sheets to further enhance our understanding of functionalization. While C_6H_5F and $C_7H_6O_2$ are found to adsorb through weak vdW interactions, C_7H_5N molecules are observed to form strong covalent bonds with the atoms surrounding the defect, and in particular the B atoms. The molecules are seen to introduce an unexpected reconstruction of the defective, doped graphene substrate and as a result induce significant changes to the electronic structure.

1.4 Organization of This Dissertation

Figure 1.3 presents the roadmap of this thesis, including significance of research topic and research objective, theoretical background, computational details of functionalization techniques and results.





The significance of research topic and objective are discussed in Chapter 1 while Chapter 2 provides detailed theoretical information about DFT utilized throughout this thesis followed by the preliminary calculations including benchmark study for the lattice constant and the band structure calculation of pristine and defective graphene.

In Chapter 3, we study the adsorption of organic molecules on pristine and defective graphene by choosing two types of organic molecules namely C_6H_6 and C_7H_8 . As defective graphene, VG and DG graphene are explored. In addition to adsorption of organic molecules on single layer graphene, C_6H_6 and C_7H_8 are also adsorbed on bilayer graphene in order to compare with experimental studies in the literature.

In Chapter 4, the main structure in focus is B doping to defective graphene, as BVG and B-doped divacancy-defected graphene (BDG), in order to investigate the change of electronic properties. In addition to these calculations, magnetization properties of the obtained structures are also studied.

In Chapter 5, the next aim is to concentrate on organic molecules. By exploring large number of organic dopants being adsorbed on graphene surface, adsorption energies are calculated with the most stable adsorption geometry. After calculations of B doping to defective graphene given in Chapter 4, additional molecule doping to BVG is studied by choosing additional molecules from C_6H_6 derivatives namely C_7H_8 , C_6H_5F , C_7H_5N , and $C_7H_6O_2$. Conclusions are summarized in the last chapter.

CHAPTER 2

THEORETICAL BACKGROUND

Determining the physical properties of many-particle systems such as atoms, molecules and solids requires solving the Schrödinger equation [110] for a many-particle system. Since the degrees of freedom of many electron systems are large, the solution of the Schrödinger equation for such systems is extremely difficult. In this case, there are many advantages of using a theoretical approach that focuses on particle density instead of the wave function [111]. The first such density-based approach was put forward by Thomas and Fermi, constituting a precursor DFT [112,113]. The theoretical basis of DFT was first established by Hohenberg-Kohn [114] while the practical solution was made possible by Kohn and Sham and the constant evolution of computational resources [111,115,116]. Besides being a fundamental theory in the computation of electronic structures of solids, DFT has also played a major role in determining the physical and chemical properties of nanomaterials in recent years.

In this chapter, we give a brief account of the theoretical foundations of the DFT methodology as well as the approximations and technicalities necessary for its practical implementation. We also present the calculation details utilized in the rest of the thesis and some benchmark calculations.

2.1 Density Functional Theory

2.1.1 The Schrödinger Equation

In quantum mechanics, the Schrödinger equation has to be solved and many-body wave function has to be obtained in order to describe the physical properties of the many-body system. For an atomic or molecular system consisting of N electrons with coordinates $\vec{r}_1 \dots, \vec{r}_N$ and M nuclei with coordinates $\vec{R}_1, \dots, \vec{R}_M$, this equation is [117]:

$$\hat{H}\Psi_i\left(\vec{r}_1\dots,\vec{r}_N;\vec{R}_1,\dots\vec{R}_M\right) = E_i\Psi_i\left(\vec{r}_1\dots,\vec{r}_N;\vec{R}_1,\dots\vec{R}_M\right)$$
(2.1)

where Ψ_i is the many-body wave function of the *i*th state of the system containing all the information available about the system. Here, E is the energy of the system, and \hat{H} is the many-body Hamiltonian operator. As seen in Equation 2.1, the wave function of a many-particle system depends on the coordinates of the nuclei, \vec{R}_I , and the coordinates of the electrons, \vec{r}_i , while the many-body Hamiltonian operator for an N-electron system is described as:

$$\hat{H} = \hat{T}_e + \hat{T}_n + \hat{V}_{ee} + \hat{V}_{en} + \hat{V}_{nn}$$

$$= -\frac{1}{2} \sum_{1}^{N} \nabla_i^2 - \frac{1}{M_A} \sum_{A=1}^{M} \nabla_A^2 - \sum_{i=1}^{N} \sum_{A=1}^{M} \frac{Z_A}{r_{iA}} + \sum_{A=1}^{M} \sum_{A < B}^{M} \frac{Z_A Z_B}{R_{AB}} + \sum_{i=1}^{N} \sum_{i < j}^{N} \frac{1}{r_{ij}}$$
(2.2)

where, *A* and *B* represent nuclei while *i* and *j* denote electrons in the system. Additionally, r_{iA} is the electron-nuclei distance, R_{AB} is the distance between nuclei and r_{ij} is the distance between electrons.

For systems with a Hamiltonian seen in Equation 2.2, it is quite difficult to solve the Schrödinger equation analytically or numerically, and it is complicated to describe physical and chemical properties. For the sake of convenience, it is possible to simplify the problem by using the Born-Oppenheimer approximation where since the mass of the nucleus is much larger than the mass of the electron, is treated as stationary [118]. According to this approximation, the electrons adapt to the motion of atoms instantenously. Therefore, we are able to separate the electronic and nuclear

degrees of freedom, treating the nuclei as classical particles. We then only concern ourselves with the electronic Hamiltonian:

$$\hat{H} = \hat{T}_e + \hat{V}_{en} + \hat{V}_{ee}$$

$$= -\frac{1}{2} \sum_{i=1}^N \nabla_i^2 - \sum_{i=1}^N \frac{Z_i}{|\vec{r} - \vec{r}_i|} + \sum_{i=1}^N \sum_{I=1}^N \frac{1}{R_{iI}}$$
(2.3)

The electronic Schrödinger equation is reduced to:

$$\hat{H}_{elec}\Psi_{elec} = E_{elec}\Psi_{elec} \tag{2.4}$$

In the following sections, we present a brief account of the steps necessary to convert this many-particle description into a density-based one.

2.1.2 Hohenberg-Kohn Theorem

An alternative way to the direct solution for the Schrödinger equation is to focus on the electron density instead of wave function having 4N (3 position, 1 spin for each electron) variables. This alternative way was introduced by Hohenberg and Kohn [114] which gave rise to DFT shifting the focus from the full wave function to density

In terms of the wave function, the electron density can be written as:

$$\boldsymbol{\rho}\left(\vec{r}\right) = N \int \dots \int |\boldsymbol{\psi}(\vec{r}, \vec{r}_2, \dots \vec{r}_N)|^2 d\vec{r}_2 d\vec{r}_3 \dots d\vec{r}_N$$
(2.5)

Integration over all space of electron density gives total number of electron as:

$$\int \rho\left(\vec{r}\right) d\vec{r} = N \tag{2.6}$$

In 1964, Hohenberg and Kohn proposed two theorems [114] giving rise to DFT. According to their first theorem, the ground state energy of a system of interacting electrons in an external potential is a functional of $\rho(\vec{r})$ [119] determining all properties

of the ground state including kinetic energy, $T[\rho]$, potential energy, $V[\rho]$ and total energy, $E[\rho]$.

$$E[\rho] = T[\rho] + V_{ne}[\rho] + V_{ee}[\rho] = \int \rho(\vec{r}) v(\vec{r}) dr + F_{HK}[\rho]$$
(2.7)

where $V_{ee}(\rho)$ is the potential energy of the electron-electron interactions, $V_{ne}(\rho)$ is the potential energy of the nucleus-electron interactions, and $v(\vec{r})$ is the external potenatial. $F_{HK}[\rho]$ is the universal Hohenberg-Kohn functional and described as:

$$F_{HK}[\rho] = T(\rho) + V_{ee}[\rho]$$
(2.8)

where $T(\rho)$ is the kinetic energy of the system. $V_{ee}(\rho)$ defined in Equations 2.7 and 2.8 consists of two terms as:

$$V_{ee}(\rho) = J[\rho] + \text{non-classical term}$$
(2.9)

In Equation 2.9, non-classical term consists of quantum mechanical effects such as self-interaction correction, exchange and Coulomb correlation, and $J[\rho]$ is the classical Coulombic interaction between the electrons.

The second Hohenberg-Kohn theorem states that $F_{HK}[\rho]$ delivers the lowest energy if and only if the input density is the true ground state density meaning that the energy obtained from Equation 2.7 (given in Equation 2.10) represents an upper bound to the true ground state energy, E_0 with an external potential, \tilde{V}_{ext} and trial density, $\tilde{\rho}(\vec{r})$.

$$E_0 \le E[\tilde{\rho}] = T[\tilde{\rho}] + V_{ne}[\tilde{\rho}] + V_{ee}[\tilde{\rho}]$$
(2.10)

As the Hohenberg-Kohn theorems are only formulated for the ground state, conventional DFT can not be rigorously used to calculate any excited state properties.

2.1.3 The Kohn-Sham Method

Shortly after the Hohenberg-Kohn theorem was proposed, the Kohn-Sham method was suggested an auxiliary system of noninteracting electrons was imagined whose density was thought to be the same as the true system in the ground state. The density in this case can be written within the Kohn-Sham ansatz as:

$$n(\vec{r}) = \sum_{i}^{N} |\phi_i(\vec{r})|^2$$
(2.11)

with the total energy

$$E[n(\vec{r})] = \sum_{i}^{N} \varepsilon_{i}$$
(2.12)

where ε_i are single-particle energy levels.

 $F[\rho(\vec{r})]$ is separated into three parts as:

$$F[\rho(\vec{r})] = T[\rho(\vec{r})] + E_H[\rho(\vec{r})] + E_{xc}[\rho(\vec{r})]$$
(2.13)

where $T[\rho(\vec{r})]$ is the kinetic energy, $E_H[\rho(\vec{r})]$ is the Hartree energy, and $E_{xc}[\rho(\vec{r})]$ is the exchange correlation energy. To produce reasonably accurate values, approximations to the exchange-correlation functional have been utilized.

The kinetic energy of the system is given by:

$$T[\rho(\vec{r})] = -\sum_{j=1}^{N} \frac{1}{2} \int \psi_{j}^{*}(\vec{r}) \nabla^{2} \psi_{j}(\vec{r}) d^{3}\vec{r}$$
(2.14)

 $T[\rho(\vec{r})]$ corresponds to the kinetic energy of a set of N non-interacting Kohn-Sham particles. The difference between this energy and the true electronic energy is a part of the exchange-correlation term in Equation 2.13.

The Hartree energy, $E_H[\rho(\vec{r})]$ corresponds to the classical electrostatic energy of the electrons and is given by:

$$E_{H}[\rho(\vec{r})] = \frac{1}{2} \int \frac{\rho(\vec{r})\rho(\vec{r}')}{|\vec{r} - \vec{r}'|} d^{3}\vec{r}d^{3}\vec{r}'$$
(2.15)

The energy expression given in Equation 2.18 must be minimized with respect to the orbitals in order to obtain the orbitals that give rise to the ground state energy. The

minimization of energy is performed under the usual constraint $\langle \psi_i | \psi_j \rangle = \delta_{ij}$. The resulting equations are the Kohn-Sham equations:

$$\left[-\frac{1}{2}\nabla^2 + \int \frac{\rho(\vec{r}')}{\vec{r} - \vec{r}'} d\vec{r}' + V_{xc}(\vec{r}) - \sum_A^M \frac{Z_A}{|\vec{r_A} - \vec{r}|}\right] \psi_i = \varepsilon_i \psi_i \qquad (2.16)$$

which needs to be solved self-consistently. The simple sum of Kohn-Sham energies overcounts the Hartree energy and includes a spurious term originating from the exchange-correlation energy. This sum must therefore be corrected appropriately to yield the ground state energy of the true system.

2.1.4 Exchange-Correlation Functionals

DFT models the electron correlation as a function of the electron density, $\rho(\vec{r})$. E_{xc} embodies both static and dynamic correlation. At this point, the quality of a DFT calculation depends largely on the choice of the exchange-correlation (XC) functional. Since the XC functional cannot be calculated exactly, numerous approximations have been developed throughout the years.

The very first approximation developed is local density approximation (LDA) in which main principle is taking the known result of a homogeneous electron gas system and applying it locally to a non-uniform system [120].

$$E_{xc}^{LDA}\left[\rho\right] = \int \rho\left(\vec{r}\right) \varepsilon_{xc}\left(\rho\left(\vec{r}\right)\right) d\vec{r}$$
(2.17)

where $\varepsilon_{xc}(\rho(\vec{r}))$ is the exchange-correlation energy per electron for a uniform electron gas with the density $\rho(\vec{r})$. The values of $\varepsilon_{xc}(\rho(\vec{r}))$ are obtained by Monte Carlo calculations [121] in practice. This energy per particle is then weighted with $\rho(\vec{r})$. This approximation gives good results for bulk properties while it is not appropriate for molecular systems where charge density is non-uniform. The atomic ground state energies and ionization energies are underestimated while the binding energies are overestimated [122, 123].

LDA can be improved by using the gradient of the density at a given point \vec{r} within the generalized gradient approximation (GGA). For this type of approximation, the

main principle is using the information about $\rho(\vec{r})$ at \vec{r} in addition to the information about the gradient of the charge density, $\nabla \rho(\vec{r})$.

$$E_{xc}^{GGA} = \int \rho(\vec{r}) \varepsilon_{xc}[\rho(\vec{r}), \Delta \rho(\vec{r})] d\vec{r}$$
(2.18)

In our work, GGA is used for all calculations.

2.1.5 Van der Waals Interactions

VdW forces result from the interaction of fluctuating charge distributions in one discernable fragment with those in an adjacent one. Although very weak vdW interactions between atoms and molecules play an important role in many systems [124]. Since conventional DFT fails to describe long-range electron correlations that are responsible for vdW forces [125, 126], some suggestions have been made recently in order to include the effect of vdW interactions in DFT [127–129]. These suggestions are based on either directly adding a semi-empirical dispersion correction to the total energy [124, 130] or reconstructing the exchange-correlation functional [106] that enables its inclusion self-consistently.

These suggestions are classified in literature as starting from the most approximate approach and leading to the more sophisticated one [129]. As shown in Figure 2.1, "stairway to heaven" [131] starts with a vdW corrected pseudopotential and continues with simple C_6 correction (DFT-D method), environment dependent C_6 correction (DFT-D3 and vdW(TS) methods) and non-local vdW correlation functional (vdW-DF).

