

HANDOVER ALGORITHMS FOR MOBILE IPv6

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ABSTRACT

HANDOVER ALGORITHMS FOR MOBILE IPv6

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With recent technological advances in wireless communication networks, the need for an efficient architecture for IP mobility is becoming more apparent. Enabling IP mobility architecture is a significant issue for making use of various portable devices appearing on the Internet. Mobile IP, the current standard for IP based mobility management, is capable of providing wireless Internet access to mobile users. The most important feature of Mobile IP is its ability to support the changing point of attachment of the mobile user by an algorithm known as handover. A handover algorithm is needed to maintain connectivity to the Internet whenever the mobile users move from one subnet to another, while simultaneously

providing minimum disruption to ongoing sessions. This thesis gives an overview of Mobile IP, its open issues, some of the subsequent enhancements and extensions related to the handover management problem of the mobile user. Description and evaluation of various handover algorithms for Mobile IP which have been proposed to reduce packet loss and delay during handover constitute the core of the thesis. In this thesis, a comparative performance evaluation of the proposed protocols and the combination of them is also presented through simulations.

Keywords: Wireless Internet, Mobility Management, Handover Management, Mobile IP, Mobile QoS

ÖZ

MOBILE IPv6 İÇİN HÜCRE DEĞİŞİMİ ALGORİTMALARI

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Kablosuz iletişim ağlarındaki teknolojik gelişmelerle birlikte etkili hareketli IP mimarilerinin gerekliliği daha da açık ortaya çıkmaktadır. İnternetteki çeşitli taşınabilir araçların kullanımı için hareketli IP mimarilerini mümkün kılmak önemli bir konudur. Günümüzdeki IP tabanlı hareketlilik yönetimi standardı olan Mobile IP hareketli kullanıcılar için kablosuz internet erişimi sağlamaktadır. Mobile IP' nin en önemli özelliği hareketli kullanıcının yer değiştirmesini hücre değişimi algoritması sayesinde desteklemesidir. Hücre değişimi algoritması, hareketli kullanıcı bir ağdan diğer bir ağa hareket ederken internet erişiminin sürekliliği ve bu esnada en az veri kaybı için gereklidir. Bu tez, Mobile IP' nin temel özelliklerine, problemlerine, hareketli kullanıcının hücre değişimi yönetimi ile ilgili gelişmelere ve ilavelere

değınmektedir. Hücre değışimi sırasındaki gecikmeyi ve veri kaybını azaltmak için öne sürülen Mobile IP'nin hücre değışimi algoritmalarının anlatımı ve değıerlendirilmesi bu tezin özünü oluşturmaktadır. Bu tezde, öne sürülen protokollerin ve bu protokollerin birleşiminin karşılaştırmalı performans değıerlendirmesi simülasyonlarla ayrıca sunulmaktadır.

Anahtar Kelimeler: Kablosuz İnternet, Hareketlilik Yönetimi, Hücre Değışimi Yönetimi, Mobile IP, Gezgin Servis Kalitesi

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To my mother,

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LIST OF ABBREVIATIONS

3G	3 rd Generation
4G	4 th Generation
aAR	anchor Access Router
AP	Access Point
AR	Access Router
BACK	Binding Acknowledgment
BETH	Bidirectional Edge Tunnel Handover
BP	Beacon Period
BU	Binding Update
CN	Correspondent Node
CCoA	Co-Located Care of Address
CoA	Care of Address
CRT	Cell Residence Time
FA	Foreign Agent
DHCP	Dynamic Host Configuration Protocol
Fast MIPv6	Fast Handover for Mobile IPv6
F-Back	Fast Binding Acknowledgment
F-BU	Fast Binding Update

F-NA	Fast Neighbor Advertisement
GRE	Generic Routing Encapsulation
HA	Home Agent
HAck	Handover Acknowledgment
HMIPv6	Hierarchical Mobile IPv6
HI	Handover Initiate
HTT	Handover To Third
ICMP	Internet Control Message Protocol
I-D	Internet Draft
IRTF	Internet Research Task Force
IESG	Internet Engineering Steering Group
IETF	Internet Engineering Task Force
IP	Internet Protocol
IPPM	IP Performance Metrics
IPsec	IP security
IPv4	IP version 4
IPv6	IP version 6
LANs	Local Area Networks
LCoA	Link care of address
LRD	Long Range Dependent
MAN	Metropolitan Area Network
MAP	Mobility Anchor Point

MD-5	Message Digest-5
MN	Mobile Node
MIPv4	Mobile IPv4
MIPv6	Mobile IPv6
nAR	new Access Router
nCoA	new Care of Address
oAR	old Access Router
oCoA	old Care of Address
PDA	Personal Digital Assistant
PPP	Point to Point Protocol
PrRtAdv	Proxy Router Advertisement
QoS	Quality of Service
OWDP	One-way Delay Protocol
RFC	Request For Comments
RCoA	Regional care of address
RtAdv	Router Advertisement
RtSol	Router Solicitation
RtSolPr	Router Solicitation Proxy
RTT	Round Trip Time
TCP	Transport Control Protocol
TTL	Time To Live
UDP	User Datagram Protocol

UML	Unified Modeling Language
WANs	Wide Area Networks
WWW	World Wide Web

CHAPTER 1

INTRODUCTION

The recent developments in wireless communication technology and the rapid growth of the Internet have paved the way for wireless Internet and IP mobility. Various portable computing devices ranging from laptops, handheld computers to other personal digital assistants (PDAs) with networking capabilities increase the demand for seamless communication both in wired and wireless network architectures. Increased use of real time applications and multimedia services on mobile terminals makes seamless communication an essential feature expected in future mobile communication systems.

The demand for mobile computing, so called “anytime/anywhere” computing, together with high level service quality is expected to ever proliferate in the near future. The range of application types that the mobile users of future wireless networks expect and the variety of QoS specifications that they require from mobile computing environments will grow drastically. The rapidly increasing demand for “anytime/anywhere” high speed Internet access will be one of the major forthcoming challenges for mobile networks operators [1]. As the need for mobility increases, the ability to connect mobile terminals, from laptops and PDAs to future mobile videophones and other future devices to the Internet and Intranets and achieve service quality levels just as stationary users will have become mandatory in the future wireless networks.

While the wireless network service providers are responsible for providing a broad range of applications and high levels of service quality to mobile hosts, they must also overcome some difficulties coming from the nature of wireless environments. Unlike conventional wired networks, wireless networks possess different channel characteristics. The main problem in wireless networks is that the channel capacity typically available is much lower than that of wired networks due to the noise levels and power restrictions.

Apart from these inevitable problems of wireless networks, mobility also brings about some additional constraints which make network design and analysis more challenging. Wireless mobile users can be connected to the Internet by using Access Points (AP) in IP networks. However due to roaming, these users may change its AP each time they move from one cell, that is coverage area of AP, to another. This cell boundary crossing movement during the active connection period is called handover. The handover algorithm should exhibit low delay and cause reasonable or no data loss in order to maintain connectivity as the mobile users move. Otherwise, the active call might be blocked.

The increasing variety of wireless devices offering network connectivity has actually revolutionized the way people access information. In fact, these advances have given birth to the era of the wireless Internet. Integrating wireless networks into the global Internet poses a new challenge [2]. The main reason is that the TCP/IP based Internet technologies were designed for wired networks with mostly fixed hosts. Host mobility requires changes in the routing protocol so that packets for a moving host can be delivered to their correct destination. Mobile IP [3] provides a basic framework to solve this operability problem, with the assumption that there is enough infrastructure support so that a mobile node (MN) can communicate with an AP, which is statically connected to the Internet.

Mobile IP defines mechanisms for supporting MNs in communication networks. It works by using two IP addresses for each MN: A static home IP address for mobile host identification purposes and a variable dynamic care of IP

address (CoA) for routing purposes. In this way, when a MN moves to another home network, it will still be able to communicate with other hosts.

When a MN changes its point of attachment, a handover is initiated. A handover typically involves the process of discovering new point of attachment, obtaining a new CoA and informing the new CoA to other nodes in order to ensure correct routing. During handover, while the MN is still in the process of obtaining and registering a new CoA, packets addressed to the MN may be lost by being delivered to its old CoA. Packet loss will be significant especially when the handover latency is long. This is a major problem since it will degrade the communication performance and the mobile user may experience slower connection or permanent loss of packets depending on the type of application. Mobile Internet users expect to maintain continuous connection to the Internet, without any communication interruptions or performance degradation during motion. In other words, handovers must be seamless, i.e. they must be transparent to the mobile user. Ideally, the Mobile IP performance over wireless devices should be equivalent to IP performance in wired networks.

Mobile IP, an extension to the existing IP protocol, has essentially two versions, i.e. Mobile IPv4 (MIPv4) and Mobile IPv6 (MIPv6) corresponds to the old and new versions of IP respectively. This thesis mainly focuses on MIPv6. In order to achieve seamless handover in MIPv6, several handover mechanisms have been proposed which tend to reduce the handover latency and packet loss. This thesis describes some of the main seamless handover algorithms in MIPv6. The algorithms proposed to enhance the performance of MIPv6 are Hierarchical MIPv6 [4], Fast Handover for MIPv6 [5], Simultaneous Bindings for MIPv6 [6]. The proposed protocols try to solve the problem of the service disruption during MIPv6 handover with different methods each. However, these protocols have also some drawbacks and a possible combination of them is necessary in order to enhance the MIPv6 protocol. In addition, since the proposed handover algorithms are quite new, there have not been enough research and evaluation done on these algorithms. Therefore, a proper performance evaluation of these algorithms either by simulations or test

beds is of great importance for design issues. The performance evaluations can then be used to improve these methods further.

In this thesis, the appropriate combination of the extensions is also presented and the detailed performance evaluation of the handover schemes and the combined handover method is carried out through simulations. In order to properly predict the performance of handover extensions of MIPv6, the user mobility, the network traffic, wired and wireless links in the simulated network topology are modeled through stochastic processes. Firstly, the network traffic is modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling [7]. Secondly, the user mobility is modeled by assuming that cell residence time of the mobile user exhibits generalized gamma distribution [8]. Thirdly, the links in simulation network architecture are modeled from real traces taken on the Internet between April and August of 2003.

Following this introduction in Chapter I, the rest of this thesis is organized as follows. In Chapter II, the main Mobile IP mechanisms and some open issues are introduced and the mobility management issues at the network layer are discussed. Chapter III describes the proposed handover algorithms and combined handover method in detail. In Chapter IV, network traffic and user mobility modeling is discussed. Chapter V shows the results of the comparative simulation experiments. Finally, conclusions and future work are presented in Chapter VI.

CHAPTER 2

MOBILE IP OVERVIEW AND MOBILITY MANAGEMENT

In response to the increasing variety and popularity of wireless devices offering network connectivity, Mobile IP was developed to enable mobile users to maintain Internet connectivity while moving from one Internet attachment point to another. Although Mobile IP can work with wired connections, in which a computer is unplugged from one physical attachment point and plugged into another, it is particularly appropriate for wireless connections [9].

The term mobile in this thesis implies that the user, connected to some sort of application across the Internet, changes its point of attachment dynamically and that all the required reconnections are maintained automatically and noninteractively. Consequently, mobile computing should not be confused with portable or nomadic computing. Also, incorporating mobility into broadband systems requires many considerations in every layer of the communication [10]. For instance, power control in the physical layer, traffic management in the data link layer, mobility management in the network layer and communication optimizations in the transport and application layer.

2.1. The Need For Mobile IP

Traditional IP networks are based on the assumption that the network infrastructure is fixed. The Internet Protocol (IP) also supposes that the physical location of the computers do not change while it is connected to the network. In other words, the location of the user connected to the network is assumed to be fixed so that it is assigned a fixed IP address. However, all these assumptions seem to disappear once the user becomes a mobile one. In a mobile computing environment, the user should be able to connect to the network from different access points through wireless links and the network should be capable of keeping the mobile user connected while it moves to another network and changes its point of attachment.

In order to maintain connectivity to the Internet in a mobile environment, the following operations might be employed:

- Whenever the mobile user moves to a new subnet, it changes its IP address to reflect the new point of attachment.
- The routers keep host specific routes for the mobile node.

Both these alternatives can not be applicable due to their drawbacks. Changing the IP address seen by the transport and the application layers every time a mobile user moves to a new network might be a solution to infrequent roaming, but not to mobility in general. The main reason is that the transport layer, e.g. TCP, uses the IP address as an identifier to correlate IP packets to transport sessions. If the corresponding IP address changes, then the correlation is lost and the sessions need to be restarted [11]. Therefore, in order to maintain existing transport layer connections, the mobile user should keep its IP address the same while moving. The other alternative, that is host specific routes, in general can not be scalable for the widespread Internet use.

In order to solve IP mobility problem, Mobile IP standard was proposed. The general overview of Mobile IP will be given in the following sections.

2.2. What is Mobile IP?

Mobile IP ([3], [12]), proposed by the Internet Engineering Task Force working group, is a modification to IP that enables nodes to change their points of attachments to the Internet without changing their IP addresses. Mobile IP is essentially a network layer solution which is intended to be transparent to all upper layer protocols.

Mobile IP accomplishes its task by setting up IP routing tables in appropriate nodes so that IP packets destined to mobile hosts can be reachable. Control messages, defined in Mobile IP, allow IP nodes involved to manage their IP routing tables reliably. The primary purpose of Mobile IP is to allow IP packets to be routed to mobile nodes which could potentially change their location continuously.

2.3. Terminology in Mobile IP

Mobile IP defines the following functional entities [9], which will be used across the thesis to describe the mechanisms of Mobile IP:

- **Mobile Node (MN):** A mobile node is an Internet node or a host which can change its point of attachment to the Internet from one network or subnetwork to another while maintaining any ongoing sessions.
- **Home Agent (HA):** A home agent is a router on a mobile node's home network which tunnels datagrams for delivery to the mobile node when it is away from home. It also maintains current location information for the mobile node.
- **Foreign Agent (FA):** A foreign agent is a router on a mobile node's visited network which provides routing services to the mobile node while registered. This entity detunnels datagrams coming from the home agent and destined to the mobile host.
- **Correspondent Node (CN):** A correspondent node is a node that communicates with the mobile node.

- **Home Network:** A Home network is the network having a network prefix matching that of mobile node's home address.
- **Foreign Network:** A foreign network is any network other than the mobile node's home network.
- **Visited Network:** A visited network is the network at which a mobile node is currently connected. It is also a foreign network.
- **Home Address:** A home address of a mobile node is an IP address which has been assigned to the mobile node permanently. This home address does not change when the mobile node moves from one subnet to another in the home network. The home address of the mobile node only changes, when it moves from one home network to another.
- **Care of Address (CoA):** A care of address is an IP address for the foreign agent. When the mobile node is away from its home network, IP packets intended for the mobile node are encapsulated and forwarded to this address.
- **Co-Located Care of Address (CCoA):** In some cases, a mobile node may move to a network that has no foreign agents or on which all foreign agents are busy. As an alternative, the mobile node may act as its own foreign agent by using a co-located address. A co-located care of address is an IP address obtained by the mobile node that is associated with the mobile node's current interface to a network. The means by which a mobile node acquires a co-located address is beyond the scope of Mobile IP. One means is to dynamically acquire a temporary IP address through an Internet service such as Dynamic Host Configuration Protocol (DHCP).

2.4. Operation Of Mobile IP

Mobile IP solves the problem of IP mobility by assigning two IP addresses to each mobile node (MN). The first IP address is the home address, which is a static and permanent address used to identify the mobile node globally. Home address is also essential for the MN to maintain a constant TCP connection. Every

MN is associated with a home network, which provides the home address. At the home network, there is a special router called the Home Agent (HA), which stores the home address of the MN and keeps track of the MN location as it moves. When a mobile node attaches to a foreign network, it obtains the second temporary IP address called care of address (CoA), which provides information about the MN's current location. The MN registers this new CoA with its HA in order to track the MN's current location. This process, that is mapping or association between the current care of address and the home address, is called binding. The HA is then responsible for intercepting packets addressed to the MN and forwarding them to the CoA of the MN by a mechanism known as tunneling.

Furthermore, Mobile IP introduces entities called Foreign Agents (FA) located at foreign networks. A FA is responsible for cooperating with the home agent of a mobile node to deliver packets to the mobile node successfully. Their major functionality is to detunnel packets addressed to a MN and deliver them to the MN. A FA is also responsible for advertising available CoA addresses. In some situations, it is possible that a MN may move to a network where no FA is available. In this case, the MN may obtain a CoA from a Dynamic Host Configuration Protocol (DHCP) server or a Point to Point Protocol (PPP). This type of CoA address is called co-located care of address (CCoA). To support CCoA, a MN must have the ability to detunnel packets arriving from the HA.

Figure 2.1 illustrates the basic mobility support mechanism of Mobile IP. When a Corresponding Node (CN) wants to send packets to a mobile node MN, it identifies the MN by its home address and sends the packets to the home address of the MN. The source address of these packets is the CN address, while the destination address is the home address of the MN. If the MN has moved to a foreign network, the HA intercepts the packets addressed to the MN. The HA has a binding cache listing the CoA of all the nodes in the home network which are currently at visited networks. Based on its binding cache, the HA "tunnels" these packets to the CoA of the MN. Tunneling is done by encapsulating the original datagrams within other datagrams (IP- within- IP encapsulation), with the source

address of the outer datagram being the HA address and the destination address being the CoA of the MN. The FA at the foreign network receives these packets and detunnels and delivers them to the MN.

