

GROUND MOTION PREDICTION EQUATIONS BASED ON SIMULATED  
GROUND MOTIONS

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GROUND MOTIONS**

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**I hereby declare that all information in this document has been obtained and presented in accordance with academic rules and ethical conduct. I also declare that, as required by these rules and conduct, I have fully cited and referenced all material and results that are not original to this work.**

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## **ABSTRACT**

### **GROUND MOTION PREDICTION EQUATIONS BASED ON SIMULATED GROUND MOTIONS**

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Ground Motion Prediction Equations (GMPEs) are one of the key elements in seismic hazard assessment to estimate ground motion intensity measures by basically taking into account source, path and site effects. Most of the existing predictive models are derived from databases compiled from real (or observed) ground motion data. However, in data-poor regions, a novel practice to develop new GMPEs is to use simulated or hybrid ground motion datasets for performing reliable seismic hazard analysis. Simulations obtained from stochastic, deterministic or hybrid methods can provide reliable ground motion estimates and assist to understand the mechanisms of the earthquakes. This study starts with a discussion on the theory of stochastic finite-fault technique and the simulation process including source mechanisms, site and path effect parameters from the 1992 Erzincan ( $M_w$  6.6) and the 1999 Duzce ( $M_w$  7.1) earthquakes. Then the development of the regional GMPEs based on the synthetic database compiled from the Erzincan and Duzce earthquake simulations is presented. The proposed predictive model is evaluated by residual analysis under the synthetic model development database and the recorded Turkish ground motion database. The trends of the proposed ground motion model are also compared to the existing regional, local and global GMPEs. These comparisons indicate a good agreement

which is promising in the sense that the simulated ground motions can be contributed to the future development of GMPEs.

Keywords: Earthquake, Ground motion simulation, Stochastic finite-fault method, Ground motion prediction equation, Seismic hazard assessment

## ÖZ

### **BENZEŞTİRİLMİŞ YER HAREKETLERİNE DAYANAN YER HAREKETİ TAHMİN DENKLEMLERİ**

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Yer Hareketi Tahmin Denklemleri (YHTD), temel olarak depremlerin kaynak, yayılım ve saha etkilerini dikkate alarak yer hareketi yoğunluk ölçümlerini tahmin etmek için kullanılan sismik tehlike analizlerinin başlıca unsurlarından biridir. Mevcut tahmin modellerinin çoğu, gerçek (veya gözlemsel) yer hareketi verilerinden derlenen veritabanlarından üretilmiştir. Bununla birlikte, veri eksikliği olan bölgelerde, YHTD geliştirmeye yönelik yeni bir uygulama, güvenilir sismik tehlike analizleri için benzeştirilmiş veya karma yer hareketi verisetlerini kullanmaktır. Stokastik, deterministik veya karma yöntemlerden elde edilen simülasyonlar, güvenilir yer hareketi tahminleri sağlar ve depremlerin mekanizmalarını anlamaya yardımcı olur. Bu çalışmada ilk olarak, stokastik sonlu-fay tekniğinin teorisi ve 1992 Erzincan ( $M_w$  6.6) ve 1999 Düzce ( $M_w$  7.1) depremlerinin kaynak mekanizmaları, yayılım ve saha parametreleri dahil simülasyon süreci ele alınmıştır. Çalışmada daha sonra, Erzincan ve Düzce deprem simülasyonlarından derlenen sentetik veritabanı altında bölgesel YHTD gelişimi sunulmaktadır. Önerilen tahmin modeli, model geliştirme sentetik veritabanı ve kaydedilmiş Türk yer hareketi veritabanı altında residüel analizi ile değerlendirilmiştir. Önerilen yer hareketi tahmin modelinin eğilimleri, ayrıca mevcut bölgesel, yerel ve küresel YHTD ile karşılaştırılmıştır. Bu karşılaştırmalar,

benzeřtirilmiř yer hareketlerinin gelecekteki YHTD geliřimine katkıda bulunabileceęini belirten iyi bir uyum olduęunu gstermektedir.

Anahtar Kelimeler: Deprem, Yer hareketi simlasyonu, Stokastik sonlu-fay yntemi, Yer hareketi tahmin denklemi, Sismik tehlike analizi

To Mavi, Gözen, Mom and  
To my supervisor Prof. Dr. Ayşegül Askan Gündoğan

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## LIST OF SYMBOLS AND ABBREVIATIONS

|                             |                                                      |
|-----------------------------|------------------------------------------------------|
| <b>1D</b>                   | One-dimensional                                      |
| <b>2D</b>                   | Two-dimensional                                      |
| <b>3D</b>                   | Three-dimensional                                    |
| <b>EAF</b>                  | East Anatolian Fault                                 |
| <b>EMME</b>                 | Earthquake Model of the Middle East Region           |
| <b>ERD</b>                  | Earthquake Research Department                       |
| <b>EW</b>                   | East-West                                            |
| <b><i>f</i></b>             | Frequency                                            |
| <b><i>f<sub>c</sub></i></b> | Corner Frequency                                     |
| <b>F-K</b>                  | Frequency-Wavenumber                                 |
| <b>FAS</b>                  | Fourier Amplitude Spectrum                           |
| <b>GMPEs</b>                | Ground Motion Prediction Equations                   |
| <b>GMRotI50</b>             | Rotation-Independent Average Horizontal Component    |
| <b>H/V</b>                  | Horizontal-to-Vertical                               |
| <b>M<sub>0</sub></b>        | Seismic moment                                       |
| <b>MASW</b>                 | Multi-Channel Array Surface Waves                    |
| <b>M<sub>w</sub></b>        | Moment magnitude                                     |
| <b>NAF</b>                  | North Anatolian Fault                                |
| <b>NAFZ</b>                 | North Anatolian Fault Zone                           |
| <b>NEAF</b>                 | North East Anatolian Fault                           |
| <b>NEHRP</b>                | National Earthquake Hazards Reduction Program        |
| <b>NGA</b>                  | Next Generation of Ground Motion Attenuation Models  |
| <b>NS</b>                   | North-South                                          |
| <b>PEER</b>                 | Pacific Earthquake Engineering Research Center       |
| <b>PGA</b>                  | Peak Ground Acceleration                             |
| <b>PGA<sub>REF</sub></b>    | Peak Ground Acceleration for Reference Rock Site     |
| <b>PGV</b>                  | Peak Ground Velocity                                 |
| <b>PSA</b>                  | Pseudo Acceleration Response Spectra with 5% damping |

|                        |                                                                                                                         |
|------------------------|-------------------------------------------------------------------------------------------------------------------------|
| <b>PSV</b>             | Pseudo-Spectral Velocity                                                                                                |
| <b>R</b>               | Source-to-site-distance                                                                                                 |
| <b>ReMi</b>            | Refraction Microtremor                                                                                                  |
| <b>R<sub>EPI</sub></b> | Epicentral distance                                                                                                     |
| <b>R<sub>HYP</sub></b> | Hypocentral distance                                                                                                    |
| <b>R<sub>JB</sub></b>  | Joyner-Boore distance                                                                                                   |
| <b>R<sub>RUP</sub></b> | Rupture distance                                                                                                        |
| <b>RMS</b>             | Root Mean Square                                                                                                        |
| <b>RotD50</b>          | 50 <sup>th</sup> -percentile of the Rotated Orientation-independent, Period-Dependent<br>Combined Horizontal Components |
| <b>RS</b>              | Response Spectra                                                                                                        |
| <b>Q</b>               | Quality Factor                                                                                                          |
| <b>SASW</b>            | Spectral Analysis of Surface Waves                                                                                      |
| <b>SFF</b>             | Stochastic Finite-Fault                                                                                                 |
| <b>SPAC</b>            | Spatially Averaged Coherency                                                                                            |
| <b>T</b>               | Period                                                                                                                  |
| <b>T<sub>0</sub></b>   | Source Duration                                                                                                         |
| <b>V<sub>S30</sub></b> | The 30m-average shear wave velocity in units of m/s                                                                     |
| <b>β</b>               | Crustal shear wave velocity                                                                                             |
| <b>ρ</b>               | Crustal Density                                                                                                         |
| <b>μ</b>               | Shear Modulus                                                                                                           |
| <b>τ</b>               | Between-event (inter-event) Standard Deviation                                                                          |
| <b>φ</b>               | Within-event (intra-event) Standard Deviation                                                                           |
| <b>η<sub>i</sub></b>   | Between-event (inter-event) Residual                                                                                    |
| <b>ε<sub>ij</sub></b>  | Within-event (intra-event) Residual                                                                                     |
| <b>σ</b>               | Effective Stress                                                                                                        |
| <b>Δσ</b>              | Stress drop                                                                                                             |
| <b>κ<sub>0</sub></b>   | Zero-distance Kappa                                                                                                     |



## **CHAPTER 1**

### **INTRODUCTION**

#### **1.1. General**

Ground Motion Prediction Equations (GMPEs) provide peak ground motion intensity parameters such as peak ground acceleration and spectral acceleration depending on earthquake magnitude, faulting mechanism, source to station distance and soil condition etc. GMPEs are mathematical forms that fit parametric models to previous ground motion data to predict future ground motion estimates. For most of the applications, ground motion models are derived from the datasets that include real (i.e., observed or recorded) ground motion data. GMPEs could be specifically developed for a study region with enough data and parameter content, however, in spite of efforts to increase the number of seismic networks around the world, there are still seismically active areas with rare or no local observation points. For such regions, the formulation of GMPEs based on real regional records is significantly limited, despite an option to use real records from different parts of the world that have similar tectonics as well as soil conditions. But, it is not easy to find regions with the same or very similar physical characteristics on Earth.

Regional GMPEs may contain greater uncertainties since their databases are poorly represented for large magnitude earthquakes at close distances. In addition, global predictive models are based on extensive databases whose local properties may not be accurately expressed which can lead to uncertainty due to the small number of large earthquakes, even on a global extent. As another option, physics-based simulated ground motions with sufficient magnitude and distance ranges can be used in GMPEs to fill the gaps in real ground motion databases by constraining the GMPEs beyond data limits. The principal approach of ground motion simulations for reliable

estimates, is to model the source mechanisms as well as regional wave propagation characteristics. Besides, for the site-specific assessment of future earthquakes, simulated records combined with real past ground motions can be used instead of pure empirical data which are fitted to time series generally by interpolation, extrapolation and scaling. Consequently, one of the common practices for developing reliable regional GMPEs with limited data is to use simulated or hybrid strong ground motion datasets.

Three general types of simulation methods are deterministic, stochastic, and hybrid methods. The deterministic method is based on numerical or analytical solution of full wave propagation. This method gives the most accurate ground motion simulations with well-defined source properties and regional velocity model. Besides, this method requires significant computational resource and intensive knowledge of near surface soil materials. Deterministic method provides solutions to low frequency (long period) ground motion simulations. High frequency part of seismic waves is naturally random and qualified by the incoherency in their phase spectrum. Hence, high frequency ground motions are not mostly modeled by deterministic techniques. Stochastic method generates the acceleration time histories by combining the deterministic theoretical far field shear wave spectrum and random phase angles. High frequencies are efficiently modeled by this method although complete wave propagation and complex source effects are insufficient since the stochastic technique does not accurately model some effects of earthquake properties such as surface waves and directivity. The frequency range of the simulation methods is limited both in deterministic and stochastic techniques. Hybrid methods can accurately model the whole frequency range of time histories. In this method, the low frequencies are simulated with deterministic techniques and high frequencies are simulated by stochastic techniques. (e.g.: Kamae et al., 1998; Hartzell et al., 1999; Martin Mai and Beroza 2003; Hartzell et al., 2005; Hisada, 2008; Frankel, 2009; Graves and Pitarka, 2010; Mai et al., 2010).

The following section summarizes former studies on ground motion simulations followed by the past and current studies on ground motion prediction models.

## **1.2. Literature Review on Stochastic Ground Motion Simulations**

Ground motion simulations have always received the attention of the earthquake community. Earth scientists usually take advantage of simulated ground motions to find out fault effects, path and site conditions, while earthquake engineers utilize the simulations to obtain ground motion intensity measures and earthquake time history characteristics (frequency content, amplitude and duration) of a previous or future earthquake.

Stochastic approach is introduced to simulate moderate and high frequencies since deterministic simulations are restricted to low frequencies. Stochastic method was initially formed by combining impulses which have randomness in amplitudes and durations (Housner, 1947, 1955; Thomson, 1959). Aki (1967) modeled a  $\omega$ -square source spectrum where displacement is a ramp function of time. In comparison to similar studies (e.g.: Brune, 1970; Hanks, 1979), high frequency ground motion characteristics are best represented by Aki (1967). Brune (1971) determined the frequency characteristics of the fault rupture by including the effective stress near the fault plane into the previously proposed source-time function. Hanks and McGuire (1981) used finite duration, band-limited, white Gaussian noise to represent the random nature of the high frequency motions. Stochastic approach models an accelerogram which is random in time, with a Fourier amplitude spectrum based on geological and seismological information to describe the earthquake source, path effect, and the site parameters (Hanks and McGuire, 1981; Boore, 1983).

The stochastic point-source simulation proposed by Boore (1983) combined the source spectrum models of aforementioned authors with the works of Hanks and McGuire (1981) to generate high frequency time histories. Stochastic point-sources

are used to generate earthquake sources in this technique. However, for large earthquakes point-source modeling does not include finite-fault features (rupture dimensions, spatial slip variation, rupture directivity) that significantly affect the basic characteristics of ground motions (frequency content, amplitude and duration). As a result, stochastic finite-fault methods have been advanced to model near field acceleration time histories of large events (Beresnev and Atkinson, 1997, 1998a, b). The finite-fault technique simulates accelerograms by summing the contributions from discretized sub-faults with each sub-fault modeled as a point-source with a  $\omega$ -square spectrum. Rupture propagation initiates radially from the hypocenter which is on a sub-fault. Motazedian and Atkinson (2005) revised the stochastic finite-source model by introducing dynamic corner frequency to be time-dependent instead of static corner frequency that depends on the sub-faults size.

The use of ground motion simulation methods has recently draw the attention of earthquake engineering. The stochastic simulation approaches (either point-source or finite-fault) are widely used globally to generate accelerograms of moderate and larger earthquakes (e.g.: Hanks and Boore, 1984; Atkinson, 1984; Toro and McGuire, 1987; Beresnev and Atkinson, 1997; Atkinson and Silva, 2000; Erdik and Durukal, 2001; Durukal, 2002; Roumelioti et al., 2004; Motazedian and Atkinson, 2005; Yalcinkaya 2005; Motazedian and Moinfar, 2006; Shoja-Taheri and Ghofrani, 2007; Castro et al., 2008; Atkinson et al., 2009; Boore, 2009; Raghukanth and Somala, 2009, Ugurhan and Askan, 2010; Ugurhan et al., 2012; Ghofrani et al., 2013; Askan et al., 2013; Akinci and Antonioli, 2012; Zengin and Cakti, 2014; Askan et al., 2015; Askan et al., 2016; Ozlu et al., 2018; Karimzadeh and Askan, 2018). In the recent past, simulated ground motions have also been employed in engineering applications such as seismic demand evaluations, seismic loss and damage estimations and nonlinear dynamic structural analysis (e.g.: Sucuoglu et al., 2003; Bazzurro et al., 2004; Pacor et al., 2005; Krishnan et al., 2006a,b; Zhao et al., 2007; Ansal et al., 2009; Graves and Pitarka, 2010; Ugurhan et al., 2011; Atkinson and Goda, 2010; Atkinson et al., 2011; Galasso et al., 2013; Galasso and Zareian, 2014; Goda et al., 2015; Sørensen and Lang, 2015,

Karimzadeh et al., 2017a; Karimzadeh et al., 2017b; Karimzadeh, et al., 2017c; Ozsarac et al., 2017; Ozlu et al., 2018; Karimzadeh et al., 2018).

In this study, simulated ground motions obtained by the stochastic finite-fault technique (Motazedian and Atkinson, 2005) are employed in regional GMPEs.

### **1.3. Literature Survey on Ground Motion Prediction Equations**

Practice of GMPEs started in the western United States following the first attenuation relationships introduced by Esteva and Rosenblueth (1964). This first model is followed by several ground motion prediction relations developed for United States, which considered only peak ground motions or both peak ground motions and spectral ordinates (e.g.: Trifunac and Brady, 1975, 1976; McGuire, 1976, 1977; Idriss, 1978, 1991; Hays, 1980; Campbell, 1981, 1989; Boore and Joyner, 1982; Joyner and Boore, 1981, 1988; 1996, Boore et. al., 1994; Boore et. al., 1997; Abrahamson and Silva, 1993, 1997). The evolution of GMPEs in United States is continued with improvements in the functional forms of prediction equations and compilation of the data from shallow crustal earthquakes of other seismic zones with attenuation characteristics similar to the western USA (e.g.: Campbell and Bozorgnia, 2000, 2003; Atkinson and Boore, 2003; Atkinson, 2006). Thereafter, a multidisciplinary research program named as the “Next Generation of Ground Motion Attenuation Models” (NGA) project (Power et al., 2008) is coordinated using an updated and expanded Pacific Earthquake Engineering Research Center (PEER) ground motion dataset. New models inside of this project named as NGA-West1 are improved for extensive ranges of magnitude, distance, soil classification, as well as spectral periods of than those used in the previous ground motion relations (e.g.: Abrahamson and Silva, 2008; Boore and Atkinson, 2008; Campbell and Bozorgnia, 2008; Chiou and Youngs, 2008). Lastly, the NGA-West2 project is constituted to improve the NGA-West1 models with respect to additional ground motion records, predictor variables, enlarged magnitude

and distance boundaries (e.g.: Abrahamson et al., 2014; Boore et al., 2014; Campbell and Bozorgnia, 2014; Chiou and Youngs, 2014).

On the other hand, Ambraseys (1975) started GMPE studies in Europe, about 10 years after the first attenuation relationships presented in USA. Predictive models continued by a number of revisions and advancements to finally provide an extensive study on GMPEs for seismic regions of Europe and the Middle East (e.g.: Ambraseys, 1978, 1990, 1995; Ambraseys et al., 1996; Ambraseys et al., 2005). As a result of growing size and quality of the ground motion datasets, the number of new predictive models exclusive to a zone or country as well as models using combined data from different countries are increased (e.g.: Bommer et al., 2007; Akkar and Bommer, 2007, 2010, Akkar et al., 2014). The complexity level to express the physical formation of earthquakes increased with extended data of earthquake catalogs within global or national programs. Correspondingly, GMPEs regarding country-based datasets are generated for several European countries (e.g.: Danciu and Tselentis, 2007; Akkar and Cagnan, 2010; Bindi et al., 2010; Ameri et al., 2017). Recently, Kale et al. (2015) provided a ground motion predictive model for Turkey using data from both Turkey and Iran which is compiled from the Earthquake Model of the Middle East Region (EMME) project to explore the regional characteristics that affect amplitudes of recorded time histories in shallow crustal earthquakes.

In the meantime, the attenuation relationships in Turkey are developed by Inan et al. (1996), Aydan et al. (1996), Aydan (2001), Gulkan and Kalkan (2002), Kalkan and Gulkan (2004), Ozbey et al. (2004), Ulusay et al. (2004), Akyol and Karagoz (2009) and Ulutas and Ozer (2010). The estimator parameters of Turkish GMPEs generally have different measures for magnitude scale, type of distance and the soil effect categorization. Inan et al. (1996), Aydan et al. (1996) and Aydan (2001) estimates the peak ground acceleration (PGA) for Turkish earthquakes with very limited dataset by simple functional forms that contains only surface magnitude and epicentral distance. However, the rest of above-mentioned GMPEs predict the PGA and 5% damped

pseudo acceleration (PSA) response spectra (Ulusay et al. (2004) estimates only the PGA) and employ moment magnitude, different distance measures (closest horizontal distance, epicentral distance, rupture distance, hypocentral distance) and different site effect considerations from datasets with record numbers ranging between 90 and 210. Site characterization based on the averaged shear-wave velocity in the upper 30 m ( $V_{S30}$ ) is employed in the studies of Gulkan and Kalkan (2002), Kalkan and Gulkan (2004), while Ozbey et al. (2004), Ulusay et al. (2004) and Akyol and Karagoz (2009) use the rock, stiff soil, soil, and soft soil groups for soil conditions. Ulutas and Ozer (2010) do not include the site effects. The minimum magnitude used in aforementioned Turkish GMPEs are generally varies from 5 down to 4, while the upper limits are 7.4 and 7.6. Recently, the more sophisticated GMPEs based on larger and more comprehensive national databases are developed by Akkar and Cagnan (2010) and Kale et al. (2015). Peak ground velocity (PGV) is also estimated by these GMPEs in addition to PGA and spectral accelerations. As a significant difference from previous GMPEs, faulting mechanism for strike-slip, normal and reverse earthquakes is included in the functional forms by these two ground motion model, in addition to other predictor variables that are moment magnitude and Joyner-Boore distance ( $R_{JB}$ : distance closest to the surface projection of fault rupture) and site effects based on  $V_{S30}$ . Datasets used by these recent GMPEs are extended and improved. Akkar and Cagnan (2010) have 433 records from 137 earthquakes in their dataset while Kale et al. (2015) has a database with 670 accelerograms from 175 Turkish earthquakes. However, due to limited datasets as a result of sparsely installed strong motion stations in the country, there is still a need of better developed local ground motion predictive models with extended magnitude and distance range to estimate peak ground motions (Kale et al., 2015). Although global GMPEs consist of comparatively larger datasets, they may not mimic the regional characteristics properly.

Physics-based ground motion simulations significantly contribute in developing synthetic datasets to fill the gaps in real strong motion datasets with as many earthquake-site pairs as needed under controlled parameter selection. Broadband

simulations are necessary in characterizing the engineering viewpoint of seismic ground motions particularly for poorly represented conditions in ground motion databases, e.g., in the NGA-West1 project, the data from higher magnitudes ( $M_w > 7$ ) at moderate to close distances ( $< 45$  km) are relatively sparse (Chiou et al., 2008). Therefore, simulations derive solutions to two important issues of ground motion prediction as follows: constraining the GMPEs beyond data limits; and response history analysis using waveforms for conditions not represented in empirical databases. In the recent times, several studies have been proposed to develop regional GMPEs with simulated strong ground motion datasets (e.g.: Campbell, 2003; Atkinson and Boore, 2006; Atkinson and Macias, 2009; Allen, 2012; Edwards and Fah, 2013; Bauman and Dalguer, 2014; Yenier and Atkinson, 2015; Shahjouei and Pezeshk, 2016; Bora et al., 2017) and with hybrid strong ground motion databases (e.g.: Anbazhagan et al., 2013; Sharma and Harbindu, 2014; Bydlon et al., 2017; D'Amico et al., 2018).