The bottom step of the stairway shown in Figure 2.1 is the dispersion corrected atomcentered potentials (DCACP) [132] and the local atomic potentials (LAP) methods which involves the addition of a dispersion-corrected pseudopotential [90]. Since these simple approaches have become inadequate to be applied for all systems, it is necessary to have new suggestion and move to the next step.

The DFT-D method is based on a semi-empirical dispersion correction to the total energy, E_{DFT} . The energy functional is:



Figure 2.1: Classification of functionals as "stairway to heaven".

$$E_{DFT-D} = E_{DFT} + E_{disp} \tag{2.19}$$

where E_{disp} is the dispersion and described as:

$$E_{disp} = -\sum_{A,B} \left(\frac{C_6^{AB}}{r_{AB}^6} + \frac{C_8^{AB}}{r_{AB}^8} + \frac{C_{10}^{AB}}{r_{AB}^1} + \dots \right)$$
(2.20)

The simplified form of E_{disp} used in DFT-D method is:

$$E_{disp} = -\sum_{A,B} \frac{C_6^{AB}}{r_{AB}^6}$$
(2.21)

where A and B are pairwise additives while C_6^{AB} is the dispersion coefficient. Even if C_6/r^6 correction is the most commonly used one, it represents only the leading term of the correction while neglecting many-body dispersion effects in addition to the faster decaying terms (C_8^{AB}/r_{AB}^8 , C_{10}^{AB}/r_{AB}^{10} , etc.).

To achieve better accuracy, a better estimate for the dispersion coefficient is required and for this purpose, Grimme developed an improved method commonly referred to as the DFT-D2 method [109, 124]. Similar with DFT-D method, DFT-D2 method adds an additional term to the total energy, and similar with the Equation 2.19, the energy functional becomes:

$$E_{DFT-D2} = E_{DFT} + E_{disp} \tag{2.22}$$

Different from DFT-D method, the dispersion coefficients are calculated from a for-

mula coupling ionization potentials and static polarizabilities of isolated atoms. E_{disp} with the damping function, $f(r_{AB}, A, B)$, is described as:

$$E_{disp} = -\sum_{A,B} f(r_{AB}, A, B) \frac{C_6^{AB}}{r_{AB}^6}$$
(2.23)

where $f(r_{AB}, A, B)$ is equal to one for large r values while it vanishes for small r values.

A more natural way of introducing vdW interactions to DFT calculation is to reconstruct the exchange-correlation functional entirely to include a non-local kernel. This method is commonly referred to in the literature as the vdW-DF method. In Figure 2.1, it is represented by the third step.

The vdW-DF method adds non-local (i.e., long range) correlations to local or semilocal correlation functionals and the exchange-correlation for this method, E_{xc} is given as:

$$E_{xc} = E_x^{GGA} + E_c^{LDA} + E_c^{nl}$$
(2.24)

where E_x^{GGA} is the exchange energy approximated within GGA, E_c^{LDA} is the correlation energy approximated within LDA, and E_c^{nl} is the non-local correlation energy describing dispersive interactions. E_c^{nl} is defined as:

$$E_c^{nl} = \int \int dr_1 dr_2 n(r_1) \varphi(r_1, r_2) n(r_2)$$
(2.25)

where n(r) is the electron density, $\varphi(r_1, r_2)$ is integration kernel.

VdW-DF is an important development, however, it has drawbacks such as overestimating long range dispersion interactions. In order to overcome this overestimation, the method involving changes to exchange and non-local correlation terms has been suggested and named as vdW-DF2 [133, 134]. The Perdew-Burke-Ernzerhof (PBE) and revised PBE (revPBE) exchange functionals are mostly used in combination with the vdW-DF and vdW-DF2 method [136]. However, revPBE results in large intermolecular binding distances and inaccurate binding energies, C09 exchange has been proposed as an alternative way to remedy this shortcoming [107]. In Chapter 3, vdW-DF, vdW-DF2-C09 and Grimme-D2 (DFT-D2) will be used to study the effect of different vdW functionals and corrections on adsorption geometry, adsorption energy and band structure. These calculations reveal that the vdW-DF2-C09 exchange-correlation protocol for the vdW interactions produces the closest agreement with the literature, therefore vdW-DF2-C09 is used in the calculations presented in later chapters.

2.1.6 Pseudopotentials

Electrons can be divided into two groups as the core and the valence electrons around the nucleus. Core electrons are localized around the nucleus and not affected by chemical interactions. On the other hand, valence electrons play a major role in the formation of chemical bonds and in the determination of the electronic properties of materials. These electrons, which fill the valence orbitals, experience a field formed by the nucleus and the core electrons.

The wave functions of the valence electrons are orthogonal to the wave functions of the core electrons. In order to maintain this constraint, valance wave functions oscillate rapidly in the core region. This is due to the fact that the interaction potential between the valence electrons and the core electrons is very large in the region $r < r_c$ [137], as shown in Figure 2.2. Here, r_c is the core radius, the blue straight lines represent the pseudopotential and pseudo wave function while the red dashed lines are for real potential and real wave function.

In most periodic software that implements DFT, a plane wave basis is used to expand the Kohn-Sham orbitals. The sharp Columbic potentials close to the nuclei necessitates a large number of plane wave basis functions in order to resolve the oscillations in the wave functions of the valence electrons in the core region. To avoid this and to limit the calculations only to the chemically relevant electrons, the pseudopotential approach has been developed which treats only valence electrons explicitly [138–140].

The generation of a pseudopotential begins with the solution of the Kohn-Sham equation for an isolated atom. Pseudowave functions are obtained by placing a smoother



Figure 2.2: The real and pseudo potentials and wave functions.

function in place of the part of the real wave function oscillating in the core region $(r < r_c)$. This wave function should be compatible with the real wave function outside the core region [141]. The Schrödinger equation is then inverted to obtain the pseudopotential, which must then be identical to the true potential outside the core region. In this thesis, we employ ultrasoft pseudopotentials [142] since they require a much smaller set of planewaves in the basis set expansion.

In our work, the interaction of the valence electrons with the atomic core states is described by ultrasoft pseudopotentials and we use PBE type ultrasoft pseudopotentials with vdW-DF2-C09 and Grimme-D2 while revPBE is used with vdW-DF for hydrogen (H) and carbon (C) in Chapter 3. In Chapter 4 and in Chapter 5, PBE type ultrasoft pseudopotentials are used for boron-doped vacancy-defected graphene (BVG) and boron-doped divacancy-defected graphene (BDG) calculations. Additionally, PBE type ultrasoft pseudopotentials are used for fluorine (F) and nitrogen (N) while PBE type Vanderbilt ultrasoft pseudopotential is used for oxygen (O) atom in Chapter 5.

2.2 Mechanism of a Density Functional Theory Calculation

2.2.1 Self-Consistency Cycle

The calculations in our work are performed by using the Quantum Espresso (QE) package [143] which uses a Plane-Wave-Self-Consistent field (PWscf) approach. QE is an open source code based on DFT, plane-waves and pseudopotentials aiming to solve the Kohn-Sham equations self-consistently. The flowchart of the self-consistency cycle [144] is shown in Figure 2.3.



Figure 2.3: Kohn-Sham self-consistency cycle.

As shown in Figure 2.3, the initial density is selected in accordance with the atom type and the atomic positions. Afterwards, the Kohn-Sham equations for the Kohn-Sham orbitals are solved and the density obtained from the solution of these equations is compared to the initial density. If the density obtained is close enough to the density obtained in the previous cycle, the calculation is terminated. Otherwise, the second step will be repeated with the calculated density. This process continues until there is agreement between the consecutive densities.

2.2.2 Structure Optimization

A system reaches the structural equilibrium configuration when the forces on the nuclei reach zero. Once the ground state density has been obtained through self-consistent iterations indicated in Section 2.2.1, the Kohn-Sham orbitals corresponding to this density can be used to calculate several properties of the system including the force on the atoms.

The Hellman-Feynman (HF) theorem is a general statement about derivatives of expectation values at the ground state and force for the electronic part is expressed as:

which states that the change in the Hamiltonian operator can be calculated by ignoring the change in the wave function while differentiating the energy to obtain forces. The atoms are displaced along the HF forces. Afterwards, the self-consistency cycle displayed in Figure 2.3 is repeated with the addition of electronic and ionic contributions to find the total force of *i*th atom.

2.2.3 Computational Details

The calculations presented in this thesis are performed by using QE package [143]. For the exchange-correlation functional, the Perdew-Burke-Ernzerhof (PBE) approximation is used [143]. The interaction of the valence electrons with the atomic core states is described by ultrasoft pseudopotentials. The Brillouin Zone (BZ) is sampled according to the Monkhorst-Pack scheme [145] with 3x3x1 k-points for 6x6 unit cells. A kinetic energy cutoff of 30 Ry for wave functions and 300 Ry for charge density are used in all calculations since they gave well-converged values for both graphene and molecules in the previous studies [64, 146–151].

Another point that has to be taken into account is that atoms are free to relax in each cell and the vacuum between periodic cells needs to be large enough in order to prevent the interaction. For this purpose, a vacuum thickness of 15 Å is used in all

calculations.

Electronic bands are calculated on the path between certain critical points in Brillouin zone. Starting from Γ (center of the Brillouin zone), the path reaches K and M. XCrysDen [152] and Jmol [153] are used to visualize the structures during calculations.

Density of states (DOS) is the total number of the states in a given energy interval. DOS is described as:

$$DOS(E) = \frac{1}{N} \sum_{k} \delta(E - \varepsilon_k)$$
(2.26)

where *N* is the number of wave vector, *k* is the phonon frequencies for wave vectors and ε_k is the energy of the single particle.

A partial density of states (PDOS) calculations are performed to obtain a projection of the Kohn-Sham orbitals onto a suitably defined atomic regions. The PDOS calculation is a useful tool for analyzing the charge transfer in a chemical process.

In addition to the DOS and PDOS calculations, the charge density difference calculations are performed to look at the difference or the effect of the adsorbed system's interaction with the substrate. These calculations are performed after structure optmization calculations. VESTA visualization software [154] is used in order to visualize charge density plots. Furthermore, charge transfer between the graphene network and the adsorbates are investigated using the Bader charge analysis implemented by Henkelman et al. [155]. Additionally, Löwdin charge analysis [156] is used for analyzing the charge transfer characteristics of benzene derivatives presented in Chapter 5.

2.3 Preliminary Calculations

2.3.1 Lattice Constant Optimization Calculations

As a benchmark, lattice constant optimization calculations for graphene were performed for the functionals and correction described in Section 2.1.5. To check whether turning on spin polarization has any effect on lattice constants, we conduct some calculations with spin-polarizaed exchange-correlation (XC) functionals as well. In our production calculations, we include spin-polarization.

In experimental studies given in literature, lattice constant of graphene (a) is 2.46 Å [157]. The optimized lattice constant (a) values and error with respect to experimental value are tabulated in Table 2.1. From these results, it may be concluded that the vdW-DF2-C09 yields the best results for graphene based structures, and it is observed that lattice constants are independent of spin magnetization. These benchmark tests are also in good agreement with the previous studies reported in literature [158, 159].

| Functional | a (Å) | % error |
|----------------------------|--------------|---------|
| vdW-DF | 2.4629 | 0.1175 |
| spin polarized vdW-DF | 2.4629 | 0.1175 |
| vdW-DF2-C09 | 2.4602 | 0.0096 |
| spin polarized vdW-DF2-C09 | 2.4602 | 0.0096 |
| | | |
| Correction | a (Å) | % error |
| Grimme-D2 | 2.4615 | 0.0617 |
| spin polarized Grimme-D2 | 2.4615 | 0.0617 |
| | 0 | |
| | a (A) | % error |
| PBE | 2.4619 | 0.0766 |
| spin polarized PBE | 2.4617 | 0.0691 |

Table 2.1: The lattice constant optimization for graphene.

2.3.2 Pristine and Defective Graphene Calculations

As a basis for this work, the electronic properties of pristine graphene and graphene with point defects (mono and divacancy) have been reviewed. The other aim of these preliminary calculations is to test the accuracy of the parameters being used in the calculations.

For pristine graphene, as shown in Figure 2.4a, a 6x6 unit cell consisting of 72 C atoms is used. For the optimized geometry, bond length is calculated to be 1.4215 Å which agree well with the values reported in the literature [160, 161]. For graphene with point defects, 6x6 unit cells consisting of 71 C and 70 C atoms have been chosen to model vacancy-defected (VG) and divacancy-defected graphene (DG), respectively. For the geometry optimized structures, it is observed that total magnetization is equal to 0.00 μ_B per cell for DG while it is 1.43 μ_B per cell for VG in good agreement with the previous works [162–164]. From these results, it is concluded that there is no effect of magnetization for divacancy in graphene sheet.

The optimized geometries of spin-unpolarized VG, spin-polarized VG and DG are presented in Figure 2.4b, Figure 2.4c and Figure 2.4d, respectively. For VG, one of the C atoms in Figure 2.4b and in Figure 2.4c, surrounding the vacancy undergoes an out-of-plane displacement of about 0.48 Å resulting in a distorted structure while the remaining two atoms surrounding the vacancy move close to each other to a distance of 2.1 Å where the initial distance is 2.46 Åfor pristine graphene. When spin-polarization is included, C atoms surrounding the defect comes closer to each other and one of the C atoms surrounding the vacancy becomes coplanar with 0.07 Å out-of-plane displacement while the initial displacement is 0.2 Å away from the planar surface.

In addition to pristine graphene, spin-unpolarized VG and DG, band structure calculations have been performed for spin-polarized VG to see the effect of spin polarization on the electronic properties of VG since magnetism is observed with a monovacancy in graphene sheet [52, 53] as indicated in Section 1.2. The optimized structures with their band structures are presented in Figure 2.4 in addition to their charge density and DOS plots. For the band structure of spin-polarized VG, red lines in Figure 2.4c represent the majority spin while blue lines represent the minority spin. As seen from Figure 2.4a, for pristine graphene, the Fermi level appears at the Γ point due to zone folding and for VG, spin polarization lifts the degeneracies while the Fermi level is depressed below the original location similarly with DG.



Figure 2.4: Optimized structures, band structures, DOS and charge density plots of pristine graphene (a), spin-unpolarized VG (b), spin-polarized VG (c), and DG (d).

For VG and DG, the defect formation energies are calculated from:

$$E_{def} = E_{def-gr} + \mu_C - E_{pr-gr} \tag{2.27}$$

where E_{def} is the defect formation energy, E_{def-gr} is the energy of defective graphene while μ_C is the chemical potential of the C atom which is taken as E_{pr-gr}/N where N is the number of C atoms in the unit cell of graphene and E_{pr-gr} is the energy of pristine graphene.