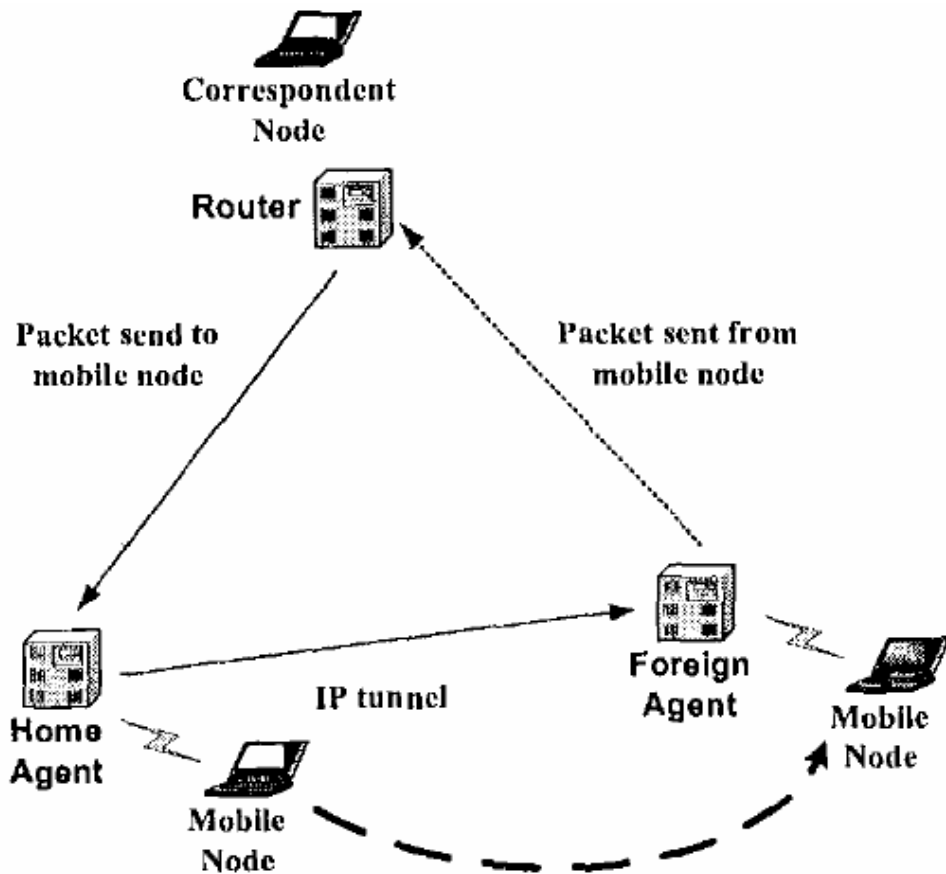


Figure 2. 1 A high level picture of Mobile IP protocol

In reverse transmission direction illustrated in Figure 2.1, the packets sent from MN to CN are normally routed to its destination using the conventional IP routing mechanism, not necessarily passing through the HA. An inefficient datagram flow, called triangular routing problem, exists in the protocol.

2.5. Basic Mechanisms Of Mobile IP

Based on the above discussion, three main mechanisms can be identified in Mobile IP, i.e. Agent discovery, Registration and Tunneling.

2.5.1. Agent Discovery

A mobile node uses an agent discovery procedure to identify prospective home agents and foreign agents. Mobile agents, i.e. Home Agent and Foreign Agent, advertise their presence by broadcasting Agent Advertisement messages at regular intervals. These agent advertisement messages are an extension of the standard ICMP (Internet Control Message Protocol) Router Advertisement [13] messages. The source IP address in the advertisement message is used by the MN to determine if it is still linked to the home network. If the network prefix of the source address in the IP header of the advertisement message is equal to the network prefix of the MN's home address, then the MN decides that it is still linked to its home network. Otherwise, the MN assumes that it is on a foreign network and thus proceeds to get a CoA from the FA at the visited network. In case a mobile node needs agent information immediately, it can issue an ICMP agent solicitation message. Any agent receiving this message will then issue an agent advertisement.

As mentioned, a mobile node may move from one network to another due to some handover mechanism, without the IP level being aware of it. The agent discovery process is intended to enable the agent to detect such a move. The agent may use one of two following algorithms for this purpose:

- 1) **Use of life time field:** When a MN receives an agent advertisement from a FA that it is currently using or that it is now going to register with, it records the lifetime as a timer. If the timer expires before the agent receives another agent advertisement from the agent, then the node assumes that it is lost contact with that agent. In the mean time, if the MN has received an agent advertisement from another agent and that advertisement has not yet expired, the MN can register with this new agent. Otherwise, the mobile node should use agent solicitation to find an agent.
- 2) **Use of network prefix:** The mobile node checks whether any newly received agent advertisement is on the same network as the MN's current

care of address. If it is not, the MN assumes that it has moved and may register with the agent whose advertisement the MN has just received.

2.5.2. Registration

Once a MN has recognized that it is on a foreign network and has acquired a CoA, it needs to alert the HA at its home network and request that HA forward IP packets destined to MN. The registration process consists of an exchange of a Registration Request, i.e. Binding Update, message and Registration Reply, i.e. Binding Acknowledgment, message between the MN and its HA, possibly by involving an FA. The registration mechanism involves four steps:

- 1) The MN requests the forwarding service by sending a registration request to the FA that the MN wants to use.
- 2) The FA relays this request to MN's HA.
- 3) The HA either accepts or denies the request and sends a registration reply to the FA.
- 4) The FA relays this reply to the MN.

Figure 2.2 shows the message flow of the registration procedure in Mobile IP. In case a co-Located care of address (CCoA) is used, the registration messages are exchanged directly between the mobile node and the HA.

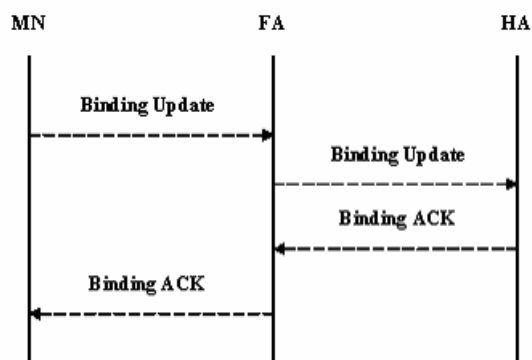


Figure 2. 2 Message flow during registration procedure in Mobile IP

Another important point in the registration procedure is security. Mobile IP is developed to manage two types of attacks:

- 1) A node may pretend to be a FA and send a registration request to HA in order to direct the traffic intended for a MN to itself.
- 2) A malicious agent may replay old registration messages, effectively cutting the MN from the network.

The technique that is used to protect against such attacks involves the use of message authentication and the proper use of identification field of the registration request and reply messages. The default authentication method in Mobile IP is keyed MD-5 algorithm [14].

2.5.3. Tunneling

Tunneling is the mechanism by which the HA forwards the packets to the MNs. Using this mechanism, the IP packets are placed within the payload part of new IP packets, and the destination address of the encapsulating, i.e. outer, IP header is set to the MN's CoA. Upon reception of each IP packet, the FA decapsulates it by removing the outer IP packet and sends the original packet to the MN. Three options for encapsulation are suggested for Mobile IP:

- **IP-within-IP encapsulation:** This is the simplest approach, defined in [15].
- **Minimal encapsulation:** This approach involves fewer fields, defined in [16].
- **Generic Routing Encapsulation (GRE):** This is a generic encapsulation procedure that was developed prior to the development of Mobile IP, defined in [17].

2.6. Mobile IP with Route Optimization

Although the packets sent from the CN to the MN must pass through the HA when the MN is away from the home network, the packets from the MN to the CN

can still be routed directly to their destinations. This asymmetric routing, as shown in Figure 2.1, is called triangular routing problem. Mobile IP suffers from triangle routing problem especially in cases when the CN is very close to the MN. Route optimization solves the triangle routing problem by introducing changes in the CN.

Standard route optimization [18] is used for optimizing the routing of packets from the CN to the MN. This is achieved by improving the CN so that it has a binding cache associated with the MN. Once the CN creates a binding for a particular MN, this binding must be updated in order to ensure correct routing. With updated binding, the CN will be able to send encapsulated datagrams directly to the MN instead of sending it to the HA of the MN. It is also noted that the enhanced CN must now be capable of encapsulating datagrams on behalf of the HA.

The main issue in route optimization is to update the binding at the CN. Binding update messages are used for sending updated CoA of the MN to the CN. Typically, the HA is responsible for sending the binding updates. When the CN communicates with the MN for the first time via the HA, the HA will automatically send a binding update to the CN to inform the CN of the MN's CoA. In certain cases, to ensure fast binding update as the MN obtains a new CoA, the MN may send a binding update directly to the CN. In this case, the MN can request a binding acknowledgment from the CN. The HA does not request binding acknowledgment from the CN since it can understand whether the binding update has not been received by the CN if it receives datagrams destined to the MN from that CN.

In standard route optimization, it is assumed that the traffic from the MN to the CN can be routed directly to the CN without having to pass through the HA of the MN. In this case, the source address of the packets is the home address of the MN, while the destination address is the IP address of the CN. However, this direct routing mechanism is not always possible. This is because some networks utilize ingress filtering routers [19] which drop packets whose source address is not topologically correct. Standard route optimization suggests that the reverse path from the MN to the CN is a direct route, i.e. ingress filtering routers are ignored.

2.7. Comparison of Mobile IPv6 and Mobile IPv4

Mobile IP was originally defined for IP version 4 (IPv4) [3], before IP version 6 (IPv6) existed. Mobile IPv4 (MIPv4) and Mobile IPv6 (MIPv6) protocols share similar ideas, but their implementations are somewhat different. IP mobility is also specified for IPv4, but IPv6 provides more enhanced support for it. The major differences between MIPv4 and MIPv6 are as follows:

- The address space of MIPv6 is bigger than that of MIPv4. IPv6 header is divided into optional extension headers. This makes the IPv6 base header smaller and more efficient for routers to route. The introduction of extension headers makes it possible to supply more information to the participants without disturbing parts of the system with information that they do not need.
- IPv6 address autoconfiguration simplifies the care of address assignment for the mobile node. It also eases the address management in a large network infrastructure. To obtain a care of address, the MN can use either stateful or stateless address autoconfiguration. In the stateful address autoconfiguration, the MN obtains a care of address from a DHCPv6 (Dynamic Host Configuration Protocol for IPv6) server. In the stateless address autoconfiguration, the MN extracts the network prefixes from the Router Advertisements, i.e. equivalent to Agent Advertisements in MIPv4, and adds a unique interface identifier to form a care of address.
- In MIPv6 an Advertisement Interval option on Router Advertisements is defined, that allows a Mobile Node to decide for itself how many Router Advertisements (Agent Advertisements) it is tolerating to miss before declaring its current router unreachable.
- Route Optimization feature to avoid triangle routing problem is built in as a fundamental part of the MIPv6 protocol. In MIPv4 this feature is being added on as an optional set of extensions that may not be supported by all IP nodes.

- In MIPv6 the functionality of the Foreign Agents can be accomplished by IPv6 enhanced features, such as Neighbour Discovery [20] and Address Autoconfiguration [21]. Therefore, there may be no need to deploy Foreign Agents in MIPv6.
- The Mobile IPv6, unlike Mobile IPv4, uses IPsec ([22], [23] and [24]) for all security requirements such as sender authentication, data integrity protection, and replay protection for Binding Updates. In MIPv4, the security requirements are provided by its own security mechanisms for each function, based on statically configured mobility security associations.
- MIPv6 and IPv6 use the source routing feature which is the insertion of routing information into a datagram by the source node. This feature makes it possible for the CN to send packets to the MN while it is away from its home network using an IPv6 Routing header rather than IP encapsulation, whereas MIPv4 must use encapsulation for all packets. However, in Mobile IPv6 the Home Agents are allowed to use encapsulation for tunneling. This is required, during the initiation phase of the binding update procedure.

2.8. Open Issues in Mobile IPv6

The good side of the MIPv6 is that it optimizes the routing, because the MN and the CNs exchange data packets to one another directly after the HA has informed the CoA to the CNs. Before the CN knows the MN's CoA the data goes through the HA tunneling service. However, despite the route optimization, the MIPv6 is considered to be badly scalable [25]. As the number of the MNs increase, the number of Binding Update messages (BUs) increase proportionally. This phenomenon may end up creating congestions in the network backbone.

When the HA and the MN are far from each other, even small MN movements create BUs that traverse a long way across the network. Also, the route optimization, that enables direct data exchange between the MN and the CN, generates BUs that add overhead to the network, especially with the requirement that the BUs and corresponding Binding Acknowledgments (BACK) be encrypted

with IPsec ([26], [27]). Long message routes might lengthen handover times and result in QoS deterioration. In case of frequent handovers, the long control traffic between the MN and the HA causes inefficiency in handover management of the MN. In order to solve this problem, regional registration using hierarchical mobility management [4], discussed further in Chapter 3, is proposed.

2.9. Mobility Management

The enormous demands for wireless communication technologies lead to plenty of new protocols emerging which propose to deliver miscellaneous wireless services to the mobile users with more excellent quality. Within these protocols, mobility management is one of the most important problems for a seamless access to wireless networks and services. It is also the fundamental issue used to automatically support the mobile users enjoying their services meanwhile roaming freely without any interruption in their connections. Future mobile communication systems evolve with the trend of global connectivity through the internetworking and interoperability of heterogeneous wireless networks. Mobility in these network architectures is a very complex issue which results in many new problems. Therefore, the mobility management protocol needs to be carefully and efficiently designed to provide the requirements of real time and multimedia applications. It is also important to mention that Mobile IP is a mobility management protocol which works at the network layer. Moreover, mobility in wireless communication networks affects every layer of the communication [28], as shown in Figure 2.3.

Application Layer
Transport Layer
Network Layer
Data Link Layer
Physical Layer

Figure 2. 3 Network Layered Model

- At the physical layer, the mobility influences are remarkable due to wireless media characteristics. Resource reuse and interference avoidance are two important problems at this layer.
- At the data link layer, the mobility in wireless networks brings problems of bandwidth, security, and reliability. Other problems include fixed or dynamic channel allocation algorithms, collision detection and avoidance measures, QoS resource management, etc.
- At the network layer, the mobility of mobile nodes means that new routing algorithms are needed to change the packets routing. To track a mobile node's movement and to keep the moving node's connectivity forms two main components of mobility management, i.e. location management and handover management.
- At the transport layer, an end to end connection of the mobile node may mix both wired and wireless links. This makes congestion control a complex task due to the different network characteristics. Retransmission mechanism based on increasing interval may lead to an unnecessary drop in the data rate.
- At the application layer, mobility brings new requirements such as service discovery schemes, QoS, and environment auto configuration. Mobility also brings new opportunities to applications.

From the cellular structure point of view, future mobile networks can be divided into different sizes of cellular coverage [28], as shown in Table 2.1. The basic idea behind this is to seamlessly integrate two categories of wireless network technologies together, i.e. those that can provide low bandwidth over a wide geographic area and those that can provide a high bandwidth over a narrow geographic area.

Table 2. 1 Cellular coverage division

Cell Name	Place	Coverage	Speed	Techniques
Mega Cell	Global	Global Coverage	>200 km/h	Satellite
Macro Cell	Suburban, Rural	1km -10 km	20-200 km/h	2G/3G
Micro Cell	Urban	100m -1km	10-50 km/h	WLAN, Hiper LAN
Pico Cell	In Building	10m -100m	< 10 km/h	WLAN, Bluetooth
Nano Cell	Personal Area	1m -10m	Nearly Stationary	Bluetooth

From the viewpoint of functionality, mobility management mainly enables communication networks to locate roaming terminals in order to deliver data packets and to maintain connections with terminals moving into new areas. In this context, mobility management can be considered as two complementary components [29], i.e. location management and handover management, as shown in Figure 2.4.

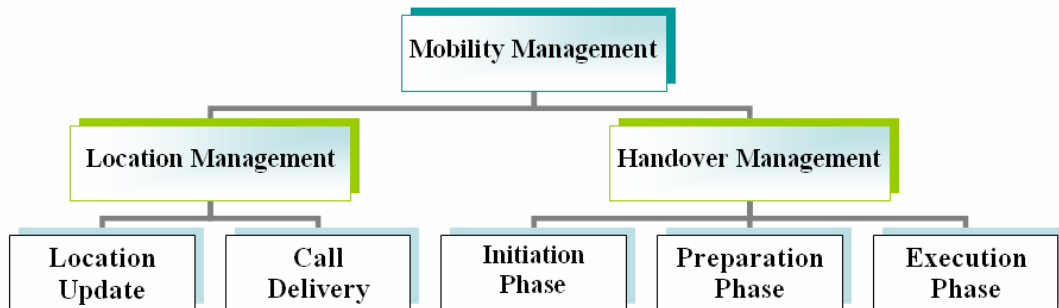


Figure 2. 4 Mobility Management

2.9.1. Location Management

Location management which provides the network to discover the current attachment point of the mobile user is a two stage process. The first stage is location update in which the network is notified the new access point of the mobile user

periodically. The second stage is call delivery. In this stage, the current location of the mobile user is queried in the network.

2.9.2. Handover Management

Handover management is the process of enabling the network to maintain the mobile user’s connection while the mobile user moves. In this thesis, handover management is the major issue to be discussed. Therefore, the details of handover management will be described in the following section.

2.9.2.1. Handover Phases

The handover procedure can be analyzed in three main phases:

- **Initiation Phase:** Either the mobile user or the network, or both of them make the decision about the handover initiation. If the handover necessity is noticed by the mobile user due to deterioration in the received signal strength, then the mobile user initiates handover process. In cases related to network management, the network initiates the process.
- **Preparation Phase:** In order to achieve the requirements imposed by QoS specifications, the network of the new access point should be prepared for the active call of the mobile user just after the initiation phase.
- **Execution Phase:** In this phase, reserved resources are allocated so as to preserve active calls without any interruption.

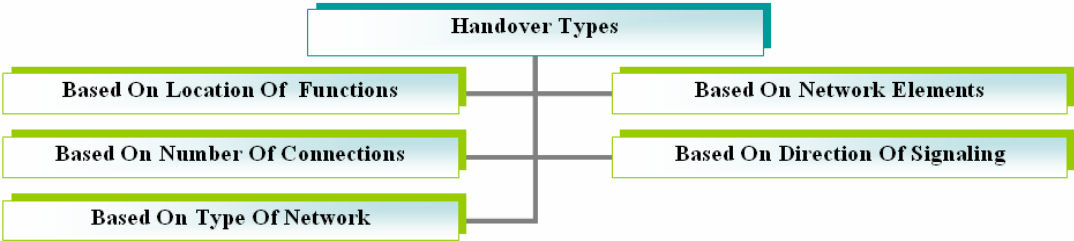


Figure 2. 5 Handover Types

2.9.2.2. Handover Types

The handover procedures attempt to maintain the connections of the mobile user as it moves from one network to another. The classifications of the handover processes are based on various criteria. These classifications, as shown in Figure 2.5, are described as follows:

- The handover procedures can be classified based on the location of the handover functions [30]:
 - a) **Mobile Initiated Handover:** In this type of handover, the mobile user has to manage the handover. That is, it takes the measurements on the downlink, processes them, takes the decision to do the handover and decides the target access router.
 - b) **Mobile Evaluated Handover:** This is similar to the mobile initiated handover except that the decision to do the handover lies with the network.
 - c) **Network Initiated Handover:** In this type of handover, the network manages the handover, which includes taking measurements on the uplink, processing them, deciding to do the handover and deciding the target access router.
 - d) **Mobile Assisted Handover:** This is similar to the network initiated handover, except that the mobile assists the network by taking measurements along the downlink and relaying them back to the network.

- The handover procedure can also be classified based on the network elements involved in the handover [31]:
 - a) **Intra Cell:** This type of handover is done within the current coverage area, i.e. cell. The used channel, e.g. the time slot, is only changed for this type of handover.
 - b) **Inter Cell:** If the mobile user crosses the cell boundary, then it is referred to as inter cell handover.