#### **1.4. Objective and Outline**

In this dissertation, the use of regional GMPEs developed from a simulated ground motion database is assessed by comparing the proposed model with the up-to-date local Turkish ground motion model (Kale et al., 2015) and other previous local and global GMPEs.

Accelerograms are simulated from the Erzincan and Duzce regions which are on the Eastern and Western territories of seismically active North Anatolian Fault zone (NAFZ), respectively. The approach is employed for the most hazardous fault zone in Turkey, both considering a well-investigated and densely monitored area (Western part, Duzce) and a relatively less studied but seismically very active region with sparse seismic stations (Eastern part, Erzincan). In this thesis, the synthetic ground motion dataset is implemented from the past studies (Ugurhan and Askan, 2010; Askan et al., 2013; Askan et al., 2015; Karimzadeh et al., 2017b; Karimzadeh et al., 2018).

Simulated ground motion records of Erzincan and Duzce regions are generated by investigating source mechanisms, path, and site parameters from the March 13, 1992 Erzincan ( $M_w$  6.6) and the November 12, 1999 Duzce ( $M_w$  7.1) events with stochastic finite-source technique based on dynamic corner frequency. For this purpose, in these studies first the underlying physical source mechanism, path effect and site properties are analyzed. Then, these earthquakes are simulated with seismological and geological parameters obtained from the regions to confirm the simulated accelerograms. Validated models are used to produce a large number of ground motion data from potential scenario earthquakes. Thus, the simulated database employed in this study is well constrained.

Simulated ground motion database is used herein for the development of regional GMPEs. The functional form is structured by the limitations of the simulated database with the number of estimator parameters (i.e., magnitude, distance, faulting mechanism, soil classification, etc.). Regression analysis is applied and the simulated database is regressed to obtain model coefficients and standard deviations. Predictive model results are obtained as median estimations and standard deviation values. Residual analysis is also employed. Finally, the proposed regional GMPEs is compared to previous regional models as well as NGA-West2 GMPEs.

Chapter 2 presents the fundamentals of finite-fault stochastic method. Details of the stochastic approaches (both point-source and finite-fault) are introduced. Model parameters are also described in detail.

In Chapter 3, Erzincan and Duzce regions are presented with the history of regional seismic characteristics. Strong ground motion records of the near-source recording stations are studied. Next, the simulation parameters are explained. Simulation results at the stations and simulated ground motion datasets for each region are presented.

In Chapter 4, definitions of response variables (dependent variables or ground motion intensity parameters) and estimator parameters (independent variables in the regression analysis) are given. Simulated ground motion database used in development of GMPE with main features is provided. Functional form that is structured by the limitations of the simulated dataset is provided with the number of estimator parameters (i.e., magnitude, distance, faulting mechanism, soil classification, etc.). Regression analysis approach is explained. Then, the stochastic data is regressed to obtain model coefficients and sigma values. Predictive model results are provided. In addition, residual analysis is presented. Finally, an evaluation of proposed ground motion model is performed against previous global and regional ground motion predictive models.

Chapter 5 presents the important findings and conclusions of this dissertation. In addition, suggestions for future studies are discussed at the end of the thesis.

## **CHAPTER 2**

### **STOCHASTIC GROUND MOTION SIMULATION METHODOLOGY**

#### **2.1. General**

The basic principles of the stochastic simulation method are presented in this chapter. Deterministic and stochastic simulation methods are used to generate the amplitudes and frequency content of acceleration time histories of earthquakes. Deterministic approach needs precise source characteristics and material models to generate the lower frequencies of time histories ( $< 1$  Hz) that are usually coherent. However, high frequency band of ground motions ( $>1$  Hz) has a random characteristic which constituted of random phases (Hanks and McGuire, 1981). Stochastic method is principally proposed to model this incoherency in phase angles. Two fundamental stochastic approaches exist: point-source and finite-fault techniques. The scope of this thesis includes the stochastic finite-fault method only. Thus, deterministic approach is not presented herein.

Section 2.2 presents the stochastic point-source methodology. This technique combines the earthquake source characteristics, rupture propagation pattern and site model as a windowed Gaussian noise in frequency space to generate synthetic ground motions. It is a practical method to simulate far-field accelerograms of small to moderate magnitude events. In subsections 2.2.1 to 2.2.3, earthquake source, propagation and site effects are described in depth, respectively. The finite-fault approach in detail is presented in Section 2.3. The differences between the alternative methods are also expressed.

## 2.2. Stochastic Point-Source Modeling

High frequencies of accelerograms (especially S-waves) are responsible for structural damage. Besides, S-waves constitute the major content of ground motions, specifically of the horizontal components. Stochastic approach depends on the stochastic nature in the energy propagation of the earthquake source, thus past events can be simulated effectively. Using random phases and frequency domain highly reduces the computational works in stochastic method. In this technique, ground motion intensity measures (peak acceleration and velocity) in time-domain, the short period P- and S- wave amplitudes, and also Fourier Amplitude Spectrum (FAS) in frequency domain can be estimated accurately (e.g.: Hanks and McGuire, 1981; Boore, 1983; Boore and Atkinson, 1987; Silva and Lee, 1987; Toro and McGuire, 1987).

The essence of the stochastic method is to filter a set of windowed, random acceleration time series to reach a deterministic target spectrum. The  $\omega$ -square source spectrum, which represents the high frequency ground motion characteristics, suggested by Aki (1967) started the accurate prediction of peak ground acceleration. Later, Brune (1971) determined the frequency characteristics of the fault rupture by including the effective stress close the fault plane into the previously proposed source-time function.

Boore (1983) proposed stochastic point-source approach to create S-wave part of the seismic waves, and followed the studies of Hanks and McGuire (1981) to model the random nature of the high frequency ground motion of shear-waves. The point-source model is proportional to earthquake magnitude that depends on only the seismic moment. This approach is applied with one source parameter: stress drop (the difference of stress before and after the rupture occurs). This basic idea provides an accurate representation of high frequency band of strong ground motion recordings.

In stochastic simulation methodology, the following process is employed to generate the time histories. A band-limited Gaussian white noise for a specified finite duration of motion is generated. This noise is windowed to get a physical appearance of an accelerogram, and then converted to the frequency domain. Mostly, boxcar and Saragoni-Hart windows (Saragoni and Hart, 1973) are used. Spectrum of the windowed noise is normalized by the square root of its mean square amplitude spectrum and is multiplied by the deterministic theoretical S-wave amplitude spectrum. The spectrum is transformed back to the time domain to yield the ground motion acceleration time series. Simulation of ground motion in this manner manage two main subjects: generation of time series with a finite duration, and a specified amplitude spectrum finalized with physical representation of the earthquake source, rupture propagation and site characteristics (Boore, 1983). The algorithm is described given in Figure 2.1.

The Fourier amplitude spectrum of a ground motion in stochastic point-source method as proposed by Boore (1983) is presented in Equation 2.1. This is the result of the source spectrum  $E$ , path effect filter  $P$ , site effect filter  $G$  and the type of motion parameter  $I$ :

$$Y(M_0, R, f) = E(M_0, f) * P(R, f) * G(f) * I(f) \quad (2.1)$$

where  $M_0$  is the seismic moment,  $R$  is the source-to-site-distance,  $f$  is the frequency, and  $I(f) = (2\pi f i)^n$  states the type of time series, for ground displacement; ( $n = 0$ ), ground velocity; ( $n = 1$ ), or ground acceleration; ( $n = 2$ ).

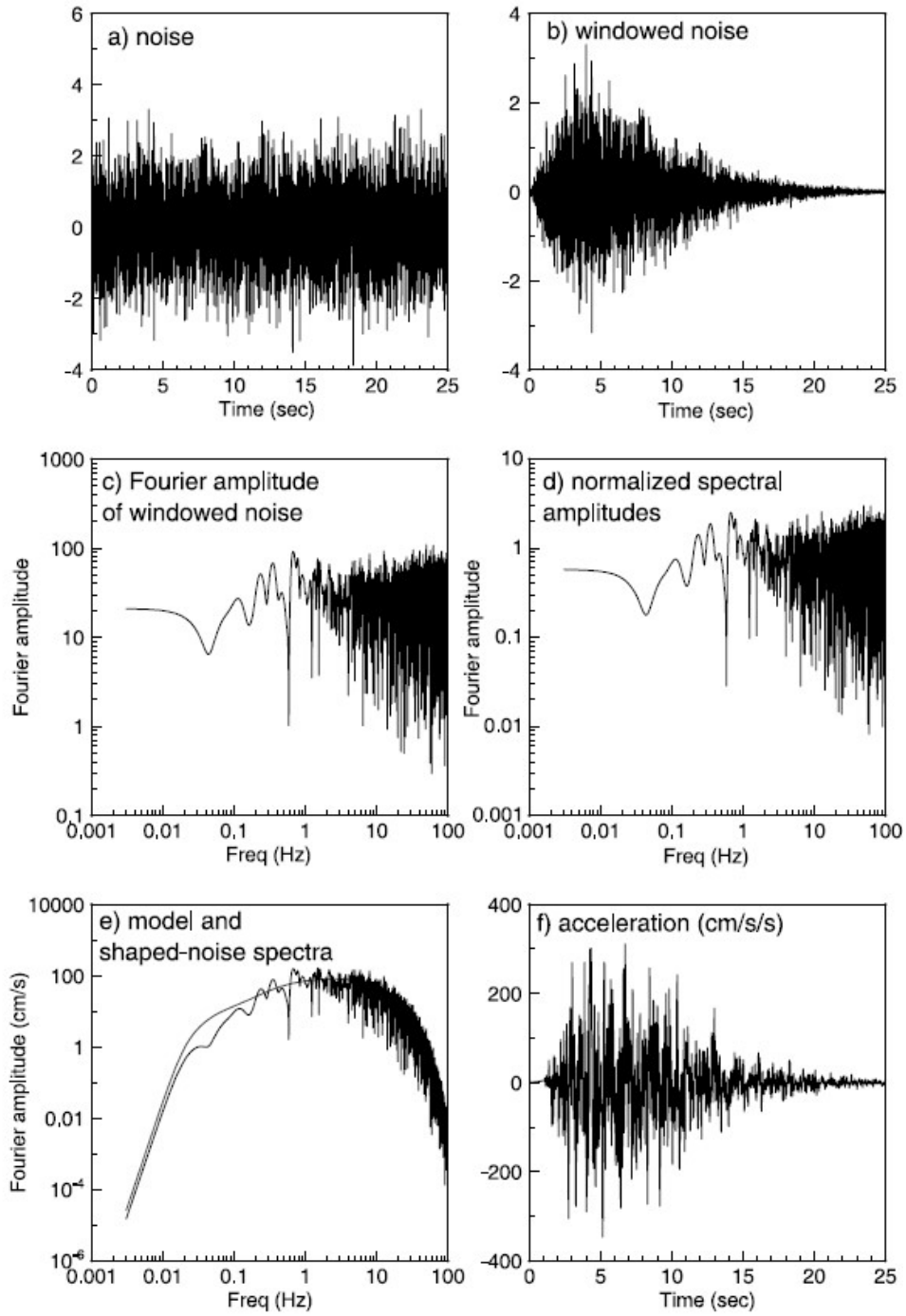


Figure 2.1. Algorithm of the stochastic point-source modeling (Adapted from Boore, 2003)

### 2.2.1. Source Spectrum

Source models contain parameters of a kinematic rupture process like fault plane geometry, depth of the fault, rupture velocity, slip distribution, stress drop and earthquake magnitude. Source spectrum describes displacements of physical process occurred at the source because of the shear wave propagation. Source-time function is the most uncertain part of the representation of seismic displacements.

To characterize the source spectrum, theoretical solution for the far-field shear wave displacement in a homogeneous, isotropic, unbounded medium due to a point shear dislocation is presented as follows:

$$u(x, t) = \frac{R_{\theta\phi}}{4\pi\rho\beta^3R} M'(t) \left( t - \frac{R}{\beta} \right) \quad (2.2)$$

where  $u(x, t)$  is the dynamic displacement field at point  $x$ ,  $R_{\theta\phi}$  indicates the radiation pattern reflecting the variation of the displacement field for different directions due to a shear dislocation,  $\beta$  is the shear-wave velocity which is assumed to be constant at the crustal level,  $R$  is the source to receiver distance and  $M'(t)$  is the moment rate function which is the time derivative of the seismic moment  $M(t)$  as defined in Aki and Richards (1980).

In general, seismic moment is described as follows:

$$M(t) = \mu \bar{u}(t) A \quad (2.3)$$

where  $\mu$  is the shear modulus or rigidity which is assumed to be constant at the crustal level,  $\bar{u}(t)$  is the source time function and  $A$  is the dislocation area.

In stochastic technique, the source-time function which is a smoothed ramp function and its time derivative are defined as follows:

$$\bar{u}(t) = \frac{\sigma}{\mu} \beta t \left[ 1 - \left( 1 + \frac{t}{\tau} \right) e^{-\frac{t}{\tau}} \right] \quad (2.4)$$

$$\bar{u}'(t) = \frac{\sigma}{\mu} \beta \left( \frac{t}{\tau} \right) e^{-\frac{t}{\tau}}$$

where  $\sigma$  is the effective stress that is effective on the dislocation area, and time parameter  $\tau$ , manages the rate of displacements.

The total seismic moment can be expressed as:

$$M_0 = \mu \bar{u}(\infty) A \quad (2.5)$$

where we obtain  $\bar{u}(\infty)$  from Equation 2.4 as  $\bar{u}(\infty) = \frac{\sigma}{\mu} \beta \tau$ .

Combining Equations 2.2, 2.3 and 2.4, the corresponding displacement can be obtained as follows:

$$u(x, t) = \frac{\Re_{\theta\theta} M_0}{4\pi\rho\beta^3 R \tau} \left( \frac{t - \frac{R}{\beta}}{\tau} \right) e^{-\frac{\left[ t - \frac{R}{\beta} \right]}{\tau}} \quad (2.6)$$

The Fourier transform of Equation 2.6 yields:

$$u(x, \omega) = \frac{\Re_{\theta\theta} M_0}{4\pi\rho\beta^3 R} \left[ \frac{1}{1 + \left( \frac{\omega}{\omega_c} \right)^2} \right] \quad (2.7)$$

The corner frequency ( $f_c = \omega_c / 2\pi$ ) is expressed by Brune (1970, 1971) as:

$$f_c = 4.9 \times 10^6 \beta_s \left( \frac{\Delta\sigma}{M_0} \right)^{1/3} \quad (2.8)$$

where  $f_c$  is in Hz,  $\beta_s$  is shear wave velocity in km/s,  $\Delta\sigma$  is stress drop in bars, and  $M_0$  is in dyne-cm. Equations 2.7 and 2.8 form the source function.

The theoretical source spectrum used in the stochastic simulations is in the functional form generally given as:

$$E(M_0, f) = C \cdot M_0 \cdot S(f) \quad (2.9)$$

where  $C = (R_{\theta\phi} \cdot FS \cdot PRTITN) / (4\pi\rho_s\beta_s^3 R_0)$  indicates a scaling constant,  $R_{\theta\phi}$  is the radiation pattern constant and generally taken as 0.55 for shear waves.  $FS$  denotes the amplification on the free surface and is taken as 2.  $PRTITN$  is a reduction term with value of  $1/\sqrt{2}$ , that indicates the separation of the total energy into two horizontal components.  $\rho_s$  is the density and  $\beta_s$  is the shear wave velocity.  $M_0$  is the seismic moment usually formulated by  $\mu\bar{u}A$  where  $\mu$  is the shear modulus or rigidity,  $\bar{u}$  states for the average slip and  $A$  is the fault area.

Hanks and Kanamori (1979) developed the Equation 2.10 to express a relationship between seismic moment ( $M_0$ ) and moment magnitude ( $M$ ) as follows:

$$M = \frac{2}{3}\log(M_0) - 10.73 \quad (2.10)$$

$S(f)$  in Equation 2.9, is the principal factor for building the source spectrum which accounts for the displacement source function. Brune (1970) spectrum model is one of the most well-known functions for source spectrum. In this model, propagation of shear waves starts from the focus at the center of a fault plane. The seismic moment,  $M_0$  and constant stress drop,  $\Delta\sigma$  that controls the high frequency amplitudes of earthquakes are the main factors controlling this model. The source spectrum by Brune (1970) is expressed as follows:

$$S(f, f_c) = \frac{1}{1 + \left(\frac{f}{f_c}\right)^2} \quad (2.11)$$

For most stochastic simulations Brune spectrum predicts the higher frequency ground motion amplitudes effectively. However, this spectrum assumes a circular fault rupture and cannot give adequate results specifically at low frequencies for large magnitude events in which fault geometry is substantial. Alternatively, as provided in Section 2.3, finite-fault techniques are improved for large magnitude events.

In stochastic finite-fault technique, Brune source spectrum with only one corner frequency is utilized. This method is enhanced with a dynamic corner frequency theory where corner frequency is considered as time-dependent. Eventually, source effects are quite complicated and uncertain when compared to all other seismic properties since complex source behavior cannot be fully included. Because of this reason, stochastic simulations are limited at low frequencies that are highly influenced by the source mechanism of large magnitude earthquakes (Askan et al., 2013).

### **2.2.2. Path Effects**

The path effect is the second significant factor in Equation 2.1 that affect the characteristics of ground motion records. Seismic waves are subjected to several processes as they travel within the earth. This causes modification in their frequency content, amplitudes, durations and velocities. Path propagation can be grouped as elastic and anelastic effects. Geometric spreading and scattering are elastic processes which express the decrease of ground motion amplitudes since seismic waves radiate over a continually growing area. Besides, the anelastic attenuation represents the energy loss in the form of heat energy, mostly due to particle interactivity (Romero and Rix, 2001). Consequently, in geometric spreading seismic energy is preserved, however in anelastic attenuation seismic energy is lost.

Geometric spreading indicates the decrease of amplitudes, inversely proportional to distance from source to site in the region of interest. As a result, geometric spreading parameter is generally used in accordance with the available regional datasets. Also geometric spreading models derived from global data can be utilized for similar seismological and geological regions.

Seismic waves are subjected to damping while travelling through the Earth which is not perfectly elastic. Hence, the spectral amplitudes of the waves decrease as they propagate along the mediums with different properties. This sort of damping is called “anelastic attenuation” and identified with the quality factor,  $Q$  (Lay and Wallace, 1995).  $Q$  value varies depends on the seismological and geological features of the regions (Aki, 1980).

$Q$  value is frequency-dependent specifically at higher frequencies and is generally given as  $Q = Q_0 f^n$ . The constant  $Q_0$  defines heterogeneities in soil and  $n$  is a regional constant (Raghukanth and Somala, 2009). If the  $Q$  value is small for a region, this expresses that the waves are subjected to higher attenuation and their amplitudes decreases rapidly.

The total path effect is a combination of geometrical spreading and anelastic attenuation and given as follows:

$$P(R, f) = Z(R) e^{\left(-\frac{\pi f R}{Q(f) \beta}\right)} \quad (2.12)$$

where  $Z(R)$  is geometrical spreading function,  $R$  indicates distance of the recorder from the focus,  $Q(f)$  is the quality factor. The anelastic attenuation term in Equation 2.12, gives the exponential decay of ground motion amplitudes. Spectral ratio and Coda wave methods based on weak motion data analyses are mostly used to obtain  $Q$  factors (Atkinson and Mereu, 1992).

$Z(R)$  is mostly given in terms of distance-dependent piecewise continuous functions as follow (Boore, 2003):

$$Z(R) = \begin{cases} \frac{R_0}{R} & R \leq R_1 \\ Z(R_1) \left(\frac{R_1}{R}\right)^{p_1} & R_1 \leq R \leq R_2 \\ \vdots & \vdots \\ Z(R_n) \left(\frac{R_n}{R}\right)^{p_n} & R \leq R_n \end{cases} \quad (2.13)$$

In stochastic modeling, another significant path parameter is the ground motion duration that is directly related with the rupture kinematics and source-to-site distance. It is an important factor to simulate signal accurately since amplitudes attenuate with duration. In general, the duration model is given as:

$$T = T_0 + bR \quad (2.14)$$

$T_0$  is the source duration,  $b$  is a region-dependent parameter (can be constant or distance-dependent) and  $R$  is distance of the receiver from the focus.  $T_0$  increases with the magnitude, and it is related with the corner frequency ( $T_0 = 1/(2f_c)$  Beresnev and Atkinson, 1997).

Eventually, specifying regional models for quality factor, geometric spreading and duration are not an effortless process. Extensive and reliable local databases are required. Comparison of simulated and observed time histories give an accurate understanding of earthquake source and propagation characteristics; but, it is an iterative process with trade-off property. Ideal parameters are usually obtained by comparing the FAS of the observed and generated ground motions.

### 2.2.3. Site Effects

The amplification and diminution of the seismic waves are directly affected by the soil profile of a site. The local site characteristics are related with the reflection, refraction, and diffraction of waves through the heterogeneous medium under the sites. For easiness the structure of earth is generally modeled with one-dimensional medium. Hence, soil material, thicknesses, and wave velocity properties are significant for an adequate site effect modeling.

The amplitude, duration, and frequency content of the seismic waves are influenced by the site properties. The soil velocity and density usually decreases from bedrock levels up to the ground. As the seismic waves travel the seismic impedance reduces, so wave amplitudes increase to preserve the elastic energy (Kramer, 1996). In the meantime, wave amplitudes counteracting decrease due to the damping impact in soft soil strata. As a result, site effects contain both amplification and diminution of the waves.

In stochastic method, the complete site effects filter is given as:

$$G(f) = A(f) D(f) \quad (2.15)$$

where  $A(f)$  is the amplification and  $D(f)$  is the energy loss at high frequencies independent of path. Site and path effects have different characteristics. Path effects are principally related with the wave propagation from source within the deep layers of earth but site response contains the shallow soil strata below the surface.

Next, the theory of amplification and diminution factors are presented.