From Equation 2.27, VG and spin-polarized VG formation energies are calculated to be 7.80 eV and 7.60 eV, respectively which are very high due to the existence

of an under-coordinated carbon atom while being in good agreement with previous theoretical and experimental results [165–169]. On the other hand, DG formation energy is calculated to be 7.71 eV which shows similar trend with the previous studies where formation energies of divacancies in carbon nanotubes and graphene are found to be much lower than the formation energy of monovacancy, suggesting that the coalescence of monovacancies into divacancies is energetically favorable [169–174].

CHAPTER 3

ORGANIC MOLECULES ON PRISTINE AND DEFECTIVE GRAPHENE

In this chapter, we study the adsorption of benzene (C_6H_6) and toluene (C_7H_8) on pristine and defective graphene. In addition to single layer graphene, we study adsorption of C_6H_6 and C_7H_8 on bilayer graphene. Van der Waals (vdW) dispersion forces are included in order to treat molecule-graphene interaction. To see the effect of different vdW dispersion forces on adsorption geometry, adsorption energy and band structure, three different exchange-correlation functionals are employed: vdW-DF [106], vdW-DF2-C09 [107, 108] and Grimme-D2 [109].

3.1 Previous Work

 C_6H_6 is a flat polycyclic aromatic hydrocarbon (PAH). C atoms (each carbon contains three sigma bonds) are each bonded to two neighboring C atoms and two H atoms. C_6H_6 is a colourless liquid with a characteristic odor evaporating into the air very quickly while dissolving slightly in water. It is mainly employed in the production of polystyrene and other chemicals such as detergents, dyes, pesticides and drugs [175]. Due to its industrial applications, adsorption characteristics of C_6H_6 is widely investigated in addition to its detection since exposure to C_6H_6 increases the risk of cancer and other illnesses [176, 177]. As indicated in Chapter 1, for applications involving graphene based devices, molecular adsorption on graphene with different chemical species such as small gas molecules [69, 70, 178, 179] are the subject of various theoretical [54, 70, 180–183] and experimental [15, 37] studies. Non-covalent functionalization of graphene using various organic molecules [38,184] and bio-molecules [23] has attracted growing attention in electrical and optical applications. In many previous studies [185,186] C_6H_6 was investigated as a prototype for π - π interactions [187]. Different exchange-correlation functionals [106, 188] were tested in order to provide an improved description of the long-range weak dipole-dipole interaction and the electronic structure of the interface between graphene and organic molecules [189]. For the adsorption of C_6H_6 on graphene, graphite or carbon nanotubes, the distance between the graphene sheet and C_6H_6 was calculated to be 3.60 ± 0.2 Å based on the weak vdW forces [69,188,190,191] while adsorption energies range from 20 to 29 kJ/mol (207-301 meV/C₆H₆ molecule) [20,186]. However, in some recent DFT studies, larger adsorption energies (79.2 kJ/mol - 821 meV/C₆H₆ molecule) for C_6H_6 (192].

Chakarova-Käck et al. [188] conducted vdW-DF calculations for the adsorption energies of C_6H_6 and naphthalene ($C_{10}H_8$) on graphite while Alzahrani [193] focused on the electronic properties of these adsorbed systems and found that graphene's low energy electronic properties remain unchanged upon C_6H_6 and $C_{10}H_8$ adsorption unless C_6H_6 was artificially placed very close to graphene resulting in a covalent bond formation [186, 192, 194]. Caciuc et al. [195] concluded that the strength of C_6H_6 -graphene interaction corresponds to physisorption with no significant charge transfer between adsorbent and adsorbate. In spite of the several studies for C_6H_6 adsorption on pristine graphene, the studies dealing with the adsorption of C_6H_6 on defective graphene are missing.

The other organic molecule studied in this work is toluene, a colorless, water insoluble molecule with the chemical formula C_7H_8 . C_7H_8 is a C_6H_6 derivative with an extra CH_3 group. Contrary to C_6H_6 , there are very few studies dealing with the adsorption mechanism of C_7H_8 on graphene [196], it has been observed that, electronic properties of graphene around the Dirac point are not disturbed by adsorption of C_7H_8 while the Fermi level remains at the Dirac point indicating that no charge transfer between C_7H_8 and graphene [197]. Similar to C_6H_6 , studies dealing with the adsorption of C_7H_8 on defective graphene are missing.

3.2 Organic Molecules on Single Layer Graphene

Before starting the adsorption calculations, C_6H_6 and C_7H_8 are optimized separately and the resulting geometries are used for the following calculations. The molecular adsorption energies are calculated using:

$$E_{ads} = E_{graphene} + E_{molecule} - E_{molecule+graphene}$$
(3.1)

where $E_{graphene}$ is the energy of graphene sheet, $E_{molecule}$ is the energy of an isolated neutral organic molecule, and $E_{molecule+graphene}$ is the total energy of the system consisting of the organic molecule and graphene. With this definition, positive adsorption energies correspond to stable adsorption geometries. For physisorbed species, $E_{molecule}$ and $E_{graphene}$ are both calculated using the relevant exchange-correlation functionals.

As a result of geometry optimization, equilibrium separation between the organic molecule and graphene can be obtained in addition to adsorption energy values. However, simple geometry optimization may miss the correct adsorption height values due to the possibility of multiple minima. As a check, we run a series of single-point scf calculations for a specified adsorption height value interval in order to obtain a better resolved profile. A similar study was conducted by Chakarova-Käck et al. [188] and for a direct comparison, the configuration utilized in their study is subjected to scf calculations. The configuration used for the parallel adsorption of C_6H_6 on pristine graphene (PG) is displayed in Figure 3.1 which will be referred to as site Br in Section 3.2.1.

For this scan, the adsorption height of C_6H_6 in the z-direction is changed from 2.5 Å to 4.5 Å with an increment of 0.1 Å an scf calculation is performed for each height, and equilibrium adsorption heights are determined from each data set. The adsorption energy curves with respect to different adsorption heights for vdW-DF, vdW-DF2-C09 and Grimme-D2 are shown in Figure 3.2 for C_6H_6 adsorption on 6x6 PG where the adsorption heights are calculated to be 3.43 Å, 3.27 Å and 3.19 Å for vdW-DF, vdW-DF, vdW-DF2, vdW-DF2-C09 and Grimme-D2, respectively which are in very good agreement with the values tabulated in Table 3.1. For vdW-DF, the calculated adsorption height is in



Figure 3.1: Configuration of C_6H_6 on single layer graphene for adsorption height calculations.

good agreement with the previous theoretical and experimental results reported to be 3.60 ± 0.2 Å [188, 190, 191].

In order to probe the adsorption behaviour of C_6H_6 in lateral directions, the adsorption height values are fixed and the optimization calculations are performed where atomic positions in a plane parallel to the graphene sheet are free to change. After obtaining the optimized geometry, the final energy values are compared with the adsorption energy values presented in Figure 3.2 and it is observed that the difference is around 1 meV showing that the full optimization gives a robust geometry.

The defect formation energies of VG and DG are calculated using Equation 2.27 for vdW-DF, vdW-DF2-C09 and Grimme-D2. For VG, the formation energies are calculated to be 7.30 eV, 7.78 eV and 7.84 eV for vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively and when spin-polarization is included, the formation energies reduce to 7.24 eV, 7.66 eV and 7.64 eV for vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively. DG formation energies are calculated to be 7.10 eV, 7.77 eV and 7.79 eV for vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively which shows the same trend with VG as formation energy is lowest for vdW-DF and formation energies for vdW-DF2-C09 (7.78 eV for VG and 7.77 eV for DG) are very similar with the formation energies where vdW interactions are excluded (7.80 eV for VG and 7.71 eV for DG) which confirms the indication in Section 2.3.1 that vdW-DF2-C09 yields the best results.



Figure 3.2: The adsorption energy curve of C_6H_6 on single layer graphene with vdW-DF (a), vdW-DF2-C09 (b), and Grimme-D2 (c).

3.2.1 Organic Molecules on Pristine Graphene

A 6x6 unit cell of PG, consisting of 72 atoms, is used as substrate during calculations. C_6H_6 and C_7H_8 are adsorbed on graphene, separately. In literature, it is stated that due to the unreactivity of organic molecules and graphene, organic molecules interact weakly with graphene [8]. In order to confirm this weak interaction and determine the preferred sites, 14 different configurations are investigated including both parallel and perpendicular adsorption of C_6H_6 and C_7H_8 . These configurations are seen in Figure 3.3 and Figure 3.4 along with labels where the letter "r" in the label indicates rotation along the axis perpendicular to the molecular plane with respect to the configuration labeled without the letter "r".



Figure 3.3: Different initial configurations for C_6H_6 on PG for parallel (a), and perpendicular adsorption (b).



Figure 3.4: Different initial configurations for C_7H_8 on PG for parallel (a), and perpendicular adsorption (b).

The adsorption energies and graphene-molecule distances obtained from these configurations are listed in Table 3.1. During structural optimization, the organic molecules induce small degrees of curvature on the graphene layer where the degree of curvature is highest for vdW-DF. Thus, the adsorption height distances are taken as the vertical separation of the average value in the z-direction of C atoms in graphene and the H atom of the organic molecule closest to the graphene layer. As observed from Table 3.1, the preferred geometry of C_6H_6 and C_7H_8 molecule on graphene is a parallel configuration for all exchange-correlation functionals. When the geometries with the highest adsorption energy are investigated, a general trend is deduced where the axial H atoms of the molecule facing the graphene layer are located on top of the centers of the empty hexagonal sites of the honeycomb lattice of graphene. The preferred geometries of C_6H_6 are labeled C for all exchange-correlation functionals (see Figure 3.3) while the preferred geometries of C_7H_8 are labeled C, C and B for vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively. Moreover, it is observed that adsorption energies are very close to each other for some sites in parallel configuration.

In its optimized structure, C_6H_6 is located at a distance of 3.46 Å and the adsorption energy is 596 meV while C_7H_8 is located at a distance of 3.13 Å and the adsorption energy is 689 meV for vdW-DF. The adsorption distance of C_6H_6 is 3.30 Å with 441 meV and 3.21 Å with 524 meV for vdW-DF2-C09 and Grimme-D2, respectively while the adsorption distance of C_7H_8 is 2.93 Å with 498 meV and 2.74 Å with 593 meV for vdW-DF2-C09 and Grimme-D2, respectively. These values are in good agreement with the previous theoretical and experimental results [20, 186, 197].

The top view and the side view of the optimized geometries with the highest adsorption energies tabulated in Table 3.1 are presented with their band structures in Figure 3.5 and Figure 3.6 for C_6H_6 and C_7H_8 , respectively. The band structure for the non-covalent interaction of C_6H_6 and C_7H_8 with PG reveal no deviation around the K-point from PG's band structure.

In order to separate the contribution of states coming from the adsorbate and the substrate, we present the results of a partial density of states (PDOS) analysis in Figures 3.7 and 3.8.

| | | | | | - |) | | > | | 3 | | | | |
|----------------------------|---------------------|-------|---------|-------|---------|-------|---------|---------------------|-------|---------|---------|---------|-------|---------|
| | | | | Paral | lel | | | | | I | erpendi | cular | | |
| | | pn | W-DF | -Wbv | DF2-C09 | Grin | nme-D2 | | pn | W-DF | [-Mpn | DF2-C09 | Grin | nme-D2 |
| | site | d [Å] | E [meV] | d [Å] | E [meV] | d [Å] | E [meV] | site | d [Å] | E [meV] | d [Å] | E [meV] | d [Å] | E [meV] |
| $\mathbf{C}_6\mathbf{H}_6$ | A | 3.39 | 576 | 3.46 | 416 | 3.28 | 463 | A | 2.77 | 359 | 2.62 | 240 | 2.61 | 315 |
| | Ar | 3.53 | 579 | 3.46 | 420 | 3.26 | 469 | Ar | 2.57 | 348 | 2.57 | 237 | 2.57 | 288 |
| | В | 3.44 | 595 | 3.31 | 440 | 3.17 | 523 | В | 2.73 | 360 | 2.61 | 245 | 2.61 | 320 |
| | Br | 3.43 | 594 | 3.27 | 437 | 3.19 | 518 | Br | 2.60 | 339 | 2.60 | 230 | 2.60 | 278 |
| | BC | 3.55 | 594 | 3.32 | 436 | 3.21 | 514 | BCr | 2.64 | 363 | 2.62 | 226 | 2.62 | 274 |
| | BCr | 3.51 | 593 | 3.29 | 432 | 3.21 | 512 | U | 2.75 | 363 | 2.61 | 246 | 2.61 | 323 |
| | C | 3.46 | 596 | 3.30 | 441 | 3.21 | 524 | Cr | 2.61 | 347 | 2.62 | 236 | 2.62 | 283 |
| $\mathbf{C}_7\mathbf{H}_8$ | A | 3.21 | 668 | 2.95 | 456 | 2.83 | 527 | A | 2.67 | 387 | 2.42 | 253 | 2.62 | 316 |
| | Ar | 3.24 | 699 | 3.00 | 458 | 2.86 | 534 | Ar | 2.85 | 285 | 2.81 | 176 | 2.70 | 250 |
| | В | 3.15 | 688 | 2.93 | 498 | 2.74 | 593 | В | 2.76 | 390 | 2.63 | 248 | 2.61 | 322 |
| | Br | 3.03 | 672 | 3.00 | 497 | 2.61 | 590 | Br | 4.42 | 181 | 3.10 | 198 | 4.41 | 130 |
| | BC | 3.20 | 685 | 2.95 | 487 | 2.93 | 581 | BCr | 3.08 | 304 | 3.03 | 201 | 2.75 | 245 |
| | BCr | 3.22 | 687 | 2.92 | 492 | 2.65 | 583 | U | 3.56 | 285 | 3.58 | 176 | 3.58 | 195 |
| | U | 3.13 | 689 | 2.93 | 497 | 2.63 | 591 | Cr | 3.02 | 286 | 2.84 | 176 | 2.74 | 251 |

Table 3.1: The adsorption energies and distances of C_6H_6 and C_7H_8 on PG at different sites.