- c) Inter Network:** If the handover is done between two different networks, then it is referred to inter network handover.

- The handovers can also be classified based on the number of the connections that a mobile user maintains during the handover procedure [32]:
 - a) Soft Handover:** In this type of handover, the mobile user is connected simultaneously to two accesses. As it moves from one cell to another, it “softly” switches from one access router to another. When connected to two access routers, the network combines information received from two different routes to obtain a better quality. This is commonly referred to as macro diversity.
 - b) Hard Handover:** In this type of handover, the mobile user switches the communication from the old link to the new link. Thus, there is only one active connection from the mobile user at any time. There is a short interrupt in the transmission. This interrupt should be minimized in order to make the handover seamless.

- Another way of classifying the handovers is the direction of the handover signaling [33]:
 - a) Forward Handover:** After the mobile user decides the cell to which it will make a handover, it contacts the access router controlling the cell. The new access router initiates the handover signaling to unlink the mobile user from the old access router. This is especially useful if the mobile user suddenly loses contact with the current base station. This is referred to as forward handover.
 - b) Backward Handover:** After the mobile terminal decides the cell to which it attempts to make a handover, it contacts the current access router, which initiates the signaling to do the handover to the new access router. This is referred to as backward handover.

- The handover procedures can also be classified based on the type of the network [34]:
 - a) **Horizontal Handover:** This type of handover refers to handovers between cells belonging to the same network. That is, the MN moves within the same network. Horizontal handover also represents a micro level mobility scenario, i.e. intra network mobility.
 - b) **Vertical Handover:** This type of handover refers to handovers between cells belonging to different types of the network. That is, the MN moves from one network to another network. Vertical handover also represents a macro level mobility scenario, i.e. inter network mobility.

2.9.2.3. Handover Requirements

The general requirements [35] for the handover procedure are listed in this section:

- **Handover Delay:** The total time for the completion of the handover should be appropriate for the rate of mobility of the mobile user. That is, the handover process should be fast so that the mobile user does not experience service degradation or interruption during handover.
- **Scalability:** The handover procedure should support seamless and lossless handover within both the same and different networks. It should also be able to integrate seamlessly with the existing wired networks.
- **Quality of Service (QoS):** The effect of the handover on QoS should be minimal so as to maintain the requested QoS after the handover is completed.
- **Signaling Traffic:** The amount of signaling traffic required to make the handover should be kept to a minimum.

2.9.2.4. Handover Performance Issues

Besides these handover requirements, described above, there are some performance issues in order to provide uninterrupted services and continuous communication during handover [36]:

- **Fast handover:** The handover operations should be quick enough to ensure that the mobile user can receive data packets at its new location within a reasonable time interval. Reducing the handover latency as much as possible is extremely important for real time applications.
- **Smooth handover:** The handover algorithm should minimize the packet loss, although the interruption time may be long.
- **Seamless handover:** Combination of fast handover and smooth handover are sometimes referred to as seamless handover. While the former concerns mainly packet delay, the latter focuses more on packet loss. In certain cases, seamless handover may be impossible. For example, if the mobile user moves among networks where the coverage areas of the two access points do not overlap, there will be a discontinuity which will cause interruption and packet loss.

2.9.2.5. Handover Management Techniques in the Literature

Some distinct but complementary techniques exist for handover management:

- **Buffering and forwarding:** During the handover procedure, the old or new attachment point of the mobile node can store packets and then forward to the mobile node or the new attachment point of the mobile node. This type of technique is used in [5] and [6].
- **Movement detection and prediction:** The mobile node's movement between different access points can be detected and predicted so that the subnetwork that will soon be visited is able to prepare in advance and packets can even be delivered there during handover. This type of technique is used in [5].
- **Hierarchical mobility management:** Mobility management is separated into micro (intra domain) and macro (inter domain) mobility to fasten

responses and minimize message traversing in the network architecture. This type of technique is used in [4].

In the following chapter, the proposed handover algorithms for MIPv6 which tend to reduce the latency and packet loss during handover will be described and evaluated in detail.

CHAPTER 3

DESCRIPTION OF HANDOVER ALGORITHMS FOR MIPv6

In the previous chapter, the main Mobile IP mechanisms, its associated problems and the mobility management issues at the network layer are discussed. In this chapter, the reader will be informed about the proposed handover algorithms and the combined handover method in detail.

Mobile IP, an extension of the standard IP protocol is used to keep track of location information and make the data available to the mobile users anytime, anywhere. With increasing technological developments in digital wireless transmission and location tracking devices, cell sizes are becoming smaller and smaller, increasing the available bandwidth per cell [37]. Therefore, the handover latency between two cells and packet loss during handover is becoming an important aspect to minimize in order to maintain uniform connectivity.

In order to achieve seamless handover in Mobile IPv6 (MIPv6), Internet Engineering Task Force (IETF), described briefly in the following section, have proposed several handover algorithms which tend to reduce the latency and packet loss during handover. In this chapter, some of the main seamless handover algorithms in MIPv6 will be described. The algorithms proposed to enhance the performance of MIPv6 are Hierarchical MIPv6 [4], Fast Handover for MIPv6 [5], Simultaneous Bindings for MIPv6 [6]. The proposed protocols try to solve the problem of the service disruption during Mobile IPv6 handover with different

methods each. However, these protocols have also some disadvantages and a possible combination of them is necessary in order to improve the Mobile IPv6 protocol. In the following sections, the appropriate combination of the algorithms will also be presented.

3.1. IETF and The Standardization Process

The Internet Engineering Task Force (IETF) is a large open international community of network designers, operators, vendors, and researchers concerned with the evolution of the Internet architecture and the smooth operation of the Internet. It is open to any interested individual.

The actual technical work of the IETF is done in its working groups, which are organized by topic into several areas, e.g., routing, transport, security, etc. Much of the work is handled via mailing lists.

The Internet Engineering Task Force is a loosely self organized group of people who contribute to the engineering and evolution of Internet technologies. It is the principal body engaged in the development of new Internet standard specifications. The IETF is unusual in that it exists as a collection of happenings, but is not a corporation and has no board of directors, no members, and no dues. Its mission includes:

- Identifying, and proposing solutions to, pressing operational and technical problems in the Internet; Specifying the development or usage of protocols and the near term architecture to solve such technical problems for the Internet.
- Making recommendations to the Internet Engineering Steering Group (IESG) regarding the standardization of protocols and protocol usage in the Internet.
- Facilitating technology transfer from the Internet Research Task Force (IRTF) to the wider Internet community; and providing a forum for the

exchange of information within the Internet community between vendors, users, researchers, agency contractors, and network managers.

Every IETF standard is published as an RFC (a "Request For Comments," but everyone just calls them RFCs), and every RFC starts out as an Internet Draft (often called an "I-D"). Internet Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups.

In the following section, Hierarchical Mobile IPv6 which is proposed by the Mobile IP Working Group of the IETF (Internet Engineering Task Force) will be described in detail.

3.2. Hierarchical Mobile IPv6

The standard MIPv6 protocol manages micro (intra domain) and macro user mobility (inter domain) equally. This fact may result in some user visible problems like lost data packets and inefficient network bandwidth use. Hierarchical Mobile IPv6 (HMIPv6) improves the performance of Mobile IPv6 by separating mobility management into micro and macro user mobility. In HMIPv6, decisions concerning micro mobility management are made within the user's current network thus fastening responses and minimizing message traversing in the network backbone.

In standard MIPv6 protocol, when the mobile node (MN) is far away from its home agent (HA), the registration time delay is high. Hence, many data packets might get lost during the registration process. In HMIPv6, when the MN moves within a subnet or within a domain, the registration requests are handled locally and not transmitted to the HA. This reduces handover latency and location management cost.

The central and new element of HMIPv6 framework is the inclusion of a special conceptual entity called Mobility Anchor Point (MAP). MAP is a router that maintains a binding between itself and the MN currently visiting its domain. It can be located in any level in the router hierarchy, including the access router (AR) which is the last router between the network and the MN and aggregates the

outbound traffic of the MN [4]. However, MAP is normally placed at the edges of a network, above the ARs, to receive packets on behalf of the mobile nodes attached to that network.

In HMIPv6, the MN is assigned two care of addresses, instead of one as in MIPv6. These addresses are called Regional Care of Address (RCoA) and On Link Care of Address (LCoA). The MN obtains the RCoA from the MAP domain which is a group of ARs advertising the presence of a MAP. The LCoA is the same as the CoA in the MIPv6 i.e. it is based on prefix advertised by AR.

When a MN moves to a new network, it gets Router Advertisement (RA) containing information of one or more local MAPs. The RA will inform MN about the available MAPs and their distances from the MN. After selecting a MAP, the MN gets the RCoA on the MAP domain and LCoA from the AR. Then, the MN sends a Binding Update (BU) message to the MAP thus binding the RCoA and LCoA to its use. The MAP records the binding and inserts it in its Binding Cache. The BU to Home Agent (HA) and Correspondent Node (CN) are only necessary when the MN crosses the MAP domain boundaries. In this case, the MN has to send a BU to HA and CN in order to bind the home address with the new RCoA.

The function of the MAP is basically the same as that of the HA. In fact, The MAP acts as the local HA for the MN. When the CN or the HA send messages to the MN's RCoA, they are received by the MAP, which in turn tunnels the messages to the MN's local address LCoA using IPv6 encapsulation. By this arrangement, MAP receives all data packets coming from external networks and forwards them to the MN. However, the MN is always able to send data directly to the CN. As the MN roams locally, it gets a new LCoA from its new AR. The RCoA remains the same as long as the MN is within the same MAP domain. The basic operation of the HMIPv6 during intra domain handover is depicted in Figure 3.1.

The HMIPv6 is simply an extension to MIPv6. The MN can choose whether to use HMIPv6 protocol or not. Moreover, the MN can stop using a MAP at any time which provides great flexibility.

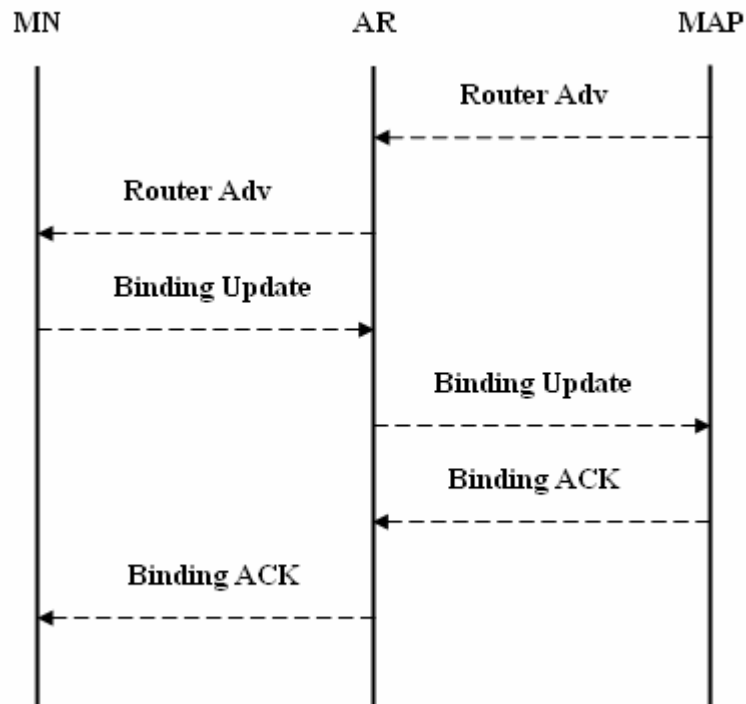


Figure 3. 1 The packet flow during HMIPv6 handover

3.2.1. Mobile IPv6 Extensions in Hierarchical Mobile IPv6

In HMIPv6, some extensions for Binding Update messages and Router Advertisements are proposed to handle the functionalities of MAP properly [4]. These extensions are described as follows:

- **Binding Update Extension:** A new flag is added, the M flag that indicates MAP registration. When the MN registers with the MAP, the M flag must be set to distinguish this registration from a Home Registration or a BU being sent to the CN.
- **Router Advertisement Extension:** A new MAP option has been defined. New fields and flags have been added to the neighbour discovery packets. The most important Router Advertisement Extensions are as follows:

- a) **Distance:** It is a 4 bit integer showing the distance from the receiver of the advertisement. The distance must be set to one, if the MAP is on the same link. This field need not be interpreted as the number of hops, but the only requirement is that this value is consistently interpreted within a domain.
- b) **Preference:** It is a 4 bit integer showing the preference of a MAP. A value of fifteen (15) indicates the lowest preference. It can be used to advertise that the MAP is overload and can not handle more traffic.
- c) **Valid lifetime:** This value indicates the validity of the MAP address and consequently the time for which the RCoA is valid.

3.2.2. Modes of Hierarchical Mobile IPv6

Two different modes are proposed in HMIPv6 based on the usage of RCoA: basic mode and extended mode.

- **Basic Mode:** In basic mode, the MN has two addresses, i.e. Regional care of address (RCoA) based on the MAP prefix and an on link care of address based on the current AR prefix. In this scheme, the MAP acts as the local HA that binds the MN's RCoA and LCoA. The MAP intercepts all the packets destined to a RCoA and tunnels them to the corresponding LCoA.
- **Extended Mode:** Every MN might not sometimes acquire an individual RCoA because of scalability problem or a network policy. In extended mode, the MN is given the same RCoA. The MAP keeps a binding table with the current LCoA of the MN and the home address of the MN. When the MAP receives the packets destined to the MN, it detunnels and retunnels them to the LCoA of the MN.

3.2.3. Mobile Anchor Point Selection in Hierarchical Mobile IPv6

In HMIPv6, several MAPs can be located within a hierarchy and overlapping MAP domains are allowed and recommended. The MN should register with all the MAPs it receives information and select one of them to communicate with the HA and the CN [38]. Furthermore, the MN should not release existing bindings until it no longer receives the MAP option or the corresponding lifetime expires. This approach would be useful in case one of the routers crash, reducing the time it takes for the MN to inform its CN and HA its new Care of Address.

In case the MN receives information from different MAPs, the MN should select the furthest MAP, using the distance field in router advertisement, in order to reduce the probability of leaving from the MAP domain. If the preference value in router advertisements is fifteen (15), indicating that this MAP is not available or is overload, the MN should select the next MAP according to the distance field in router advertisements.

3.2.4. Evaluation of Hierarchical Mobile IPv6

HMIPv6 can be evaluated in terms of routing performance, i.e. whether the packets traverse the optimal route as latency is concerned, handover speed, i.e. how fast the handover is performed, and quality of service (QoS) issues, i.e. the ability of a network element, e.g. an application, a host or a router, to provide some level of assurance that its service requirements can be satisfied [39].

As routing performance is concerned, the HMIPv6 is not as good as MIPv6. The main reason is that the incoming data packets from outside networks route through the MAP hierarchy. That is, every packet to the MN travels via the MAP. If the MAP domain is very small, there may be no problems. However, in large scale public networks, this indirect routing mechanism of HMIPv6 may create network congestions and cause QoS deteriorations [27]. Therefore, in HMIPv6, the route optimization which supports direct routing from the CN to the MN is sacrificed in order to get good performance in handover transition. On the other hand, in MIPv6,

the data packets can be exchanged directly between the MN and the CNs after the registration mechanisms.

As for handover speed, the HMIPv6 protocol decreases the handover latency by treating micro and macro user mobility differently. In intra domain movements, the handover delay in HMIPv6 is less than that of MIPv6, because all required signaling is done locally in HMIPv6. In the inter domain movements, the handover delay is the same as that of MIPv6, because in this case the HMIPv6 behaves exactly as MIPv6. Thus, HMIPv6 reduces the number of messages that travel through the network backbone which mean that more bandwidth for other purposes. As a result, the handover performance in HMIPv6 is better than that of MIPv6.

As QoS issues are considered, in the intra domain handovers, only the path from the MAP to the MN changes. This might be important when QoS protocols, based on making a reservation of resources on the path between the MN and the CN, are used. If only the last part of the path changes, it is necessary to reserve resources only in this part, remaining the rest of the path without changes. Consequently, the process of establishing a new path with reserved resources can be speed up in HMIPv6 compared to MIPv6. Moreover, the fact that all the communications within the MAP domain pass across the MAP can be a bottleneck. In HMIPv6, the furthest MAP in the hierarchy is selected so as to reduce the probability of leaving from the MAP domain. This means that the selected MAP might have a lot of MNs inside its domain. To solve this problem, a field in the Router Advertisement has been defined, indicating with a value of fifteen (15) that the selected MAP may be overload and should not be used. Also, other solutions have been proposed in [27]. Another important point is that if the MN is inside several overlapping MAP domains, it can use different MAP to communicate with different CN, solving the problem of the possible bottleneck.

In the following section, another proposed protocol, i.e. Fast Handover for Mobile IPv6, for handover management in MIPv6 will be described in detail.

3.3. Fast Handover for Mobile IPv6

The Fast Handover for Mobile IPv6 (Fast MIPv6) protocol [5] describes some enhancements that can be used to minimize the handover latency, thereby making Mobile IPv6 better equipped to support real time or delay sensitive traffic. These enhancements allow the Mobile Node (MN) to be connected more quickly at a new point of attachment when that MN moves. The Fast MIPv6 protocol suggests two mechanisms so as to solve handover management problem of the MN, namely Anticipated (predictive) Fast Handover and Tunnel Based Fast Handover.

3.3.1. Anticipated Fast Handover

In anticipated handover, the mobile node (MN) or the access router, that the MN is connected, has predictive information about the handover. The predictive information may be knowledge about the new subnet to which the MN would be moving or the address of the new access router. This predictive information is used to reduce the handover latency whenever the MN moves from one subnet to another.

In MIPv6 protocol architecture, an access router (AR) is defined as the last router between the network and the MN. In the Fast MIPv6 protocol, it is also assumed that the old Access Router (oAR) refers to the router which the MN is currently attached and the new Access Router (nAR) refers to the router which the MN is supposed to move. In MIPv6 protocol, the MN should obtain a new care of address (CoA) when it discovers that it is in a new subnet and then immediately notify the home agent (HA) about this through a Binding Update (BU) message. It is important to note that discovering a new CoA on the new subnet takes time which is actually one of the components of handover delay. The Anticipated Fast Handover protocol attempts to reduce this time, required to discover a new CoA, by beginning the process of obtaining a new CoA when the mobile node is still attached to the old subnet (or more specifically, the oAR).

The principle of this mechanism is to establish a new CoA before the old connection between the MN and the oAR is broken. By this way, when the MN is attached to the nAR, it can maintain its communication with its new already known

address. The establishment of the new CoA before the MN is attached to the nAR involves anticipation on the mobile movement. This anticipation can be made from the exchanged messages at the physical level or simply by relevant information from Layer 2, i.e. Layer 2 triggers. How Layer 2 triggers work in practice is out of the scope of this thesis, it is rather up to hardware manufacturers to support these triggers in the future. Today, most of handover triggers are based on signal strength but the proposed protocol does not exclude any other possible solutions.