*i: Calculation of  $A(f)$ :*

Identifying soil properties and site amplification is a main part of simulations process. Among numerous techniques for modeling site effects, theoretical transfer functions method that uses the complete soil velocity profiles gives the most adequate results. Boreholes, seismic reflection and refraction are the well-known procedures to obtain the velocity profiles. But these techniques are expensive and complicated, especially for deep sites. Theoretical transfer functions can be calculated by analyses from the one-, two- and three-dimensional velocity models (Haskell, 1960; Kennett, 1983; Sanchez-Sesma, 1987; Pitarka et al., 1998).

An alternative technique to get the shallow or deep velocity profiles is surface wave measuring by “active sources” (i.e., hammers, vibrators, shakers, etc.). Two well-known active-source methodologies to obtain velocity profiles are Spectral Analysis of Surface Waves (SASW) (Stokoe et al., 1994) and Multi-Channel Array Surface Waves (MASW) (Park et al., 1999). Theoretical dispersion curves of the generated and measured velocity profiles are matched as a result of iteration steps (Rosenblad and Li, 2009).

Shallow wave models can be also determined by “passive seismic” methods that employ microtremors. These small vibrations within earth are recorded and studied with one of the existing passive techniques which are Refraction Microtremor (ReMi) (Louie, 2001), Frequency-Wavenumber (F-K) (Schmidt, 1986) and Spatially averaged coherency (SPAC) (Asten et al., 2003).

The quarter wavelength approach is another option to compute the site amplification factors (Joyner and Fumal, 1985; Boore and Joyner, 1997). The equation is expressed as:

$$A(f(z)) = \sqrt{\frac{\rho_s \beta_s}{\bar{\rho}(z) \bar{\beta}(z)}} \quad (2.16)$$

where  $f(z) = \frac{1}{4S_{tt}(z)}$  is the frequency corresponding to depth  $z$  where  $S_{tt}(z)$  defines S-wave travel time from depth  $z$  to the ground;  $\rho_s$  and  $\beta_s$  indicates the density and S-wave velocity around the source, respectively;  $\bar{\rho}(z)$  and  $\bar{\beta}(z)$  is travel-time-weighted average of density and S-wave velocity to depth  $z$ , respectively. ( $\rho_s \beta_s$  : seismic impedance at source level,  $\bar{\rho}(z) \bar{\beta}(z)$  : average seismic impedance calculated over depth  $z$  corresponding to a quarter of wavelength).

The close estimations of the quarter wavelength method are checked against the exact theoretical amplifications and their accuracy is verified (e.g.: Boore and Joyner, 1991; Silva and Darragh, 1995; Boore and Joyner, 1997). This approach applied on representative soil profiles by Boore and Joyner (1997) and they attained generic site amplification functions in accordance with National Earthquake Hazards Reduction Program (NEHRP 2009) site classes. When detailed soil profile is not available at a certain site, generic amplification functions can be used considering their uncertainty.

Another popular technique to determine site amplification factors is empirical Horizontal-to-Vertical ratio (H/V) approach for sites that velocity profile does not exist (Nakamura, 1989). This technique uses the assumption that the horizontal components of ground motions are more exposed to local soil effects. The ratio of the components measured at the surface (horizontal to vertical) eliminates the complex source and path propagation effects. The result of this division is the site amplification which is experienced by horizontal component. Weak ground motions and aftershocks are used to predict the fundamental frequencies and the related amplifications, as an advantage of this method. The strong motions, however, fail to find amplifications although they can effectively find the fundamental frequencies of soils (Sisman et al., 2018). The H/V method has been utilized in many research studies to obtain local site

amplifications and its success has been verified (e.g.: Lermo and Cháves-García, 1994; Suzuki et al., 1995; Huang and Teng, 1999; Raghukanth and Somala, 2009; Sisman et al., 2018).

Among the aforementioned methods to model local soil effects in stochastic simulations, Boore and Joyner (1997) approach is used in Erzincan region; while, both H/V and Boore and Joyner (1997) methods are applied in Duzce (Ugurhan and Askan, 2010; Askan et al., 2013; Askan et al., 2015; Karimzadeh et al., 2017b; Karimzadeh et al., 2018).

*ii: Calculation of  $D(f)$ :*

Diminution factor,  $D(f)$  defines the energy loss at high frequencies that is not dependent on path. At near-field sites, spectral values at high frequencies rapidly decay and this decreasing is not related with the wave propagation attenuation (Boore, 1983). There are some opinions regarding the reason of this energy loss. According to Papageorgiou and Aki (1983) the reason of this decay is the earthquake source mechanism, while Hanks (1982) and Atkinson (2004) relates this behavior to the near-surface site conditions. Two basic filters are used to model the reduction of amplitudes at higher frequencies. The  $f_{max}$  filter (Hanks, 1982) is the first one, that the diminution function given as:

$$D(f) = \left[ 1 + \left( \frac{f}{f_{max}} \right)^8 \right]^{-0.5} \quad (2.17)$$

where  $f_{max}$  is the high-cut filter frequency.

Second method to represent the high frequency spectral attenuation is the “kappa operator” proposed by Anderson and Hough (1984) which characterizes the decay with an exponential function. Horizontal and vertical kappa values can be calculated

with this approach. Kappa factor determination process starts with generating the Fourier acceleration spectrum of each ground motion component in semi-logarithmic scale. Linear fit to the decaying part is determined. Then, the slope of the best-fit line is divided to  $-\pi$ , and the kappa factors of each component are determined. The computed kappa values versus the epicentral distances of the stations is plotted and the line that determines the model is obtained. The estimated kappa value at the zero epicentral distance gives the  $\kappa_0$  (zero-distance kappa) of the related site. In stochastic method  $\kappa_0$  values are utilized as the near-surface attenuation parameter to remove distance effects, since the path attenuation between the source and station is already included in the path effects (Margaris and Boore, 1998). The corresponding kappa filter function is given as:

$$D(f) = e^{-\pi\kappa_0 f} \quad (2.18)$$

### 2.3. Stochastic Finite-Fault Modeling

Stochastic point-source technique is useful to generate ground motions for far distances and small to moderate magnitude earthquakes. Besides, stochastic finite-fault simulations can successfully model ground motions for near-source stations or large magnitude events, since finite-fault features (rupture geometry, spatial slip variation, rupture directivity) have a remarkable effect on ground motion characteristics. Beresnev and Atkinson (1997) introduced the initial stochastic finite-fault technique to generate ground motions of close-source stations. In this approach, the fault plane is divided into smaller rectangular sub-faults of specified dimensions to reflect the finite-fault effects (Hartzell, 1978). A sub-fault is modeled as a point-source with a  $\omega$ -square spectrum. Rupture propagation initiates radially with a constant shear wave velocity from the hypocenter which is assumed on the center of a sub-fault. The final ground motion is obtained by summing the contributions from all sub-faults in time domain by including time delay of each sub-fault (Atkinson et al., 2009). The total response of the fault plane is given as:

$$a(t) = \sum_{i=1}^{nl} \sum_{j=1}^{nw} a_{ij}(t - \Delta t_{ij} - T_{ij}) \quad (2.19)$$

where  $a(t)$  is the ground acceleration at time  $t$ ,  $nl$  and  $nw$  is the number of sub-faults along the length and width of the main fault, respectively;  $\Delta t_{ij}$  is the delayed time of the radiated wave from  $ij^{\text{th}}$  sub-fault to the station;  $a_{ij}(t)$  is the ground acceleration of  $ij^{\text{th}}$  sub-fault modeled as a point-source as defined by Boore (1983). Figure 2.2 represents the rupture propagation on the fault plane.

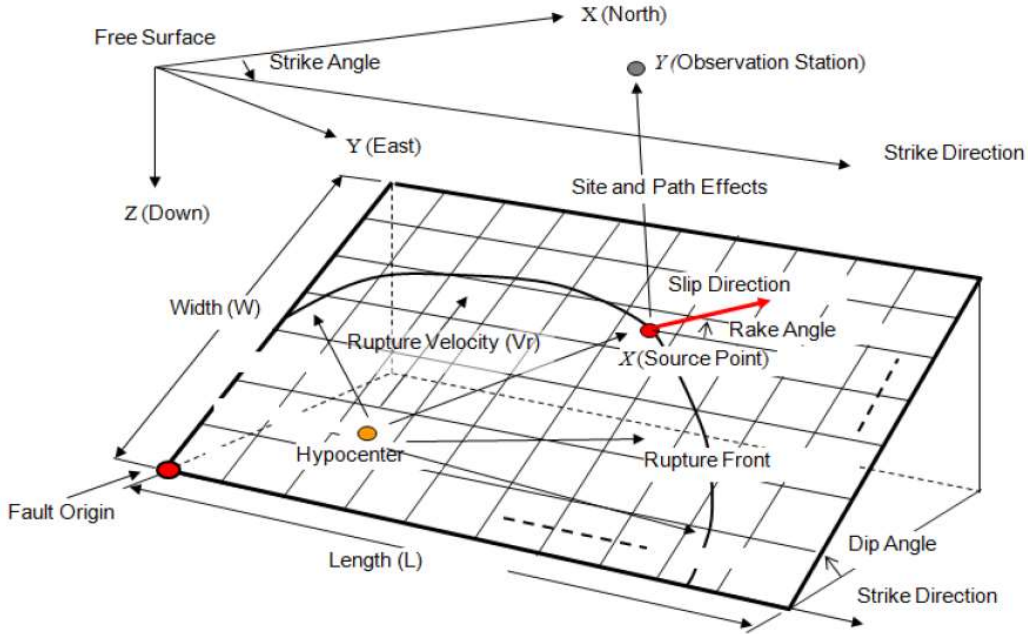


Figure 2.2. Wave propagation on a rectangular finite-fault model (Adapted from Hisada, 2008)

The original model for the acceleration Fourier amplitude spectrum of a sub-fault was as follows (Aki, 1967; Brune, 1970; Boore, 1983; Beresnev and Atkinson 1997):

$$A_{ij}(f) = C M_{0ij} \frac{(2\pi f)^2}{\left[1 + \left(\frac{f}{f_{cij}}\right)^2\right]} \frac{1}{R_{ij}} \exp\left(-\frac{\pi f R_{ij}}{Q(f)\beta}\right) \exp(-\pi \kappa f) A(f) \quad (2.20)$$

where  $M_{0ij}$ ,  $f_{cij}$ , and  $R_{ij}$  is the seismic moment, corner frequency, and distance from the observation point of the  $ij^{\text{th}}$  sub-fault, respectively.  $C$  is a constant defined as  $C = \Re_{\theta\phi} F V / (4\pi \rho \beta^3)$ , where  $\Re_{\theta\phi}$  is radiation pattern constant and usually is equal to 0.55 for shear waves,  $F$  is free surface amplification as 2,  $V$  is partition into two horizontal components equal to 0.71.  $f_{cij} = 4.9E^{+6} \beta (\Delta\sigma / M_{0ij})^{1/3}$ , where  $\Delta\sigma$  is stress drop in bars,  $M_{0ij}$  is  $ij^{\text{th}}$  sub-fault seismic moment in dyne-cm, and  $\beta$  is shear wave velocity in km/s. The term  $1/R$  indicates geometric attenuation. The term  $\exp\left(-\frac{\pi f R_{ij}}{Q(f)\beta}\right)$  indicates the anelastic attenuation as  $Q$  is the quality factor. The term  $\exp(-\pi \kappa f)$  expresses the kappa effects.  $A(f)$  indicates the amplification filter.

The FINSIM software is improved for stochastic finite-fault technique (Beresnev and Atkinson, 1998a and 1998b). This approach considers the seismic moment of each sub-fault as the ratio of its area to the total fault area, given as:

$$M_{0ij} = \frac{M_0}{N} \quad (2.21)$$

where  $N$  is the total number of the sub-faults,  $M_0$  is the total seismic moment of the fault plane, and  $M_{0ij}$  is the seismic moment of the  $ij^{\text{th}}$  sub-fault.

The seismic moment for each sub-fault with different sizes is given as:

$$M_{0ij} = \frac{M_0 S_{ij}}{\sum_{l=1}^{nl} \sum_{k=1}^{nw} S_{kl}} \quad (2.22)$$

where  $S_{ij}$  is the relative slip weight of the  $ij^{\text{th}}$  sub-fault.

Motazedian and Atkinson (2005) revised the stochastic finite-source model to include a dynamic corner frequency which is time-dependent instead of static corner frequency. Motazedian and Atkinson (2005) developed the software EXSIM by including the theory of dynamic corner frequency. The several researchers have studied that in stochastic point-source technique the corner frequency is inversely proportional to the total duration or entire ruptured area, directly or indirectly (e.g.: Hirasawa and Stauder, 1965; Boore, 1983; Boatwright and Choy 1992; Hough and Dreger, 1995). In the finite-fault approach, the entire rupture area is a function of time, and consequently the corner frequency. The followed formula is improved by Motazedian and Atkinson (2005) to include the dependency of corner frequency on rupture time:

$$f_{cij}(t) = N_R(t)^{-1/3} 4.9 \times 10^6 \beta \left( \frac{\Delta\sigma}{M_{0ave}} \right)^{1/3} \quad (2.23)$$

where  $N_R(t)$  is the cumulative number of ruptured sub-faults at time  $t$ ,  $M_{0ave}$  is the average seismic moment of sub-faults equal to  $M_0/N$ .

Motazedian and Atkinson (2005) has introduced a second substantial change to original form of the finite-fault methodology by defining pulsing area percentage. This concept separates the sub-faults as passive and active rupture areas, and formulated as the ratio of the ruptured (active) area to the total fault area. Rupture propagates till the pulsing area percentage is reached by sub-faults. Hence, until specified pulsing area percentage is achieved, the corner frequency and the radiated energy of high frequencies reduces due to its relation with the ruptured sub-faults. Hereafter, the dynamic corner frequency becomes constant. Because of the decreasing radiated energy problem, a scaling factor  $H_{ij}$  is described to balance the high frequency spectral level of sub-faults (Motazedian and Atkinson, 2005).

$$H_{ij} = \left\{ N \frac{\sum \left[ \frac{f^2}{1 + \left( \frac{f}{f_c} \right)^2} \right]}{\sum \left[ \frac{f^2}{1 + \left( \frac{f}{f_{cij}} \right)^2} \right]} \right\}^{1/2} \quad (2.24)$$

Pulsing area percentage and stress drop have substantial influences on the final amplitudes of the generated ground motions. The first parameter controls the amplitudes of low- frequency band of simulated accelerograms. Stress drop affects the amplitudes at high frequencies. Low stress drop values result in small amplitudes of response spectra at high frequencies. Consequently, various amplitudes of time histories at high and low frequencies can be generated through modifying the stress drop and pulsing area percentage. But this should not be a random fitting and selected simulation parameters are need to be suitable to the physical characteristics of the observed earthquake.

The final form of stochastic finite-fault technique used to compute the acceleration Fourier amplitude spectrum proposed by Motazedian and Atkinson (2005) given as follows:

$$A_{ij}(f) = CM_{0ij}H_{ij} \frac{(2\pi f)^2}{\left[ 1 + \left( \frac{f}{f_{cij}(t)} \right)^2 \right]} \frac{1}{R_{ij}} \exp \left( -\frac{\pi f}{Q(f)\beta} \right) \exp(-\pi \kappa f) A(f) \quad (2.25)$$

where the terms are as described earlier.

The basics of finite-fault simulation technique is same as stochastic point-source approach as provided in Section 2.2, but it is modified with additional major revisions related with source mechanism.

In this thesis, the synthetic ground motion dataset is implemented from the past simulation studies (Ugurhan and Askan, 2010; Askan et al., 2013; Askan et al., 2015;

Karimzadeh et al., 2017b Karimzadeh et al., 2018). In those studies, finite-fault method as introduced in Motazedian and Atkinson (2005) is utilized to generate acceleration time histories. The free software EXSIM is employed, which is globally used for simulation purposes and validated by numerous researchers (e.g.: Motazedian and Atkinson, 2005; Atkinson et al., 2009; Boore, 2009). Stochastic finite-fault technique is chosen since high frequencies are efficiently modeled by this method, although complete wave propagation and complex source effects are insufficient. Besides, it has been employed in many regions with lack of recorded ground motions. Eventually, this method is globally used to generate synthetic accelerograms in engineering and seismological studies (e.g.: Motazedian and Moinfar, 2006; Raghukanth and Somala, 2009; Ugurhan and Askan, 2010; Chopra et al., 2012; Ghofrani et al., 2013; Askan et al., 2013; Mahood et al., 2014; Askan et al., 2015; Goda et al., 2017; Zhang et al., 2018; Karimzadeh and Askan, 2018).

## CHAPTER 3

### GROUND MOTION SIMULATIONS IN ERZINCAN AND DUZCE REGIONS

#### 3.1. Introduction

In this section, the simulated ground motions used in developing a GMPE are presented. The synthetic ground motion dataset used to derive the GMPEs is implemented from the past studies of Ugurhan and Askan (2010), Askan et al. (2013); Askan et al. (2015), Karimzadeh et al. (2017b) and Karimzadeh et al. (2018). In those studies, stochastic finite-fault simulation technique as provided in Chapter 2 is applied. Stochastic methods practically yield ground motion amplitudes for larger events, for observation points located close to the fault ruptures and for sparsely monitored seismically active regions.

This section presents the applications of the stochastic finite-fault technique to generate simulated accelerograms. The organization of this chapter is given in following paragraphs. In Section 3.2, the seismological and geological information of Erzincan and Duzce regions are presented. Section 3.3 introduces the first case: Development of the synthetic ground motion database in Erzincan region. Subsection 3.3.1 provides the real ground motions of the March 13, 1992 Erzincan (Eastern Turkey) mainshock ( $M_w$  6.6), while the Subsection 3.3.2 presents the ground motion simulations of this event with the stochastic model parameters used in simulations. Comparison of the real and simulated records of the 1992 Erzincan event and the simulated ground motion database for Erzincan region are presented in Subsection 3.3.3 and 3.3.4, respectively. The second case which is development of the synthetic ground motion database in Duzce region is presented in Section 3.4. Subsection 3.4.1 indicates the real ground motion records of the November 12, 1999 Duzce (Western Turkey) event ( $M_w$  7.1) obtained at the near fault stations. Subsection 3.4.2 provides

the ground motion simulations of this earthquake with the selected model parameters. Subsection 3.4.3 compares the observed and simulated accelerograms of the 1999 Duzce earthquake while Subsection 3.4.4 provides the simulated ground motion database for Duzce region.

### **3.2. Study Regions**

North Anatolian Fault (NAF) is an energetic right-lateral strike-slip fault zone located in Northern Turkey and is among the most hazardous seismic regions of the world. The main reason for selecting Duzce and Erzincan as study area is that they both contain strike slip mechanisms and are located upon NAFZ. While the former is on western part of NAFZ with a high population and seismically well investigated due to adequate number of seismic networks, the latter is on eastern part of NAFZ which is relatively less studied and sparsely instrumented. In the past century, NAFZ has caused highly devastating earthquakes in Turkey. The east of NAFZ is shaken by the 1939 Erzincan ( $M_s \sim 8.0$ ) and 1992 Erzincan ( $M_w$  6.6) events while the western part is affected by the 1999 Kocaeli ( $M_w$  7.6) and 1999 Duzce ( $M_w$  7.1) earthquakes. The tectonic map of Turkey is displayed in part (a) of Figure 3.1. Parts (b) and (c) of Figure 3.1 provide regional maps of Duzce and Erzincan showing the focal mechanisms, fault planes, epicenters of the November 12, 1999 Duzce and March 13, 1992 Erzincan earthquakes as well as strong ground motion stations that recorded the aforementioned events, respectively.

Erzincan is situated on a very thick alluvial basin inside of a seismically complex zone, at the intersection of the following active faults: Right lateral NAF, the left lateral North East Anatolian Fault (NEAF) and East Anatolian Fault (EAF) zones. However, Erzincan area in Eastern Turkey is not investigated efficiently due to lack of seismic observation points in contrast with the critical seismic activity. The destructive sequence of earthquakes on NAFZ in the past century began with the earthquake in 1939 in Erzincan and resulted in more than 30.000 deaths, causing the city to be

resettled in the north. In the recent past, Erzincan was affected by a devastating earthquake at the intersection of the NAFZ and Ovacik faults on March 13, 1992 ( $M_w$  6.6), causing significant structural damage, suffering 500 casualties and US \$ 3-5 million (Akinci et al., 2001).

Duzce is a city located on a shallow alluvial pull-apart basin in the western of NAFZ and surrounded by major industrial facilities and dense residential building stock. A major right-lateral strike slip earthquake occurred on Duzce fault on November 12, 1999 with  $M_w$  7.1, and caused almost 900 deaths and 3000 injuries with significant structural damage (Akyuz et al., 2002). The city has survived two major earthquakes, named as 1999 Kocaeli ( $M_w$  7.6) and 1999 Duzce ( $M_w$  7.1), 3 months apart in 1999. These earthquakes are important because of the wide-scale damage they caused to the industrial heartland and the most intensely populated regions in Turkey. Furthermore, seismological community places a particular importance to these events because of the arguments on whether Duzce earthquake is a mainshock. The supershear rupture issue (when rupture velocity is faster than the S-wave velocity of the source material) is the other major characteristic of the Duzce event. Thus, this area deserves detailed studies involving simulations.