Figure 3.5: The top view, the side view and the band structure of C_6H_6 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.6: The top view, the side view and the band structure of C_7H_8 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

In order to understand the effect of the adsorption on charge distribution, charge density difference calculations are performed for those geometries with the highest adsorption energy and plots are presented in Figure 3.7 and Figure 3.8 for C_6H_6 and C_7H_8 , respectively. In these plots, red color represents regions with charge depletion while blue color represents those with charge accumulation. From the Bader charge analysis, the charge transfer between the substrate and the molecule is calculated to be negligibly small for the physisorbed species. C_6H_6 donates a charge of 0.007 e to the graphene network while donation is 0.013 e for C_7H_8 when vdW-DF2-C09 is employed.



Figure 3.7: PDOS and charge density plot of C_6H_6 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00011 Å⁻³.



Figure 3.8: PDOS and charge density plot of C_7H_8 on PG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.0003 \text{ Å}^{-3}$.

The charge density difference plots shown in Figure 3.7 indicate a small amount of charge transfer between the π -systems of both C_6H_6 and graphene and the other orbitals. This seems to introduce a small dipole moment pointing away from graphene and towards C_6H_6 in the interface. Interestingly, the charge rearrangement profile of C_6H_6 appears somewhat different for Grimme-D2 than it does for the other two vdW protocols.

The charge transfer upon adsorption in the case of C_7H_8 is somewhat different when compared to that of C_6H_6 . The positively charge region immediately below the molecule is absent although the charge depleted region above graphene is still visible. The axial H molecules are still depleted while those belonging to the CH₃ group have acquired a small amount of positive charge.

3.2.1.1 Unoccupied States in PDOS Plots

As indicated in Chapter 2, PDOS calculations are performed in order to analyze the charge transfer. The QE package [143] provides a parameter by the name of as degauss symbolyzing Gaussian broadening in Ry which defines the sharpness of peaks in the PDOS plot. By examining the sharpness of peaks for PDOS plot of C_6H_6 adsorption on PG with vdW-DF2-C09, a benchmark study has been done in order to determine degauss value to be used during the production calcultions. For this purpose, 3 different degauss values are studied namely 0.01, 0.015 and 0.02 Ry.

The peaks in Figure 3.9a are very sharp with 0.01 Ry Gaussian broadening and oscillations take place in the PDOS plot of pristine graphene while peaks become wider by increasing Gaussian broadening. Upon analysis of Figure 3.9b and Figure 3.9c, 0.015 Ry Gaussian broadening was seen to give optimal results and was preferred during calculations.

On the other hand, it is observed that summation of C_6H_6 states and PG states does not give the total DOS for unoccupied states in Figure 3.9b since the effect of unoccupied d and f-orbitals have been ignored in the pseudopotential used for C. In order to include the effect of unoccupied d and f-orbitals to the PDOS plot, calculations have been repeated for C_6H_6 adsorption on PG with a different pseudopotential



Figure 3.9: The benchmark study for Gaussian broadening value: (a) 0.01 Ry, (b) 0.015 Ry, and (c) 0.02 Ry.

containing contributions from the d- and f-orbital. While including d- and f-orbital configurations appears to fix this problem (Figure 3.10), we continue to use the former pseudopential excluding these contributions as the effect is largely confined to the unoccupied states.

3.2.2 Organic Molecules on Vacancy-Defected Graphene

A 6x6 unit cell of VG consisting of 71 atoms is used as adsorbent for the calculations in this section. C_6H_6 and C_7H_8 are adsorbed on defective graphene, separately. 5 different configurations for C_6H_6 and 7 different configurations for C_7H_8 are are considered, all in the vicinity of the defect and all in the parallel configuration. These configurations are displayed in Figure 3.11a and Figure 3.11b, respectively.



Figure 3.10: PDOS plot of C_6H_6 on PG (a), and d and f-states of C_6H_6 and PG including DOS (b).



Figure 3.11: The different initial configurations for C_6H_6 (a) and C_7H_8 (b) on VG.

As some graphene point defects are expected to be magnetic (indicated in Section 2.3.2), spin polarization is activated in all calculations conducted in this section. After optimization calculations, it is observed that total magnetization of the VG/C₆H₆ system is equal to 0.69 μ_B , 1.27 μ_B and 1.40 μ_B per cell for spin-polarized vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively while total magnetization of C₇H₈/VG is equal to 0.68 μ_B , 1.31 μ_B and 1.45 μ_B per cell for spin polarized vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively. Previous calculations conducted on the graphene vacancy defect yields a total magnetization of about 1.43 μ_B . Considering the weak interaction between the substrate and the adsorbate, we do not expect

this value to change significantly upon adsorption. This result can be considered a further and interesting indication of the shortcomings of the vdW-DF scheme for the problem at hand.

The adsorption energies obtained from these configurations are listed in Table 3.2. Similar to the adsorption of organic molecules on PG, C_6H_6 and C_7H_8 induce small degrees of curvatures on VG while one of the C atoms surrounding the vacancy is distorted in the opposite direction of curvature formation and the degree of curvature is highest for vdW-DF as seen from the side view displayed in Figure 3.12 and Figure 3.14 for C_6H_6 and C_7H_8 , respectively. In the spin-polarized case, the distortion of all C atoms around the vacancy is in the same direction as the curvature formation as presented in Figure 3.13 and Figure 3.15 for C_6H_6 and C_7H_8 , respectively.

In the optimized structure, C_6H_6 has the highest adsorption energy with a value of 555 meV, 391 meV and 435 meV while C_7H_8 has the highest adsorption energy with a value of 607 meV, 425 meV and 482 meV for vdW-DF,vdW-DF2-C09 and Grimme-D2, respectively. For spin-polarized cases, the highest adsorption is calculated to be 596 meV for C_6H_6 with vdW-DF and for C_7H_8 , it is 678 meV with the same functional. As apparent from Table 3.2, sites with the highest adsorption energy changes not only with the change of exchange-correlation functional but also with inclusion of spin-polarization.

Similar to the adsorption on PG (Figure 3.5 and Figure 3.6), the band structure for the non-covalent interaction of C_6H_6 and C_7H_8 on VG reveal no deviation around the K-point from defective graphene's band structure as shown in Figure 3.12 and Figure 3.14. For the band structure of spin-polarized cases, red lines represent the majority spin while blue lines represent the minority spin in Figure 3.13 and Figure 3.15 for C_6H_6 and C_7H_8 , respectively, and it is observed that spin polarization lifts the degeneracies while the Fermi level is depressed below the original location.
| | | Tabl | e 3.2: The adsorpti | on energies of C ₆ H | I_6 and C_7H_8 on VG | at different sites | s. |
|----------------------------|------|---------|--------------------------|---------------------------------|-------------------------------|--------------------|-----------------------------|
| | | vdW-DF | spin-polarized vdW-DF | vdW-DF2-C09 | spin-polarized vdW-DF2-C09 | Grimme-D2 | spin-polarized Grimme-D2 |
| | site | E [meV] | E [meV] | E [meV] | E [meV] | E [meV] | E [meV] |
| $\mathbf{C}_6\mathbf{H}_6$ | A | 507 | 594 | 356 | 399 | 394 | 445 |
| | В | 555 | 586 | 391 | 397 | 435 | 445 |
| | U | 510 | 562 | 373 | 401 | 417 | 454 |
| | D | 507 | 596 | 360 | 405 | 399 | 451 |
| | Щ | 506 | 594 | 358 | 401 | 395 | 448 |
| $\mathbf{C}_7\mathbf{H}_8$ | A | 576 | 671 | 390 | 431 | 443 | 504 |
| | В | 607 | 638 | 416 | 433 | 469 | 500 |
| | U | 588 | 643 | 425 | 444 | 482 | 518 |
| | D | 574 | 658 | 392 | 438 | 446 | 506 |
| | Щ | 573 | 677 | 391 | 435 | 442 | 508 |
| | Ц | 575 | 678 | 392 | 439 | 440 | 505 |
| | IJ | 586 | 670 | 402 | 445 | 452 | 507 |
| | | | | | | | |



Figure 3.12: The top view, the side view and the band structure of C_6H_6 on spinunpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.13: The top view, the side view and the band structure of C_6H_6 on spinpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.14: The top view, the side view and the band structure of C_7H_8 on spinunpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.15: The top view, the side view and the band structure of C_7H_8 on spinpolarized VG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

PDOS and charge density difference plots for C_6H_6 and C_7H_8 are displayed in Figure 3.16 and Figure 3.18 while the plots for calculations including spin-polarization are presented in Figure 3.17 and Figure 3.19 for C_6H_6 and C_7H_8 , respectively where red color represents charge depletion while blue color represents charge accumulation. The rearrangement of charge in the case of the monovacancy is rather different from that of defect-free graphene. In this case, the portions of the molecule that is closer to the elevated atom has lost some electrons to both its own π orbitals and the lone atom. Although there are still remnants of the interface transfer familiar from PG, the charge transfer is decidedly asymmetric. There is 0.007 e donation from C_6H_6 to VG while 0.011 e is transferred from C_7H_8 to VG when vdW-DF2-C09 is employed.



Figure 3.16: PDOS and charge density plots of C_6H_6 on VG for spin-unpolarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.00029 \text{ Å}^{-3}$.

3.2.3 Organic Molecules on Divacancy-Defected Graphene

A 6x6 unit cell of graphene was used with two neighboring C atoms missing to model the divacancy defected graphene in this section. C_6H_6 and C_7H_8 are adsorbed on DG, separately. Similarly to the previous section (Section 3.2.2), 5 different configurations for C_6H_6 and 7 different configurations for C_7H_8 are formed around the defect as parallel adsorption of organic molecules on defective graphene which are shown in Figure 3.20.



Figure 3.17: PDOS and charge density plots of C_6H_6 on VG for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.0002 \text{ Å}^{-3}$.



Figure 3.18: PDOS and charge density plots of C_7H_8 on VG for spin-unpolarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00042 Å⁻³.

The adsorption energies obtained from these configurations are listed in Table 3.3. Similar to the adsorption geometry of organic molecules on PG, DG has a negligible degree of curvature which can be taken into account as almost planar geometry without any distortion of the atoms surrounding the defect.

In the optimized structure, C_6H_6 has the most stable configuration with an adsorption energy of 576 meV, 404 meV and 452 meV while these values for C_7H_8 are 651 meV, 448 meV and 518 meV for vdW-DF,vdW-DF2-C09 and Grimme-D2, respectively. As seen from Table 3.2, the sites with the highest adsorption energy does not change with



Figure 3.19: PDOS and charge density plots of C_7H_8 on VG for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.0004 Å⁻³.



Figure 3.20: The different initial configurations for C₆H₆ and C₇H₈ on DG.

the change of exchange-correlation functional.

The band structures for the molecule/DG systems reveal no deviation around the Kpoint from the band structure of graphene with a divacancy as seen in Figure 3.21 and Figure 3.22 for C_6H_6 and C_7H_8 , respectively. PDOS and charge density difference plots for C_6H_6 and C_7H_8 are shown in Figure 3.23 and Figure 3.24. As consistent with PDOS plots, additional states from the molecules only fall on the conduction

| | | vdW-DF | vdW-DF2-C09 | Grimme-D2 |
|----------|------|---------|-------------|-----------|
| | site | E [meV] | E [meV] | E [meV] |
| C_6H_6 | А | 575 | 401 | 450 |
| | В | 564 | 400 | 447 |
| | С | 553 | 382 | 426 |
| | D | 576 | 404 | 452 |
| | Е | 575 | 401 | 451 |
| C_7H_8 | А | 649 | 440 | 512 |
| | В | 641 | 445 | 512 |
| | С | 630 | 428 | 496 |
| | D | 650 | 444 | 516 |
| | Е | 650 | 444 | 516 |
| | F | 651 | 448 | 518 |
| | G | 647 | 447 | 513 |

Table 3.3: The adsorption energies of C_6H_6 and C_7H_8 on DG at different sites.



Figure 3.21: The top view, the side view and the band structure of C_6H_6 on DG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

and valence bands. The charge transfer upon adsorption is once again reminiscent of the PG case where there seems to be a small dipole moment induced in the interface region that points from the molecule to the substrate. Bader charge analysis shows



Figure 3.22: The top view, the side view and the band structure of C_7H_8 on DG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

there is 0.019 e transfer from C_6H_6 to DG while 0.012 e is transferred from C_7H_8 to DG confirming the electron accepting characteristics of graphene-based surfaces.



Figure 3.23: PDOS and charge density plots of C_6H_6 on DG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00019 Å⁻³.

There are numerous theoretical studies in literature on C_6H_6 adsorption on graphene while the experimental studies are still rare. In experimental studies, graphene is



Figure 3.24: PDOS and charge density plots of C_7H_8 on DG for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.00035 \text{ Å}^{-3}$.

grown continuously on both sides of the copper (Cu) surface, forming a crystalline single layer [8, 185, 198, 199]. In these experiments, adsorption energy is calculated for different coverages. It has been found that C_6H_6 adsorption characteristics on graphene is affected by the supports while the desorption energy for C_6H_6 adsorption on a graphene layer depends on the reactivity of the support. This, then, makes a direct comparison of theoretical and experimental results rather difficult. In spite of this difficulty, previous computational adsorption energy calculations and the experimental adsorption energy [20, 186, 188, 192, 194, 195] are found to be in agreement with our results.

When adsorption energy values are compared for organic molecules on pristine and defective graphene, it can be seen that the adsorption energy is highest for adsorption of species on PG. In addition to the change in adsorption energies, for vdW-DF2-C09 adsorption site with the highest adsorption energy changes with respect to the change of adsorbent. For instance, site C of C_6H_6 exhibits the highest adsorption energy of 441 meV on PG while the energy is 391 meV on VG for site B and 404 meV on DG for site D. In this case, adsorption energy is higher for the adsorption on divacancy than on vacancy.

3.3 Organic Molecules on Bilayer Graphene

In order to understand the effects of the number of graphene layers on adsorption characteristics, the adsorption of C_6H_6 and C_7H_8 on bilayer graphene has been investigated.

First, adsorption height calculations have been performed for the three exchangecorrelation functionals with the methodology described in Section 3.2. Concerning the relative location of the two layers, AB stacking has been utilized since AB-stacked structure is more stable than the AA-stacked structure [200]. The adsorption energy curves with respect to different adsorption heights for three different exchangecorrelation functionals are shown in Figure 3.25. The calculated adsorption heights are 3.64 Å, 3.29 Å and 3.23 Å for vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively where the adsorption height is minimum with Grimme-D2 while it is maximum with vdW-DF. These adsorption heights are taken as the initial distance between two layers of graphene sheets in the following calculations.