The Fast MIPv6 protocol specifies a certain number of signaling messages which are exchanged between the MN and the oAR and also between the oAR and the nAR. These messages are described as follows:

- **Router Solicitation for Proxy (RtSolPr):** The Router Solicitation for Proxy message is sent by the MN to the oAR, when the MN has information that it is about to handover to another AR. It is also an indication to the oAR that the MN would like to perform a handover and request information to enable the handover to be performed.
- **Proxy Router Advertisement (PrRtAdv):** The Proxy Router Advertisement message is sent by the oAR to the MN either in response to RtSolPr or as a result of information available to the oAR that the MN is about to handover to another AR. If the handover is mobile initiated, it provides information whether the handover will involve moving to a nAR. If the handover is network initiated, it provides an indication that the mobile is about to move and the information that the mobile will use in the nAR.
- **Fast Binding Update (F-BU):** The Fast Binding Update message is sent by the MN to the oAR. It indicates that the MN moves and that it wants that its packets are forwarded to the nAR.
- **Fast Binding Acknowledgment (F-BAck):** The Fast Binding Acknowledgment message is sent by the oAR to the MN. It indicates

whether the fast binding update is carried out successfully or not. A negative response can indicate that the new CoA is invalid.

- **Handover Initiate (HI):** The Handover Initiate message is sent by the oAR to the nAR in order to ask a new CoA or to validate this new CoA.
- **Handover Acknowledgment (HACK):** Handover Acknowledgment message is sent by the nAR to the oAR in response to the HI message to validate or reject CoA. It indicates what the new CoA should be at the nAR and is sent as an acknowledgment to the HI message.
- **Fast Neighbour Advertisement (F-NA):** The MN sends the Fast Neighbor Advertisement message to the nAR to announce its arrival to the nAR.

3.3.1.1. Anticipated Fast Handover Types

Anticipated Fast Handover can be classified based on some criteria. These classifications, as shown in Figure 3.2, are described as follows:

- Anticipated Fast Handover can be classified based on which participant in the handover has predictive information about the nAR:
 - a) Network Initiated Handover:** In network initiated handover, the oAR receives an indication that the MN is about to move and information about the nAR to which the MN will move. In addition, the oAR initiates signaling to the MN and nAR to start the Layer 3 handover.
 - b) Mobile Initiated Handover:** In mobile initiated handover, the MN has predictive information about the new point of attachment to which it will move, or it chooses to force movement to a new point of attachment. The MN initiates signaling to the oAR to start the handover.
- Anticipated Fast Handover can also be classified based on the way of handling CoA configuration in the new subnet:

- a) **Stateless Address Autoconfiguration:** CoA is allocated using IPv6 stateless address autoconfiguration.
- b) **Stateful Address Autoconfiguration:** CoA is allocated statefully using DHCPv6.

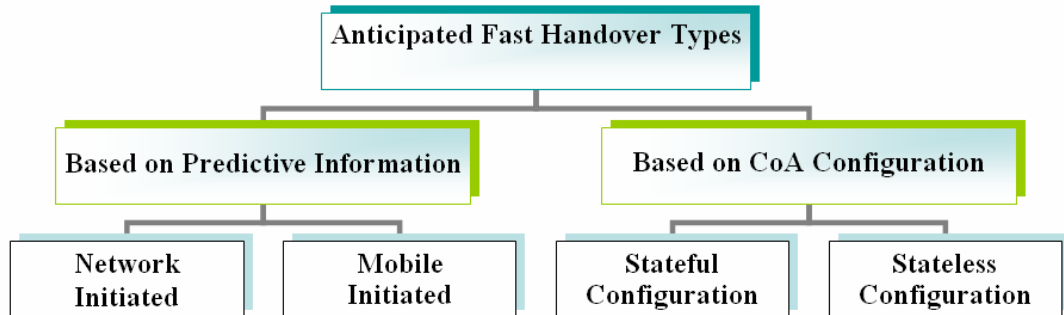


Figure 3. 2 Anticipated Fast Handover Types

3.3.1.2. Anticipated Fast Handover Operation

The anticipated fast handover initiation is based on the indication from Layer 2 triggers which inform that the MN will soon perform a handover. Essentially, this indication mechanism anticipates the mobile node's movement and performs packet forwarding accordingly. In mobile initiated handover, in order to initiate a fast handover, the MN sends a Router Solicitation for Proxy (RtSolPr) message to the oAR indicating that it wishes to perform a fast handover to a new attachment point. The RtSolPr message contains the attachment point link layer address to indicate the new destination attachment.

In network initiated handover, the oAR receives predictive information and sends an unsolicited Proxy Router Advertisement (PrRtAdv) message to the MN. In mobile initiated handover, the MN receives the PrRtAdv message from the oAR in response to the RtSolPr. The PrRtAdv message indicates one of the following possible responses related to the new point of attachment:

- The new point of attachment is unknown.

- The new point of attachment is known but connected through the same access router, i.e. the oAR.
- The new point of attachment is known. The PrRtAdv message also contains the CoA that the MN should use or information on the network prefix that should be used to form a new CoA.

As soon as the MN receives a confirmation for the new point of attachment through the PrRtAdv message and has a new CoA, the MN sends a Fast Binding Update (F-BU) message to the oAR as the last message before the handover is executed. Then, the MN receives a Fast Binding Acknowledgment (F-BAck) message from the oAR indicating that the binding is successful. The F-BAck message is sent to the MN through a temporary tunnel on the new link. In case the MN is still connected to the old link, the F-BAck message is sent to the MN over its old link. In fact, the oAR waits for a F-BU message from the MN before forwarding its packets to the nAR. The oAR must not forward packets until it has received a F-BU message from the MN. When the MN moves into the nAR's domain, it sends the Fast Neighbour Advertisement (F-NA) message to initiate the flow of packets at the nAR. After the MN is attached to the nAR, the MN sends Binding Update (BU) message to its Home Agent (HA) and its Correspondent Node through the new AR in order to register its new CoA. The overall anticipated fast handover operation is depicted in Figure 3.3.

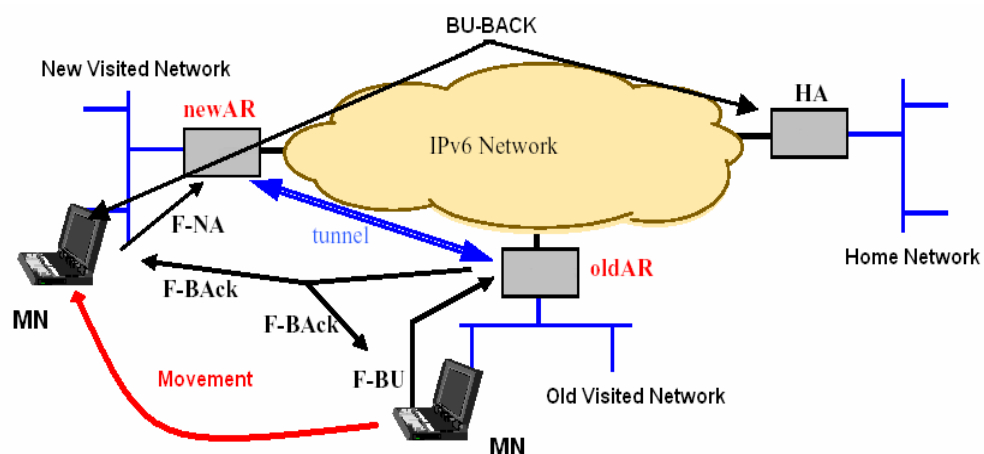


Figure 3. 3 Message flow during Fast Handover

In addition to the communication with the MN, the oAR also communicates with the nAR to facilitate the forwarding procedure of the MN's packets. The oAR sends a Handover Initiation (HI) message to the nAR with the new requested CoA of MN. The nAR checks initially whether the new requested CoA is valid or not by carrying out controls to be ensured that this address is not used by another MN. Handover Acknowledgment message (HACK) is sent by the nAR to the oAR in response to the HI message to validate or reject the new CoA. If the new CoA is accepted by the nAR, the oAR sets up a temporary tunnel to the new CoA. Furthermore, the oAR does not forward packets until it has received a BU from the MN. The message flow between the oAR and the nAR is also illustrated in Figure 3.4.

It is also important to note that the timing of when the old AR sends the PrRtAdv to the MN depends on whether stateless or stateful address configuration is in use. In the case of stateful address allocation, the oAR obtains the new CoA from the nAR through HI and HACK exchange, exactly as described above, so this messaging must be completed before transmitting the PrRtAdv to the MN. In the case of stateless address configuration, the oAR may send the PrRtAdv prior to completing the HI and HACK message exchange. Figure 3.5 shows the message flow during mobile initiated and stateful anticipated fast handover.

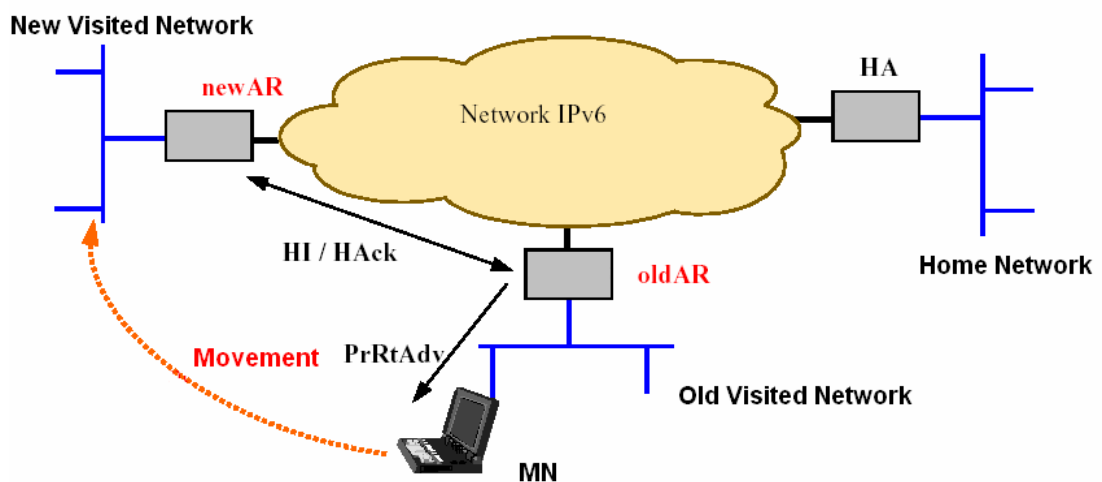


Figure 3. 4 The message flow between the oAR and the nAR

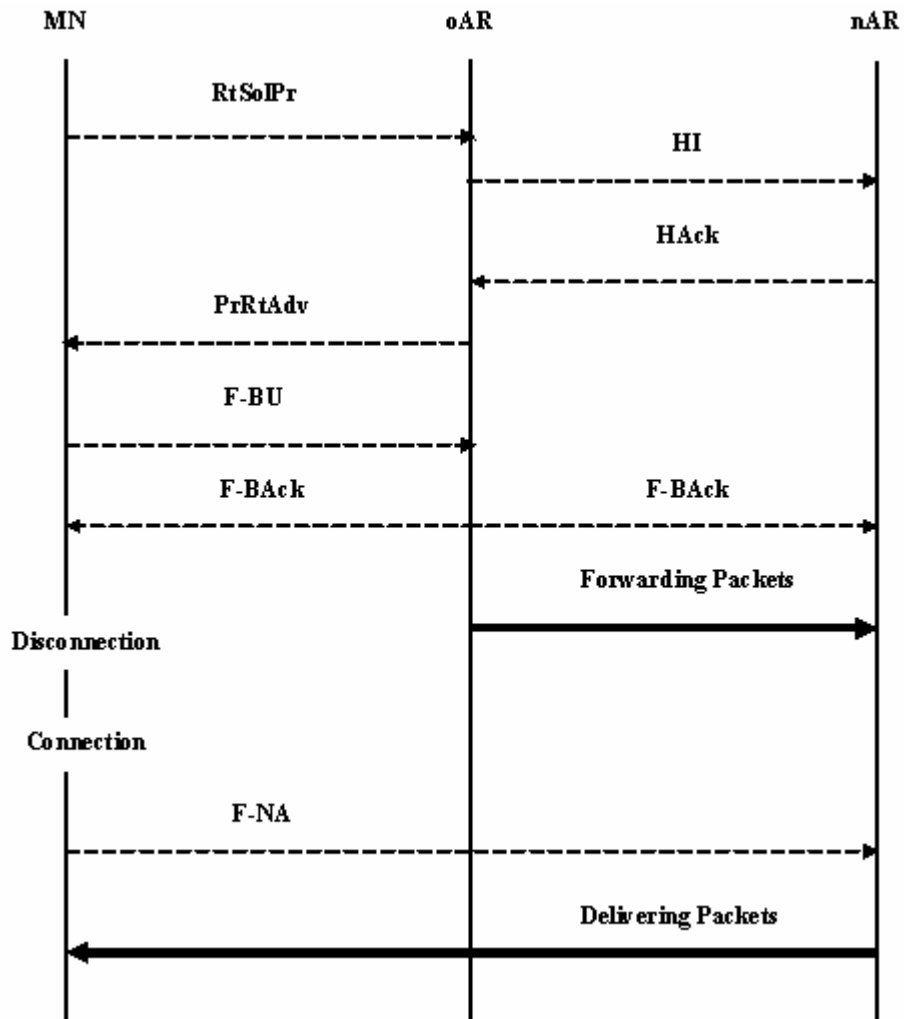


Figure 3. 5 Message flow during Mobile Initiated and Stateful Fast Handover

3.3.2. Tunnel Based Fast Handover

The Tunnel Based Fast Handover is similar to the Anticipated Fast Handover. The main difference between the Tunnel Based Fast Handover and the Anticipated Fast Handover is that in Tunnel Based Fast Handover the MN delays the new CoA establishment when it moves to a nAR and only performs Layer 2 handover and continues to use its old CoA in the new subnet. The Layer 3 handover is carried out, when the MN has enough time to complete the Layer 3 handover.

In this mechanism, in order to deliver packets to the MN and send packets from the MN to the Correspondent Node (CN), bidirectional edge tunnels are set up

between the oAR and the nAR. When the packets destined to the MN reach the old subnet, the oAR tunnels the corresponding packets to the nAR. When the MN sends packets to the CN, the outgoing packets of the MN take the reverse path in the bidirectional edge tunnel from the nAR to the oAR which forwards them to the CN. The Tunnel Based Fast Handover depends on the use of bidirectional edge tunnels and is sometimes referred to Bidirectional Edge Tunnel Handover (BETH). Furthermore, if the MN moves quite fast, the bidirectional tunnel is extended to a third AR, i.e. handover to third (HTT), as shown in Figure 3.6. In this case, the nAR signals the anchor Access Router (aAR) to move the wireless link end of the tunnel to itself, i.e. the nAR. Therefore, the MN moves with the help of establishment of a series of tunnels between the ARs, with one end of the tunnel remaining fixed to the aAR and the other end of the tunnel changing to the current access router.

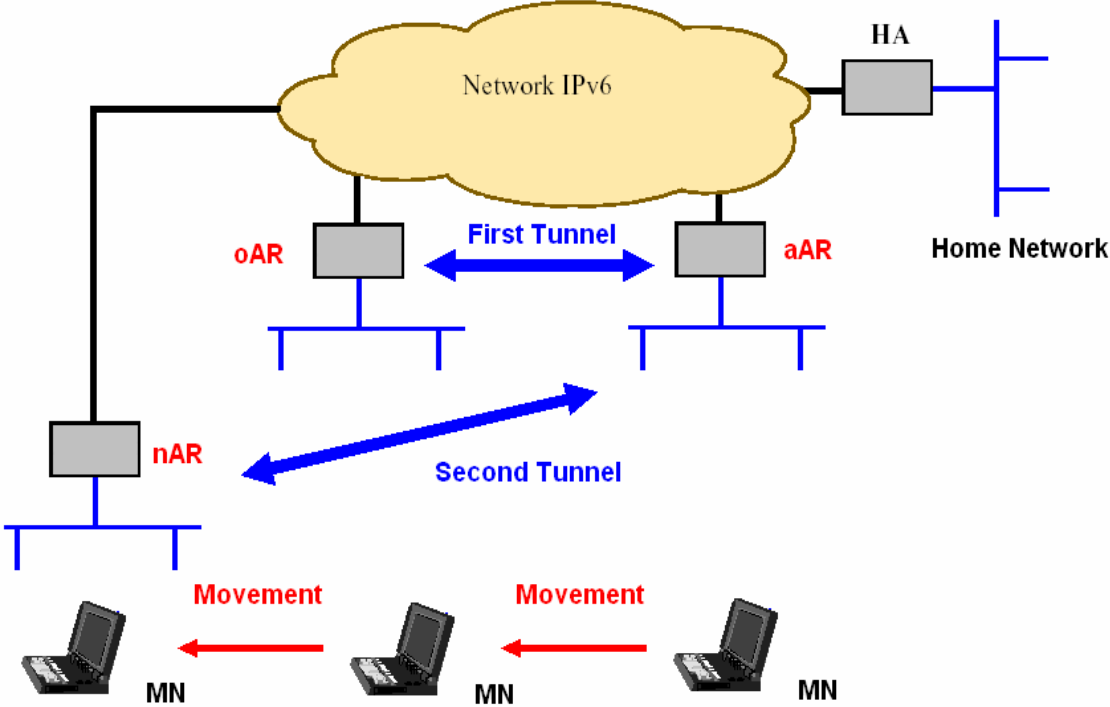


Figure 3. 6 Handover to third scenario for Tunnel Based Handover

3.3.3. Evaluation of Fast Handover for Mobile IPv6

Fast Handover for Mobile IPv6 protocol aims to improve the handover performance of the standard Mobile IPv6 protocol by minimizing the latency for establishing new communication paths from the MN to the nAR without any packet loss. However, some packets can still be lost if there is a random and rapid movement of the MN from one AR to another without letting any handover process to be completed. In the following section, the Simultaneous Bindings for Mobile IPv6 which is one of the proposed protocols to solve such a problem will be described.

3.4. Simultaneous Bindings for Mobile IPv6

Fast Handover for Mobile IPv6 protocol (Fast MIPv6) describe enhancements with the goal to minimize service disruption during handover. The Simultaneous Bindings for Mobile IPv6 protocol [6] extends these enhancements with a simultaneous binding function to minimize packet loss at the MN. However, it is difficult to estimate the correct time to start forwarding traffic between the oAR and the nAR, which has an impact on how smooth the handoff will be. Packet loss will occur if this forward service is performed too late or too early with respect to the time in which the MN detaches from the oAR and attaches to the nAR. The simultaneous bindings function solves this problem by allowing traffic for the MN to be bicast or N-casted for a short period to its current location and to one or more locations where the MN is expected to move to shortly.