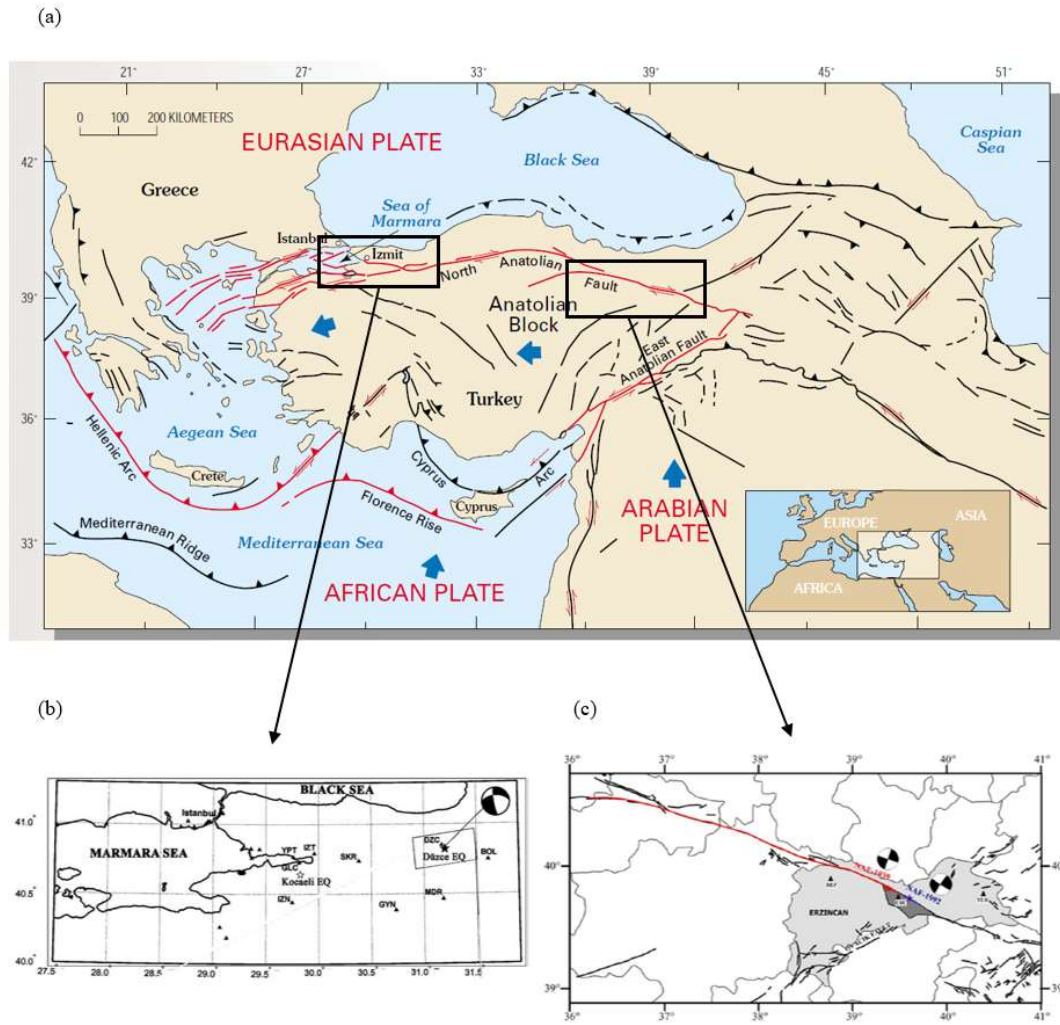


Figure 3.1. (a) Tectonic map of Anatolian Block (Adapted from Holzer, 2000). (b) Regional figure indicates the focal mechanism of the November 12, 1999 Duzce event. The triangles show the stations. The epicenters of the 1999 Duzce and Kocaeli earthquakes are shown with a solid and an empty star, respectively (Adapted from Ugurhan and Askan, 2010). (c) Regional plot displays the fault planes, the focal mechanisms and epicenters of the 1939 and 1992 mainshocks (epicenters are shown in order of with red and blue stars). The points shown with black triangles are the observation stations which recorded March 13, 1992 Erzincan event. Additionally, Erzincan basin is represented by a shady part parallel to the 1992 fault (Adapted from Askan et. al., 2013). (For explanations of the color references in this figure, please refer to the online version of this thesis.)

### **3.3. Development of the Synthetic Ground Motion Database in Erzincan Region**

#### **3.3.1. Recorded Ground Motion Data of the 1992 Erzincan Earthquake**

Erzincan basin has dimensions of 50 x 15 km and it is a pull-apart basin due to the tectonic effects between NAFZ and Ovacik Faults. It is the biggest basin on the NAFZ that is close to the Fırat River. The center of the basin is composed of deep alluvial layers and the thickness diminishes close to the mountains (Lav et al., 1993). As a result, seismic risk in Erzincan fundamentally increases due to the basin effects which amplifies ground motion amplitudes.

Up to now, Erzincan has been monitored by a small number of observation stations. The strong ground motions of March 13, 1992 earthquake was recorded by only three stations. Figure 3.2 shows the area with the fault plane, epicenter and the positions of the seismic observers. Table 3.1 lists the names and codes of the stations, station coordinates, site classes, epicentral distances ( $R_{EPI}$ : the distance between the epicenter and the station), Joyner-Boore distances ( $R_{JB}$ ), two horizontal (East-West, EW and North-South, NS) PGA and PGV values. Soil classifications are provided in accordance with the NEHRP (2009) site classifications. The raw acceleration time histories of the records are obtained from strong ground motion database of Turkey ([http://kyhdata.deprem.gov.tr/2K/kyhdata\\_v4.php](http://kyhdata.deprem.gov.tr/2K/kyhdata_v4.php)). Baseline correction and filtering in the frequency band of 0.1-10 Hz using a 4th order Butterworth filter is applied to all time histories.

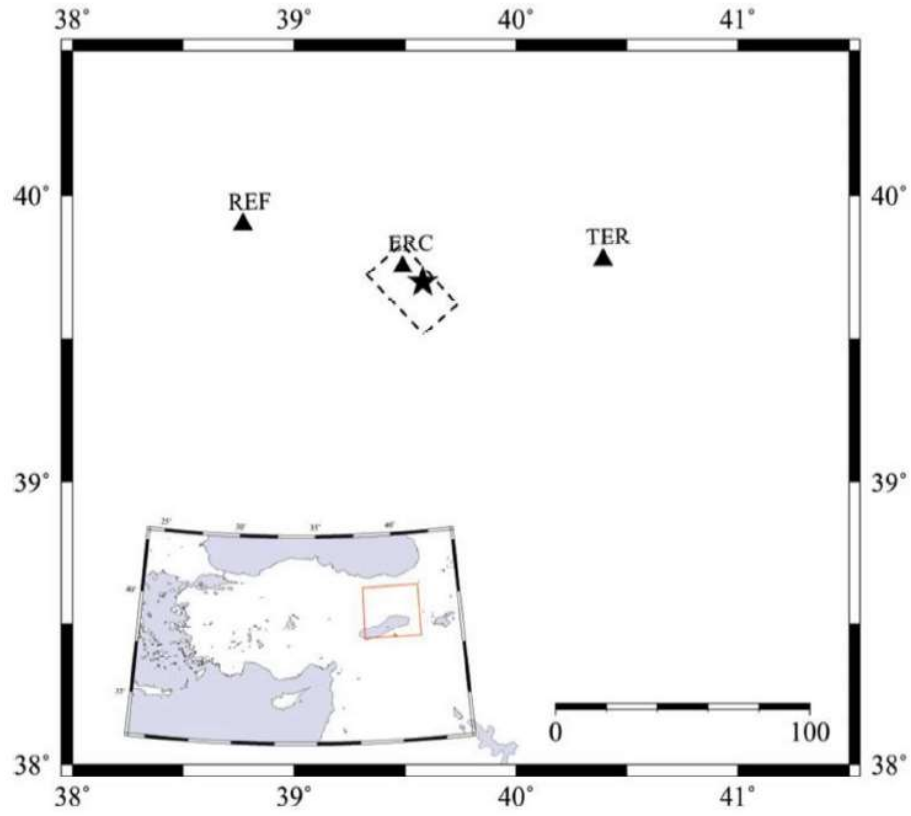


Figure 3.2. Map presents the epicenter, fault plane and observation points of the 1992 Erzincan mainshock (Adapted from Karimzadeh, 2016)

Table 3.1. Data of the seismic stations that recorded the 1992 Erzincan mainshock

| Station Name    | Station Code | Latitude (°) | Longitude (°) | Site Class (NEHRP) | $R_{EP1}$ (km) | $R_{JB}$ (km) | PGA (EW) ( $\text{cm/s}^2$ ) | PGA (NS) ( $\text{cm/s}^2$ ) | PGV (EW) (cm/s) | PGV (NS) (cm/s) |
|-----------------|--------------|--------------|---------------|--------------------|----------------|---------------|------------------------------|------------------------------|-----------------|-----------------|
| Erzincan-Merkez | ERC          | 39.752       | 39.487        | D                  | 12.83          | 5.04          | 430.66                       | 509.20                       | 56.80           | 79.84           |
| Refahiye        | REF          | 39.899       | 38.768        | C                  | 76.45          | 63.50         | 75.26                        | 66.78                        | 3.67            | 3.93            |
| Tercan          | TER          | 39.777       | 40.391        | D                  | 65.62          | 40.39         | 25.56                        | 37.90                        | 4.30            | 2.86            |

### **3.3.2. Ground Motion Simulation of the 1992 Erzincan Earthquake**

Determining the input parameters is the basic step to obtain simulated free-field motions. For reliable estimations, seismic parameters should be derived from regional data. If the regional data and surveys are not satisfactory, generic model parameters of similar seismotectonics or soil conditions can be employed in simulations.

Earthquake source, propagation, and site model parameters of the Erzincan mainshock are validated by Askan et al. (2013), and these verified input parameters are used in EXSIM program. Askan et al. (2013) has employed the verified models of the prior studies related with the region for faulting and path input effects, but has obtained the local site parameters for each real record of three stations.

#### **3.3.2.1. Source Model**

As recommended in Askan et al. (2013), source model given by Bernard et al. (1997) is utilized among numerous models. This model gives the smallest error from Fourier Amplitude Spectra (FAS) of observed versus simulated time histories, particularly in low frequency portion of accelerograms which is principally influenced by source effects. Table 3.2 lists the source input parameters explained in Chapter 2. Furthermore, uniform slip distribution is used according to observations made in Legrand and Delouis (1999). Askan et al. (2013) has determined stress drop to be 80 bars and the pulsing area percentage to be 50%. These values give the smallest mismatch between the observed versus generated records both in time and frequency domain.

#### **3.3.2.2. Path Model**

To define seismic wave propagation from the earthquake source, three main parameters exist: geometric spreading, quality factor and duration effects. The quality factor model provided by Grosser et al. (1998) as  $Q = 122f^{0.68}$  is used in Erzincan,

since it matches the spectral amplitudes closely. The following geometrical spreading function of Akinci et al. (2001) for Erzincan region is employed:

$$\begin{aligned} R^{-1.1}, & \quad R \leq 25 \text{ km} \\ R^{-0.5}, & \quad R > 25 \text{ km} \end{aligned} \quad (3.1)$$

The duration model of Herrmann (1985) is used which depends on source duration ( $T_0$ ) and hypocentral distance ( $R$ ):

$$T = T_0 + 0.05R \quad (3.2)$$

Table 3.2. *Simulation parameters of the 1992 Erzincan event*

| Parameter                            | Value                                                               |
|--------------------------------------|---------------------------------------------------------------------|
| Moment Magnitude                     | 6.6                                                                 |
| Hypocenter Location                  | 39.716°N, 39.629°E                                                  |
| Hypocenter Depth                     | 9 km                                                                |
| Depth to the Top of the Fault Plane  | 2 km                                                                |
| Fault Orientation                    | Strike: 125°, Dip: 90°                                              |
| Fault Dimensions                     | 25 km x 9 km<br>(Wells and Coppersmith, 1994)                       |
| Subfault Dimensions                  | 5 km x 3 km                                                         |
| Crustal Shear Wave Velocity, $\beta$ | 3700 m/s                                                            |
| Rupture Velocity ( $0.8\beta$ )      | 3000 m/s                                                            |
| Crustal Density, $\rho$              | 2800 kg/m <sup>3</sup>                                              |
| Stress Drop, $\Delta\sigma$          | 80 bars<br>(Mohammadioun and Serva, 2001)                           |
| Pulsing Percentage Area              | 50                                                                  |
| Geometrical Spreading                | $R^{-1.1}$ , $R \leq 25$ km<br>$R^{-0.5}$ , $R > 25$ km             |
| Quality Factor, $Q$                  | $Q = 122 f^{0.68}$                                                  |
| Duration Model, $T$                  | $T = T_0 + 0.05R$                                                   |
| Windowing Function                   | Saragoni-Hart                                                       |
| Kappa Factor, ( $\kappa_0$ )         | Regional Kappa Model ( $\kappa_0 = 0.066$ )<br>(Askan et al., 2013) |
| Site Amplification Factors           | Local soil model at each station<br>(Askan et.al., 2015)            |

### 3.3.2.3. Site Model

In stochastic method, the true modelling of the site conditions is very critical due to the direct impact of frequency-dependent amplification factors on the ground motion amplitudes. Site response is the sum of local site amplification and high frequency decay ( $\kappa$ ) activity at the observation points. As provided in Askan et al. (2013), both amplification and  $\kappa$  functions are evaluated according to previous ground motions observed at ERC, REF and TER stations. Accelerograms employed for amplification and  $\kappa$  investigations are mostly obtained from earthquakes with  $M_w$  3-5. Site amplification factors are calculated by theoretical 1D site response analysis at all stations. Since the high quality real ground motions at REF, and TER stations were limited, empirical H/V ratio technique could not be used. The theoretical transfer function, that is the spectral ratio between the input acceleration time history at the bed rock plane and the surface motion get from 1D wave propagation in the soil strata, is given as the output of site-response studies. In Askan et al. (2013), a weak time history (0.001g in PGA) observed at ERC from a  $M_w$  3.4 event is used as the input ground motion. The theoretical site amplification factors are used at all selected stations (Figure 3 in Askan et al., 2013).

Askan et al. (2013) has noticed that the accelerograms of all stations show very similar decrease in high frequency band of FAS. Because of this, a local  $\kappa$  model has estimated by combining the time histories of all recording sites. In stochastic method, the zero-distance  $\kappa$  is utilized to isolate the regional attenuation characteristics that are already presented in the frequency-dependent quality term. The zero-distance  $\kappa$  ( $\kappa_0$ ) value was calculated to be 0.066 by analyzing of available records in Erzincan territory (Figure 4 of Askan et al., 2013).

### **3.3.3. Comparisons of the Simulated and Recorded Ground Motions of the 1992 Erzincan Earthquake**

For comparisons, 2 observed and 1 generated horizontal components are available at each station. Simulated and real time series have not been scaled or modified: Only baseline corrections and filtering within the frequency band of 0.1-10 Hz with a 4th order Butterworth filter is applied. Figure 3.3 displays the acceleration time histories and the FAS for both recorded and simulated ground motions of each station.

Comparison results shown in Figure 3.3 for station ERC indicate a satisfactory agreement between the recorded and simulated FAS for high frequencies, while the simulated record underestimates the low frequency motions due to the source effects. At ERC station, observed acceleration time series display high acceleration and short duration, probably due to the forward directivity effects. In stochastic finite-fault method, directivity effect cannot be modelled accurately (Assatourians and Atkinson, 2007). This is the probable reason of the mismatch at low frequencies of time histories at ERC station. Figure 3.3 demonstrates that at station REF, the EW component of the real ground motion matches closely with the synthetic spectra, while the NS component displays small amplitudes compared to both the EW component and the simulated accelerogram. Finally, the recorded and simulated spectra at TER station are consistent with each other; probably because of the use of local site factors at this station. However, the generic duration model (Herrmann, 1985) can not sufficiently mimic the duration of real acceleration time history at TER, as seen clearly in Figure 3.3. Since Erzincan is positioned on an alluvial basin, possible basin effects can be seen with long durations and large surface wave amplitudes, as it is in TER record. It should be noted that, much better results can be obtained with well-defined physical models and wave velocity models.

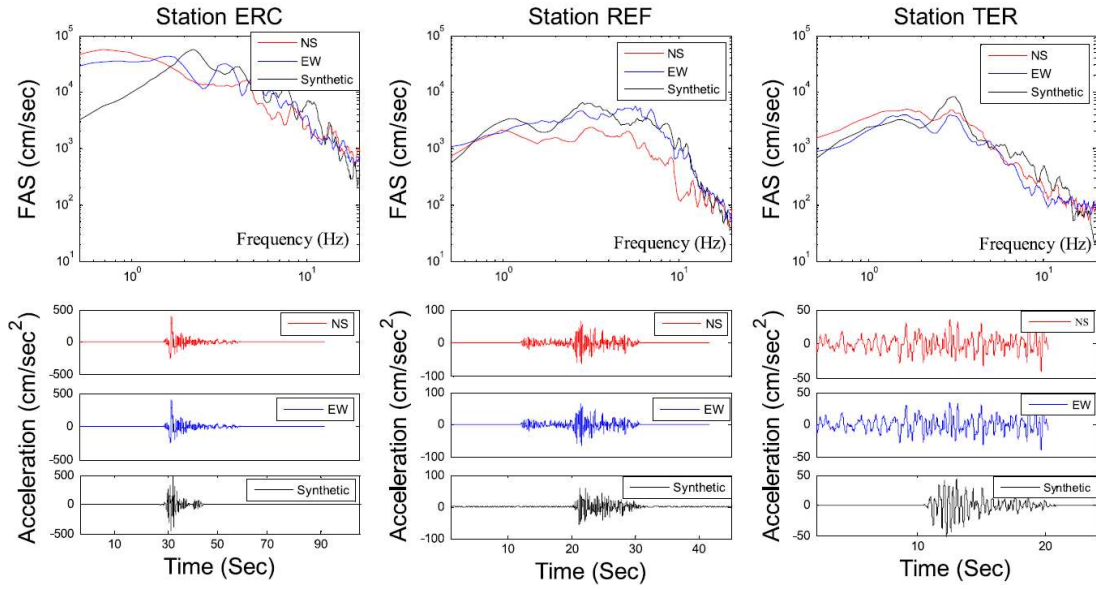


Figure 3.3. Comparison of Fourier amplitude spectra and acceleration time series of the real and simulated ground motions of 1992 Erzincan event (Adapted from Askan et al.,2013)

#### 3.3.4. Simulated Ground Motion Database for Erzincan Region

Accelerograms were simulated for scenario earthquakes of several sites ( $M_w$  5.0, 5.5, 6.0, 6.5, 6.6 (1992 event) 7.0, and 7.5) within the previous studies by Askan et al. (2015) and Karimzadeh et al. (2018). The epicenter of all scenario events was taken the same as the epicenter of the 1992 event, which is critically in the vicinity of city center. The source, site and path models were also taken from Askan et al. (2013) and Askan et al. (2015). The predicted parameters are verified by comparison of the simulated seismograms with the observed ones of 1992 Erzincan mainshock. Random slip distribution models were considered for all shaking scenarios, since the finite-fault slip distribution model of the simulated events is not available. Table 3.2 displays the simulation parameters. Between all kinematic source modeling parameters, only stress drop and fault plane geometry take different values for each moment magnitude.

In the simulated database, Erzincan area lies within a rectangular box localized by  $39^\circ$ - $40^\circ$  Northern latitudes,  $39^\circ$ - $40^\circ$  Eastern longitudes. A total of 244 points are

chosen in this area to generate full waveforms of scenario events. Figure 3.4 presents the distribution of 123 of points in the region. A total of 90 of the points symbolized with red circle signs and are about 1 km away from each other. 24 of the nodes indicated with black triangular show the coordinates of all districts in Erzincan city center. The final 9 points shown with green rectangular are the stations which have comprehensive S-wave velocity soil profiles (Askan et al, 2016; Karimzadeh et al., 2018). Besides, additional 121 nodes are 10 km away from each other, and defined at the outside of Erzincan, and cannot be shown on the figure due to scaling problems. As expressed in Askan et al. (2015), the velocity profiles at 9 stations were constructed with a microtremor array technique. Since site velocity profiles are not available for the rest of the points, the  $V_{s30}$  value of the nearest station is considered at each node. This perspective produced uncertainty in the simulations, but due to the proximity of the nodes, the ultimate error can be ignored. Finally, 1587 simulated ground motion records are obtained in Erzincan region to use in the development of GMPEs.

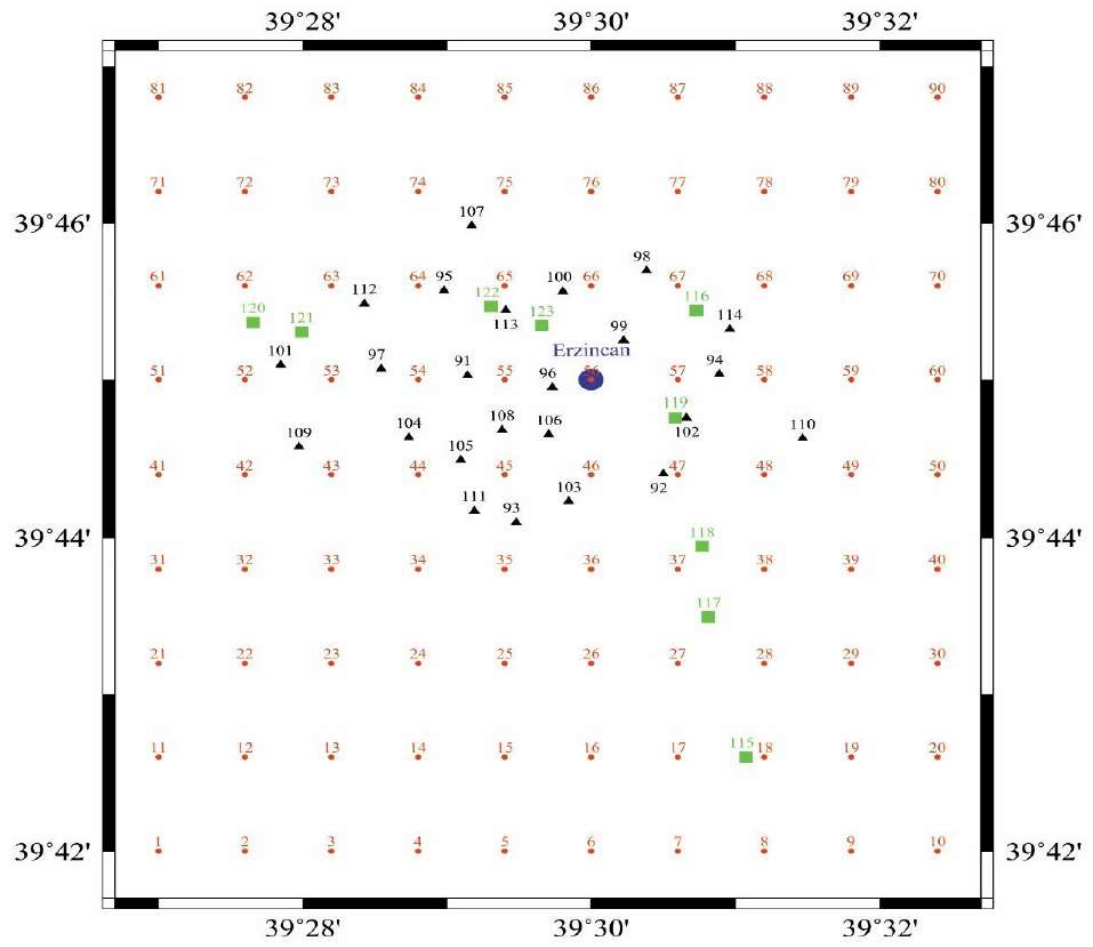


Figure 3.4. Distribution of the nodes in Erzincan region (Adapted from Karimzadeh et al., 2018)

### 3.4. Development of the Synthetic Ground Motion Database in Duzce Region

#### 3.4.1. Recorded Ground Motion Data of the 1999 Duzce Earthquake

The 1999 Duzce earthquake is recorded by a regional network which consists of 32 seismic stations. Among these, 5 stations whose epicentral distances smaller than 125 km were considered for simulations in Karimzadeh et al. (2017b), due to high damage potential of the near-source accelerograms. Figure 3.5 represents the fault plane, epicenter and the selected stations. Table 3.3 presents the names and codes of the stations, hypocentral coordinates, site classes, epicentral and Joyner-Boore distances, PGA and PGV values for each direction. The raw motions at the five stations are taken from strong ground motion database of Turkey ([http://kyhdata.deprem.gov.tr/2K/kyhdata\\_v4.php](http://kyhdata.deprem.gov.tr/2K/kyhdata_v4.php)). Baseline correction and filtering in the frequency band of 0.1-10 Hz using a 4th order Butterworth filter has been applied to all time histories.