 C_6H_6 and C_7H_8 are adsorbed on top of bilayer graphene with the adsorption distance as calculated and presented in Section 3.2. Regarding the position of organic molecule, the geometry with the highest adsorption energy on single layer graphene (Section 3.2.1) have been used. The optimized geometries are shown in Figure 3.26 and Figure 3.27. The adsorption energy values are calculated from Equation 3.1 where $E_{graphene}$ is the energy of bilayer graphene sheet. With this definition, the adsorption energy values for vdW-DF2-C09 are 508 meV at a distance of 3.31 Å for C_6H_6 and 565 meV at a distance of 2.84 Å for C_6H_6 on bilayer graphene where a pristine graphene layer is on top of another pristine graphene layer (PP).

Organic molecules induce small degrees of curvatures on the layers of graphene layer as also observed in Section 3.2.1. However, the distortion remains largely confined to the first layer.

Furthermore, organic molecules are adsorbed on top of bilayer graphene where the top layer of the bilayer has a single vacancy. The adsorption geometry is chosen to be the one with the highest adsorption energy on VG (Section 3.2.2. In the rest of this section, we will refer to the bilayer as PV, signifying the presence of a pristine layer



Figure 3.25: The adsorption energy curve of bilayer graphene with vdW-DF (a), vdW-DF2-C09 (b), and Grimme-D2 (c).

together with a layer with a single vacancy.



Figure 3.26: The top view and the side view for optimized geometries of C_6H_6 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.27: The top view and the side view for optimized geometries of C_7H_8 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

The optimized geometries are shown in Figure 3.28 and Figure 3.30 and the adsorption energy values for vdW-DF2-C09 are 432 meV at a distance of 3.57 Å for C₆H₆ and 486 meV at a distance of 3.12 Å for C₇H₈. For spin-polarized calculations, the adsorption energy values are calculated to be 462 meV at a distance of 3.28 Å for C₆H₆ and 331 meV at a distance of 3.32 Å for C₇H₈ while their optimized geometries are shown in Figure 3.29 and Figure 3.31 for C₆H₆ and C₇H₈, respectively. The calculated spin magnetization is 1.24 μ_B and 1.27 μ_B per cell for C₆H₆ and C₇H₈, respectively while spin magnetization is 1.34 μ_B per cell for PV. Interestingly, the magnetization value is seen to decrease slightly upon adsorption of the molecule.



Figure 3.28: The top view and the side view for optimized geometries of spinunpolarized C_6H_6 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

As another group of calculations, organic molecules are adsorbed on top of bilayer graphene where the top layer of the bilayer has a divacancy. The adsorption geometry



Figure 3.29: The top view and the side view for optimized geometries of spinpolarized C_6H_6 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.30: The top view and the side view for optimized geometries of spinunpolarized C_7H_8 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.31: The top view and the side view for optimized geometries of spinpolarized C_7H_8 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

is chosen to be the one with the highest on DG (Section 3.2.3). In the rest of this section, we will refer to the bilayer as PD, signifying the presence of a pristine layer together with a layer with a divacancy.

The optimized geometries obtained after optimization calculations are shown in Figure 3.32 and Figure 3.33 and the adsorption energy values for vdW-DF2-C09 are 466 meV at a distance of 3.37 Å for C_6H_6 and 515 meV at a distance of 2.87 Å for C_7H_8 .



Figure 3.32: The top view and the side view for optimized geometries of C_6H_6 on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.33: The top view and the side view for optimized geometries of C_7H_8 on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

From the band structures displayed in Figure 3.34, it is observed that there is no deviation around the K-point from bilayer graphene's band structure (displayed on top of Figure 3.34). For defective graphene, no deviation is observed around the K-point of defective graphene's band structure (displayed on top of Figure 3.35 and Figure 3.37) as displayed in Figure 3.35 for PV and Figure 3.37 for PD.

Additional states from the molecules only fell on the conduction and valence parts as observed for organic molecules on single layer graphene sheet (for PDOS plots,



Figure 3.34: Band structure of (1) PP alone, (2) C_6H_6 on PP, and (3) C_7H_8 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.35: Band structure of (1) PV alone, (2) C_6H_6 on PV, and (3) C_7H_8 on PV for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

see Figure 3.38 and Figure 3.39 for PP adsorbent Figure 3.40 and Figure 3.42 for PV adsorbent, Figure 3.44 and Figure 3.45 for PD adsorbent).



Figure 3.36: Band structure of (1) PV alone, (2) C_6H_6 on PV, and (3) C_7H_8 on PV for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).



Figure 3.37: Band structure of (1) PD alone, (2) C_6H_6 on PD, and (3) C_7H_8 on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c).

The charge density plots for adsorption on PP are presented in Figure 3.38 and Figure 3.39 for C_6H_6 and C_7H_8 (see Figure 3.40 and Figure 3.42 for PV, Figure 3.44 and Figure 3.45 for PD). The charge difference profiles are very similar to those for the single layer graphene, as expected. Bader charge analysis shows there is 0.008 e transfer from C_6H_6 to PP while 0.008 e is transferred from C_7H_8 to surface confirming the electron accepting characteristics of graphene-based surfaces. For PV, 0.006 e donation from C_6H_6 is observed to the top layer as VG while 0.009 e is donated from C_7H_8 . Similar characteristics is observed with 0.013 e donation from C_6H_6 to the top layer of PD while C_7H_8 donates 0.009 e to the surface.



Figure 3.38: PDOS and charge density plots of C_6H_6 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.000095 \text{ Å}^{-3}$.



Figure 3.39: PDOS and charge density plots of C_7H_8 on PP for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.0003 \text{ Å}^{-3}$.



Figure 3.40: PDOS and charge density plots of C_6H_6 on PV for spin-unpolarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.00035 \text{ Å}^{-3}$.



Figure 3.41: PDOS and charge density plots of C_6H_6 on PV for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.0002 \text{ Å}^{-3}$.

In order to see the effect of the second layer of graphene on the adsorption energy, the adsorption energy values for vdW-DF, vdW-DF2-C09 and Grimme-D2 are tabulated in 3.4. As seen from Table 3.4, the adsorption energy is higher for both organic molecules on bilayer graphene than the adsorption energy of organic molecules on single layer graphene. From Table 3.4, with the existence of vdW-DF2-C09, an interesting fact has been revealed that the presence of the second layer increases the adsorption energy by approximately 70 meV for both molecules. For vdW-DF and Grimme-D2, this difference is approximately 50 meV. Furthermore, adsorption energy



Figure 3.42: PDOS and charge density plots of C_7H_8 on PV for spin-unpolarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.0004 Å⁻³.



Figure 3.43: PDOS and charge density plots of C_7H_8 on PV for spin-polarized vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.0004 \text{ Å}^{-3}$.

gies of both molecules on divacancy defects are higher than being adsorbed on vacancy defect probably due to a higher degree of alignment, which results from the flat geometry of the divacancy. Additionally, charge transfer values are tabulated with the adsorption energy values and adsorption distances for organic molecules on bilayer graphene and single layer graphene in Table 3.5 where vdW-DF2-C09 is employed.



Figure 3.44: PDOS and charge density plots of C_6H_6 on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to ± 0.00013 Å⁻³.



Figure 3.45: PDOS and charge density plots of C_7H_8 on PD for vdW-DF (a), vDW-DF2-C09 (b), and Grimme-D2 (c). The isovalue is set to $\pm 0.00038 \text{ Å}^{-3}$.

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|----------------------------|-------|---------------|-------------------|-----------|--------------|--------------|---------------------|-----------|
| | | S | ingle Layer Grapl | nene | | | Bilayer Grapher | le |
| | | vdW-DF | vdW-DF2-C09 | Grimme-D2 | | vdW-DF | vdW-DF2-C09 | Grimme-D2 |
| | | E [meV] | E [meV] | E [meV] | | E [meV] | E [meV] | E [meV] |
| $\mathbf{C}_6\mathbf{H}_6$ | on PG | 596 | 441 | 524 | on PP | 643 | 508 | 554 |
| | on VG | 555 | 391 | 435 | on PV | 592 | 432 | 466 |
| | on DG | 575 | 404 | 452 | on PD | 611 | 466 | 495 |
| $\mathbf{C}_7\mathbf{H}_8$ | on PG | 689 | 498 | 593 | on PP | 730 | 566 | 640 |
| | on VG | 607 | 425 | 482 | on PV | 629 | 486 | 536 |
| | on DG | 651 | 448 | 518 | on PD | 695 | 515 | 567 |
| | | | | | | | | |

oranheno Table 3.4. The adsorntion energies for $C_c H_c C_{\tau} H_o$ on single layer and hilaver Table 3.5: The adsorption distances, energies and charge transfer values of C₆H₆ and C₇H₈ on single layer and bilayer graphene. (vdW-DF2-C09 employed calculations are displayed).

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|---------------------------------|----------------------------|---------|------------------|----|-------|---------|------------------|
| | $\left[\mathbf{A}\right]$ | E [meV] | charge transfer | | d [Å] | E [meV] | charge transfer |
| | | | per molecule (e) | | | | per molecule (e) |
| C_6H_6 PG 3 | 3.30 | 441 | +0.007 | ЪР | 3.28 | 508 | +0.008 |
| NG 3 | 3.71 | 391 | +0.007 | Ρ | 3.55 | 432 | +0.006 |
| DG | 3.42 | 404 | +0.019 | PD | 3.37 | 466 | +0.013 |
| $\mathbf{C}_7\mathbf{H}_8$ PG 2 | 2.93 | 498 | +0.013 | ЪЪ | 2.89 | 566 | +0.008 |
| C DA | 3.19 | 425 | +0.011 | Ρ | 3.14 | 486 | +0.009 |
| DG | 2.96 | 448 | +0.012 | PD | 2.93 | 515 | +0.009 |

CHAPTER 4

BORON DOPING TO DEFECTIVE GRAPHENE

In this chapter, we focus on one of the most commonly utilized dopants for graphene, namely boron (B). Our motivation derives from the academic and technological importance of doped graphene. The vacancy defect and substitutional B both result in the displacement of the Fermi level. Introducing them both in the graphene network may provide us with a potential tool to controllably engineer the electronic properties of graphene. For this purpose, we present a systematic study of the magnetization characteristics of B doped defective graphene and the effect of the details of substitutional doping of boron in the defective graphene sheet by changing the doping configuraton. This chapter can be viewed as a preliminary study for the investigation of adsorption of benzene derivatives on B doped defective graphene to be presented in Chapter 5. To act as our undoped substrate, we choose two types of defective graphene sheets with a single mono vacancy and a single divacancy defect. In all of the calculations presented in this chapter, vdW dispersion forces are included with vdW-DF2-C09 since this exchange-correlation protocol for the vdW interactions produces the closest agreement for graphene-based structures [159, 201] and spin-polarization is included for the calculations of B doped vacancy-defected graphene.

4.1 Previous Work

Pristine graphene has limited usage in electronic devices since it has zero band gap, and it is important to have tunable band gap for device applications. Various approaches including chemical doping have been proposed for band gap engineering of graphene so as to improve their semiconducting properties. Furthermore, chemical doping of elements in graphene gives rise to useful applications such as supercapacitance ability [202], fuel cells [203], batteries [58] and water splitting [204, 205], oxygen reduction reaction (ORR) [206], hydrogen evolution reaction (HER), field emission [207], hydrogen storage [208], solar cells [209], battery applications [210] and anode materials for lithium ion batteries (LiBs) [211, 212].

Experimental and theoretical studies show the possibility of producing p-type and n-type semiconducting graphene for manipulating the band structure [197]. P-type dopants such as aluminum (Al), boron (B), hydrogen (H), fluorine (F), oxygen (O), tetracyanoethylene (TCNE) and n-type dopants such as nitrogen (N), phosphorus (P) and sulphur (S) have been extensively studied earlier [213–218] in the form of substituted and covalently bonded foreign atoms [60, 212].

Among the dopants described above, B and N are the most commonly studied ones due to their comparable sizes and atomic masses with carbon. Such chemically doped materials have unique properties; for example, N is a good electron-donor while B is a good electron-acceptor which allows graphene to be a versatile material which can be used in many energy-related areas [63]. Lherbier et al. [216] studied the charge mobilities and conductivity of the system by doping graphene with different concentrations of B and N impurities while Wu et al. [219] studied the band gap opening in graphene by doping B and N separately, and Deng et al. [220] studied the band gap opening in graphene by codoping with B and N [197].

The heteroatom in our focus is B which is a unique element in terms of electron deficiency and Lewis acidity. B doping causes the Dirac point to move below the Fermi level [56, 220] and offers a wide variety of functionalization in chemical sensing [221], nanoelectronics [222, 223], photocatalysis [224] and battery electrodes [225, 226]. In literature, several synthesis methods, like the chemical vapour deposition (CVD) with diborane (B_2H_6), boron trichloride (BCl₃), and phenylboronic acid (PhB(OH)₂) [224, 225, 227–229], the reactive microwave plasma method with trimethylborane (B(CH₃)₃) [230], arc-discharge process [227], hydrothermal method [231] have been reported [212, 226]. From the studies of Faccio et al. [232], it was

reported that the process of boron doping was unfavorable for pristine graphene while it turned to be favorable when B atoms fill in already existing carbon vacancies.

Despite all the available work, a systematic study of exact role of concentration and position of dopant atoms in modulating the band gap of graphene has not appeared in the literature yet. In the rest of this chapter, we first present a brief investigation of the magnetic properties of B defective graphene, as the magnetic state of graphene defects may depend sensitively on the calculation parameters. Once an unambigious understanding of the magnetic behavior is achieved, we proceed with the structural and electronic properties of these composite defects.

4.2 Magnetization of Boron Doped Defective Graphene

4.2.1 Magnetization of Boron Doped Vacancy-Defected Graphene

When a vacancy is formed, two of the three neighboring atoms form a weak bond, leaving out the third neighbor as seen in Figure 2.4. This unsaturated atom may further break the symmetry by means of an out-of-plane displacement or remain at the same level as the graphene sheet. The extent of the out-of-plane displacement depends on the spin state. In our preliminary calculations (Section 2.3.2), the magnetization of the single vacancy in graphene was calculated to be 1.43 μ_B per cell in the state where the unsaturated atom is 0.07 Å above the graphene plane.