The goal for the simultaneous bindings function is to reduce packet loss at the Mobile Node and to remove the timing ambiguity regarding when to start sending traffic for the Mobile Node to its new point of attachment following a Fast Handover. Another important goal for this function is to save the MN periods of service disruption in case of so-called ping-pong movement, i.e. when a MN moves back and forth between two Access Routers.

Moreover, the simultaneous binding function is an extension to the Fast Binding Update message used in Fast MIPv6. The only difference between the Fast

Binding Update message used in Fast MIPv6 and the Fast Binding Update message used in Simultaneous Bindings for MIPv6 is the Simultaneous Bindings Flag. It is important to note that F-BU with simultaneous binding message sent from the MN to the MAP also includes the life time field to identify the life time of simultaneous bindings. When this life time expires, the forwarding procedure is terminated. There are two types of simultaneous binding functions, namely bicasting of and N-casting simultaneous binding function:

- **Bicasting Simultaneous Binding Function:** Bicasting function is used when the MN is receiving loss sensitive traffic. By using this function, each packet flow is duplicated towards the MN's current location and the potential or future location of the MN, as illustrated in Figure 3.7.

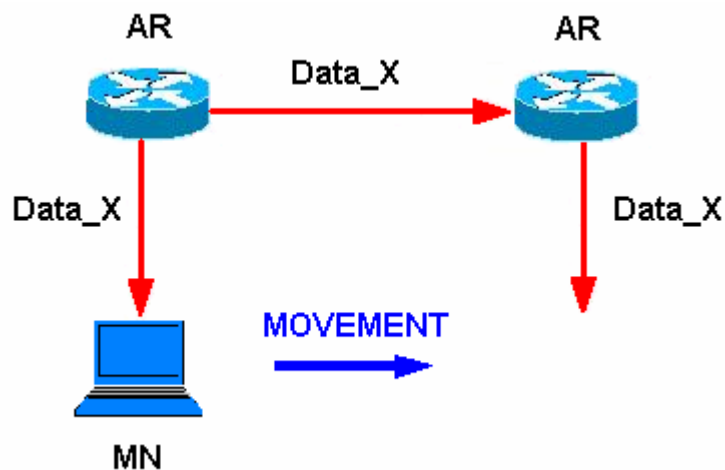


Figure 3. 7 Bicasting Simultaneous Binding Function

- **N-casting Simultaneous Binding Function:** N-casting function is quite similar to Bicasting function. The major difference is that the packet flows are duplicated towards three or more potential future locations for the MN, as illustrated in Figure 3.8. There is a phenomenon called ping-pong movement that might occur when the MN is close to two or more Access Routers (ARs) and moves back and forth between them. Sending the same traffic to all the potential ARs might solve ping-pong movement problem.

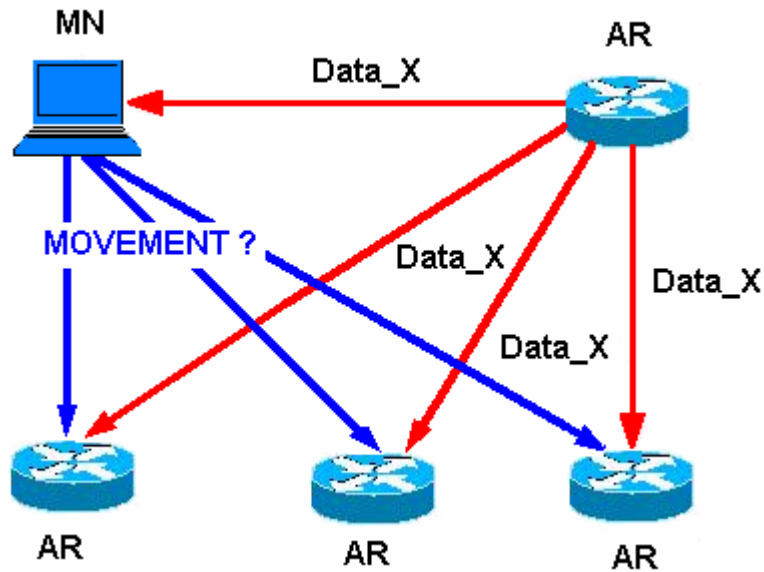


Figure 3. 8 N-casting Simultaneous Binding Function

3.4.1. Evaluation of Simultaneous Bindings for Mobile IPv6

Simultaneous Bindings for Mobile IPv6 protocol provides a good solution to the ping-pong movement, i.e. when the MN moves back and forth between two Access Routers, by multicasting or N-casting the traffic for a short period. Another big advantage is that this protocol removes the timing problem in Anticipated Fast Handover, i.e. to determine the time when to start forward packets to the nAR. Thus, this enhanced mechanism, i.e. simultaneous binding function, provides the MN with uninterrupted connectivity.

A drawback of Simultaneous Bindings for Mobile IPv6 protocol is that this protocol might cause too much overhead in the network. The fact that traffic is multicasted or n-casted might create network congestions and network might become overloaded. Therefore, multicasting or N-casting might not be a scalable solution in a network with a lot of fast moving MNs and many neighbouring Access Routers. This may result in too much traffic for the network to handle. As a result, it is significant to find the suitable mixing of what traffic should be multicasted and what shouldn't.

In the following section, the combined handover algorithm which is the combination of all three will be described in detail.

3.5. Combined Handover Algorithm

Based on the proposed handover algorithms in MIPv6, three main trends are identified. The first trend, i.e. HMIPv6, is the widespread use of hierarchical architectures for supporting micro mobility and reducing signaling between the home network and the MN. The second trend, i.e. Fast MIPv6, is forming the new CoA before the MN attaches to the nAR and forwarding the packets destined to the MN from the oAR to the nAR. The third trend, i.e. Simultaneous Bindings for MIPv6, is the use of various forms of multicast capabilities in order to reduce packet loss during handovers.

It is clear that one can obtain better performance by combining the three proposed protocols, i.e. combined handover algorithm, properly. The combined handover algorithm aims to use of hierarchical architectures, fast handover mechanisms and simultaneous binding function together. In the following section, the detailed description of combined handover operation will be given.

3.5.1. Combined Handover Operation

In combined handover algorithm, the Mobility Anchor Point (MAP) is the network node where the forwarding procedure of the packets is done. The MAP is also responsible for sending packets to both the oAR and the nAR using simultaneous binding messages. Another important point for combined handover operation is that a small buffer in the nAR and the oAR is used to store the packets temporarily. The buffer in the nAR is used during forwarding procedure of the packets. The buffer in the oAR is used during ping-pong movement of the MN.

The operation starts when the MN receives an indication that the MN is about to move and information about the nAR to which the MN will move. Meanwhile, the MN is still attached to the oAR. This handover initiation is based on

the indication from Layer 2 triggers which inform that the MN will soon perform a handover.

In mobile initiated handover, the MN receives the predictive information, i.e. Layer 2 trigger, and then it sends a Router Solicitation for Proxy (RtSolPr) message to the oAR indicating that it wishes to perform a fast handover to a new attachment point. The RtSolPr message contains the attachment point link layer address to indicate the new destination attachment.

In network initiated handover, the oAR receives predictive information and sends an unsolicited Proxy Router Advertisement (PrRtAdv) message to the MN without receiving RtSolPr message from the MN.

If the oAR knows the new point of attachment and has information about the network prefix that should be used to form a new CoA, it sends a confirmation for the new point of attachment through the PrRtAdv message to the MN. When the MN receives the PrRtAdv message from the oAR, it forms the new CoA using stateless address autoconfiguration.

Furthermore, the oAR has to inform the MAP about the possibility of the handover to control newly formed CoA in the nAR. To inform the MAP, the oAR sends Handover Initiation (HI) message to the corresponding MAP including old CoA, new CoA and the address of the nAR. It is important to note that the oAR should know the address of the corresponding MAP which the MN is communicating with. This address is provided in the RtSolPr message.

When the MAP receives HI, it sends it to the nAR including the newly formed CoA. The new AR checks whether this address is currently in used or not. With the result of the address checking, the new Access Router sends the Handover Acknowledgment (HACK) message to the MAP, indicating how to forward the packets. If the address checking process is successful, the forwarding is made to the new CoA. Otherwise, the MAP establishes a tunnel to the nAR, and the nAR forwards the packets to the actual link. In this case, the MN still uses the old CoA.

To start the process of forwarding, the MN sends a Fast Binding Update (F-BU) message with simultaneous binding to the MAP so as to bind the regional CoA with the new CoA. After receiving the F-BU with simultaneous binding, the MAP sends a Fast Binding Acknowledgment (F-BAck) message to the oAR and nAR and starts to forward the packets destined to the MN, using bicasting. It is not necessary to know the precise movement of the MN, since the MAP sends the packets to both the nAR and the oAR. Therefore, the packet losses result from the synchronization problem is avoided. Another significant point is that the F-BU with simultaneous binding message sent from the MN to the MAP includes the life time field to identify the life time of simultaneous bindings. When this life time expires, the forwarding procedure is terminated.

When forwarded packets reach to the nAR, the MN might not arrive to the nAR yet. In order not to lose any packet, it is necessary to store forwarded packets in a buffer in the nAR. When the MN arrives to the nAR, it sends a Fast Neighbour Advertisement (F-NA) message, advertising its arrival to the nAR. After receiving F-NA message, the nAR starts to send the packets stored in its buffer. In case of ping-pong movement, the packets stored in the buffer of the oAR is used for lossless communication. If the MN comes back to the old subnet and sends F-BU message to the oAR, the oAR can deliver the buffered packets to the MN.

In case of stateful address configuration, the nAR sends the valid new CoA with the HACK message to the MAP. The MAP then sends the PrRtAdv message to the MN providing the new CoA.

After the MN is attached to the nAR, the MN checks the MAP option in the PrRtAdv message received by the nAR so as to determine whether it is in a new MAP domain or not. In addition, the MN needs to know whether the new CoA sent with the F-BU message is still valid or not. This information is provided by the F-Back message. If the MN moves to a new MAP domain, the new MAP also sends Binding Update message to the home agent (HA) and the correspondent node (CN) to bind the regional CoA with the Home Address. The operation of the combined handover algorithm is illustrated in Figure 3.9.

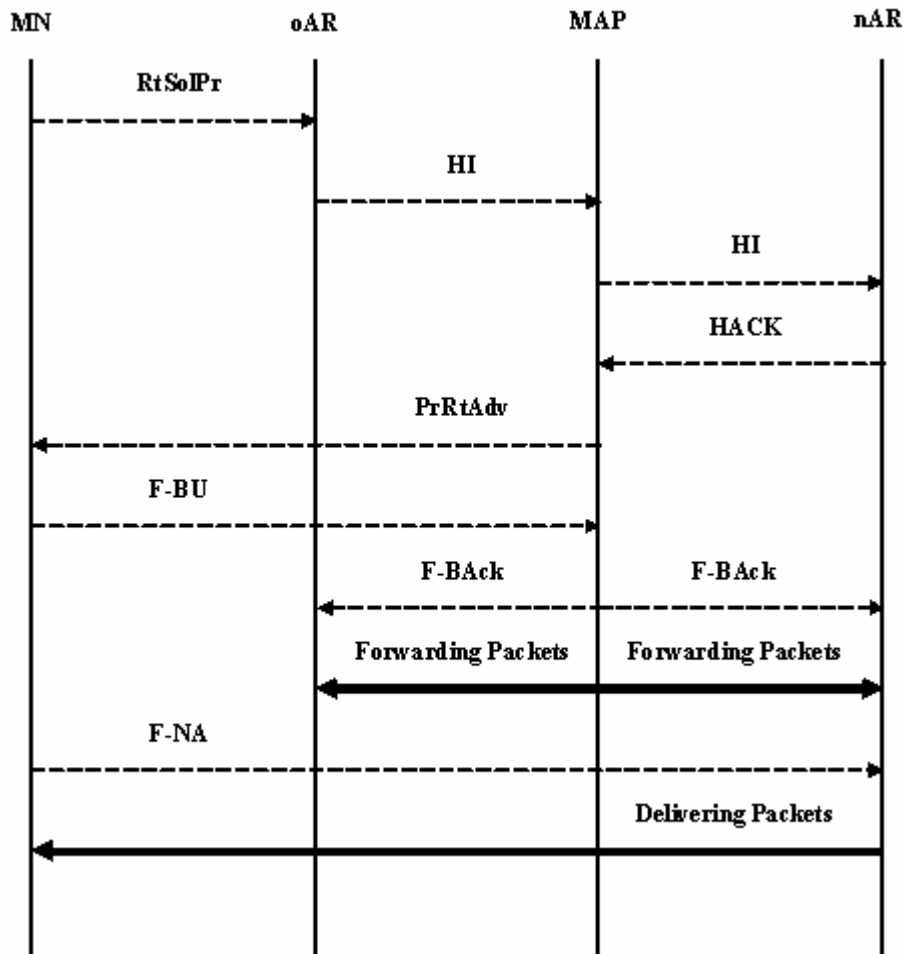


Figure 3. 9 Message flow of combined handover algorithm during handover

3.5.2. Evaluation of Combined Handover Algorithm

The combined handover algorithm reduces the packet loss to a minimum by combining each improvement of three protocols efficiently. In combined handover algorithm, the hierarchical architecture decreases the handover latency by reducing unnecessary control signals during micro mobility. Furthermore, the fast handover algorithm with simultaneous binding function minimizes packet losses by forming the new CoA before the MN attaches to the nAR and forwarding the packets to both the oAR and nAR for a short period of time. The fast handover algorithm with simultaneous binding function removes the timing ambiguity, i.e. to determine the time when to start forwarding packets to the nAR. Although the forwarding

procedure in combined handover protocol solves the synchronization problem and provides lossless communication, it might also cause congestion in the network.

In combined handover algorithm, the MAP, instead of the Access Router (AR) as in fast handover algorithm case, forwards the packets to both the oAR and the nAR. The forwarding path is optimized, because the MAP is in a high level in the hierarchy compared to the AR. Therefore, unnecessary packet transfer between the MAP and the ARs is prevented to improve the bandwidth efficiency. In addition, the bottleneck problem of the hierarchical architecture, i.e. the fact that all the communications within the MAP domain pass across the MAP, remains in combined handover algorithm.

In this algorithm, the buffer in both the oAR and the nAR is used for not losing any packets during forwarding procedure and ping-pong movement of the MN. The size of these buffers should be selected properly in order to satisfy real time application requirements. If the handover latency is greater than the time that the corresponding buffer can store, the forwarded packets are lost. If a large buffer is selected to be able to store packets in a great amount of time, it becomes useless in real time applications like Voice over IP. Making the buffer larger is not a feasible solution for delay sensitive traffic, i.e. real time applications, since the total delay in stored packets may become intolerable. For instance, human factors studies have shown that the maximum tolerable delay for interactive conversations is approximately 200 ms [40]. Thus, the maximum permitted end to end delay of real time applications restricts the amount of time that a buffer can store. In addition, the scalability problems due to the necessity of buffers in the Access Routers may occur in combined handover protocol.

In this algorithm, the movement detection problem of the MN is solved by using Layer 2 triggers advertising that the MN arrives the nAR. Thus, the dependency on the router advertisement messages to detect movement of the MN is avoided. However, how to handle Layer 2 triggers properly is another research issue which should be solved by hardware manufacturers.

In conclusion, the combined handover algorithm introduces a more complete and elegant solution to mobility management compared to the proposed protocols. However, the scalability problems and the possible bottleneck problem of this protocol should also be taken into account in order to provide efficient handover mechanism to the mobile users.

CHAPTER 4

MODELING OF NETWORK TRAFFIC AND USER MOBILITY

Simulating how the global Internet behaves is an immensely complex issue because of the network's great heterogeneity and rapid change. The heterogeneity of the Internet ranges from different characteristics of the links that carry the network's traffic to the protocols that interoperate over these links and to the "mix" of different applications used at a site [41]. Due to the network's complexity and heterogeneity, simulation and modeling plays a vital role in attempting to evaluate the performance of the proposed algorithms and architectures. It is also important to note that simulations are complementary to analysis by allowing understanding of complicated scenarios that would be either difficult or impossible to analyze.

The future mobile communication networks, e.g. 3G or 4G wireless networks, target to support global roaming and integrated services such as the voice, data and multimedia with mobile computing devices over the wireless infrastructures. Modeling of traffic generation and user mobility for such networks is challenging and important to analyze the possible effect of proposed changes with appropriate accuracy. In the following sections, the details of modeling of network traffic and user mobility performed within this thesis will be discussed.

4.1. Modeling of Network Traffic

Data traffic is the main component of computer communication systems, and traffic models are of crucial importance for assessing their performance [42]. In

practice, stochastic models of network traffic are relevant to communication network analysis and teletraffic engineering and they are widely used in predicting the performance of the proposed methods.

Due to analytical tractability, many researchers used the traditional network modeling, i.e. Poisson or Markovian modeling, to model the network traffic in the past for many years. Traditional network models typically focus on very limited range of time scales and are thus short range dependent in nature. They also predict that longer term correlations should rapidly die out, and consequently that traffic observed on large time scales should appear quite smooth. Nevertheless, a wide body of empirical data argues strongly that these correlations remain nonnegligible over a large range of time scales. Statistical analysis of high resolution traffic measurements from a wide range of networks, e.g. Local Area Networks (LANs), Wide Area Networks (WANs), World Wide Web (WWW) transfers and VBR video over ATM, show that number of packets that pass through the given link per unit time exhibit self similar or fractal behaviour ([7], [43], [44] and [45] to name a few). Self similar behaviour which underlies long range dependency means that a segment of the traffic rate process measured at some scale looks like an appropriately scaled version of the traffic rate process measured over a different time scale.

The pictorial proof and mathematical background of the self similar nature of the packet traffic is first presented in [7], as illustrated in Figure 4.1. The reason for the significance of this work is that up to this point, network traffic was modeled as a Poisson process and all analysis of networks were based on that assumption.