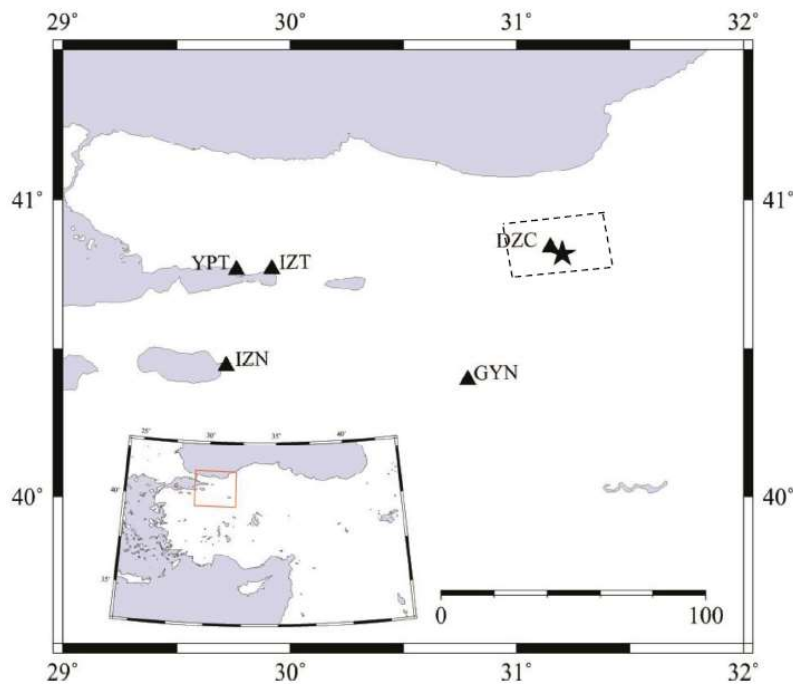


Figure 3.5. Map plots the epicenter, fault area and seismic stations of the 1999 Duzce event (Adapted from Karimzadeh et al., 2017b)

Table 3.3. *Data of the seismic stations which recorded the 1999 Duzce event*

| Station Name   | Station Code | Latitude (°) | Longitude (°) | Site Class (NEHRP) | R <sub>EPI</sub> (km) | R <sub>JB</sub> (km) | PGA (EW) (cm/s <sup>2</sup> ) | PGA (NS) (cm/s <sup>2</sup> ) | PGV (EW) (cm/s) | PGV (NS) (cm/s) |
|----------------|--------------|--------------|---------------|--------------------|-----------------------|----------------------|-------------------------------|-------------------------------|-----------------|-----------------|
| Duzce          | DZC          | 40.8436      | 31.1488       | D                  | 9.31                  | 0.14                 | 520.41                        | 328.03                        | 86.54           | 54.53           |
| Goynuk         | GYN          | 40.3965      | 30.7830       | D                  | 55.16                 | 38.46                | 22.17                         | 25.79                         | 5.84            | 4.49            |
| Iznik          | IZN          | 40.4416      | 29.7168       | D                  | 123.67                | 91.67                | 20.06                         | 21.25                         | 1.97            | 2.27            |
| Izmit          | IZT          | 40.7665      | 29.9172       | C                  | 100.70                | 67.86                | 16.41                         | 18.73                         | 2.27            | 1.73            |
| Yarimca Petkim | YPT          | 40.7639      | 29.7620       | D                  | 116.85                | 80.92                | 16.15                         | 23.47                         | 4.08            | 8.38            |

### 3.4.2. Ground Motion Simulation of the 1999 Duzce Earthquake

In this section, simulated records of Duzce earthquake previously studied by Karimzadeh et al. (2017b) is presented. The simulated records in Karimzadeh et al. (2017b) employed the site-specific parameters confirmed by Ugurhan and Askan (2010) with a minor change in site functions.

#### 3.4.2.1. Source Model

The epicenter coordinates are stated as 40.82° N, 31.20° E by Earthquake Research Department of General Directorate of Disaster Affairs at that time (ERD). The hypocentral depth is taken equal to 12.5 km (Milkereit et al., 1999). The fault area is 65 x 25 km in length and width (Umutlu et al., 2004) while the sub-fault dimensions are taken 5 km in both directions. Fault orientation is described with strike and dip angles of 264° and 64°, respectively. There are different slip distribution models available in the literature. However, a bilateral fault distribution model proposed by Umutlu et al. (2004) is verified using the FAS misfit calculations of observed and generated data (Ugurhan and Askan, 2010). The stress drop and pulsing area percentage values which are the most uncertain source terms are decided after all path attenuation and soil parameters are defined to reduce the misfit between real and synthetic accelerograms. Stress drop is chosen to minimize the prediction error and to control higher frequencies of the spectra, by incorporation of all observers. All prior

parameters and the high frequency band of FAS are stabilized, then the low frequency portion is monitored by assigning varied pulsing area percentage values iteratively (Motazedian and Atkinson, 2005). Predicted stress drop value for the Duzce event is taken as 100 bars, while the pulsing area percentage is 30%. The assumed earthquake mechanism parameters are presented in Table 3.4 along with the path and site factors.

Table 3.4. *Simulation parameters of the 1999 Duzce earthquake*

| Parameter                            | Value                                                                                                                                                                                                |
|--------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Moment Magnitude                     | 7.1                                                                                                                                                                                                  |
| Hypocenter Location                  | 40.82°N, 31.20°E                                                                                                                                                                                     |
| Hypocenter Depth                     | 12.5 km                                                                                                                                                                                              |
| Depth to the Top of the Fault Plane  | 2 km                                                                                                                                                                                                 |
| Fault Orientation                    | Strike: 264°, Dip: 64°                                                                                                                                                                               |
| Fault Dimensions                     | 65 km x 25 km                                                                                                                                                                                        |
| Subfault Dimensions                  | 5 km x 5 km                                                                                                                                                                                          |
| Crustal Shear Wave Velocity, $\beta$ | 3700 m/s                                                                                                                                                                                             |
| Rupture Velocity ( $0.8\beta$ )      | 3000 m/s                                                                                                                                                                                             |
| Crustal Density, $\rho$              | 2800 kg/m <sup>3</sup>                                                                                                                                                                               |
| Stress Drop, $\Delta\sigma$          | 100 bars                                                                                                                                                                                             |
| Pulsing Percentage Area              | 30                                                                                                                                                                                                   |
| Geometrical Spreading                | $R^{-1}$ , $R \leq 30$ km<br>$R^{-0.4}$ , $30 \text{ km} < R \leq 60$ km<br>$R^{-0.6}$ , $60 \text{ km} < R \leq 90$ km<br>$R^{-0.8}$ , $90 \text{ km} < R \leq 100$ km<br>$R^{-0.5}$ , $R > 100$ km |
| Quality Factor, Q                    | $Q = 88 f^{0.9}$                                                                                                                                                                                     |
| Duration Model, T                    | $T = T_0 + 0.05R$                                                                                                                                                                                    |
| Windowing Function                   | Saragoni-Hart                                                                                                                                                                                        |
| Kappa Factor, ( $\kappa_0$ )         | Regional Kappa Model ( $\kappa_0 = 0.047$ )<br>(Ugurhan and Askan, 2010)                                                                                                                             |
| Site Amplification Factors           | H/V ratios and NEHRP D Amp. Factor<br>(Ugurhan and Askan, 2010,<br>Karimzadeh et al., 2018)                                                                                                          |

### 3.4.2.2. Path Model

For geometrical spreading in Duzce region, the model proposed by Ansal et al. (2009) is employed, as follows:

$$\begin{aligned} R^{-1}, & \quad R \leq 30 \text{ km} \\ R^{-0.4}, & \quad 30 < R \leq 60 \text{ km} \\ R^{-0.6}, & \quad 60 < R \leq 90 \text{ km} \\ R^{-0.8}, & \quad 90 < R \leq 100 \text{ km} \\ R^{-0.5}, & \quad R > 100 \text{ km} \end{aligned} \quad (3.3)$$

Between numerous frequency-dependent quality factor equations,  $Q = 88f^{0.9}$  suggested by Boore (1984) is used. The analyses in Ugurhan and Askan (2010) show that this Q factor gives the most accurate spectral amplitudes at high frequencies. The global duration formula proposed by Herrmann (1985) provided in Equation 3.2 is used in Duzce as well.

### 3.4.2.3. Site Model

The site amplification values suggested by Ugurhan and Askan (2010) based on the empirical H/V approach and generic amplifications by Boore and Joyner (1997) are used at stations in Duzce. For validation, PGA, PGV, and FAS values obtained from the real seismograms are compared with the simulated time histories generated with two alternative types of site amplification factors. The empirical H/V factors provided by Ugurhan and Askan (2010) show better consistency between generated and real accelerograms at DZC, GYN, IZT, and YPT stations. Yet, at IZN, generic amplification factors by Boore and Joyner (1997) for soil category D produces synthetic ground motions more consistent with the real ones.

The vertical ground motion component is the one subjected to least amplification in the soil strata, as expressed in Chapter 2. Thus, when the empirical H/V ratio is utilized in site model, vertical kappa must be used as diminution effect (Motazedian, 2006).

For this reason, vertical kappa value predicted as 0.047 is used for Duzce by Ugurhan and Askan (2010).

### **3.4.3. Comparison of the Simulated and Recorded Ground Motions of the 1999 Duzce Earthquake**

Figure 3.6 provides the comparisons of FAS and acceleration-time series of the recorded and simulated time histories of 1999 Duzce earthquake. The comparison of acceleration time series generally indicates a satisfactory match between the individual synthetic PGA values and the real ones. The duration of the accelerograms is accurately defined at stations DZC, IZN, and IZT, while at the far station YPT it is not well produced, due to the complex propagation effects. Surface waves that originate from basin effects can be the reason of long durations in real accelerograms compared to simulated ones because the stochastic approach uses only the shear waves in simulations. Through the comparison of synthetic and observed Fourier spectra at DZC station in Figure 3.6, the synthetic FAS is observed to be below the recorded one for frequencies less than 1 Hz. The waveforms at the stations close to the fault plane of November 12, 1999 Duzce earthquake can be expressed by the variations in rupture velocity (Konca et al., 2010). So, the differences at low frequencies in the near source stations is expected, since the variety in rupture velocity is not included in the finite-fault approach. At stations GYN, IZN and IZT an obvious spectral consistency is obtained at high frequencies. But, the high frequency band of the synthetic spectra is lower than the actual ones at DZC station while it is overestimated at YPT station. The inadequate modeling of site effects could be the main cause of these differences, because soft sites can show nonlinearity under strong ground shaking. It should also be noted that all strong-motion recording devices were not located at free field during the 1999 Duzce earthquake. So, possible dynamic responses of the buildings could affect the amplitude and frequency content of real data, which are not possible to simulate herein.

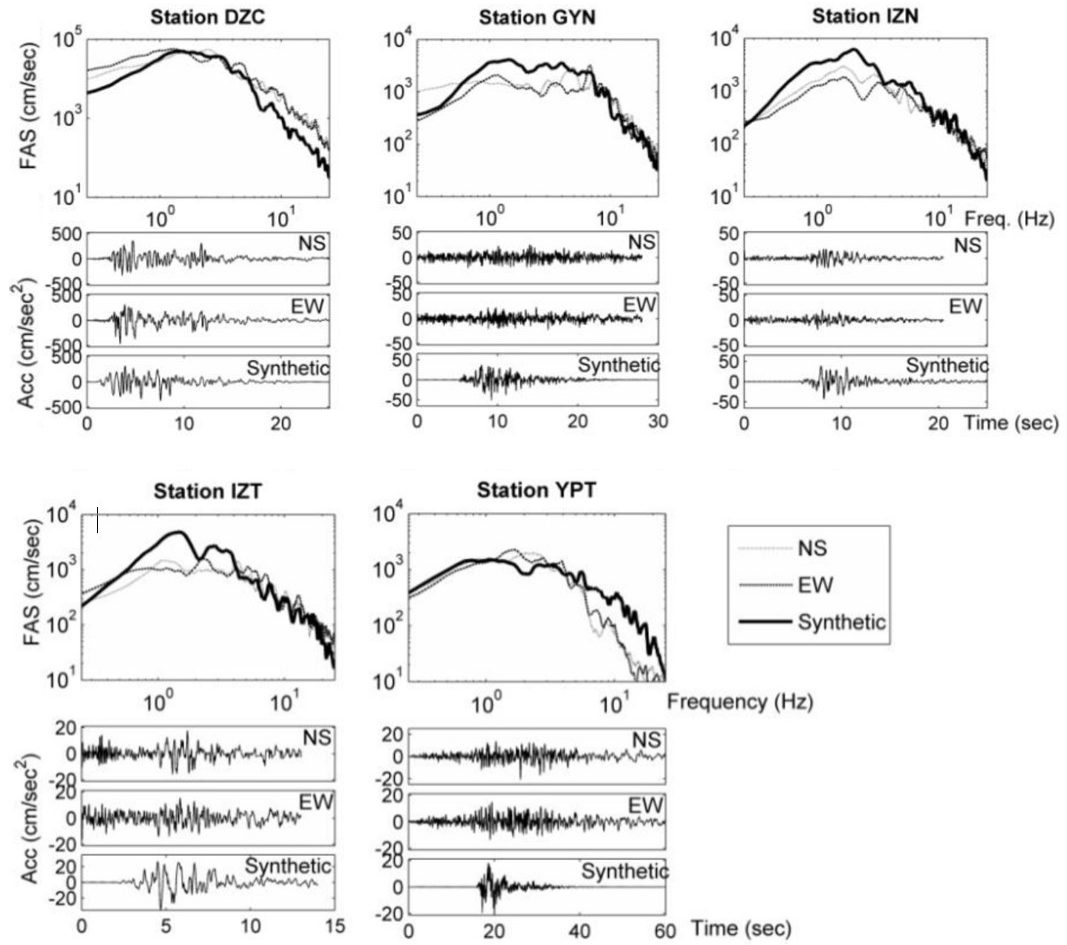


Figure 3.6. Comparisons of Fourier amplitude spectra and acceleration time series of the real and synthetic accelerograms of 1999 Duzce earthquake (Adapted from Ugurhan and Askan, 2010)

#### 3.4.4. Simulated Ground Motion Database for Duzce Region

Scenario earthquakes in Duzce are simulated for magnitudes  $M_w$  5.0, 5.5, 6.0, 6.5, 7.0, 7.1 (1999 event) and 7.5 by Ugurhan and Askan (2010) and Karimzadeh et al. (2017b). In these simulations, the rupture propagation and site models are adapted from Ugurhan and Askan (2010) while source variables (stress drop and fault geometry) are physically selected for each  $M_w$  value. Table 3.4 displays the input parameters for the simulations.

Duzce area is lies within a rectangular box localized by  $40^{\circ}$ - $41^{\circ}$  Northern latitudes,  $30^{\circ}$ - $32^{\circ}$  Eastern longitudes. For generating the synthetic ground motions, 370 nodes are chosen in Duzce region: 24 grid points around the earthquake epicenter are located 1 km away from each other, 57 nodes on a wider region are 20 km away from each other, and the rest of 289 points are approximately 3 km away from each other. Figure 3.7 presents the distribution of 312 points in Duzce area where 289 of them symbolized by red circles, 24 of them shown by green triangular symbols, and the 57 points with a grid distance of 20 km cannot be shown on the same figure due to scaling reasons. Finally, 5810 simulated ground motion records are obtained in Duzce region to use in the development of GMPEs.

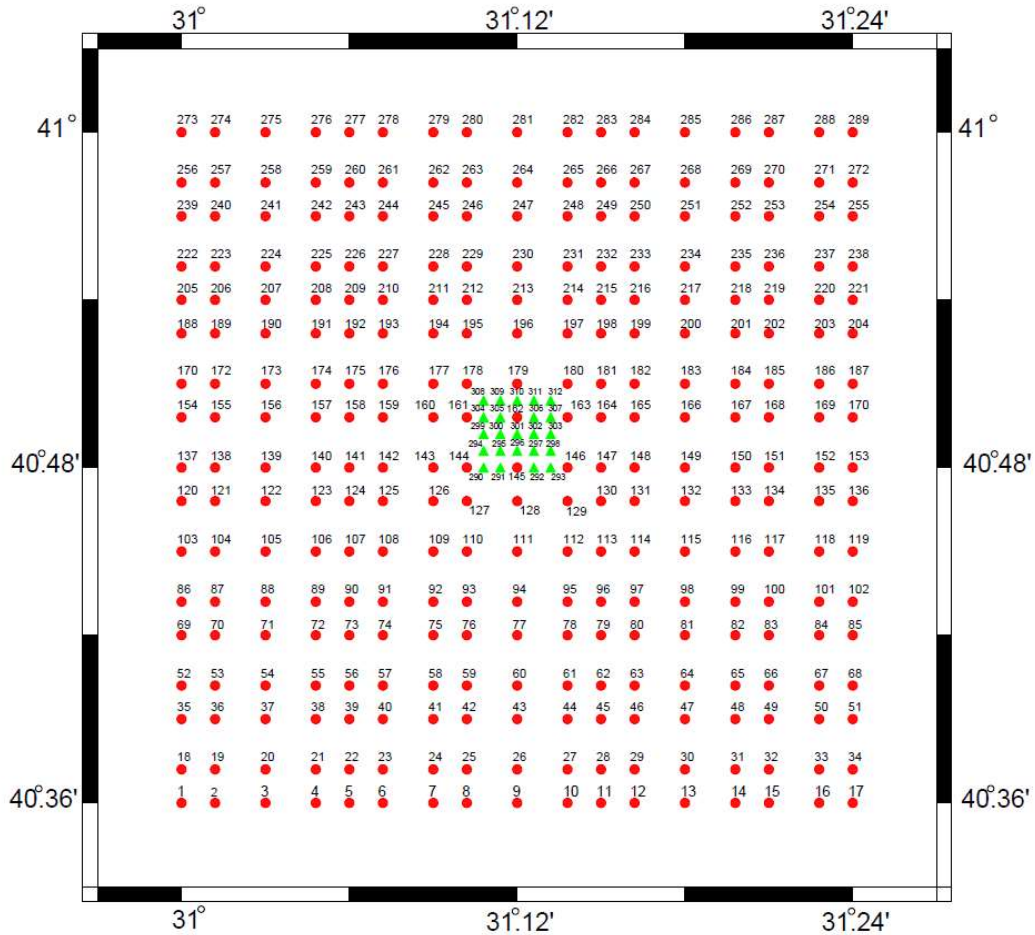


Figure 3.7. Distribution of the selected nodes in Duzce area

## CHAPTER 4

### GROUND MOTION PREDICTION EQUATIONS BASED ON SIMULATED DATA FROM THE ERZINCAN AND DUZCE REGIONS

#### 4.1. Introduction

Ground Motion Prediction Equations (GMPEs) give an understanding of ground shaking level with a degree of uncertainty for a specific region or globally, depending on earthquake magnitude, faulting mechanism, source-to-site distance, soil properties etc. Ground motion estimates (or Ground Motion Intensity Measures, GMIMs) are compatible with the past earthquakes, and can be used to estimate the ground excitations of future earthquakes. For most of the applications, ground motion models are developed from ground motion databases that include real (i.e., observed or recorded) ground motion data. The distribution of ground motion data generally displays differences with respect to the areas of interest in terms of basically magnitude and source-to-site distance. In areas such as Japan, Western North America and Pan-European region (Turkey, Iran, Italy, Greece), the data distribution is almost satisfactory to develop a ground motion model. However, there are many regions such as Eastern North America, Georgia, Jordan, etc. that suffer from reliable amount of ground motion data to model new predictive relations. In these regions, the common practice is to employ seismological models using stochastic ground motion simulation methods (e.g.: Atkinson and Boore, 2006; Atkinson and Macias, 2009; Yenier and Atkinson, 2015).