In the case of the unsaturated C atom replaced by B (see Figure 4.1), the energy of the system depends very sensitively on the magnetization state. A simple geometry optimization may miss the correct ground state of the system. In order to shed light on this issue, we conduct a series of geometry optimizations with fixed magnetization. The energy as a function of the magnetization state is shown in Figure 4.2. In Figure 4.2, two sets of calculations are presented. The red curve corresponds to an initial configuration where the B atom starts out at the same level as the graphene sheet. The blue curve, on the other hand, corresponds to an initial configuration where the B atom is initially displaced by 0.4 Å. Both configurations, however, result in very similar final states. In either case, the configuration corresponding to the minimum has

a magnetic moment of about 0.9 μ_B per cell and the B atom is only 0.01 Å elevated with respect to the surface. When the optimized coordinates from the $\mu = 0.9\mu_B$ calculation is subjected to a second geometry optimization with free magnetization, the magnetization remains around 0.94 μ_B per cell.



Figure 4.1: The geometry of the B doped vacancy-defected graphene (BVG).



Figure 4.2: The total energy (in eV) vs. total magnetization (in μ_B) for BVG. The energy values are referenced to the minimum energy.

4.2.2 Magnetization of Boron Doped Divacancy-Defected Graphene

When a divacancy is formed, atoms around the divacancy rearrange to form bonds. As the strength of the bonds are different the atoms may once again further break the symmetry by means of some sort of distortion. To analyze the dependence of optimized geometry on the spin state, the same analyses are done as explained in the previous section. In our preliminary calculations (Section 2.3.2), the magnetization

of the divacancy in graphene is found to be 0 μ_B with the unsaturated atoms at the same level as the graphene sheet.

In the case of the replacement by B of one of the C atoms in the immediate vicinity of the void, as shown in Figure 4.3, we conduct a series geometry optimizations with fixed magnetization. The energy as a function of the magnetization state is shown in Figure 4.4. Similar to Figure 4.2, the red curve represents the calculations of BVG where B is initially planar while the blue curve is for the configuration of B being displaced by 0.4 Å. In either case, the configuration corresponding to the minimum has a magnetic moment of about 0 μ_B , and the B atom is only 0.01 Å elevated with respect to the surface. When the optimized coordinates from the $\mu=0$ μ_B is subjected to a second geometry optimization with free magnetization, the magnetization remains around 0 μ_B per cell.



Figure 4.3: The geometry of the B doped divacancy-defected graphene (BDG).



Figure 4.4: Total energy (in eV) vs. total magnetization (in μ_B) for BDG. The energy values are referenced to the minimum energy.

4.3 Electronic Properties of Boron Doped Defective Graphene

In this section, we first focus on VG followed by a study of DG. For B doping, the formation energies are calculated from:

$$E_{form} = E_{B-doped} - E_{pr-gr} + \mu_{Carbon} + \mu_{Boron}$$
(4.1)

where E_{form} is the formation energy, $E_{B-doped}$ is the energy of the B doped defective graphene while μ_{Carbon} is the chemical potential of the C atom which is taken as E_{pr-gr}/N where N is the number of C atoms in the unit cell of graphene. μ_{Boron} is the chemical potential for B as the energy per atom. There are several crystal forms of B and among them, only α -rhombohedral (α -B), β -rhombohedral (β -B), and γ orthorhombic boron (γ -B) have been currently established as pure phases [233, 234]. With the form of B, chemical potential and accordingly formation energy can change. In literature, there are several studies differing in the formation energies depending on the crystal form of B used as reference. Panchakarla et al. [57] studied B doping both experimentally and theoretically and estimated the energy of formation by utilizing gaseous form of dopant. With this estimation, formation energy of pristine graphene was 5.6 eV/B atom, suggesting the possibility of B doped graphene synthesis. Besides that, Faccio et al. [232] expressed $\mu_{Boron} = -77.06$ eV from the previous studies [235] where B was in its β -rhombohedral structure. For B doping to 6x6 pristine graphene, formation energy was calculated to be 0.919 eV while it was -1.582 eV for B doping to 6x6 VG where B is doped in vacancy with symmetric disposition [232]. In another study done by Hardikar et al. [236], the formation energies were expressed as -2.30 eV for one B doping to VG and -1.25 eV for one B doping to DG without giving information about the crystal structure of B. In our work, we take B in its gaseous form and formation energies are calculated to be -2.99 eV and -2.25 eV for one B doping to VG and DG, respectively which are in parallel agreement with previous results of Hardikar et al. [236].

4.3.1 Boron Doped Vacancy-Defected Graphene

Starting out with the optimized geometry of VG, we study two groups for configurations. In the first group we remove one C atom from the optimized geometry of VG and replace it with B while in the second group we remove two C atoms and replace them with B. In one of the configurations (labeled as E), out of plane displacement of B by 0.2 Å is examined. In order to see the effect of the B position, the optimization calculations are performed for different configurations of these groups. The optimized configurations are shown in Figure 4.5.

Upon single B doping, the atoms of VG remain coplanar, while the bond length of the two C–B bonds around B slightly increase by 0.15 Å to 1.54 Å. In the case of double B-doped graphene, C-B bonds lengths increase by 0.11 Å to 1.50 Å and the distance between B-B shrinks by 0.21 Å to 2.30 Å in case of two B doping. Additionally, out of plane doped B becomes coplanar at the end of optimization calculations.



Figure 4.5: The optimized configurations of BVG.

During calculations, an initial spin-polarization is applied to C in different optimization calculations where the starting magnetization is set to 0.2 μ_B . After geometry optimization, for the configuration utilized in Section 4.2.1, the resulting magnetization is calculated to be 0.94 μ_B per cell confirming our previous results obtained in Section 4.2.1. Additionally, we study B introduced as an adatom close to the defect where B is placed 1.5 Å away from VG surface. The initial and the optimized geometries are displayed in Figure 4.6. After optimization, B atoms become nearly coplanar and forms three C-B bonds resulting in a configuration of B doped pristine graphene with 1.49 Å C-B bond length while the resulting magnetization is calculated to be 0 μ_B .



Figure 4.6: The initial and the optimized configurations of BVG where B is doped as an adatom.

For the configurations shown in Figure 4.5 and 4.6, the magnetization values of BVG are tabulated in Table 4.1 with the initial and the optimized displacement (in z position) of B atoms. As observed from Table 4.1, resulting magnetization is 0 μ_B per cell for two B introduced configurations while it is 0.94 μ_B per cell when one B is placed planlarly. Furthermore, for B atom displaced by 0.02 Å initially, even if it becomes nearly planar at the end of optimization, magnetization is 0.75 μ_B per cell different from the initially planar B doped configurations. For B as an adatom, since the resulting configuration is B doped pristine graphene, magnetization is 0.00 μ_B per cell as expected.

When compared with the band structure of bare VG presented in Figure 4.7, VG with B impurity is positively doped which is reflected in the band structure as an upward shift. For the band structure, red lines in Figure 4.7 represent the majority spin while blue lines represent the minority spin. The band gap opening increases with increased concentration of B and for B doping as an adatom, the band structure is similar with the band structure of bare PG with Fermi level being shifted downwards. Addition-

| Label | initial z-position of B (Å) | optimized z-position of B (Å) | μ_B per cell |
|--------|--------------------------------|----------------------------------|------------------|
| Α | 0.00 | 0.00 | 0.94 |
| В | 0.00 | 0.00 | 0.94 |
| С | 0.00, 0.00 | 0.00, 0.00 | 0.00 |
| D | 0.00, 0.00 | 0.00, 0.00 | 0.00 |
| Ε | 0.20 | 0.02 | 0.75 |
| adatom | 1.50 | 0.07 | 0.00 |

Table 4.1: The displacement of B atoms and total magnetization (μ_B per cell) of optimized BVG. The configurations of BVG are displayed in Figure 4.5 and 4.6.

ally, B doping has very small contribution on total states of BVG around the Fermi level and in the conduction band as presented in the PDOS plots (see Figure 4.8).



Figure 4.7: Band structure of PG (a), spin-polarized VG (b), BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g) and adatom (h).



Figure 4.8: PDOS plot of PG (a), spin-polarized VG (b), BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g) and adatom (h).

4.3.2 Boron Doped Divacancy-Defected Graphene

Similarly to the procedure explained in Section 4.3.1, the initial configurations are divided into two groups involving the replacement of one B atom with one C atom and two B atoms with two C atoms with the optimized geometry of DG taken as the starting point. Furthermore, an additional configuration (labeled as G) with out of plane introducing of B by 0.2 Å is examined. Finally, we study one more configuration where we place B at the center of the defect in two configurations at the same level as the graphene sheet and 2.5 Å away from the graphene sheet. In order to see the effect of B position, optimization calculations have been performed for these several configurations and optimized configurations are shown in Figure 4.9.

Upon B doping, the atoms of DG remain coplanar, while those B atoms placed with an initial out-of-plane displacement result in distortion of the planar graphene sheet, and



Figure 4.9: The optimized configurations of BDG.

B settles approximately at the same level as C atoms. When B is placed at the center of the defect, the resulting configuration is BVG with coplanar B while B remains at the center of distorted DG and 2.5 Å out of plane displacement reduces to zero.

A further optimization calculation is performed for the two B atoms doping case by applying positive starting magnetization to one of the B atoms while negative starting magnetization to the other B atom in order to explore the possibility of an antiferromagnetic ground state. As a result of calculations, the magnetization is found to be 0 μ_B which is in good agreement with the results presented in Section 4.2.2.

Similar to the B doping as an adatom to VG, B atoms become almost coplanar with a distance of only about 0.2 Å away from the surface while the C atoms around B are slightly distorted from the planar surface by 0.5 Å. Three C-B bonds are formed resulting in a configuration of VG with a C-B bond lengths of 1.51, 1.58 and 1.61 Å

in addition to small shanges in C-C bond lengths and positions of C around the defect (see Figure 4.10).



Figure 4.10: The initial and the optimized configurations of BDG where B is doped as an adatom.

For B doped as an adatom, we conduct a series geometry optimizations with fixed magnetization. The energy as a function of the magnetization state is shown in Figure 4.11 with the magnetization of BVG shown in Figure 4.2. For magnetization greater than 1 μ_B per cell, state of the BVG-adatom changes and show similar trend with BVG.



Figure 4.11: Total energy (in eV) vs. total magnetization (in μ_B) for where B is doped as an adatom. The energy values are referenced to the minimum energy.

For the configurations displayed in Figure 4.9 and Figure 4.10, the magnetization values of BDG are tabulated in Table 4.2 with the initial and the optimized displacement (in z position) of B atoms. For both BVG and BDG, charge transfer takes place from B to C.

| Label | initial z-position of B (Å) | optimized z-position of B (Å) | μ_B per cell |
|--------|--------------------------------|----------------------------------|------------------|
| Α | 0.00 | 0.00, 0.00 | 0.00 |
| В | 0.00 | 0.00, 0.00 | 0.00 |
| С | 0.00 | 0.00 | 0.00 |
| D | 0.00 | 0.00 | 0.00 |
| Ε | 0.00 | 0.00 | 0.00 |
| F | 0.00 | 0.00 | 0.00 |
| G | 0.20 | 0.02 | 0.00 |
| Н | 0.00 | 0.00 | 0.00 |
| Ι | 2.50 | 0.01 | 0.00 |
| adatom | 1.50 | 0.20 | 0.00 |

Table 4.2: The displacement of B atoms and total magnetization (μ_B per cell) of optimized BDG. The configurations of BDG are displayed in Figure 4.9 and 4.10.

After optimization calculations, the band structure and PDOS calculations are performed. When compared with the band structure of bare DG presented in Figure 4.12, BDG has a band structure with an upward shift. The band gap opening increases with the increased concentration of B and for B doping as an adatom, the band structure is similar with the band structure of bare VG while the Dirac point moves below the Fermi level. Additionally, similar with BVG, B doping has very small contribution on total states of BDG as presented in the PDOS plots (see Figure 4.13).



Figure 4.12: Band structure of spin-unpolarized VG (a), DG (b) and BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g), F (h), G (i), H (j), I (k) and adatom (l).


Figure 4.13: PDOS plot of spin-unpolarized VG (a), DG (b) and BVG with configuration labeled as A (c), B (d), C (e), D (f), E (g), F (h), G (i), H (j), I (k) and adatom (l).

CHAPTER 5

GRAPHENE FUNCTIONALIZATION WITH BENZENE DERIVATIVES

In this chapter, as a means of extending the degree of control over functionalization, we focus on organic adsorbates for additional control of the electronic properties of the boron (B) doped vacancy-defected graphene sheets. For this purpose, we study the geometry and electronic structure of benzene derivatives as organic adsorbates. In order to probe a wide range of electronic properties, we sample molecules from different subgroups such as hydrocarbon (toluene), halogen-containing (fluorobenzene), nitrogen-containing (benzonitrile) and oxygen-containing (benzoic acid) derivatives. VdW dispersion forces with vdW-DF2-C09 are included in order to treat molecule-graphene interaction. Spin polarization is included in all calculations.

5.1 Previous Work

Graphene functionalization attracted considerable interest with halogen containing molecules such as hydrofluoric acid (HF) [241], hydrogen cyanide (HCN) [242], hydrogen chloride (HCl) [243] and nitrobenzene ($C_6H_5NO_2$) [244, 245] for their potential applications [8, 246]. They were also well described by theoretical calculations [247]. Moreover, introducing metal atom dopants such as nickel (Ni), copper (Cu), aluminum (Al) and iron (Fe) has been reported to enhance the molecule graphene interaction, which is highly important for sensing devices [178, 179, 248].

Leenaerts et al. [70, 249] studied the charge transfer mechanisms of small molecules adsorbed on graphene, such as ammonia (NH₃) and nitrogen dioxide (NO₂). It

was reported that when molecules adsorb on graphene, charge transfer occurs. In particular, polycyclic aromatic hydrocarbons (PAH) [250] are rather well studied [251]. Corno et al. [252] studied the effective potential energy surfaces for the interaction of C₆H₆, naphthalene (C₁₀H₈), coronene (C₂₄H₁₂), and ovalene (C₃₂H₁₄), with graphene. Caciuc et al. [195] studied the bonding mechanism of C₆H₆, triazine $(C_3N_3H_3)$ and borazine $(B_3N_3H_6)$ adsorbed on graphene and a single boron nitride (BN) sheet reaching the conclusion that there is no charge transfer between the molecules and graphene sheets while the strength of the molecule-surface interaction corresponds to a strong physisorption. In another study, the local dipole moments in PAHs were found to have a strong effect on the surface charges of graphene. As an example, B₃N₃H₆ was found to induce a band gap of 62.9 meV [253]. Ganji et al. [244] studied the adsorption of three benzene derivatives namely aniline (C₆H₅NH₂), nitrobenzene (C₆H₅NO₂), and toluene (C₇H₈) on carbon nanotubes (CNT) and observed that benzene (C_6H_6) binds more strongly to the sidewall of the CNTs when compared with the other H-capped molecules. On the subject of benzene derivatives, studies have been widened to phenol (C_6H_6O), fluorobenzene (C_6H_5F) and benzonitrile (C_7H_5N) [254]. Despite all such work for graphene functionalization, study of chemical doping on defective graphene sheets are rarely observed. Furthermore, study for additional doping on the B-doped defective graphene sheets is still missing.