Furthermore, it has been shown in the literature ([43], [46] and [47]) that self similar or long range dependent (LRD) network traffic can be generated by multiplexing several sources of Pareto distributed ON and OFF periods. Pareto distribution has the following probability density function:

$$f(x) = \frac{\alpha \beta^\alpha}{x^{\alpha+1}}, \text{ where } x \geq \beta \text{ and } 1 \leq \alpha \leq 2 \text{ for finite variance and mean.}$$

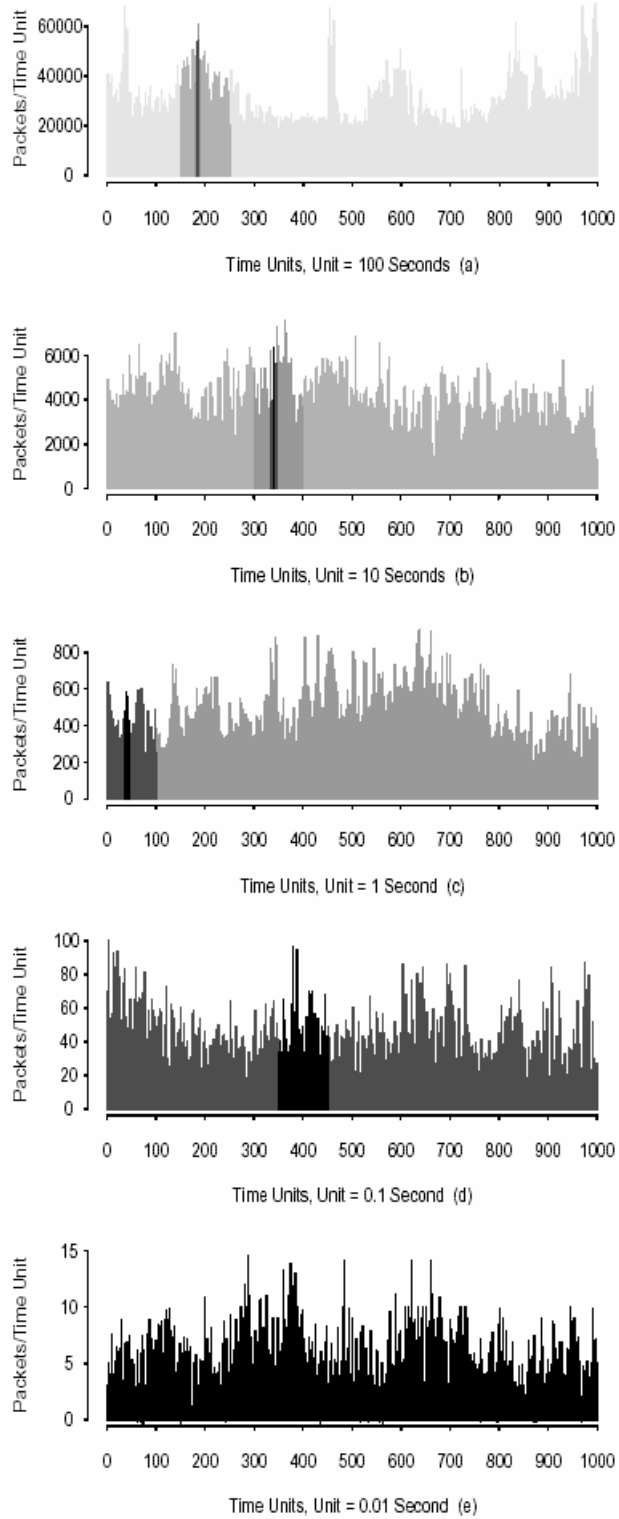


Figure 4. 1 Pictorial proof of self similarity: Ethernet traffic on 5 different scales

In a context of a packet switched network, the ON periods correspond to packet bursts, i.e. packets transmitted back to back or separated only by a relatively small preamble, and OFF periods are the periods of silence between packet bursts. Multiple sources contributing to resulting synthetic traffic trace might be thought of as individual flows, i.e. connections. It is reasonable to assume that packet sizes within a connection remain constant. Different connections, however, will have packets of different sizes.

During research in the internet, the utility* that generates self similar traffic by aggregating multiple sources of Pareto distributed ON and OFF periods is found. In this utility, every source generates packets of only one size and Pareto distribution of burst sizes is achieved by using Pareto distribution for the number of packets in a burst. Inter burst gaps are also Pareto distributed. In this thesis, this utility is used for generating self similar traffic. To generate self similar traffic, the sources generating packets of 128 Bytes are used and the shape parameter of Pareto distribution is selected as 1.4 and 1.2 for the ON periods and the OFF periods, respectively. The choice of the shape parameter for the ON periods is made according to the measurements on actual Ethernet traffic performed by Leland et al. [7]. They reported that the measured Hurst parameter (H) is 0.8 for moderate network load. In [43], the relationship between the Hurst parameter and the shape parameter (α) is given as follows:

$$H = (3 - \alpha) / 2.$$

Therefore, the Hurst parameter of 0.8 results in shape parameter of 1.4.

4.2. Modeling of User Mobility

The rapid growth of mobile communication services, together with the scarcity of radio spectrum has lead to reducing the cell size in cellular systems. Smaller and denser cells provide higher aggregate bandwidth and can locate a mobile device more accurately. On the other hand, smaller cell size entails a higher handover rate and more frequent handovers per call [48]. Hence, the study of

*http://www.csif.cs.ucdavis.edu/~kramer/code/trf_gen1.html

handover related aspects has become a fundamental issue in which a good knowledge of user mobility modeling and characterization of mobility patterns is of paramount importance for research and design issues of handover algorithms.

The movement pattern of the mobile users plays an important role in performance analysis of mobile and wireless networks. For example, in cellular networks, a user's mobility behavior directly affects the signaling traffic needed for handover management [49]. With the increasing number of mobile users and the decreasing cell size in wireless communication networks, modeling the user's mobility will have even more influence on the performance issues of handover algorithms. The modeling of the mobile user's movement is thus an essential building block in analytical and simulation based studies of handover mechanisms. Furthermore, the choice of the mobility model has a significant effect on the obtained results. If the model is unrealistic, invalid conclusions may be drawn.

A literature survey shows that there exist several mobility models that find application in different kinds of simulations and analytical studies of wireless networks. Analytical mobility models are generally based on rather simple assumptions regarding the movement behavior of the users, but these models enable to evaluate the performance of proposed handover algorithms. The user's mobility can be characterized by the amount of time that the mobile user stays in that cell, i.e. the cell residence time [50]. Therefore, an appropriate probability distribution that accurately describes the cell residence time is of great significance to be investigated.

A considerable amount of research effort ([51], [52], [53], [54] and references therein) has been devoted to derive the distribution of the cell residence time. In addition, a great deal of papers dealing with wireless and mobile communications have used these studies. For the sake of convenience and tractability, most previous traffic analysis made the assumption that the cell residence time is distributed exponentially ([55], [56], [57], [58] and [59] to name a few). However, some experiments with operational systems and field data revealed

that the cell residence time for mobile and wireless communication systems is not exponentially distributed ([60], [61] and [62]).

One approach to modeling the cell residence time is assuming that a cell has specific shape, e.g. hexagonal or circular. When this specific cellular shape is combined with specific distributions of speed and movement direction of a mobile user, it then becomes possible to determine the probability distribution of cell residence time [63]. However, in practical systems cell shapes are irregular, and the speed and direction of mobile users may be hard to characterize. It is therefore more appropriate to directly model the cell residence time as a random variable with an appropriate probability distribution to capture the overall effects of the cellular shape and the users' mobility patterns. This approach has been adopted in the past by a few researchers [64]. In this context, Zonoozi and Dassanayake [8] show that generalized gamma distribution is adequate to model the cell residence time of the mobile user. The probability density function of generalized gamma distribution is of the form:

$$f(x) = \frac{c x^{ac-1} e^{-(x/b)^c}}{b^{ac} \Gamma(a)}, \text{ where } x, a, b, c > 0$$

$$\Gamma(a) \text{ is the gamma function defined as } \Gamma(a) = \int_0^{\infty} x^{a-1} e^{-x} dx$$

In this thesis, generalized gamma function is used for modeling the cell residence time of the mobile user. In the simulation network topology, the mobile user is assumed to move continuously from one access router to another access router. Therefore, the handover times of the mobile user can also be modeled by generalized gamma distributed random numbers. The values for a,b,c in the probability density function of generalized gamma distribution are assumed as in [8]. The a,b and c values are 0.62, 7.36, 1.88, respectively. Also, these data represent the case of mobiles with an average speed of 50 km/h and zero drift. To generate generalized gamma distributed random numbers, the toolbox WAFO Version 2.0.5 for Windows [65] is used.

CHAPTER 5

SIMULATION EXPERIMENTS

In this chapter, the algorithms proposed in the literature and the combined handover method are evaluated and compared through simulations. Moreover, a simulation model suitable for evaluation of the proposed handover algorithms under some certain scenarios will be described. The network topology used in simulation is shown in Figure 5.1. In this simulated network architecture, the user mobility, the network traffic, wired and wireless links are modeled through stochastic processes. Firstly, the network traffic is modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling [7]. Secondly, the user mobility is modeled by assuming that cell residence time of the mobile user exhibits generalized gamma distribution [8]. Thirdly, the wired links in simulation network architecture are modeled from real traces taken on the Internet between April and August of 2003. The programs used in simulations are as follows:

- **Rhapsody version 4.0.1:** Rhapsody is used for implementing and analyzing the proposed handover algorithms by using C++ Programming Language. Rhapsody is a Unified Modeling Language (UML) based tool that has the ability of allowing software developers to specify, visualize and construct the artifacts of a system before committing it to code and also promotes the building of reusable components. These features of Rhapsody help us to code the handover algorithms efficiently.

- **MATLAB version 6.5:** MATLAB is used for the performance evaluation of the handover algorithms. The performance graphics are also plotted by MATLAB and the user mobility is modeled by MATLAB in conjunction with the toolbox WAFO Version 2.0.5 for Windows [65].
- **Table Curve 2D version 4.0:** Table Curve 2D is used in curve fitting for the round trip time of the wired links in simulated network topology.
- **Visual Traceroute, Neo-Trace, Ping:** These applications are used for collecting data from the Internet and tracing the measurement packets visually.

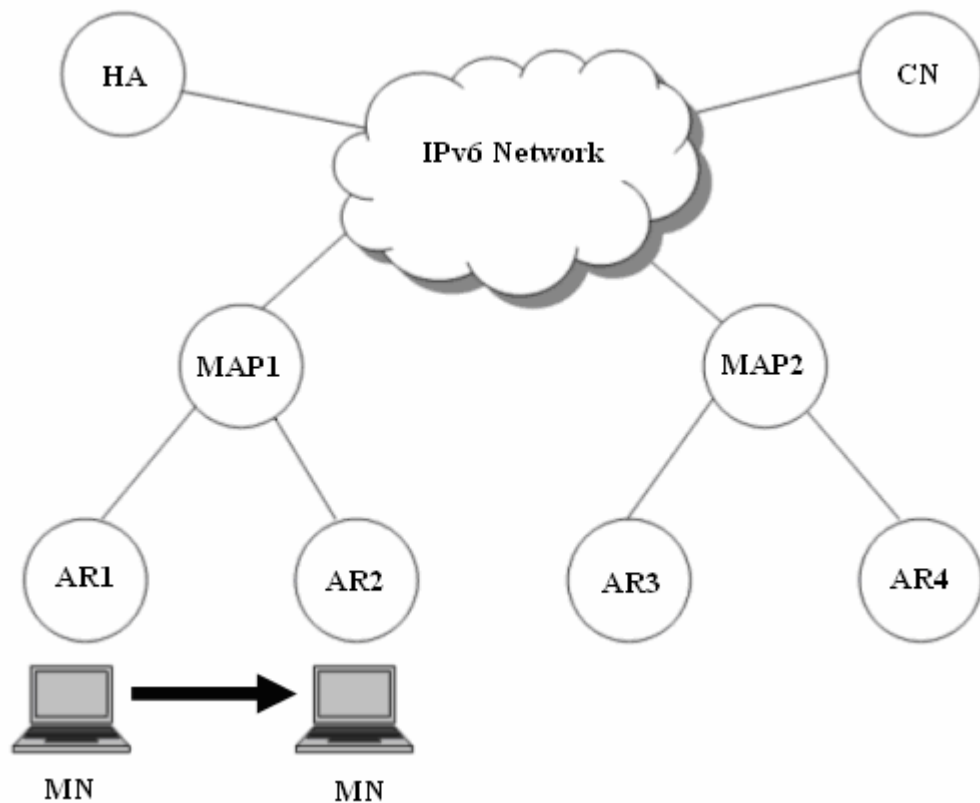


Figure 5. 1 Simulation Network Topology

In the following section, the measurement methods for modeling the link delay will be discussed.

5.1. Measurement Methods for Modeling the Link Delay

Packet probing is an important Internet measurement technique, supporting the investigation of packet delay. Current packet probing techniques use Internet Protocols such as the Internet Control Message Protocol (ICMP), the User Datagram Protocol (UDP) and the Transmission Control Protocol (TCP). The examples of current measurement techniques which use these protocols are ping, traceroute and the IP Performance Metrics (IPPM) group's One-way Delay Protocol (OWDP) [66].

5.1.1. Ping

Ping is one of the most useful network debugging tools which is implemented by using the Internet Control Message Protocol (ICMP) detailed in [67]. The ping utility is essentially a system administrator's tool that is used to see if a computer is operating and also to see if the network connections are intact. By using the ping application, round trip time (RTT) is calculated as the difference between the time the echo request is sent and the time a matching response is received.

5.1.2. Traceroute

Traceroute is a network debugging utility that attempts to trace the route of a packet takes through the network. In a typical traceroute session, a group of packets with time to live (TTL) value initially set to one are sent. Reminding that TTL is an IP header field that is designed to prevent packets from running in loops. Every router that handles a packet subtracts one from the packet's TTL. If the TTL reaches zero, the packet has expired and is discarded. Moreover, traceroute depends on the common router practice of sending an ICMP Time Exceeded message back to the sender when this fact occurs. By using small TTL values which quickly expire, traceroute causes routers along a packet's normal delivery path to generate these ICMP messages which identify the router. For example, A TTL value of one should produce a message from the first router, a TTL value of two generates a message from the second router and a TTL value of three generates a message from the third and etc. [68].

5.1.3. One Way Delay Protocol

The IP Performance Metrics (IPPM) group has published several studies that define frameworks for measuring the performance of IP networks ([69] and [70]). The IPPM group is well advanced in the engineering of a One-way Delay Measurement Protocol (OWDP) [71] that will build on a framework designed in [72]. The OWDP specification provides a mechanism for measuring packet delay with UDP packet probes. Furthermore, the specification describes a mechanism for controlling a measurement session between two hosts with a TCP connection, for negotiating the UDP port numbers involved in the delay measurement.

5.2. Measurement Method Used in the Thesis

In this thesis, the ping method is used for the investigation of the packet delay in the wired links. The measurements are done in different days and hours between April and August of 2003. In the simulated network topology, the wired links need to be modeled are the links between CN and HA or MAP and the links between HA and MAPs or MAPs and ARs. The link delay between CN and HA or MAP might be assumed as a typical delay in Wide Area Network (WAN) and the link delay between HA and MAPs or MAPs and ARs might be assumed as a typical delay in Metropolitan Area Network (MAN) [73]. The nodes in measurement architecture are selected according to these criteria. The measurement architecture for modeling the wired link delays is illustrated in Figure 5.2. The ping application is called from the main node to other nodes given in Table 5.1. Although, one way delay for a path between two nodes is not equal to each other, we assumed that the half of the measured RTT for a path can be used as a one way delay.

Table 5. 1 Node Locations

Node Number	Node Location	IP Address
1	Aselsan Inc.,Ankara	10.1.6.170
2	METU, Ankara	144.122.199.13
3	Ankara University	80.251.40.19
4	İstanbul University	194.27.128.199
5	MIT,Boston,USA	18.181.0.31

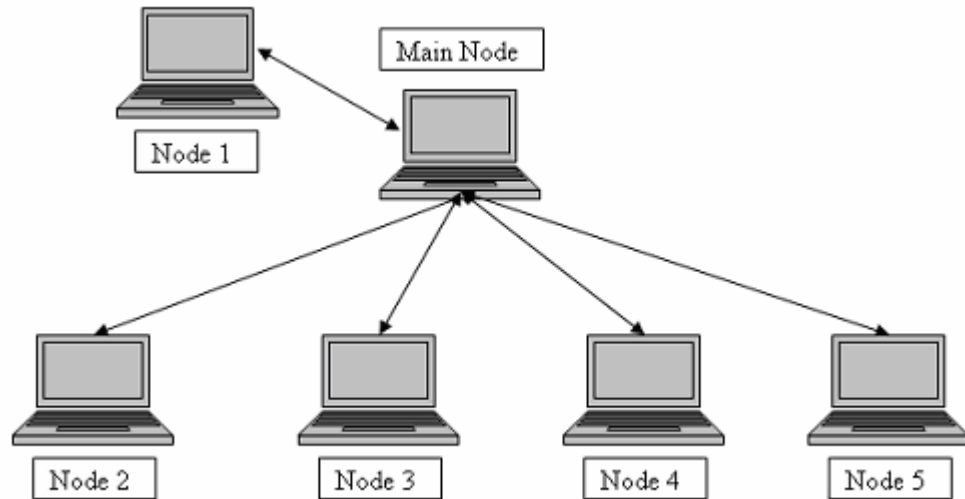


Figure 5. 2 The measurement architecture for modeling the wired link delay

5.2.1. Modeling The Channel Delay in Wired Links

The procedure for modeling link delay consists of three steps:

- The histograms of the measured RTT of the paths are found by using MATLAB.
- The found histograms are used to fit the link delay distribution to some function by using Table Curve 2D.
- The best fitted function is chosen as the delay distribution of the link.

All of the delay measurements show that the link delays of the paths can be modeled by shifted Gamma or Weibull Distributions as stated in ([74], [75], [76] and [77]). The statistics of all paths are given in Table 5.2. According to the criteria described above, we selected the path 4 as the link between CN and HA or MAP and the path 5 as the link between HA and MAPs or MAPs and ARs. It is also important to note that the paths which exhibit the longest link delay are selected to be able to evaluate the performance of the protocols at the worst cases. Furthermore, the node map for path 4 and 5 is shown in Figure 5.3 and Figure 5.4, respectively.