Although the problematic case is considered as the latter one mentioned in the previous paragraph, the former approach may have some limitations for reliable estimations of GMIMs. The model development ground motion databases of the NGA-West2 GMPEs (Abrahamson et al., 2014; Boore et al., 2014; Campbell and

Bozorgnia, 2014; Chiou and Youngs, 2014; Idriss, 2014), the Turkish local models of Akkar and Çağnan (2010) and Kale et al. (2015), and the Pan-European regional models of Akkar et al. (2014) and Bindi et al. (2014) can be assessed to investigate the possible limitations. NGA-West2 models have a reliable data coverage with respect to distance and magnitude; however, most of the large magnitude events (magnitude greater than  $M_w 7.2$ ) are from areas outside Western North America (i.e., Alaska, Iran, Turkey, Taiwan, and China). The ground motion databases of the Turkish local models include only two large magnitude earthquakes (Duzce  $M_w 7.1$  and Kocaeli  $M_w 7.6$ ) which have limited data in the near field region ( $R_{JB} < 20$  km) and low-amplitude peaks when compared to similar size earthquakes in the shallow active crustal regions (Kagawa et al., 2004, Akkar and Cagnan, 2010). Akkar et al. (2014) and Bindi et al. (2014) has a ground motion database with  $4.0 \leq M_w \leq 7.6$  magnitude range. The number of records with magnitudes up to nearly  $M_w 7.0$  is sufficient, but large magnitude ground motions are only from three large strike-slip earthquakes (Duzce  $M_w 7.1$ , Kocaeli  $M_w 7.6$ , and Manjil  $M_w 7.4$ ). In this case, the near source ground motion data is also limited as in the case of Turkish local models. Specifically, the number of recordings from large earthquakes and close distances can be considered as the main deficiency encountered in the regional or global ground motion databases to reflect the regional characteristics on GMIM estimates. In addition, the regional ground motion databases are well represented by the recordings from small-to-moderate magnitude earthquakes and with near-to-moderate ( $20 \text{ km} < R < 50 \text{ km}$ ), moderate ( $50 \text{ km} < R < 80 \text{ km}$ ) and far distances ( $R > 80 \text{ km}$ ).

There are some alternative approaches in literature to overcome the issues related to no or poor ground motion data regions or poor ground motion data parts of the model development databases. The simulation-based approach is the most commonly used method in which the ground motion recordings are simulated by considering a wide range of magnitude and distance as well as different site conditions. The predictive models are then developed in accordance with the simulated spectral amplitudes. Stochastic finite-fault or deterministic wave propagation methods can be employed in

the simulation process as described in the previous chapters (Chapter 1.1, 1.2 and Chapter 2). The hybrid empirical method is another alternative to obtain a GMPE which is appropriate to the target region (i.e., data-poor region). This method can be employed in two different ways. In the first application, a well-constrained predictive model derived from a data-rich region (i.e., host region) is calibrated by considering adjustment factors calculated from spectral ratios of stochastic simulations in order to use in a data-poor region (Campbell, 2003; Douglas et al, 2006; Pezeshk et al., 2011; Shahjouei and Pezeshk, 2016, Tsereteli et al., 2016). In the second application which is called as the referenced empirical approach (Atkinson, 2008), adjustment factors are obtained by the spectral ratios of recorded data in the host region instead of using stochastic simulations (Atkinson, 2008, 2010; Atkinson and Boore, 2011, Atkinson and Motazedian, 2013; Hassani and Atkinson, 2015). As a combination of the methods mentioned above, Yenier and Atkinson (2015) developed a regionally adjustable generic ground motion model. Some of the recent studies employ the integration of observed (real) and simulated ground motion data to develop ground motion models that can be considered as hybrid GMPEs (Anbazhagan et al., 2013; Sharma and Harbindu, 2014; D'Amico et al., 2018). To propose a new GMPE, this approach provides enrichment of the ground motion databases in the areas with totally or partly poor observed ground motion data. The studies of Anbazhagan et al. (2013), and Sharma and Harbindu (2014) develop hybrid GMPEs for the Himalayan region which can be considered as a data-poor region, whereas D'Amico et al. (2018) improve the near field conditions of the moderate-to-large magnitude events in the recorded dataset of Southern Italy.

This study focuses on the potential gaps (i.e. poor ground motion data parts) in the recorded ground motion dataset of Turkey. The studies of Uigurhan and Askan (2010), Askan et al. (2013), Askan et al. (2015), Karimzadeh et al. (2017b) and Karimzadeh et al. (2018) provide considerable amount of simulated ground motion records from Erzincan and Duzce regions that can be considered as the representative of main source mechanism of the Turkish earthquake characteristics. When large magnitude

and close distance ground motion data deficiencies of the Turkish dataset is considered, the current simulated dataset could be used to increase data coverage of the recorded database. However, firstly, the general predictive capabilities of the simulated database should be investigated by comprehensive regression analysis.

The development of the GMPEs derived from the simulated ground motion database of the Erzincan and Duzce regions and assessment of this model with the up-to-date local Turkish GMPEs (Kale et al., 2015) are presented in this chapter. First, simulated ground motion database with main features is explained. Then, definitions of predictor variables (independent variables; i.e., moment magnitude, source to site distance, soil conditions etc.) as well as response variables (dependent variables or ground motion intensity parameters; i.e., PGA, PGV and 5% damped PSA response spectra etc.) that are used in the functional forms are given. The functional form that is optimized according to estimator parameters and the simulated ground motion database is presented as well. Regression analysis approach is also explained in general manner. Model coefficients and standard deviation (i.e., sigma) values obtained from regression analysis are listed, as well. In following subsection, median ground motion estimations, standard deviations and residuals are evaluated. Final subsection presents the evaluation of proposed ground motion model against existing recorded ground motion dataset of Turkey (Kale et al., 2015) as well as previous global and regional ground motion predictive models.

#### **4.2. Simulated Ground Motion Database Used in the Development of GMPEs**

The simulation process yields a single horizontal component for each earthquake scenario at every station. A total of 7397 records are simulated from Duzce and Erzincan regions for regression analyses including different soil conditions, magnitude and distance values. Simulated ground motions are generated for several earthquake scenarios. FAS of all simulated records are plotted to see the frequency content and noise level. Stochastic simulation methodology provides free field

simulated ground motions, and the simulated records do not include any significant noise at low and high frequency levels. Therefore, the band-pass filtering process is not applied to the simulated recordings in the database.

Figure 4.1 displays the moment magnitude ( $M_w$ ) versus Joyner-Boore distance ( $R_{JB}$ ) distribution of the simulated database in terms of soil conditions. Figure 4.2 presents the histograms for magnitude, distance and  $V_{S30}$  data distribution of the simulated database. The magnitude range of the simulated database is  $5.0 \leq M_w \leq 7.5$  whereas the upper  $R_{JB}$  value is 136 km (Figure 4.1). In the simulated database, there is no records from small magnitude earthquakes (i.e.,  $M_w < 5$ ) but there is a considerable number of recordings from moderate-to-large magnitude events (Figure 4.2.a). The distance distribution is better constrained at all close and moderate distances. The database is mainly composed of simulated accelerograms of stations located in the distance range of 0 to 20 km. However, the data distribution is not sufficient and uniform for moderate and moderate-to-large distances (i.e.,  $R_{JB} > 80$  km) (Figure 4.2.b). As the main regression coefficients are calculated for distances up to 80 km, the weak distance distribution of the simulated database for  $R_{JB} > 80$  km does not have a major effect on the regression results.

In the simulations of the scenario earthquakes the sites are modeled with  $V_{S30} = 255$  m/s (NEHRP 2009, Class D),  $V_{S30} = 310$  m/s (NEHRP 2009, Class D) and  $V_{S30} = 520$  m/s (NEHRP 2009, Class C). For all  $V_{S30}$  values there is a uniform distribution in magnitude versus distance space as shown in Figure 4.1. However,  $V_{S30}$  values in the database are not randomly distributed, rather they are accumulated in  $V_{S30}$  values of 255 m/s and 520 m/s (Figure 4.2.c). In the simulation process, the detailed velocity profiles at selected sites are found with a microtremor array technique for Erzincan region by Askan et al. (2015).  $V_{S30}$  values of these sites are assigned to all generated points by considering the distance. This perspective produced uncertainty to the simulations, but due to the proximity of the nodes, the ultimate error can be ignored. In Duzce region, the site amplification values suggested by Ugurhan and Askan (2010)

based on the H/V approach and generic amplifications by Boore and Joyner (1997) are used at recording stations. For validation, PGA, PGV, and FAS values obtained from the real data are compared with the simulated ones generated with two alternative types of site amplification factors. The empirical H/V factors show better consistency between generated and observed recordings at four stations. However, for one station, generic amplification factors by Boore and Joyner (1997) for NEHRP-D (NEHRP, 2009) site class produces simulated ground motion more consistent with the real one. Duzce and Erzincan regions are located on alluvial basins, and their local soil conditions are varying between stiff and soft soil which result in relatively lower  $V_{S30}$  values. Therefore, the  $V_{S30}$  values assigned to the generated nodes in simulation process is between  $255 \leq V_{S30} \leq 520$  m/s.

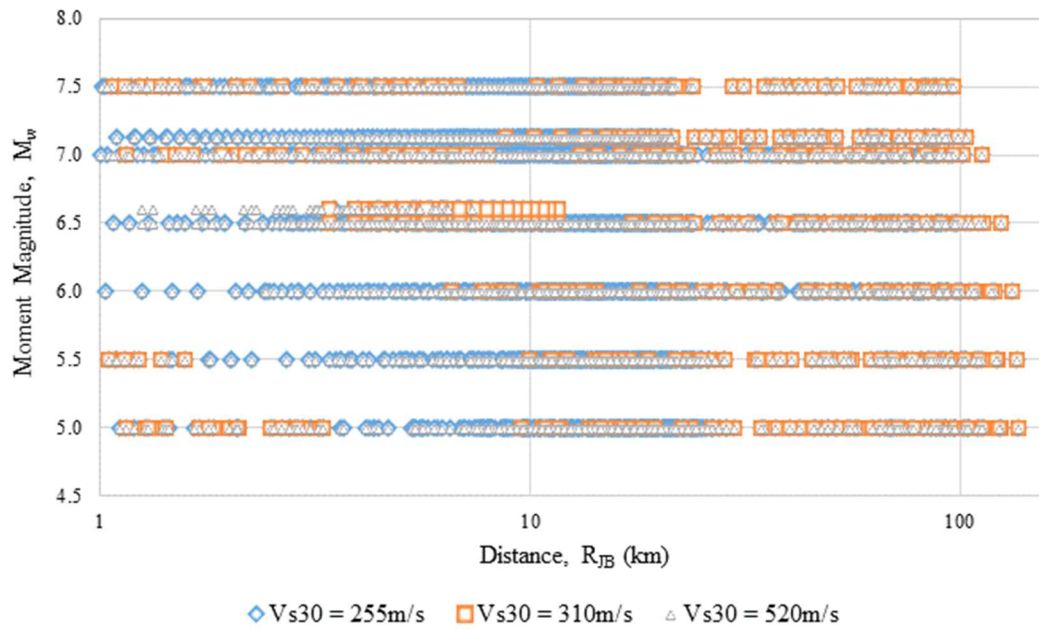


Figure 4.1. Magnitude ( $M_w$ ) versus distance ( $R_{JB}$ ) distribution of the simulated database in terms of the  $V_{S30}$  values. The  $V_{S30}$  range of simulated dataset is  $255 \text{ m/s} \leq V_{S30} \leq 520 \text{ m/s}$

There is a single faulting mechanism used in the ground motion simulations which is strike-slip (SS), since both 1992 Erzincan and 1999 Duzce earthquake cases used in

the simulation process are strike-slip earthquakes. Note that, the North Anatolian and East Anatolian fault zones which are the major tectonic structures in Turkey, generate predominantly strike-slip events.

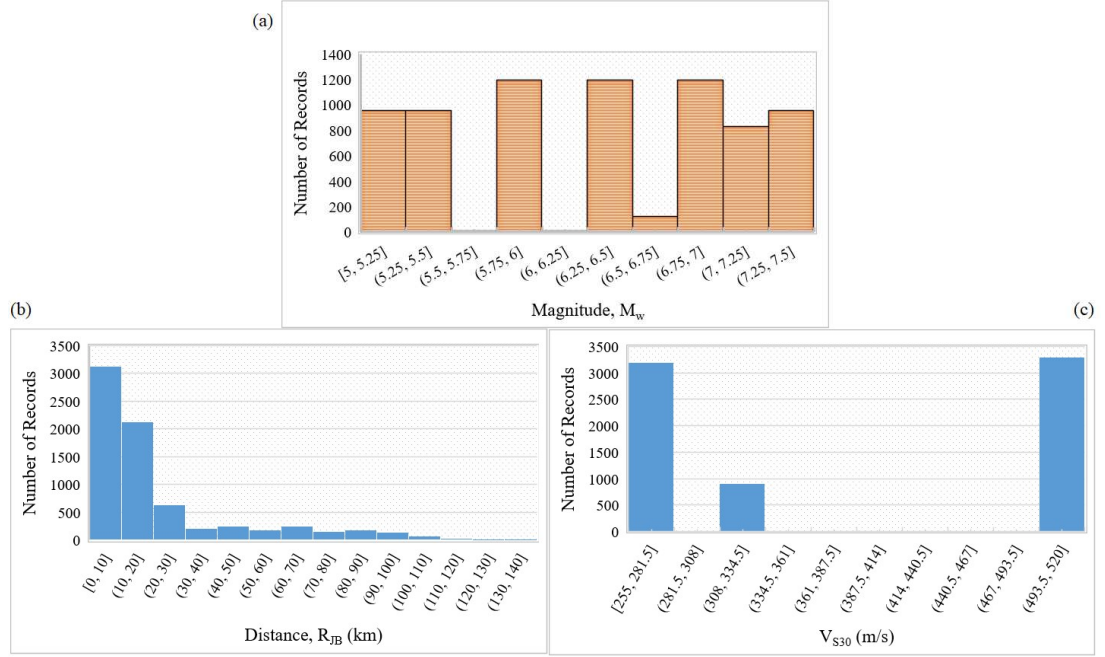


Figure 4.2. Ground motion data distribution of simulated database (a) magnitude, (b) distance, and (c)  $V_{S30}$  site parameter.

Figure 4.3 presents the hypocentral depth distribution of the simulated database in terms of magnitude scale. 1992 Erzincan mainshock ( $M_w$  6.6) has a hypocentral depth of 9 km (Bernard et al., 1997), while the hypocentral depth of 1999 Duzce earthquake ( $M_w$  7.1) is 12.5 km (Milkereit et al., 1999). For each scenario earthquake, hypocentral depths are calculated with respect to geometrical features of the fault plane (i.e., fault depth to upper edge, dip angle and sub-fault width). The deepest hypocentral depth in the simulated database is 18 km for the Duzce scenario earthquake with moment magnitude 5.0, whereas 7 km is the shallowest hypocentral depth for the Erzincan scenario earthquake with moment magnitude 6.0. The hypocentral depth values of the earthquakes in the simulated database are vary between 7 km and 18 km. Akkar and

Cagnan (2010) database consists of the earthquakes in hypocentral depth range of 0-30 km. Hypocentral depth contributions in the studies of Akkar and Cagnan (2010) and Kale et al. (2015) indicate that the Turkish recorded ground motion database includes relatively deeper events than the ground motion databases of NGA-West1 and West2 models (Power et al., 20018; Gregor et al., 2014). Therefore, the hypocentral depth distribution of the simulated database (Figure 4.3) can be considered as consistent.

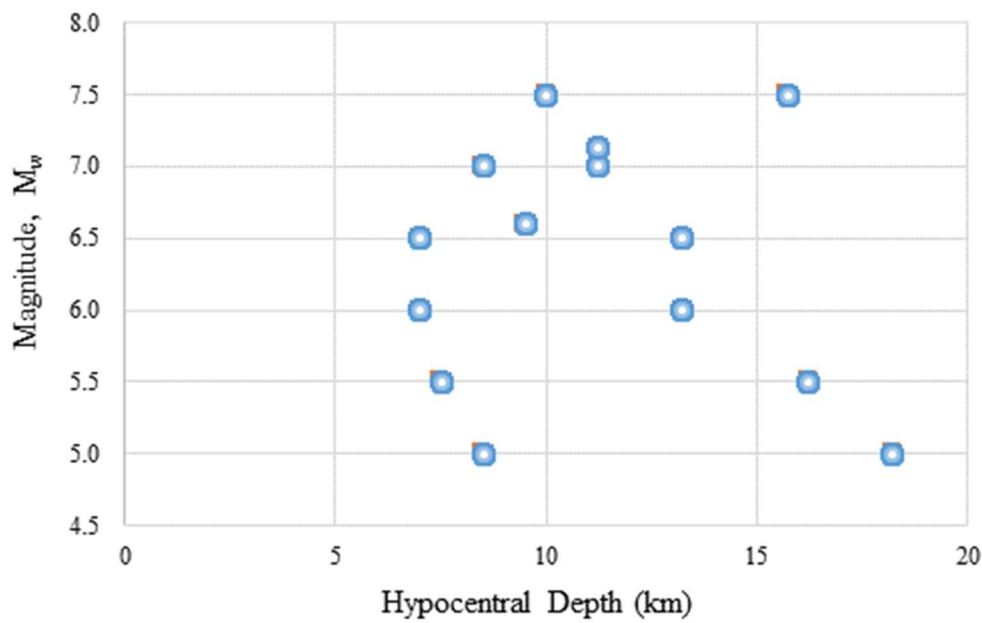


Figure 4.3. Magnitude versus hypocentral depth distribution of the simulated ground motion database.

Figure 4.4 displays the variations of PGA values with respect to magnitude for the simulated database. This distribution enables to determine the hinging magnitude value of the magnitude scaling function that indicates magnitude saturation effects after this specific magnitude value. When the ground motion data trends are investigated in this figure, the hinging magnitude value of 6.75 could be considered

as an acceptable selection. Note that, this value is same with the hinging magnitude values used in the studies of Akkar et al. (2014) and Kale et al. (2015).

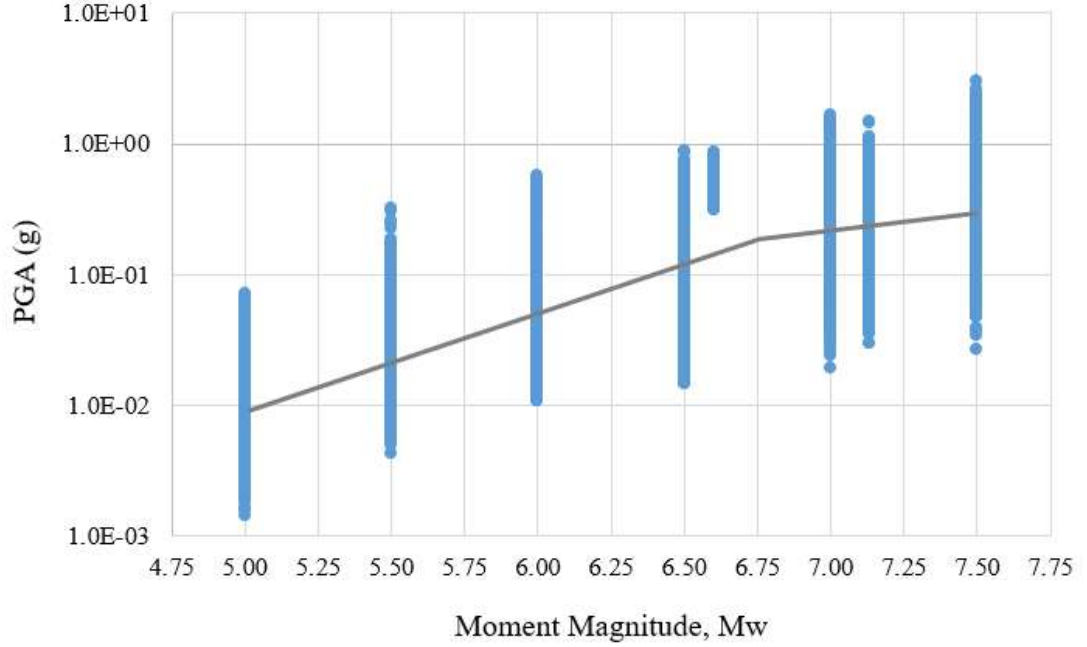


Figure 4.4. Magnitude versus PGA variations of the simulated database at distances smaller than 80 km ( $R_{JB} < 80$  km).

Figures 4.5-4.7 present the magnitude and distance dependence of PGA and PSA at periods 0.2, 0.5, 1, 2 and 4 s, for sites with  $V_{S30} = 255, 310$  and 520 m/s, respectively, in the simulated ground motion dataset. The comparisons are done for  $5 \leq M_w < 6$ ,  $6 \leq M_w < 7$ , and  $7 \leq M_w < 8$  magnitude intervals. These figures represent the general behavior of the simulated database to take into account the development of functional form. The use of a magnitude-dependent geometric spreading term can be observed from these plots. It also can be observed that the simulated database (maximum  $R_{JB} = 136$  km) is not sufficient to consider the anelastic attenuation term in the functional form because a significant amplitude decay beyond distances about 80 km cannot be observed.