5.2 Molecules

Molecular structures of benzene derivatives studied in this Chapter are given in Figure 5.1. Additionally, two parameters that are important for determining the dispersion portion of the vdW interactions, namely the isotropic polarizabilities (1/3 of the trace of the polarizability tensor) and dipole moments of the gas phase molecules are calculated in the DFT framework using the GAUSSIAN (G09) software [255] and collected in Table 5.1.



Figure 5.1: Geometries of benzene (C_6H_6) (a), toluene (C_7H_8) (b), fluorobenzene (C_6H_5F) (c), benzonitrile (C_7H_5N) (d) and benzoicacid $(C_7H_6O_2)$ (e). C: gray, H: blue, F: green, N: purple, and O: red.

Table 5.1: Isotropic polarizabilities (α), and dipole moments (μ) of the gas phase molecules.

| | α (Å ³) | μ (Debye) |
|--|----------------------------|-----------|
| C_6H_6 | 10.28 | 0.00 |
| C_7H_8 | 12.30 | 0.41 |
| C_6H_5F | 10.29 | 1.56 |
| C_7H_5N | 12.89 | 4.71 |
| $\mathbf{C}_7\mathbf{H}_6\mathbf{O}_2$ | 13.40 | 2.15 |

5.3 Molecular Doping to B Doped Defective Graphene

As a preliminary study, C_6H_6 and C_7H_8 are adsorbed on B-doped vacancy-defected graphene (BVG) in order to observe the effect of B doping on the adsorption energy and electronic structure. Adsorption geometries with the highest adsorption energies presented in Section 3.2.2 are employed and their top view and side view of optimized geometries are presented in Figure 5.2 and Figure 5.3 for C_6H_6 and C_7H_8 with spin-polarized and spin-unpolarized conditions, respectively.

In the spin-polarized calculations, magnetization is found to be 0 μ_B per cell and



Figure 5.2: Top view and side view of C_6H_6 on VG with spin-polarized vdW-DF2-C09 (a), and spin-unpolarized vdW-DF2-C09 (b), C_6H_6 on BVG with spin-polarized vdW-DF2-C09 (c), and spin-unpolarized vdW-DF2-C09 (d).

it can therefore be concluded that there is no effect of magnetization for C_6H_6 and C_7H_8 adsorption on BVG. In the optimized structure, C_6H_6 has an adsorption energy of 776 meV while C_7H_8 has an adsorption energy of 819 meV. These values are 391 meV and 425 meV for adsorption of C_6H_6 and C_7H_8 on vacancy-defected graphene (VG), respectively (see Table 3.2). In Figure 5.2 and Figure 5.3, B is at the center of the defect in the optimized structure where B causes increased adsorption energy by the additional organic molecule–B interaction (an increase of 380-390 meV) with respect to bare VG. Furthermore, B doping on VG results in decreased curvature effect. For the band structure of spin-polarization VG, red lines in Figure 5.4 and Figure 5.5 represent the majority spin while blue lines represent the minority spin. The band structure of BVG/molecule system does not deviate significantly from the



Figure 5.3: Top view and side view of C_7H_8 on VG with spin-polarized vdW-DF2-C09 (a), and spin-unpolarized vdW-DF2-C09 (b), C_7H_8 on BVG with spin-polarized vdW-DF2-C09 (c), and spin-unpolarized vdW-DF2-C09 (d).

case of VG.

As seen in the PDOS plots shown in Figure 5.6 and Figure 5.7, additional states from the molecules only fall on the conduction and valence bands similarly to the PG cases investigated previously. In the charge density difference plots, red color represents regions with charge depletion while blue color represents those with charge accumulation. In this case, the portions of the molecule closer to the elevated atom lose some electrons to both its own π orbitals and the lone atom resulting in asymmetric charge transfer. For the spin-unpolarized case, from the Bader charge analysis, the charge transfer between BVG and the molecule is calculated to be negligibly small for the



Figure 5.4: Band structure of C_6H_6 adsorption on spin-unpolarized VG (a), spinunpolarized BVG (b), spin-polarized VG (c), and spin-polarized BVG (d).



Figure 5.5: Band structure of C_7H_8 adsorption on spin-unpolarized VG (a), spinunpolarized BVG (b), spin-polarized VG (c), and spin-polarized BVG (d).

physisorbed species where C_6H_6 donates a charge of 0.001 e to BVG while donation is 0.007 e for C_7H_8 when vdW-DF2-C09 is employed.



Figure 5.6: PDOS and charge density plots of C_6H_6 on spin-polarized BVG (a), and spin-unpolarized BVG (b). The isovalue is set to ± 0.0003 Å⁻³.



Figure 5.7: PDOS and charge density plots of C_7H_8 on spin-polarized BVG (a), and spin-unpolarized BVG (b). The isovalue is set to ± 0.00045 Å⁻³.

5.3.1 Fluorine Containing Benzene Derivative

As a prototype for halogen-containing benzene derivatives, we focus on the interaction of fluorobenzene (C_6H_5F) with BVG. We explore several possibilities whose initial and optimized geometries are presented in Figure 5.8.



Figure 5.8: Initial (a), and optimized configurations of C_6H_5F doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c).

To probe the possibility of functionalization of graphene through covalent bonds, we generate initial configurations that could encourage covalent bonding. As seen from Figure 5.8, F-B and F-C covalent bonds are broken at the end of structure optimization calculations and C_6H_5F is non-covalently adsorbed on the BVG sheet. Furthermore, it is observed that C_6H_5F induces small degrees of curvatures on the BVG sheet resulting in a distorted structure where the distortion is more observable when vdW-DF2-C09 is used.

The adsorption heights are taken as the vertical separation of the average value in the z-direction of C coordinates in the BVG sheet and the F atom of the organic molecule. The adsorption distances are between 2.66 Å and 3.32 Å, with adsorption energies consistent with an interaction somewhat stronger than vdW forces for a typical, perpendicularly adsorbed species but weaker than the energy of a covalent bond. This result indicates that covalent bonding for this molecule is unlikely although some level of ionic interaction is plausible. We further study a single parallel configuration where C_6H_5F is placed on BVG with the distance between F and B atoms is set to 2.70 Å. Initial and optimized geometries of parallel adsorption is presented in Figure 5.9 where the F-B distance increases to 3.30 Å with the calculations including vdW dispersion forces while it is 4.01 Å within the conventional PBE approximation. Calculated adsorption heights, adsorption energies with the magnetization values of the optimized configurations and charge transfer values are tabulated in Table 5.2. Interestingly, and in support of the ionic character of the bond in the perpendicular calculations, the adsorption energy for the parallel case is similar to some of the perpendicular cases. No tilting of the molecular plane is observed in the case of parallel configurations.



Figure 5.9: Initial (a), and optimized configuration of parallel adsorption of C_6H_5F on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c).

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| Lab | el | Configuration | d [Å] | E [meV] | μ_B /cell | charge transfer per molecule (e) |
|---------------|------|-----------------------|-------|---------|---------------|-------------------------------------|
| F1 | F1-1 | hollow, with vdW | 2.66 | 197 | 1.18 | +0.468 |
| | F1-2 | hollow, without vdW | 3.25 | 8 | 0.00 | +0.467 |
| $\mathbf{F2}$ | F2-1 | on top B, with vdW | 2.87 | 461 | 0.00 | +0.461 |
| | F2-2 | on top B, without vdW | 3.24 | 346 | 0.00 | +0.466 |
| F3 | F3-1 | on top C, with vdW | 2.77 | 481 | 0.00 | +0.461 |
| | F3-2 | on top C, without vdW | 3.32 | 308 | 0.68 | +0.467 |
| par | | parallel, with vdW | 3.29 | 355 | 0.00 | +0.424 |
| | | parallel, without vdW | 3.98 | 23 | 0.03 | +0.452 |
| adatom | | with vdW | 3.65 | 106 | 1.00 | +0.404 |
| | | without vdW | 4.98 | 14 | 0.94 | +0.418 |

We finally explore C_6H_5F on top of B where B is positioned as an adatom close to the defect placed 1.5 Å away from VG surface. Initial and optimized geometries are given in Figure 5.10. The resultant magnetization is 1.00 μ_B per cell when vdW dispersion forces are included while it is 0.94 μ_B per cell when they are excluded. After optimization, the B atom forms three C-B bonds and approaches the VG surface with distances of 0.80 Å and 0.60 Å for calculations including and excluding vdW interactions, respectively. During optimization, the B atom migrates downwards, into the graphene vacancy, while at the same time, the F atoms dissociates to form an B-F bond. This leaves behind a phenyl group (C_6H_5) whose adsorption energy is calculated to be 106 meV. This adsorption energy is reduced all the way down to 14 meV when vdW dispersion forces are excluded which results in a conclusion that 92 meV adsorption energy is related with vdW dispersion forces while the rest is due to the ionic interactions confirmed with the charge density difference analysis tabulated in Table 5.2



Figure 5.10: Initial (a), and optimized configuration of C_6H_5F on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom.

As tabulated in Table5.2, the molecule loses electron and becomes a cation while the band gap opening shows similar characteristics with the band structure of BVG (see Figure 4.7). Additionally, the PDOS plots shown in Figure 5.11 are similar to the PDOS plot of BVG (see Figure 4.8). From the PDOS plot of adatom, it is observed that the contribution of F states to total DOS is negligible while C_6H_5 group has considerable effect.



Figure 5.11: Band structure, PDOS and charge density plots of C_6H_5F on BVG with differents configurations labeled as F1-1 (a), F2-1 (b), F3-1 (c), and adatom (d) where vdW dispersion forces are included. The isovalue is set to $\pm 0.0003 \text{ Å}^{-3}$.

5.3.2 Nitrogen Containing Benzene Derivative

For nitrogen (N) containing benzene derivatives, benzonitrile (C_7H_5N) is the chosen molecule as a prototype and the interaction of the molecules with BVG is discussed in this Section. Initial and optimized geometries of C_7H_5N doped BVG are presented in Figure 5.13.

As seen from Figure 5.13, contrary to C_6H_5F on BVG, covalent N-B and N-C bonds are formed with bond distances of 1.64 Å and 1.43 Å, respectively. The adsorption energy is calculated to be 3.6 eV indicating the strength of covalent bonds. For the hollow site (label:N1), C_7H_5N induces distortion of sheet towards itself while the



Figure 5.12: Band structure, PDOS and charge density plots of C_6H_5F on BVG with differents configurations labeled as F1-2 (a), F2-2 (b), F3-2 (c), and adatom (d) where vdW dispersion forces are excluded. The isovalue is set to ± 0.0004 Å⁻³.

molecule approches the subtrate and tilts.

On the other hand, C_7H_5N adsorption on top of B (label:N2) resulting in out-of-plane displacement of the B atom without any broken bond in addition to the tilting of the molecule towards the plane. Calculated adsorption heights with respect to the distance between N and the surface, adsorption energies with the magnetization values of the optimized configurations are tabulated in Table 5.3.

Finally, we once again consider the adsorption of the molecule on adatom B placed 1.5 Å away from VG surface. Initial and optimized geometries are displayed in Figure 5.14. During optimization, B atom becomes coplanar and forms three C-B bonds resulting in a configuration of B doped pristine graphene with 1.49 Å C-B bond length



Figure 5.13: Initial (a), and optimized configurations of C_7H_5N doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c).

| /G. | | | | | |
|-----|------|-----------------------|-------|---------|---------------|
| L | abel | Configuration | d [Å] | E [meV] | μ_B /cell |
| N1 | N1-1 | hollow, with vdW | 0.34 | 3585 | 0.98 |
| | N1-2 | hollow, without vdW | 0.42 | 3220 | 0.98 |
| N2 | N2-1 | on top B, with vdW | 2.19 | 882 | 0.69 |
| | N2-2 | on top B, without vdW | 2.23 | 99 | -0.01 |

82

5

3.22

3.43

0.00

0.00

with vdW

without vdW

adatom

Table 5.3: Adsorption distances, energies and magnetization (μ_B per cell) of C₇H₅N on BVG.

and non-covalently adsorbed C_7H_5N while the resulting magnetization is calculated to be 0 μ_B . The adsorption heights are calculated to be 3.22 Å with 82 meV adsorption energy and 3.43 Å with 5 meV for with and without vdW dispersion forces, respectively. Furthermore, with Löwdin charge analysis, it is calculated that 0.556 e donation occurs from C_7H_5N to surface when vdW dispersion forces are included while the magnitude of charge transfer is 0.565 e for vdW excluded case. The band structure (see Figure 5.15e and 5.15f) is similar to band structure of B doped pristine graphene displayed in Figure4.7 while in the PDOS plot, the peak around the Fermi level indicates the presence of pristine graphene structure.



Figure 5.14: Initial (a), and optimized configuration of C_7H_5N on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom.

Different from previous plots, red lines in Figure 5.15d represent the minority spin while blue lines represent the majority spin since the resulting magnetization is negative as tabulated in Table 5.3. The band structure of system does not deviate significantly from the case of VG. Additionally, the isosurfaces display the characteristics of covalent bonding in the charge density difference plots displayed in Figure 5.15.

5.3.3 Oxygen Containing Benzene Derivative

Finally, benzoic acid $(C_7H_6O_2)$ is chosen as a representative of oxygen (O) containing benzene derivatives. We study three configurations presented in Figure 5.16.

As seen from Figure 5.16, in the case of adsorption on the hollow site (label:O1), the O atom of $C_7H_6O_2$ detaches from the molecule, forming a bond with B and C resulting in a graphene sheet co-doped with B and O while small degrees of curvature is observed on the BVG sheet resulting in a distorted structure where the distortion is more pronounced for the vdW-DF2-C09 calculation. The benzaldehyde (C_7H_6O) fragment is left behind at an adsorption height of 2.84 Å with an adsorption energy of 579 meV in the presence of vdW forces. When vdW forces are ignored, the distance is 3.05 Å with an adsorption energy of 216 meV.