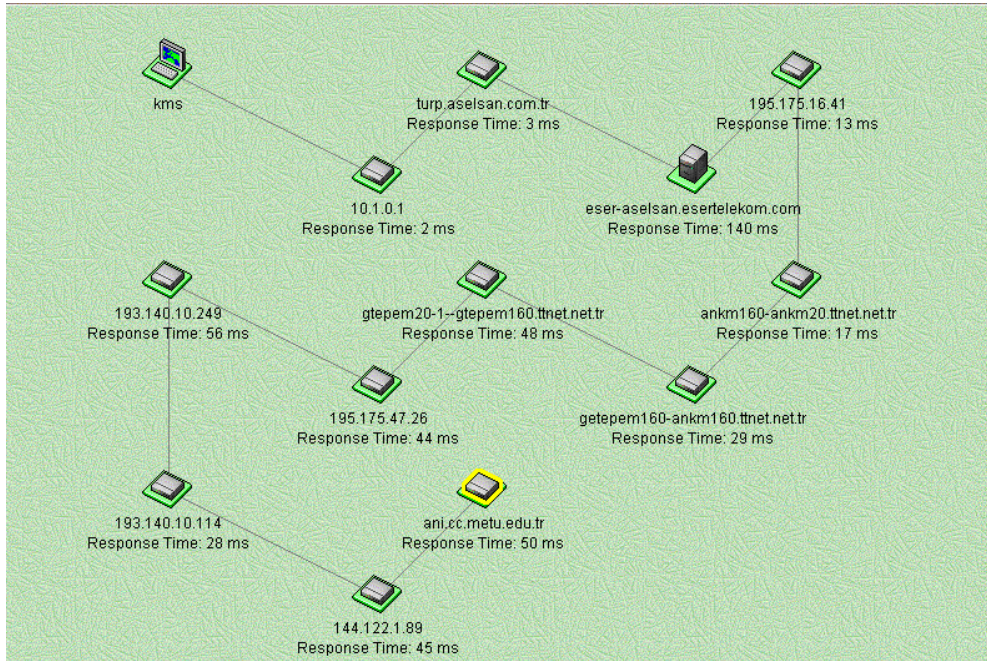


Figure 5. 3 The Node map for path 4 (Aselsan-METU link)

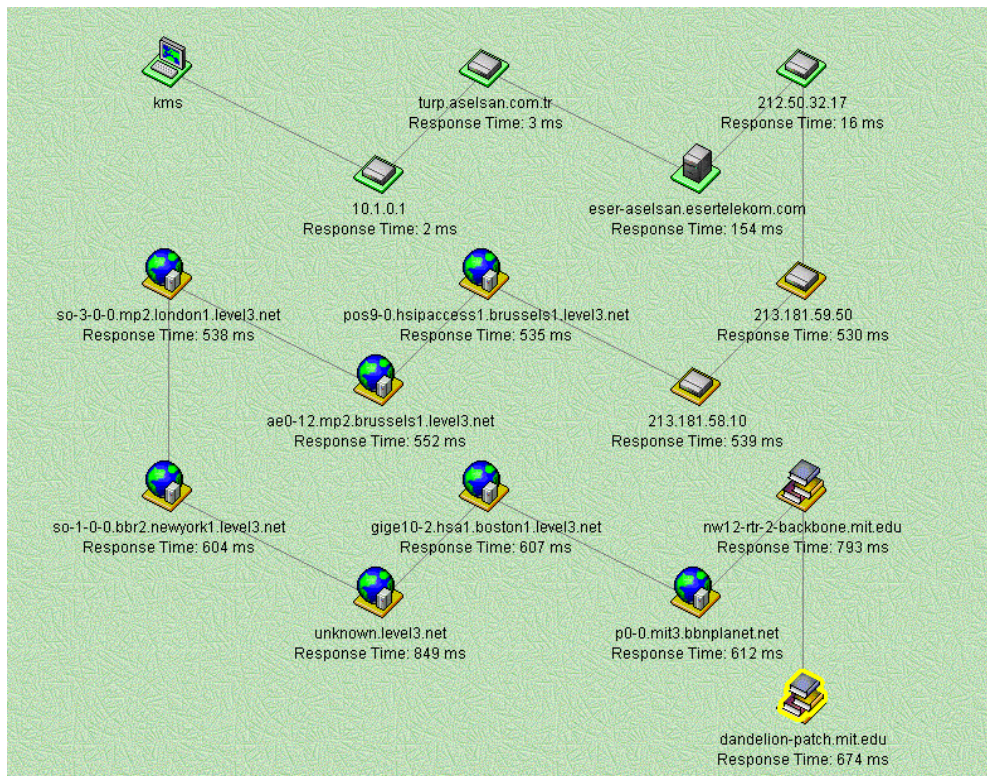


Figure 5. 4 The Node map for path 5 (Aselsan-MIT link)

In the measurements for path 4 using Table Curve 2D program, it is found that shifted Gamma distribution is ranked at 7th and shifted Weibull distribution is ranked at 17th among 1965 proper equations, as shown Figure 5.5 and 5.6, respectively. These equations are sorted according to the coefficient of precision, r^2 . It is also seen that the equation with rank 1 has a r^2 0.9954 while shifted Gamma distribution has r^2 0.9915 and shifted Weibull distribution has r^2 0.9829. As for path 5, it is found that shifted Gamma distribution is ranked at 6th and shifted Weibull distribution is ranked at 11th among 2046 proper equations, as shown Figure 5.7 and 5.8, respectively. In addition, it is seen that the equation with rank 1 has a r^2 0.9983 while shifted Gamma distribution has r^2 0.9947 and shifted Weibull distribution has r^2 0.99064.

Table 5. 2 Route Statistics

Path	Average Round Trip Time(msec)	Number Of Nodes
Main Node- Node1, Path 1	0.250	2
Main Node- Node2, Path 2	22.73	7
Main Node- Node3, Path 3	26.12	10
Main Node- Node4, Path 4	30.25	12
Main Node- Node5, Path 5	605.43	15

Furthermore, it has been observed that Internet delays often exhibit spikes [78], which are sharp increases in delay followed by nearly reception of a large number of packets. To be able to model spiky behaviour of the Internet, the spike delay period and the spike sequence width are modeled by exponential distribution with averages of 50ms and 10ms respectively. The magnitude of the spiky elements is modeled by Weibull distribution function with scale parameter $a = 0.5$ and the shape parameter, $b = 0.6$ as in [75].

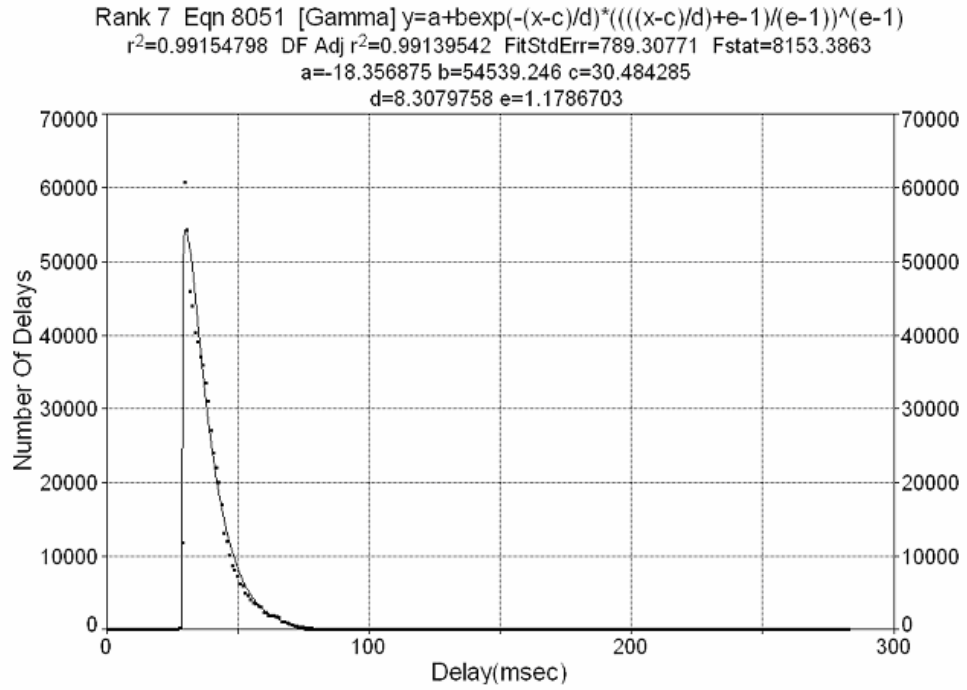


Figure 5. 5 The shifted Gamma fitted curve for path 4

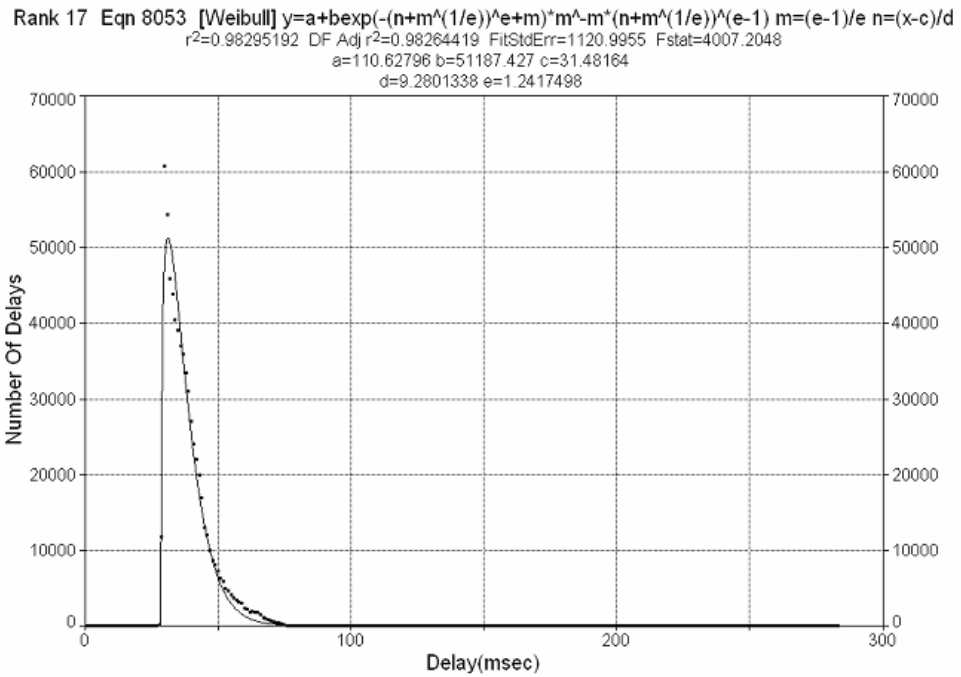


Figure 5. 6 The shifted Weibull fitted curve for path 4

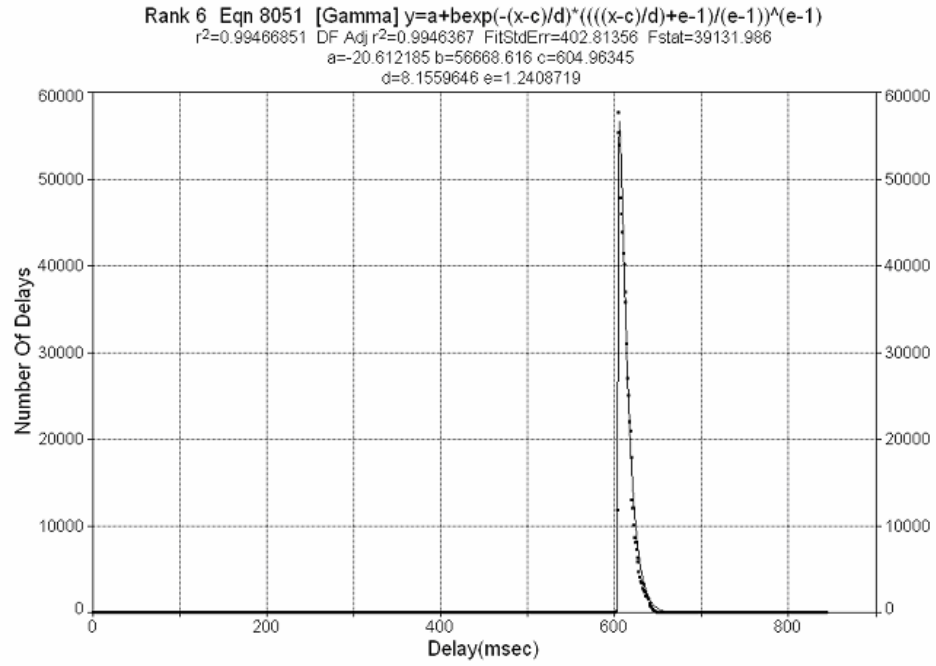


Figure 5. 7 The shifted Gamma fitted curve for path 5

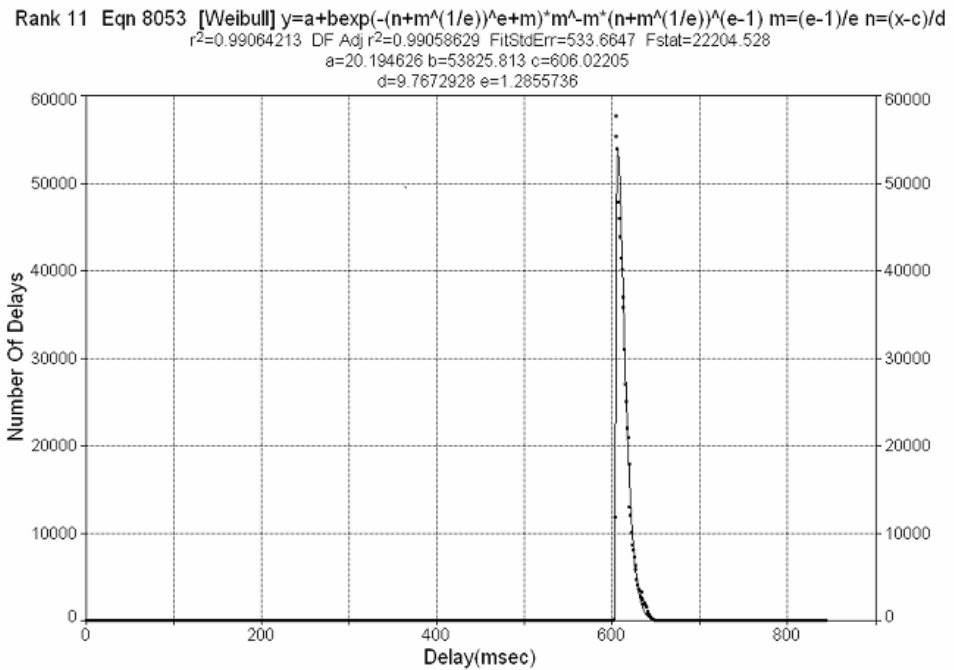


Figure 5. 8 The shifted Weibull fitted curve for path 5

5.2.2. Modeling The Channel Delay in Wireless Links

To be able to model the wireless link delay, we used WLAN (Wireless Local Area networks) PCMCIA cards that operate in 2.4 GHz. Maximum throughput between two WLAN nodes was approximately 1.2 Mbps. In the experiments, round trip times are measured as 12.73 msec on average. The topology used in the experiments is shown in Figure 5.9. Several references assume 3,5,7 or 10 msec constant wireless link delays ([2], [79], [80] and [81]). In this thesis, wireless link delay is assumed to be uniformly distributed between 5 and 10 msec.

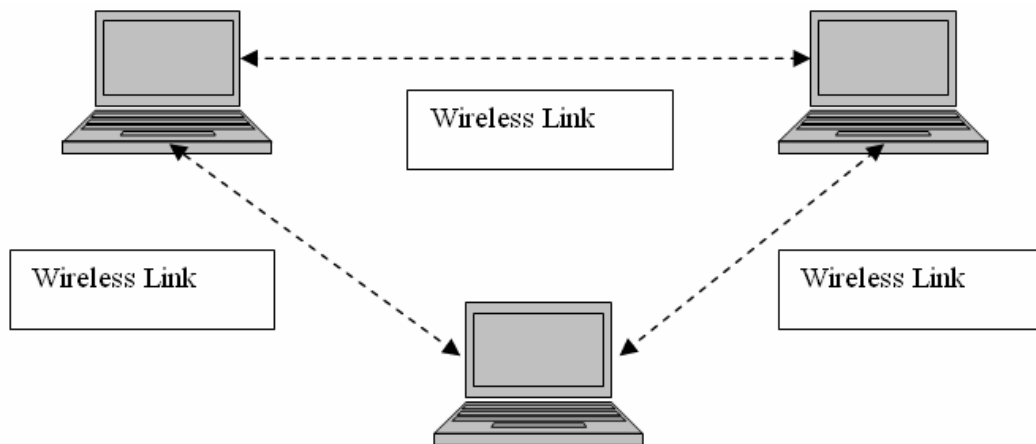


Figure 5. 9 The measurement architecture for modeling the wireless link delay

5.3. Modeling Of Traffic Generation And User Mobility

Apart from link delay models, the network traffic is modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling which is described in section 4.1. Moreover, the user mobility is modeled by assuming that cell residence time of the mobile user exhibits generalized gamma distribution. Table 5.3 summarizes the simulation models used in this thesis.

Table 5. 3 Simulation Models

Model	Parameter	Distribution
Mobility Model	Cell Residence Time	Generalized Gamma Distributed
Wired Links	Delay	Shifted Gamma or Weibull Distributed
Wireless Links	Delay	Uniformly Distributed
Traffic Model	Sending Rate	Self similar Traffic or Poisson

5.4. Performance Results

Simulation is at best an approximation to the real world. The main focus of this thesis is to investigate and analyze the packet losses due to handover. For the sake of simplicity in the simulation, the other reasons for packet loss such as congestion, link characteristics are not included.

In simulation experiments of the proposed handover algorithms, average packet loss percentages vs. handover rate of the mobile user are analyzed. As mentioned before, the network traffic is both modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling. In the simulations, Poisson arrival rates are considered to be 1, 0.1, 0.05 packets/sec, respectively. Also, the advertisement period, i.e. beacon period, is assumed as 50 msec and the time the mobile user receives Layer 2 trigger before handover is supposed to be uniformly distributed between 50 and 100 msec. Furthermore, a single simulation run is 1000 seconds in duration and the simulation results are based on the averages taken from 10 simulation runs for each of the frameworks examined. Moreover, the performance evaluations of the algorithms are carried out under different handover rates. Figure 5.10, 5.11, 5.12 and 5.13 illustrate the corresponding results of the simulations. The results show that combination of HMIPv6, Fast MIPv6 and Simultaneous Bindings for MIPv6 gives the best result compared to the standard Mobile IPv6 protocol. It reduces packet losses during handover approximately 60% compared to the standard Mobile IPv6 protocol. On the other hand, we consider that this observation is limited in nature. If the number of mobile users increases, the scalability problems and the possible bottleneck problem, i.e. the fact that all the communications within the MAP domain pass across the MAP, of the combined handover method may cause network congestion leading to significant packet losses during handover. Furthermore, Table 5.4 and 5.5 show the performance results when the user mobility is modeled by generalized gamma distributed cell residence time (CRT) and the network traffic is modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling. Table 5.6 also depicts the handover latencies of each proposed

handover algorithms. From Table 5.6, the combined handover approach reduces the handover delay approximately 55%.