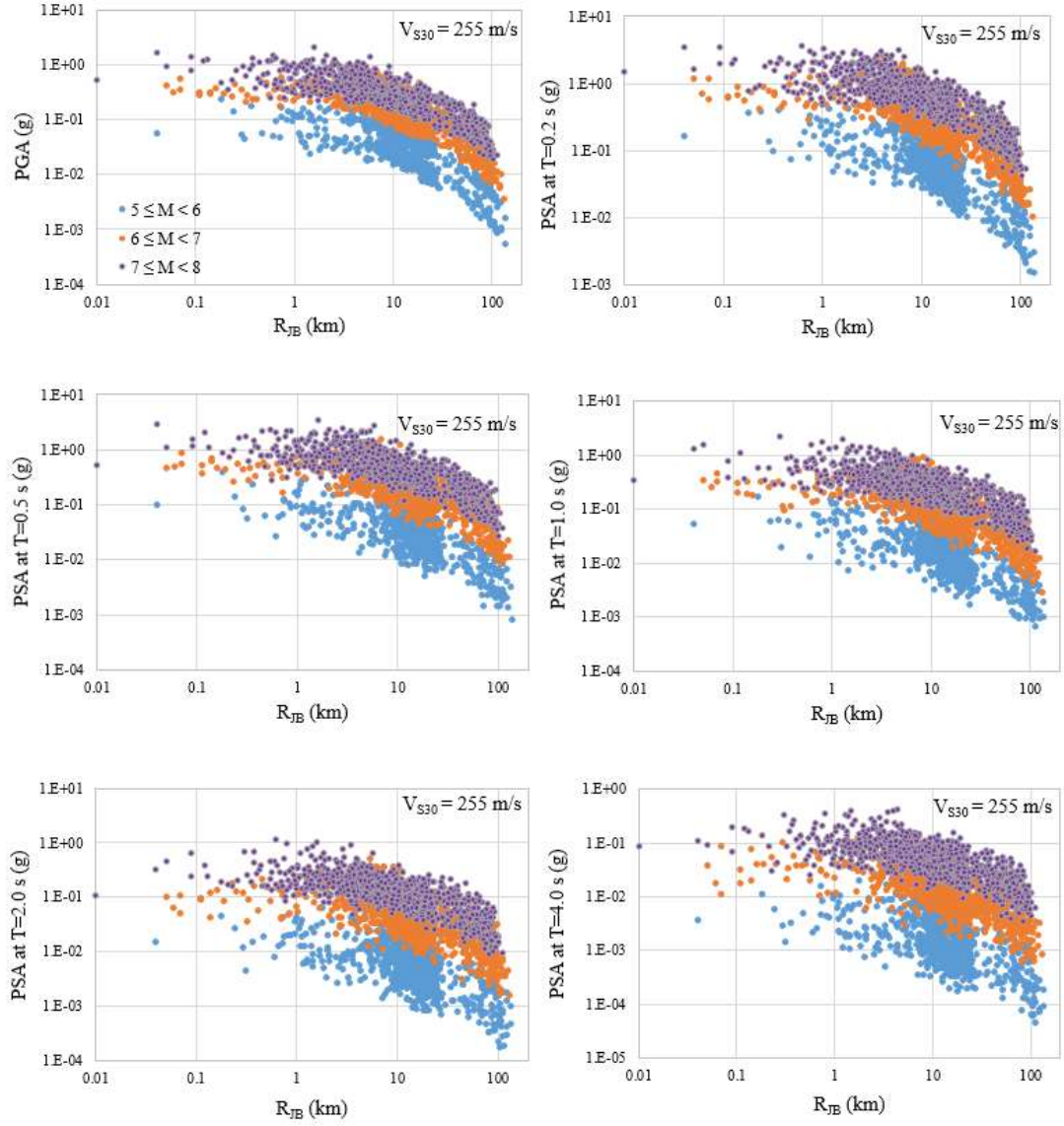


Figure 4.5. Magnitude and distance dependence of PGA and PSA at periods 0.2 s, 0.5 s, 1 s, 2 s and 4 s, for sites with  $V_{s30} = 255$  m/s.

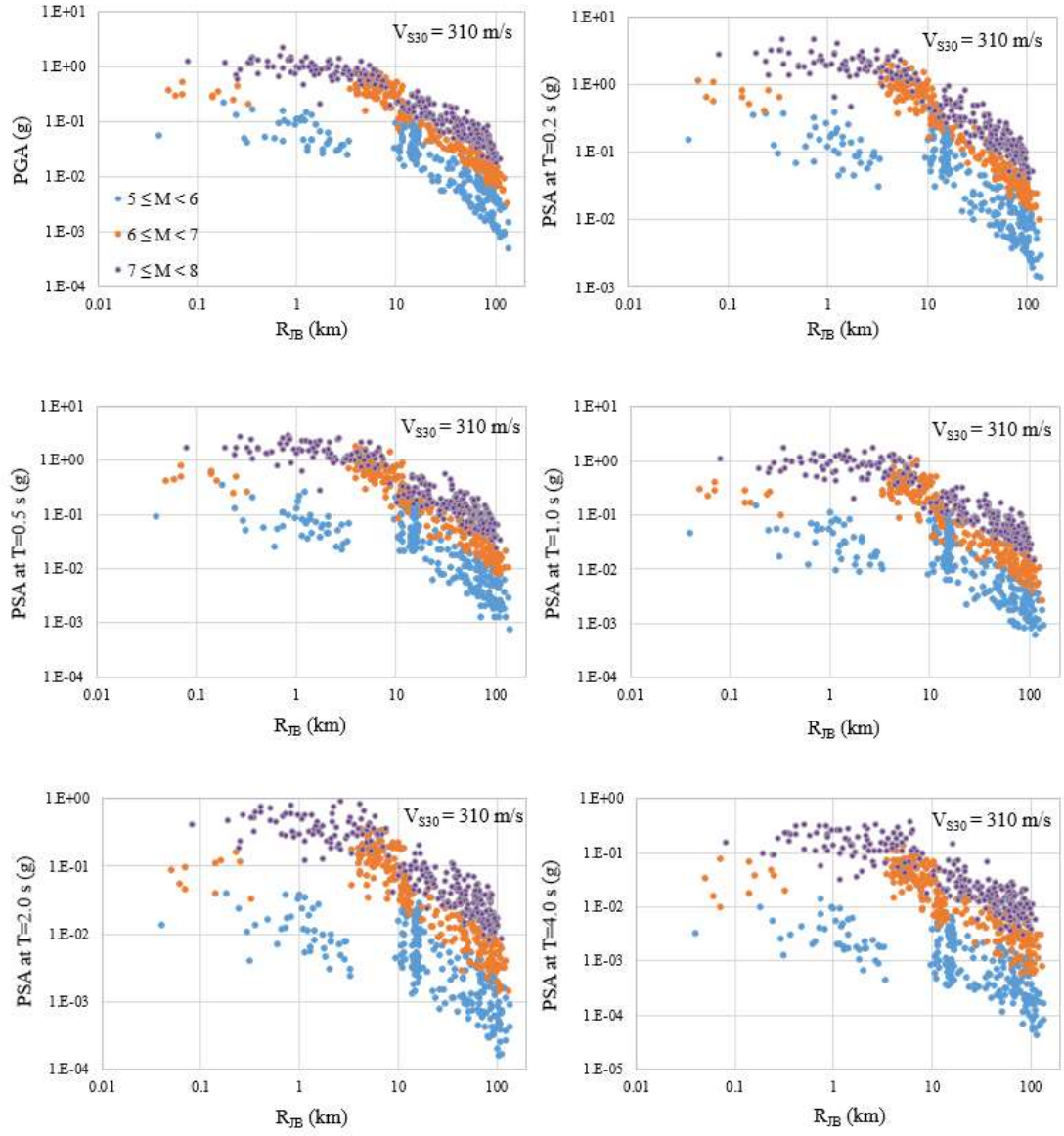


Figure 4.6. Magnitude and distance dependence of PGA and PSA at periods 0.2 s, 0.5 s, 1 s, 2 s and 4 s, for sites with  $V_{S30} = 310$  m/s.

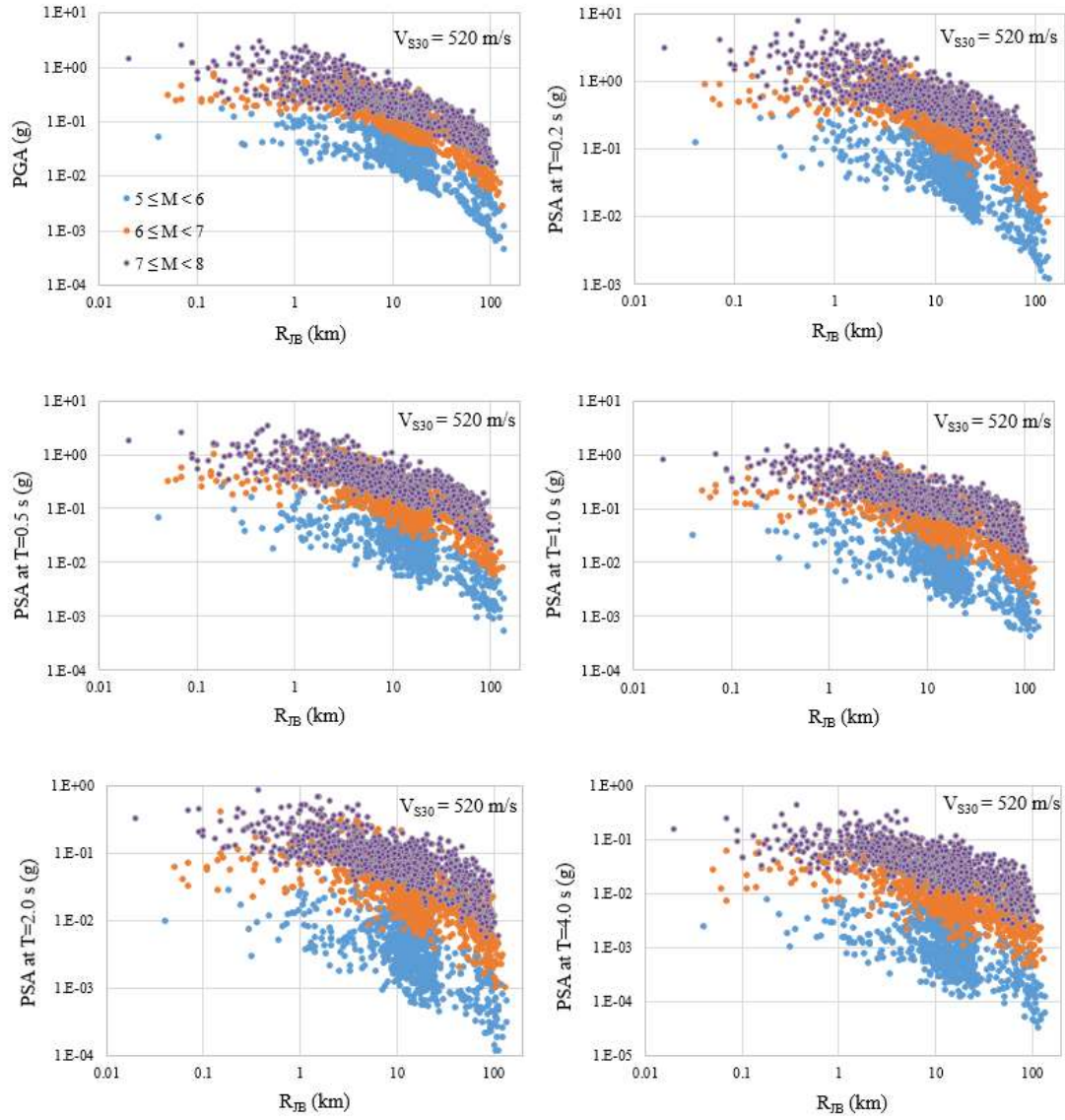


Figure 4.7. Magnitude and distance dependence of PGA and PSA at periods 0.2 s, 0.5 s, 1 s, 2 s and 4 s, for sites with  $V_{S30} = 520$  m/s.

### 4.3. Response and Predictor Variables

The ground motion intensity measures (GMIMs) are the dependent variables (response variables) of the GMPEs which can be listed as peak ground acceleration (PGA), peak ground velocity (PGV), and 5% damped pseudo acceleration (PSA) response spectra. In the past applications, the response variables are proposed as larger

component or geometric mean of the two horizontal components (Kalkan and Gulkan, 2004; Boore et al., 1997; Akkar and Cagnan, 2010; Kale et al., 2015). Then, GMPEs developer teams of the NGA-West1 project used the intensity measure GMRotI50, rotation-independent average horizontal component (Boore et al., 2006). Lastly, with the evaluation of NGA-West2 project RotD50 is started to be used, it is defined as the 50th-percentile of the rotated orientation-independent, period-dependent combined horizontal components (Boore, 2010). The difference between RotD50 (in NGA-West2) and GMRotI50 (in NGA-West1) is small for GMPE progress (Boore, 2010). The stochastic simulation technique utilized in this study provides only one horizontal component, by considering the motion as independent of direction. Therefore, this study proposes the GMIMs for PGA, PGV, and 5%-damped PSA for periods between 0.01 s and 4 s without considering any component definition. Note that, the GMIMs proposed for  $T > 1$  s may be biased considering the theoretical background of stochastic simulation processes since stochastic method provides solutions to the high frequency band of ground motions which has an arbitrary character constituted of random phases. Deterministic simulation techniques, which is not within the scope of this thesis, can accurately model the low frequency (long period) band, and they need precise source characteristics and wave velocity patterns (Hanks and Mc Guire, 1981).

The primary predictor variables in ground motion predictions are moment magnitude, measures of the source to site distance (i.e.,  $R_{EPI}$ : epicentral distance,  $R_{HYP}$ : hypocentral distance,  $R_{JB}$ : closest distance to the surface projection of the fault plane,  $R_{RUP}$ : closest distance to the fault rupture plane),  $V_{S30}$  site response and faulting style (i.e., normal, reverse and strike-slip). Secondary parameters in general include event type classified as mainshock and aftershock, depth to top of fault rupture, basin response, hanging wall effect, directivity effects and other source parameters. The metadata information of observed earthquakes that the simulations are based on and the resulted simulated database do not provide information about complex parameters, therefore secondary estimator parameters are not included in the proposed GMPEs.

Within this study, the moment magnitude,  $R_{JB}$  distance, site parameter  $V_{S30}$  and faulting mechanism are used as predictor variables. It should be noted that, all the earthquakes in the simulated database are strike-slip, since both 1992 Erzincan and 1999 Duzce earthquakes used in the simulations are strike-slip earthquakes. Thus, the fault mechanism term is excluded from the equations.

#### **4.4. Functional Form of the GMPEs and Regression Analyses**

GMPEs are typically derived from regression analysis of ground motion data with considered estimator variables. The sophistication of GMPEs has been modified in time due to increased size of ground motion datasets and quality of their metadata information. The older GMPEs provide only peak ground acceleration that depends on only magnitude and distance with quite simple functional forms (Douglas, 2003). The new predictive models estimate various ground motion intensity measures (i.e., PGA, PGV, spectral acceleration, etc.) as functions of magnitude, distance, site characteristics, style of faulting and some more additional independent variables such as hanging wall effect, depth to top of rupture, depth to basement rock (Power et al., 2008; Gregor et al., 2014).

In this thesis, the use of the regional GMPEs developed from the simulated ground motion database is evaluated by comparing the proposed model with the Turkish model of Kale et al (2015) which is developed from the observed ground motion data. Therefore, the same functional form of Kale et al (2015) is directly employed in the proposed GMPEs to remove the epistemic uncertainty related to the selection of functional form and to make accurate comparisons. As mentioned in Kale et al (2015), because of the limitations of the metadata information of the database, the complex estimator variables (i.e., depth to top of fault rupture, basin response, hanging wall effect, directivity effects, etc.) are not used in the functional form of the GMPEs. This functional form is a similar version of the basic function of Akkar and Cagnan (2010) and Abrahamson and Silva (2008).

The functional form for the estimations of peak horizontal ground acceleration, PGA (in the unit of g), of peak horizontal ground velocity, PGV (in cm/s), and pseudo acceleration spectral ordinates, PSA (in the unit of g) is presented in Equation 4.1.

$$\ln(\bar{Y}) = f_{mag} + f_{dis} + f_{site} \quad (4.1)$$

The model includes magnitude scaling ( $f_{mag}$ ), geometric decay ( $f_{dis}$ ) and site effects ( $f_{site}$ ) to estimate the natural logarithmic mean ( $\ln(\bar{Y})$ , median) of the above intensity measures. Style of faulting term ( $f_{sof}$ ) is not included since the fault mechanisms of all earthquakes in the simulated database are strike-slip. The aleatory variability in the predictions is presented by the standard deviation ( $\sigma$ , sigma) which is discussed in the following paragraphs. Equations 4.2-4.3 display  $f_{mag}$  and  $f_{dis}$ , respectively. In the beginning, anelastic attenuation ( $f_{aat}$ ) is also considered in the functional form but the attenuation effect is not observed from the regression analysis. This may be due to the lower upper distance limit of the simulated dataset which is 136 km or using only two different quality factors in simulations. The  $f_{mag}$  functional form includes linear and quadratic magnitude scaling terms. In  $f_{dis}$ , the multiplier of the logarithmic distance term indicates the magnitude-dependent ground motion decay. The hinging magnitude term ( $c_1$ ) in Equation 4.2 indicates magnitude saturation effects after  $M_w > c_1$ . It is selected as  $M_w$  6.75 which depends on observed trend in the simulated data for different magnitude and distance interval (Figure 4.4).

$$f_{mag} = \begin{cases} b_1 + b_2(M_w - c_1) + b_3(8.5 - M_w)^2 ; & M_w \leq c_1 \\ b_1 + b_7(M_w - c_1) + b_3(8.5 - M_w)^2 ; & M_w > c_1 \end{cases} \quad (4.2)$$

$$f_{dis} = [b_4 + b_5(M_w - c_1)] \ln \sqrt{R_{JB}^2 + b_6^2} \quad (4.3)$$

The coefficient  $b_6$  in Equation 4.3 is the fictitious depth which is considered in the regression to avoid overlap in the curves for large magnitude events at very short distances. The logarithmic distance term can never be less than the fictitious depth. In regression analysis, variations in fictitious depth are found to be minimal in the spectral period band; as a result, this coefficient is considered as period-independent and taken as 4.5 km. The fictitious depth coefficient is considered as period-dependent or independent in the recent empirical ground motion models. In Akkar and Cagnan (2010) the fictitious depth coefficient has increasing values from 7.33 for PGA to 9.61 for  $T = 0.2$  s, and beyond this period it has decreasing values till 3.88 for  $T = 2$  s. This coefficient is taken as constant with a value of 7.5 in Akkar et al. (2014) and 8.0 in Kale et al. (2015), due to the minimal variations in the spectral period band of these models in regression analyses. The fictitious depth in Abrahamson et al. (2014) is constant with a value of 4.5. Boore et al. (2014) take this coefficient as period-dependent from 4.5 for PGA to 5.74 for  $T = 1$  s, and to 9.66 for  $T = 10$  s. In Campbell and Bozorgnia (2014), the pseudo-depth coefficient is varied in between 5.52-8.54 for a period range of  $T = 0$ -10 s. The period-independent fictitious depth value (4.5 km) of the proposed model is lower than those from aforementioned Turkish models. The reason of this can be the high number of large earthquakes (i.e.,  $M_w$  6.5-7.5) at short distances in the simulated database. Besides, the pseudo-depth values of the NGA-West2 models can also be considered as low for the short-to-intermediate period range. Note that, these models are derived from an extensive ground motion database in distance and magnitude ranges, even for larger events at short distances compared to the local databases.

The nonlinear site function introduced by Sandikkaya et al. (2013) which is derived for the broader Europe is utilized to take into account the site effects ( $f_{site}$ ). The site effects model of Sandikkaya et al. (2013) is provided in Equation 4.4. The period-dependent regression coefficients ( $s_{b1}$  and  $s_{b2}$ ) of the nonlinear site function are taken from Kale et al. (2015) which are the smoothed version of original coefficients provided by Sandikkaya et al. (2013). The reference  $V_{S30}$  (i.e.,  $V_{REF}$ ) is 750 m/s in the

nonlinear site function and  $V_{CON} = 1000$  m/s accounts to limit the  $V_{S30}$  after which the site amplification is constant. The reference rock site PGA ( $PGA_{REF}$ ) is computed from the reference ground motion model in Equations 4.2 and 4.3. It is the revised version of  $PGA_{REF}$  model provided in Sandikkaya et al. (2013) by taking into account the specific magnitude, faulting mechanism and distance distributions of the simulated database. Regressions are carried out initially by scaling the spectral ordinates to reference rock conditions. The coefficients  $c$  and  $n$  are period-independent with values  $c = 2.5$  and  $n = 3.2$  and are taken from the Sandikkaya et al. (2013) model. The transition between higher and lower ground motion amplitudes is defined by the coefficient  $c$ , while the soil nonlinearity is represented by  $n$ . Table 4.1 lists the period-dependent  $s_{b1}$  and  $s_{b2}$  coefficients for some selected periods in the proposed model. It should be noted that Sandikkaya et al. (2013) site model is limited with  $150 \text{ m/s} \leq V_{S30} \leq 1200 \text{ m/s}$ . The site model is only used to compute soil response over the reference rock condition that is estimated by the proposed GMPE, since the  $V_{S30}$  values in the simulated database do not fall outside of its  $V_{S30}$  limits, possible biases in the estimates are prevented.

$$f_{site} = \begin{cases} sb_1 \ln\left(\frac{V_{S30}}{V_{REF}}\right) + sb_2 \ln\left(\frac{PGA_{REF} + c(V_{S30}/V_{REF})^n}{(PGA_{REF} + c) + (V_{S30}/V_{REF})^n}\right), & V_{S30} < V_{REF} \\ sb_1 \ln\left(\frac{\min(V_{S30}, V_{CON})}{V_{REF}}\right), & V_{S30} \geq V_{REF} \end{cases} \quad (4.4)$$

The total aleatory variability of the model is defined by  $\sigma$  that consists of between-event ( $\tau$ , inter-event) and within-event ( $\phi$ , intra-event) standard deviations.

$$\sigma = \sqrt{\tau^2 + \phi^2} \quad (4.5)$$

Between-event standard deviation describes the variability from one earthquake to the next in the database (earthquake-to-earthquake variability). On the other hand, within-event standard deviation is the variability among recordings within an event.

Table 4.1. *Period-Dependent  $f_{site}$  Coefficients*

| Period (s) | sb1      | sb2      |
|------------|----------|----------|
| PGA        | -0.41997 | -0.28846 |
| PGV        | -0.72057 | -0.19688 |
| 0.01       | -0.41729 | -0.28685 |
| 0.02       | -0.39998 | -0.28241 |
| 0.03       | -0.34799 | -0.26842 |
| 0.04       | -0.27572 | -0.24759 |
| 0.05       | -0.21231 | -0.22385 |
| 0.075      | -0.13909 | -0.17798 |
| 0.1        | -0.26492 | -0.28832 |
| 0.15       | -0.48496 | -0.39525 |
| 0.2        | -0.64239 | -0.44574 |
| 0.3        | -0.82052 | -0.45287 |
| 0.4        | -0.90568 | -0.41105 |
| 0.5        | -0.95097 | -0.37956 |
| 0.75       | -1.00027 | -0.32233 |
| 1          | -1.01881 | -0.28172 |
| 1.5        | -0.96317 | -0.22449 |
| 2          | -0.91305 | -0.18388 |
| 3          | -0.84242 | -0.12665 |
| 4          | -0.79231 | -0.08605 |

(Adapted from [Kale et al., 2015](#))

Magnitude dependence of standard deviations is sought by performing pure error analysis (Draper and Smith, 1981) before starting the regression analysis. The simulated ground motion database is divided into 0.5 magnitude bins for conducting this analysis. The investigations obtained from the pure error analysis results suggest that the standard deviations do not depend on magnitude. Accordingly, the weighting functions obtained in Kale et al. (2015) model are implemented in unity. It means that weighted regression is not performed in this study.