For $C_7H_6O_2$ adsorption on top of B (label:O2), the O-H bond is broken at the end of optimization and H forms a bond with the C atom of the BVG sheet with an out-of-plane displacement. VdW dispersion forces effect the direction of this displacement as H causes positive value for displacement and becomes closer to the organic dopant



Figure 5.15: Band structure, PDOS and charge density plots of C_7H_5N on BVG with differents configurations labeled as N1-1 (a), N1-2 (b), N2-1 (c), N2-2 (d), adatom (e), and adatom w/o vdW (f). The isovalue is set to $\pm 0.00015 \text{ Å}^{-3}$.

when vdW is included while it gets far from the dopant when vdW is excluded.

Furthermore, the O-C bond is broken when $C_7H_6O_2$ is placed on top of C (label:O3)



Figure 5.16: Initial (a), and optimized configurations of $C_7H_6O_2$ doped BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c).

and it is non-covalently adsorbed on the BVG sheet by inducing small degrees of curvatures on BVG sheet where the distortion is more apparent for vdW-DF2-C09 included geometries. The adsorption height is calculated for O1 and O3 configurations by taking vertical separation of the average value in the z-direction of C atoms in the BVG sheet and the C atom bonded to O atom of the organic molecule. Calculated adsorption distances by calculating the vertical distance between single O (not having O-H bond) and the surface (for hollow site, it is taken as the distance of broken C-O bond), adsorption energies with the magnetization values of the optimized configurations and charge transfer values are tabulated in Table 5.4. The surprisingly large difference in the spin polarization is possibly due to the existence of nearby energy minima, which increase in number when vdW interactions are introduced.

| Lab | bel | Configuration | d [Å] | E [meV] | μ_B /cell | charge transfer per molecule (e) |
|--------|------|-----------------------|-------|---------|---------------|-------------------------------------|
| 01 | 01-1 | hollow, with vdW | 2.96 | 579 | 0.00 | +0.635 |
| | 01-2 | hollow, without vdW | 3.14 | 216 | 0.00 | +0.621 |
| 02 | 02-1 | on top B, with vdW | 2.09 | 3494 | 0.00 | +0.616 |
| | 02-2 | on top B, without vdW | 2.34 | 2138 | 1.01 | +0.613 |
| 03 | 03-1 | on top C, with vdW | 2.66 | 531 | 0.00 | +0.613 |
| | 03-2 | on top C, without vdW | 3.34 | 310 | 0.68 | +0.619 |
| adatom | | with vdW | 2.94 | 155 | 0.00 | +0.609 |
| | | without vdW | 3.31 | 16 | 0.68 | +0.624 |

Table 5.4: Adsorption distances, energies, magnetization (μ_B per cell) and charge transfer values of $C_7H_6O_2$ on BVG.

Similar with the previous sections, we investigate the adsorption of $C_7H_6O_2$ on top of B. Initial and optimized geometries are given in Figure 5.17. During optimization, B atom becomes coplanar and forms three C-B bonds resulting in a configuration of B doped pristine graphene with 1.49 Å C-B bond length and non-covalently adsorbed $C_7H_6O_2$ while the resulting magnetization is calculated to be 0 μ_B . By calculating the vertical separation of the average value in the z-direction of C atoms in BVG sheet and the O atom closest to the BVG sheet, the adsorption height is calculated to be 2.92 Å with 16 meV adsorption energy and 3.31 Å with 155 meV when vdW dispersion forces are included and excluded, respectively.



Figure 5.17: Initial (a), and optimized configuration of $C_7H_6O_2$ on BVG with vdW-DF2-C09 (b), and without vdW-DF2-C09 (c) where B is doped as an adatom.

The band structure of the system given in Figure 5.18 and Figure 5.19 does not deviate significantly from the case of VG. From the PDOS plot of hollow site, it is observed that the contribution of O being in the BVG network after the geometry optimization to total DOS is negligible while C_7H_6O has considerable effect.



Figure 5.18: Band structure, PDOS and charge density plots of $C_7H_6O_2$ on BVG with differents configurations labeled as O1-1 (a), O2-1 (b), O3-1 (c), and adatom (d) where vdW dispersion forces are included. The isovalue is set to ± 0.00025 Å⁻³.



Figure 5.19: Band structure, PDOS and charge density plots of $C_7H_6O_2$ on BVG with differents configurations labeled as O1-2 (a), O2-2 (b), O3-2 (c), and adatom (d) where vdW dispersion forces are excluded. The isovalue is set to ± 0.00012 Å⁻³.

CHAPTER 6

CONCLUSION

In this thesis, we present a density functional theory investigation of graphene functionalization by means of doping, introduction of defects and adsorbates. We explore the complex interplay between defects, dopants and adsorption of organic molecules. To test our calculation parameters, we perform a series of benchmark calculations where we examine the structural and electronic properties of graphene. For pristine graphene (PG), the bond length is calculated to be 1.4215 Å with very little variation between different exchange-correlation functionals. For vacancy-defected graphene (VG), one of the C atoms surrounding the vacancy undergoes an out of plane displacement of about 0.7 Å resulting in a distorted structure while the remaining two atoms surrounding the vacancy are at a distance of 2.1 Å. The Fermi point appears at the Γ point due to zone folding of PG and for VG, spin polarization lifts the degeneracies while the Fermi level is depressed below the original location similarly with divacancy-defected graphene (DG).

In the first chapter, the adsorption characteristics of benzene (C_6H_6) and toluene (C_7H_8) on PG, VG and DG are explored. In order to see the effect of different vdW corrections and functionals on adsorption geometry, adsorption energy and band structure, two different vdW functionals are used, namely vdW-DF, vdW-DF2-C09 and one vdW correction is used, namely Grimme-D2. Several configurations are explored to determine the most stable sites and after optimization it is observed that the preferred geometry of C_6H_6 and C_7H_8 molecule on graphene is a parallel configuration for all exchange-correlation functionals. In its optimized structure, C_6H_6 is located at a distance of 3.30 Å away from PG and the adsorption energy is 441 meV

while C_7H_8 is located at a distance of 2.93 Å and the adsorption energy is 498 meV within vdW-DF2-C09. The results indicate that vdW-DF2-C09 exchange-correlation protocol for the vdW interactions produces the closest agreement with literature.

In preliminary calculations, resulting magnetization of VG is calculated to be 1.43 μ_B per cell where the unsaturated atom is 0.07 Å above the graphene plane when vdW dispersion forces are excluded. For DG, it is observed that total magnetization is equal to 0.00 μ_B per cell concluding that there is no effect of magnetization for divacancy in graphene sheet. For the VG/C₆H₆ system, total magnetization is equal to 0.69 μ_B , 1.27 μ_B and 1.40 μ_B per cell for spin-polarized vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively while total magnetization of C₇H₈/VG is equal to 0.68 μ_B , 1.31 μ_B and 1.45 μ_B per cell for spin polarized vdW-DF, vdW-DF2-C09 and Grimme-D2, respectively.

The band structure for the non-covalent interaction of molecule with substrate reveals no deviation around the K-point from the substrate's band structure. From the Bader charge analysis, the charge transfer between the substrate and the molecule is calculated to be negligibly small for the physisorbed species while substrate acts as an elctron acceptor.

Using vdW-DF, vdW-DF2-C09 and Grimme-D2, C_6H_6 and C_7H_8 are adsorbed on bilayer graphene separately in order to investigate the effects of number of layers on adsorption characteristics. While band structure, PDOS and charge density difference plots show similar characteristics to those of the single layer, this study reveals an interesting fact that the presence of the second layer increases the adsorption energy by approximately 70 meV for both molecules when vdW-DF2-C09 is used.

In the second chapter, one or two B atoms are combined with a single and divacancy configurations in a 6x6 graphene unit cell for extending the degree of control over functionalization. For B-doped vacancy-defected graphene (BVG), the resulting magnetization is about 0.9 μ_B per cell and the B atom is only 0.01 Å elevated with respect to the surface while it is 0 μ_B for B-doped divacancy-defected graphene (BDG). Furthermore, formation energies of BVG and BVG are calculated to be -2.99 eV and -2.25 eV with gaseous B and graphene are taken as reference systems. With the introduction of B, VG and DG becomes positively doped and band structure has an

upward shift while the band gap opening increases with increased concentration of B. and for B doping as an adatom, the band structure is similar to the band structure of bare PG with Fermi level being shifted downwards.

For B introduced as an adatom, the resultant geometry is BVG with three different C-B bond lengths of 1.51, 1.58 and 1.61 Å in addition to small shanges in C-C bond lengths and positions of C around the defect. Furthermore, from the magnetic property analysis, it is observed that state of the BVG-adatom changes and shows a similar trend to BVG for magnetization greater than 1 μ_B per cell, state of the BVG-adatom changes and show similar trend with BVG.

Finally, to further enhance our understanding of functionalization C_6H_6 , C_7H_8 , fluorobenzene (C_6H_5F), benzonitrile (C_7H_5N), and benzoic acid ($C_7H_6O_2$) on the B-doped defected sheets. C_6H_6 and C_7H_8 are adsorbed on BVG in order to serve as a comparison to the adsorption taking place on VG. The adsorption energy of C_6H_6 is calculated to be 776 meV on BVG while it is 391 meV on VG. For C_7H_8 , these energy values are 819 meV and 425 meV on BVG and VG, respectively. These findings gives conclusion as B causes increased adsorption energy by the additional organic molecule–B interaction (an increase of 380-390 meV) with respect to bare VG. Furthermore, B doping on VG results in decreased curvature effect.

Other molecules are investigated on the hollow site, on top of a B atom and on top of a C atom. In case of C₆H₅F, F-B and F-C covalent bonds are broken at the end of structure optimization calculations and C₆H₅F is non-covalently adsorbed on the BVG sheet with weak vdW interactions. When C₆H₅F on top of B where B is positioned as an adatom, the resultant magnetization is 1.00 μ_B per cell when vdW dispersion forces are included and the B atom forms three C-B bonds approaching the VG surface with distances of 0.80 Å. The optimization leaves behind a phenyl group (C₆H₅) whose adsorption energy is calculated to be 106 meV. This value is 14 meV when vdW dispersion forces are excluded which results in a conclusion that 92 meV adsorption energy is related with vdW dispersion forces while the rest is due to the ionic interactions confirmed with the charge density difference analysis as 0.404 e donation occurs from the molecule to the surface.

C₇H₅N molecules are observed to form strong covalent bonds with an adsorption

energy of 3.6 eV for the hollow site when vdW dispersion forces are included. When the molcule is adsorbed on an B adatom, B atom becomes coplanar and forms three C-B bonds resulting in a configuration of B doped pristine graphene with 1.49 Å C-B bond length and non-covalently adsorbed C_7H_5N cation with 82 meV adsorption energy while in the PDOS plot, the peak around the Fermi level indicates the presence of pristine graphene structure. The resulting magnetization is calculated to be 0 μ_B .

Finally, $C_7H_6O_2$ is adsorbed on BVG and after optimization, it is found to adsorb through weak vdW interactions. In the case of adsorption on the hollow site, benzaldehyde (C_7H_6O) fragment is formed with an adsorption energy of 579 meV in the presence of vdW forces with the detachment of O atom and surface becomes graphene sheet co-doped with B and O. For $C_7H_6O_2$ adsorption on top of B, O-H bond is broken at the end of optimization and H forms a bond with the C atom of the BVG sheet with an out-of-plane displacement. VdW dispersion forces effect the direction of this displacement as H causes positive value for displacement and becomes closer to the organic dopant when vdW is included while it gets far from the dopant when vdW is excluded. For all molecules being adsorbed on BVG, the band structure of system does not deviate significantly from the case of VG.

The systematic investigation presented in this thesis that combines different functionalization methods that may enhance or reduce the effects of one another thereby creating the possibility of finer control of the band structure. The immense variety of dopants, defects and adsorbates can be combined to produce endless possibilities for various applications relying on band gap engineering. As evidenced in the sections on bilayer and B-doped defective graphene, the electronic and structural properties of the substrate change the physics of the problem quite remarkably. This fact can motivate the extension of the substrates investigated here to different systems such as nanotubes and other two-dimensional materials.

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M. Cossi, J. M. Millam, M. Klene, C. Adamo, R. Cammi, J. W. Ochterski, R. L. Martin, K. Morokuma, O. Farkas, J. B. Foresman, and D. J. Fox. Gaussian~09 Revision A.02, 2016. Gaussian Inc. Wallingford CT 2016.

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EDUCATION

| Degree | Institution | Year of Graduation |
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| Ph.D. | METU, Micro and Nanotechnology Dept. | 2017 |
| M.Sc. | METU, Chemical Engineering Dept. | 2009 |
| B.S. | METU, Chemical Engineering Dept. | 2006 |
| High School | TED Ankara College | 2002 |

PROFESSIONAL EXPERIENCE

| Year | Place | Enrollment |
|-------------------------|---------|--|
| May 2011-present | TUBITAK | Scientific Programmes Expert |
| September 2007-May 2011 | TUBITAK | Scientific Programmes Assistant Expert |

LANGUAGES

- Turkish Native Language
- English Advanced
- German Basic Level

COMPUTER SKILLS

- Linux
- LaTeX
- Quantum Espresso
- Visualization tools like XCrysDen, Jmol, VMD and Vesta
- Octave
- Mathcad
- Matlab, Simulink
- Cadkey
- Chemcad
- Java
- Microsoft Office (i.e. MS Word, MS Excel, MS PowerPoint)

AWARDS

- Graduate Courses Performance Award, METU, Chemical Engineering Dept., 2008.
- Dean's High Honor Roll, METU, Chemical Engineering Dept., 2005–2006 (Fall and Spring).
- Dean's Honor Roll, METU, Chemical Engineering Dept., 2002–2003 (Fall and Spring), 2003–2004 (Fall and Spring), 2004–2005 (Fall and Spring).

PUBLICATIONS

A. Thesis

Tuğçe İrfan ERSÖZ, MSc. Thesis, Application of Thin Semi Conductor Films Over Glass/Ceramic Surfaces and Their Low Temperature Photocatalytic Activity, METU, Chemical Engineering Department, February 2009.

B. Conference and Meeting Presentations

- Karakaş G., Ersöz T.İ., "Application of Semiconductor Films over Glass Substrates and Their Low Temperature Photocatalytic Activity", NCC-3, April 28-May 1, 2010, Zonguldak, Turkey (poster presentation).
- Karakaş G., Ersöz T.İ., "Application of Semiconductor Films over Glass Surfaces and Their Photocatalytic Activity", EMCC-6, March 7-12, 2010, Antalya, Turkey (poster presentation).
- Karakaş G., Ersöz T.İ., "Semi-conductor Metal Oxide Thin Film Glazes For Ceramic Tiles and Glasses with Photocatalytic Self-cleaning Activity", NCC-2, June 19-21, 2008, Erzurum, Turkey (oral presentation).