Table 5. 4 Performance Results Using Generalized Gamma CRT and Poisson Arrival

Framework (Beacon Period=50 msec)	Average Packet Loss Percentage (%)		
	Poisson Arrival		
	1 packet/ms	0.1 packets/ms	0.05 packets/ms
MIPv6	2.031	1.556	0.813
HMIPv6	1.527	0.993	0.684
MIPv6+FastHandover	0.966	0.707	0.512
HMIPv6+FastHandover	0.780	0.563	0.324
HMIPv6+FastHandover+Simulcasting	0.621	0.416	0.237

Table 5. 5 Performance Results Using Generalized Gamma CRT and Self Similar Traffic

Framework (Beacon Period=50 msec)	Average Packet Loss Percentage(%) Self Similar Traffic
MIPv6	1.985
HMIPv6	1.657
MIPv6+FastHandover	1.334
HMIPv6+FastHandover	0.825
HMIPv6+FastHandover+Simulcasting	0.561

Table 5. 6 Handover Delays

Framework (Beacon Period=50 msec)	Handover Delay (msec)
MIPv6	164
HMIPv6	129
MIPv6+FastHandover	101
HMIPv6+FastHandover	87
HMIPv6+FastHandover+Simulcasting	72

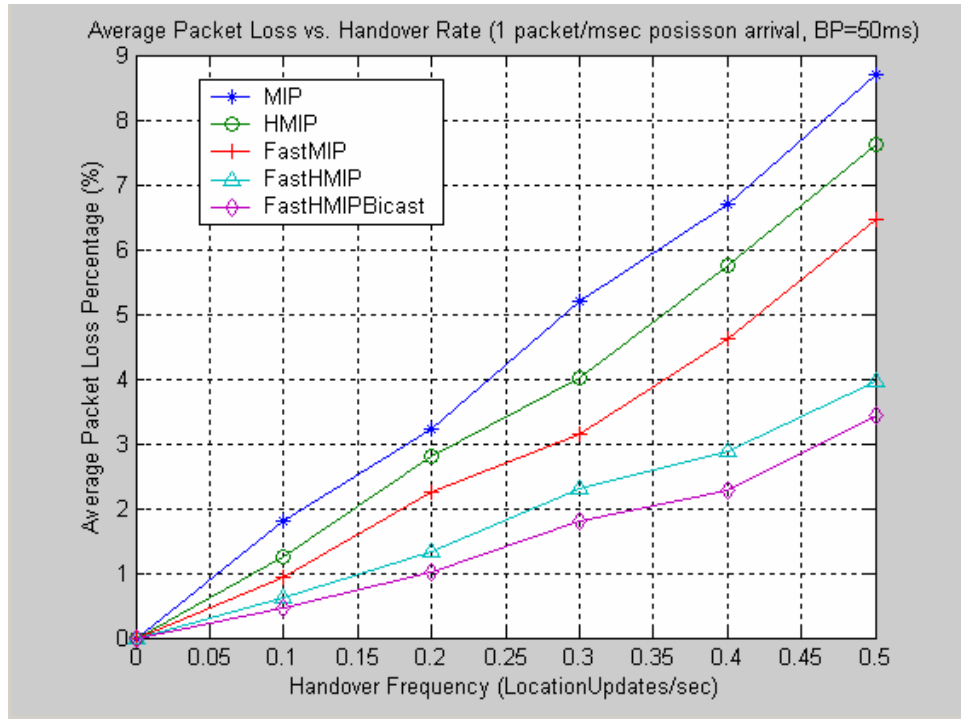


Figure 5. 10 Comparison of Algorithms (1 packet/msec Poisson arrival)

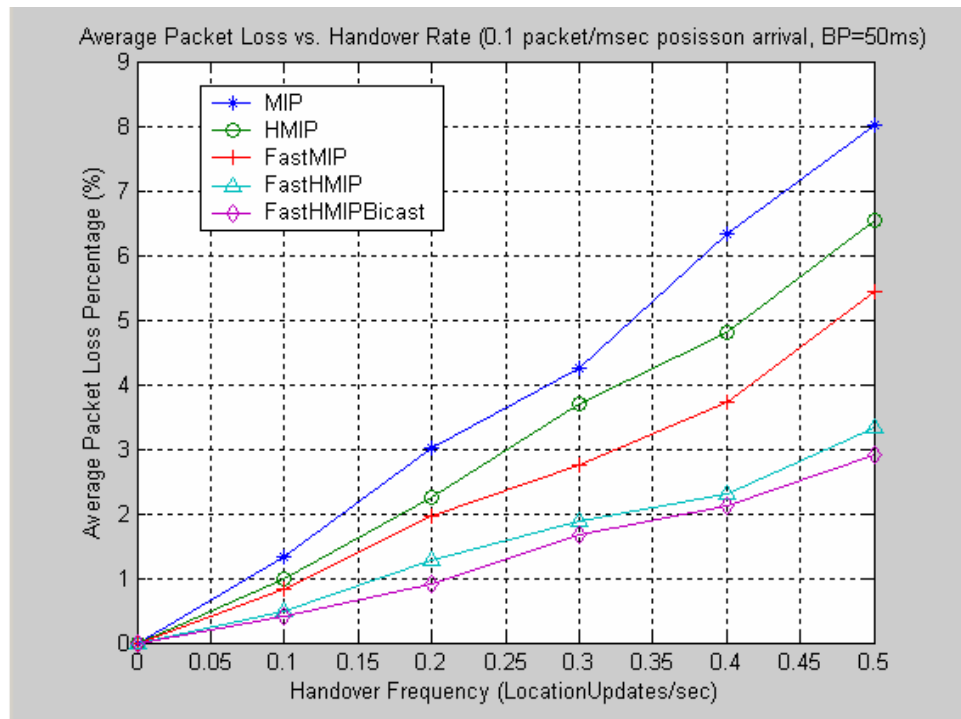


Figure 5. 11 Comparison of Algorithms (0.1 packet/msec Poisson arrival)

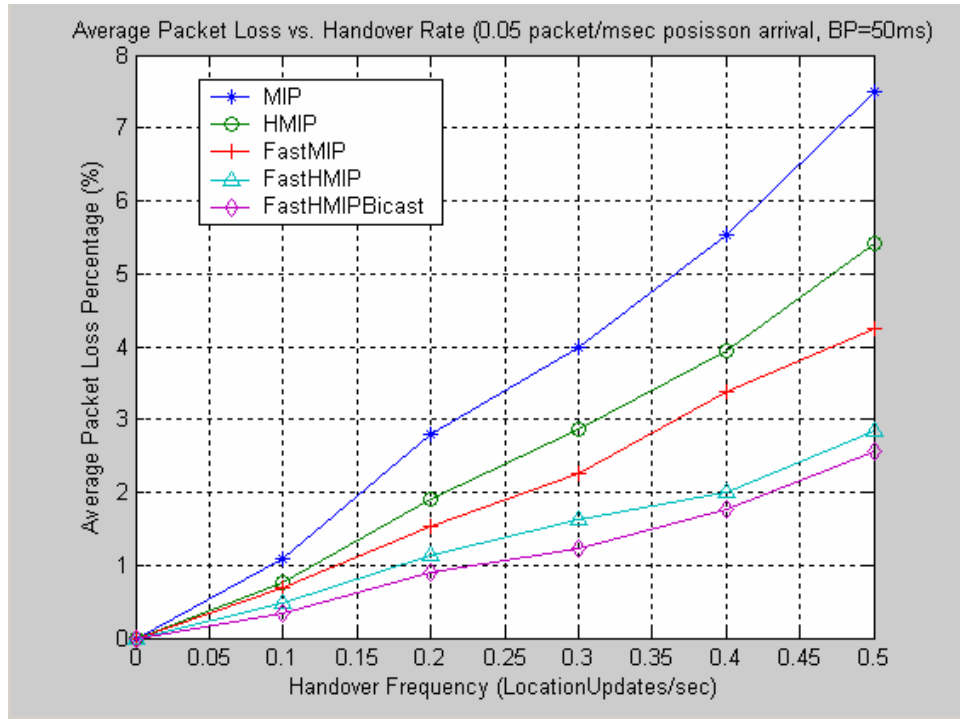


Figure 5. 12 Comparison of Algorithms (0.05 packet/msec Poisson arrival)

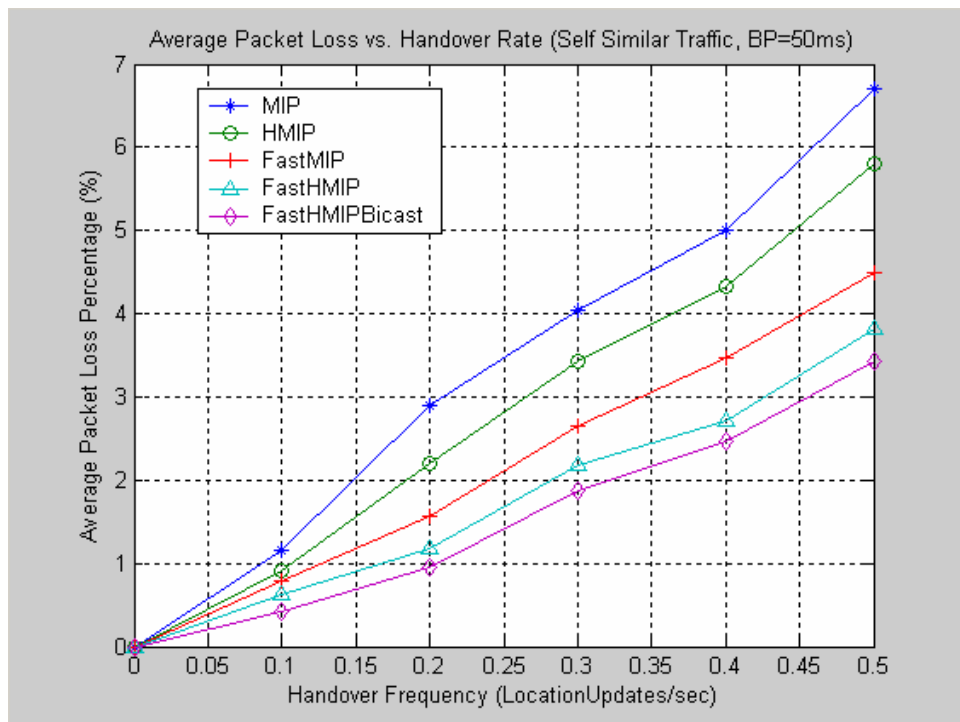


Figure 5. 13 Comparison of Algorithms (Self Similar Traffic)

5.5. Comparison of Performance Results and Other Studies

In the literature, it is found that a number of studies ([82] and [83]) also evaluate the proposed handover algorithms, i.e. Hierarchical Mobile IPv6 (HMIPv6), Fast Handover for Mobile IPv6 (Fast MIPv6) and Simultaneous Bindings for Mobile IPv6. In ([82] and [83]), these algorithms are compared in terms of handover latency and average throughput.

Table 5.7 illustrates the average throughput values and handover latencies obtained from the simulation experiments in [82]. In this reference, it is stated that the performance of HMIPv6 and Fast MIPv6 may depend on the experimental topology layout and that the performance ordering between these two algorithms can change with the simulation architecture.

Table 5. 7 Performance Results in [82]

Frameworks	Average Throughput (KBytes/sec)	Handover Latencies (msec)
MIPv6	100.847	814
HMIPv6	101.213	326
MIPv6+FastHandover	101.520	358
Simulcasting	101.580	268

Moreover, Table 5.8 shows the average throughput values and handover latencies obtained from the simulation experiments in [83]. In this study, it is found that the shorter handover latencies may not always mean higher average throughput. Even though Fast MIPv6 has better handover latency performance than HMIPv6, HMIPv6 does have slightly higher overall throughput. Furthermore, it is explained that this nontrivial solution is due to packet retransmissions during fast handover.

Table 5. 7 Performance Results in [83]

Frameworks	Average Throughput (KBytes/sec)	Handover Latencies (msec)
MIPv6	98.78	5487
HMIPv6	106.17	739
MIPv6+FastHandover	105.84	352
HMIPv6+FastHandover	107.76	301

When we compare the performance results in ([82] and [83]) with the ones in this thesis, we see that the performance results found in this thesis are consistent with those in ([82] and [83]) and also that the order of the obtained handover latencies in ([82] and [83]) is quite similar as the ones in this thesis. In our simulation experiments, we observe that the shorter handover latencies results in lower average packet losses. In addition, we see that the calculated handover latencies of the protocols strongly depend on the link delay models in the simulation network topology. Therefore, the link delay models in simulation experiments are quite important to evaluate the performance of the protocols properly.

CHAPTER 6

CONCLUSIONS AND FUTURE WORK

With recent advances in wireless mobile communication technologies and the rapid growth of the Internet, providing wireless Internet access to the mobile users without any interruption in their connections is of crucial importance. Enabling IP mobility in IP based networks is a significant issue for making use of various mobile devices appearing on the Internet. In this research, we have presented an overview of Mobile IPv6, its basic operations, main inefficiencies and the mobility management issues at the network layer. Route optimization mechanisms have been discussed as a means of improving the Mobile IPv6 performance. Moreover, handover algorithms for Mobile IPv6 have been surveyed and some of the main seamless handover proposals which tend to reduce the handover latency and packet loss have been described and evaluated. The proposed protocols try to solve the problem of the service disruption during Mobile IPv6 handover with different methods each. However, they have also some disadvantages and a possible combination of them is necessary in order to enhance the Mobile IPv6 protocol. In addition, as the proposed handover algorithms are quite new, there have not been enough research and evaluation done on these algorithms. Therefore, a proper performance evaluation of these algorithms either by simulations or test beds is of great significance for design issues. The performance measurements and the results of evaluations can then be used to improve these algorithms further. Simulation models also play a vital role in helping researchers to develop intuition.

In this thesis, the main performance measures for handover algorithms have been identified as reduction in delay, packet loss, signaling in the network architecture and also the transparency of the proposed protocol to upper layer protocols. The algorithms proposed to enhance the performance of Mobile IPv6 are Hierarchical Mobile IPv6, Fast Handover for Mobile IPv6 and Simultaneous Bindings for Mobile IPv6.

Hierarchical Mobile IPv6 (HMIPv6) protocol tries to make the Mobile IPv6 handover much faster by managing micro and macro user mobility differently and reducing the signaling between the home network and the mobile node (MN). In order to achieve seamless handover, HMIPv6 introduces a new node called the Mobility Anchor Point (MAP). In HMIPv6, the MN communicates with the MAP instead of the Home Agent (HA) and the Correspondent Node (CN) to decrease the handover latency. In case of micro mobility, i.e. the MN moves within a subnet or within a domain, the registration requests are handled locally and not transmitted to the HA. This reduces handover latency and location management cost. However, this great improvement is not sufficient to provide an uninterrupted communication during the handover. Another algorithm or a possible combination is also necessary to perform the Mobile IPv6 handover much faster.

Fast Handover for Mobile IPv6 (Fast MIPv6) protocol proposes to reduce the handover delay and packet loss by allowing the MN to form the new care of address (CoA) before it attaches to the new Access Router (nAR) and forwarding the packets destined to the MN from the old Access Router (oAR) to the nAR. This process aims to improve the handover performance of the Mobile IPv6 protocol by reducing handover latency. However, in Fast MIPv6 it is difficult to synchronize the forwarding time accurately to maintain lossless communication during handover. To solve this synchronization problem, Simultaneous Bindings for MIPv6 protocol is proposed.

Simultaneous Bindings for Mobile IPv6 protocol enables some enhancements for Fast MIPv6 by introducing a simultaneous binding function. The simultaneous bindings function solves the synchronization problem of Fast MIPv6

by bicasting or N-casting the traffic for a short period. The goal for the simultaneous bindings function is to reduce packet loss at the Mobile Node and to remove the timing ambiguity regarding when to start sending traffic for the Mobile Node to its new point of attachment following a Fast Handover. Another important goal for simultaneous binding function is to save the MN periods of service disruption in case of so-called ping-pong movement, i.e. when a MN moves back and forth between two Access Routers.

In this thesis, based on this survey of handover algorithms in Mobile IPv6, three main trends can be identified. The first trend, i.e. HMIPv6, is the widespread use of hierarchical architectures for supporting micro mobility and reducing signaling between the home network and the MN. The second trend, i.e. Fast MIPv6, is forming the new CoA before the MN attaches to the nAR and forwarding the packets destined to the MN from the oAR to the nAR. The third trend, i.e. Simultaneous Bindings for MIPv6, is the use of various forms of multicast capabilities in order to reduce packet loss during handovers. It is clear that we can obtain better performance by combining these three proposed protocols. In this thesis, the appropriate combination of the protocols, i.e. combined handover algorithm, is also presented and described in detail. The combined handover algorithm aims to use of hierarchical architectures, fast handover mechanisms and simultaneous binding function together to improve the handover performance of the standard Mobile IPv6 protocol efficiently.

In this study, the detailed performance evaluation of the handover schemes and the combined handover method is carried out through simulations. In order to properly predict the performance of handover extensions of Mobile IPv6, the user mobility, the network traffic, wired and wireless links in the simulated network topology are modeled through stochastic processes. Firstly, the network traffic is modeled by both traditional framework modeling (termed Poisson modeling) and self similar traffic modeling. Secondly, the user mobility is modeled by assuming that cell residence time of the mobile user exhibits generalized gamma distribution.

Thirdly, the links in simulation network architecture are modeled from real traces taken on the Internet between April and August of 2003.

Simulation results show that the HMIPv6 and the Fast MIPv6 protocols are capable of reducing handover latency and packet loss to some extent when compared to the standard Mobile IPv6 protocol. However, these crucial enhancements are not sufficient to provide an uninterrupted communication to the mobile users while roaming freely. The combined handover method that solves the handover related issues by combining the proposed algorithms is much more effective in terms of handover latency and packet loss compared to proposed protocols. However, the scalability problems and the possible bottleneck problem, i.e. the fact that all the communications within the MAP domain pass across the MAP, of the combined handover method should also be considered in order to provide efficient handover mechanism to the mobile users.

Another important point is that this research takes into account only the major scenarios that might occur in wireless mobile communication environment. These scenarios include both micro mobility and macro mobility of the mobile user. As a future work, the other possible scenarios which need testing and simulation can be implemented and simulated. For example, ping-pong movement of the mobile user, i.e. when the mobile user moves back and forth between two Access Routers, or handover to third scenario in the tunnel based fast handover might be a good investigation so as to improve the performance of Mobile IPv6 further. In addition, load balancing problem among multiple mobility anchor points, proper Layer 2 triggers implementations and the scalability problems of the combined handover approach are other issues need to be investigated. Also, the ability of Mobile IPv6 to support the mobile users roam freely between heterogeneous access technologies and the optimal choice of the access technology suitable for services the mobile user accesses can be investigated. Finally, in order to provide seamless service to the mobile user and improve the efficiency of Mobile IPv6, the method that uses QoS option in binding update messages can be studied.

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