Table 4.2. *Period-Independent Hinging Magnitude and Regression Coefficients*

| c1   | b2    | b5    | b6  | b7    |
|------|-------|-------|-----|-------|
| 6.75 | 0.585 | 0.053 | 4.5 | -0.08 |

Table 4.3. *Period-Dependent Regression Coefficients*

| Period (s) | b1       | b3       | b4       |
|------------|----------|----------|----------|
| PGA        | 1.49363  | -0.12517 | -1.04879 |
| PGV        | 5.51025  | -0.17197 | -0.90738 |
| 0.01       | 1.49637  | -0.12499 | -1.04859 |
| 0.02       | 1.52215  | -0.12434 | -1.04919 |
| 0.03       | 1.59512  | -0.12239 | -1.05013 |
| 0.04       | 1.73669  | -0.11978 | -1.05452 |
| 0.05       | 1.86901  | -0.11629 | -1.04998 |
| 0.075      | 2.16294  | -0.1137  | -1.04991 |
| 0.1        | 2.34622  | -0.12448 | -1.05259 |
| 0.15       | 2.40726  | -0.13758 | -1.05484 |
| 0.2        | 2.32386  | -0.14437 | -1.0544  |
| 0.3        | 2.02111  | -0.15131 | -1.0279  |
| 0.4        | 1.71654  | -0.15584 | -1.00237 |
| 0.5        | 1.47234  | -0.15969 | -0.98178 |
| 0.75       | 0.99388  | -0.16839 | -0.94342 |
| 1          | 0.62856  | -0.1767  | -0.91614 |
| 1.5        | 0.11612  | -0.19307 | -0.87875 |
| 2          | -0.23544 | -0.20934 | -0.85363 |
| 3          | -0.7105  | -0.24182 | -0.82103 |
| 4          | -1.02045 | -0.27427 | -0.80023 |

The mixed-effects regression algorithm proposed by Abrahamson and Youngs (1992) is applied in the regression analysis. The spectral periods in the range of 0.0 s (PGA) to 4.0 s (at 63 discrete spectral periods) and PGV are predicted by the proposed GMPEs. The predictive model is developed in two steps. In the first part, the GMPEs is developed by using the close-distance database ( $R_{JB} < 80$  km) which has the main importance for engineering purposes (Campbell and Bozorgnia, 2014). Therefore, the magnitude dependent regression coefficients of  $b_2$ ,  $b_3$ ,  $b_5$ ,  $b_6$ , and  $b_7$  are obtained in this step. In the second step, the distance effects are modeled by the regression analysis by using the entire simulated ground motion database. At larger distances ( $R_{JB} > 80$  km), change in characteristics of crustal structure can highly influence the ground motions, resulting a modification in the attenuation at large distances (e.g., Q term) (Abrahamson et al., 2014). Anelastic attenuation effect is investigated in this step in

order to account for such differences; however, the anelastic attenuation effect cannot be modeled appropriately because of the upper distance limit of the database. Thus, this term is not included in the proposed ground motion model. Application of second step regression analysis yield the distance scaling term ( $b_4$ ), regression constant term ( $b_1$ ), and aleatory variability components ( $\tau$  and  $\phi$ ).

The regression coefficients of the new model at some chosen periods are listed in Table 4.2 and 4.3. After each regression step, the smoothing is applied to the obtained coefficients to remove jagged variation of response spectra estimations. Table 4.4 lists the between-event ( $\tau$ ) and within-event ( $\phi$ ) standard deviations for selected periods. The total lists of regression coefficients for the proposed GMPEs are provided in Appendix A.

Table 4.4. *Period-Dependent Standard Deviation Values*

| Period (s) | $\phi$ | $\tau$ |
|------------|--------|--------|
| PGA        | 0.2937 | 0.2935 |
| PGV        | 0.311  | 0.3108 |
| 0.01       | 0.2935 | 0.2933 |
| 0.02       | 0.2931 | 0.2929 |
| 0.03       | 0.291  | 0.2907 |
| 0.04       | 0.2887 | 0.2885 |
| 0.05       | 0.289  | 0.2888 |
| 0.075      | 0.2948 | 0.2946 |
| 0.1        | 0.3037 | 0.3035 |
| 0.15       | 0.3214 | 0.3211 |
| 0.2        | 0.3341 | 0.3338 |
| 0.3        | 0.3487 | 0.3484 |
| 0.4        | 0.3553 | 0.355  |
| 0.5        | 0.363  | 0.3628 |
| 0.75       | 0.3786 | 0.3783 |
| 1          | 0.3917 | 0.3914 |
| 1.5        | 0.4096 | 0.3952 |
| 2          | 0.4219 | 0.3569 |
| 3          | 0.4469 | 0.2967 |
| 4          | 0.4651 | 0.2561 |

#### 4.5. Prediction Results of the Proposed GMPEs

In this section, the proposed prediction equations are verified by residual analysis. The residuals of proposed GMPEs are evaluated under the model development database (i.e., the simulated database) of this study and recorded database of Kale et al (2015) model. Note that, the total residuals are the differences between the natural logarithm of observed data and the natural logarithm of ground motion estimates. The mixed effects regression algorithm (Abrahamson and Youngs, 1992) is utilized to separate total residuals into between-event ( $\eta_i$ ) and within-event ( $\varepsilon_{ij}$ ) components where index  $i$  indicates the earthquakes and index  $j$  represents recordings in the dataset. In the evaluations, the residuals are grouped into distance, magnitude and  $V_{S30}$  bins to obtain mean binned residuals for each predictor variable. Additionally, 95% confidence intervals for the mean binned residual groups are also computed for detecting any systematic trend in the behavior of residuals. Fluctuations of the mean binned residuals and their confidence limits about zero indicates agreement between the predictions of the GMPE and the ground motion database being considered. As seen from the figures, GMPEs display different residual behaviors (e.g., underestimation or overestimation) for different magnitude bins. The residual evaluations are made by considering PGA and PSA at  $T = 0.2$  s,  $0.5$  s and  $1.0$  s. In the comparative plots, mean binned residuals are represented by solid circles, whereas confidence intervals are displayed as error bars.

Figure 4.8 represents the between-event (inter-event) and within-event (intra-event) residuals of the proposed GMPEs under simulated database. The between-event residuals for  $M_w$  and within-event residuals for  $V_{S30}$  are not randomly distributed instead they show systematic distributions as a result of simulated database features. Only, within-event residuals for  $R_{JB}$  show random distributions with high number of very close distance events. But still, the corresponding mean residuals fluctuate about zero without any significant trends and indicates that the proposed model fits the

simulated data well. Besides, the 95% confidence intervals of between-event residuals are high due to the very small number of earthquakes in the simulated database.

The between-event and within-event residuals of the proposed model under the recorded database of Kale et al. (2015) are given in Figure 4.9. Only strike-slip earthquakes from development database of Kale et al. (2015) are considered for residual analysis to prevent additional biases as the proposed model is derived only for strike-slip faulting simulated events. The residuals for  $M_w$  as well as for  $R_{JB}$  and  $V_{S30}$  show random distribution. In general, the between-event and within-event residuals are larger in the recorded data, and they display more dispersive behavior compared to those in the simulated dataset. The between-event mean residuals fluctuate about zero for magnitudes larger than  $M_w$  5.0 and show a positive bias for small magnitudes, which indicates that the proposed model underestimates the small magnitude earthquakes. This underestimation does not reflect the expected behavior of the proposed model since the lower magnitude limit of the model development database is  $M_w$  5.0. This observation is more significant at short periods. The within-event residuals for  $R_{JB}$  also fluctuate about zero at almost all distances while at close distances positive and negative biases are observed. This can be due to the fact that the proposed model is derived from a database that contains a large number of close-distance earthquakes ( $R_{JB} \leq 30$  km). For  $V_{S30}$ , the within-event mean residuals fluctuate about zero and indicates that the estimates of proposed GMPEs are unbiased with respect to site parameter while a negative bias is shown for large  $V_{S30}$  values that points out over prediction. The bias in larger  $V_{S30}$  values is likely to be caused by the low upper limit of the site parameter in the model development database (maximum  $V_{S30} = 520$  m/s).

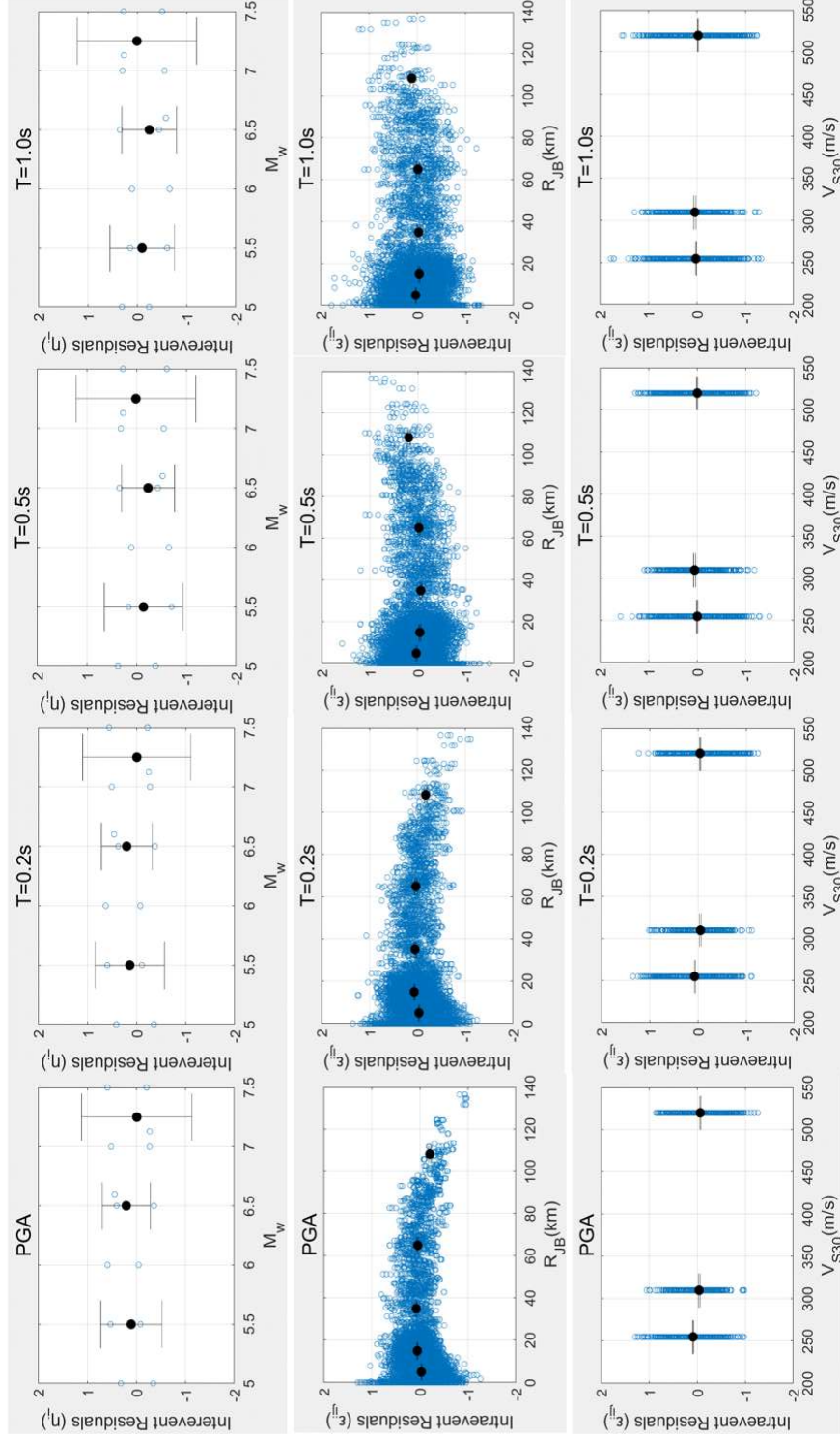


Figure 4.8. Between-event (first row) and within-event (second and third rows) residuals of the proposed GMPEs for simulated dataset.

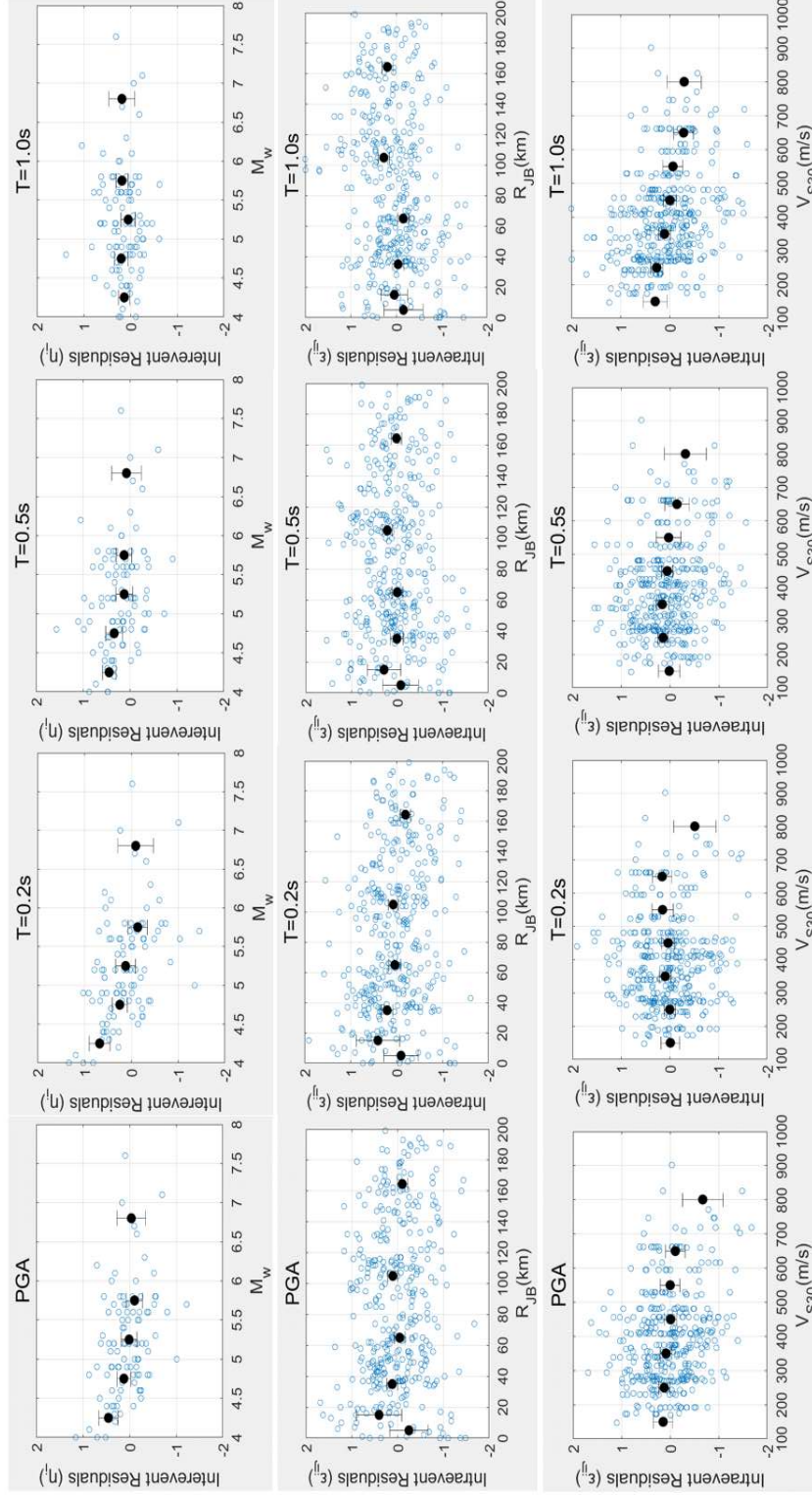


Figure 4.9. Between-event (first row) and within-event (second and third rows) residuals of the proposed model for the recorded database of Kale et al. (2015) considering only strike-slip faulting earthquakes.

Figures 4.10-4.12 show a series of example plots that display how the proposed median ground motion model scales with  $M_w$ ,  $R_{JB}$ ,  $V_{S30}$ , and spectral periods. Figure 4.10 presents the distance scaling (attenuation) of the proposed model for magnitudes of 5, 6, 7 and 8 for a strike slip fault on rock site ( $V_{S30} = 760$  m/s). The plots show similar trends for all magnitudes at all given spectral periods. The plots show similar trends and similar magnitude-dependent distance slope for all periods. Magnitude saturation is not observed for large magnitudes at very short distances. For  $R_{JB} > 10$  km, the distance attenuation shows a linear slope for all periods. A downward curvature in the lines cannot be obtained towards large distances at short periods, which indicates that anelastic attenuation is not observed, due to the lower upper distance limit of the simulated database.

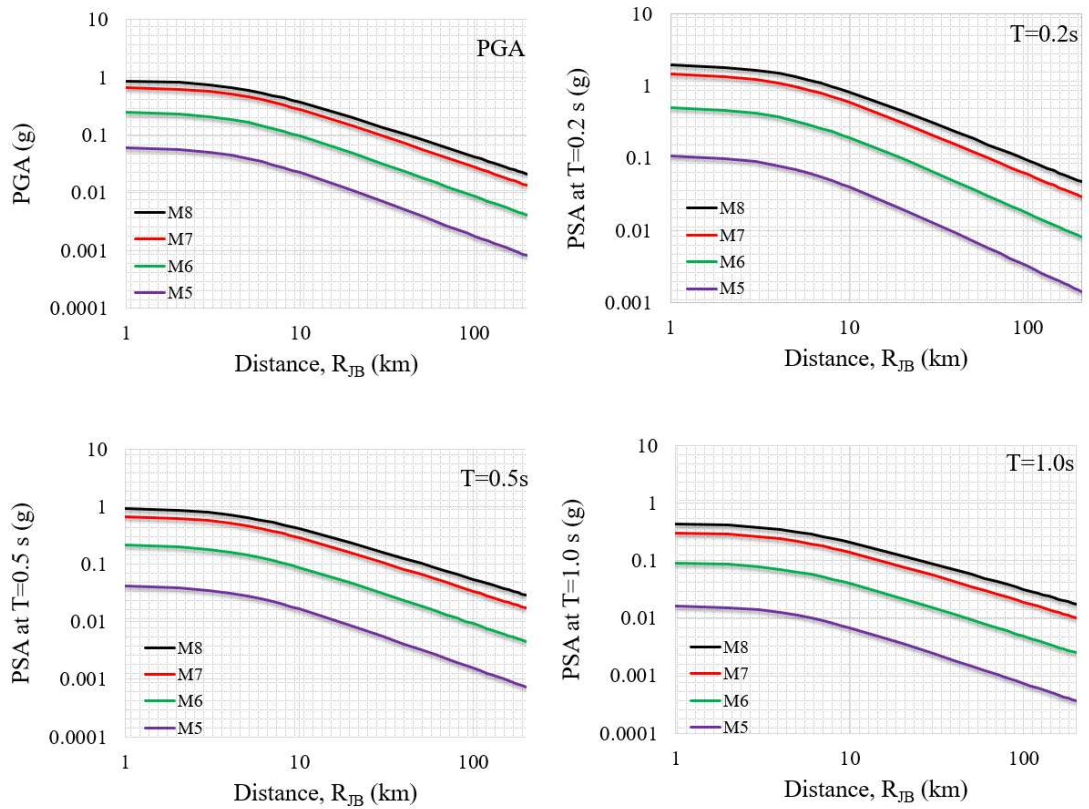


Figure 4.10. Distance scaling of the proposed GMPE at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ) for magnitude range between  $M_w$  5 and  $M_w$  8. The comparisons are done for a reference rock site  $V_{S30} = 760$  m/s.

The magnitude scaling of the proposed model is given in Figure 4.11 for strike-slip earthquakes on rock sites ( $V_{S30} = 760$  m/s) for  $T=0.2$  s and  $T=1.0$  s. There is no weak scaling of the short period motion at short distances, indicates there is no significant saturation with magnitude, due to the high number of short distance events for all considered magnitudes in the simulated database. The dependence of the spectra on the  $V_{S30}$  for magnitudes  $M_w$  5, 6, 7 and 8 strike-slip faults at  $R_{JB} = 30$  km is provided in Figure 4.12. The plots show the  $V_{S30}$  site dependence of the peak period in the spectrum. For  $V_{S30} = 760$  m/s, the peak period ranges from approximately 0.18 s for  $M_w$  5 to 0.20 s as the magnitude increase, which is in the acceleration-sensitive spectral region. Besides, for the soft site (i.e.,  $V_{S30} = 255$  m/s), the peak period ranges from 0.21 s for  $M_w$  5 to 0.25 s for  $M_w$  8. The response spectra show similar trends for all magnitudes, with more differences between compared site parameters at intermediate to long periods. Besides, the amplification from rock to soft soil is increasing significantly towards the longer periods for all magnitudes.

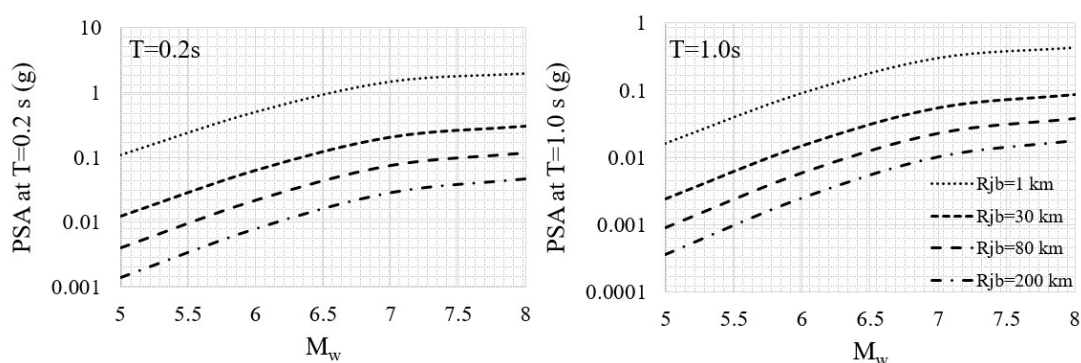


Figure 4.11. Magnitude scaling of the proposed GMPE at spectral ordinates  $T = 0.2$  s and  $T = 1$  s for distance ranges  $R_{JB} = 1, 30, 80$  and  $200$  km. The comparisons are done for a reference rock site,  $V_{S30} = 760$  m/s on a strike slip fault.

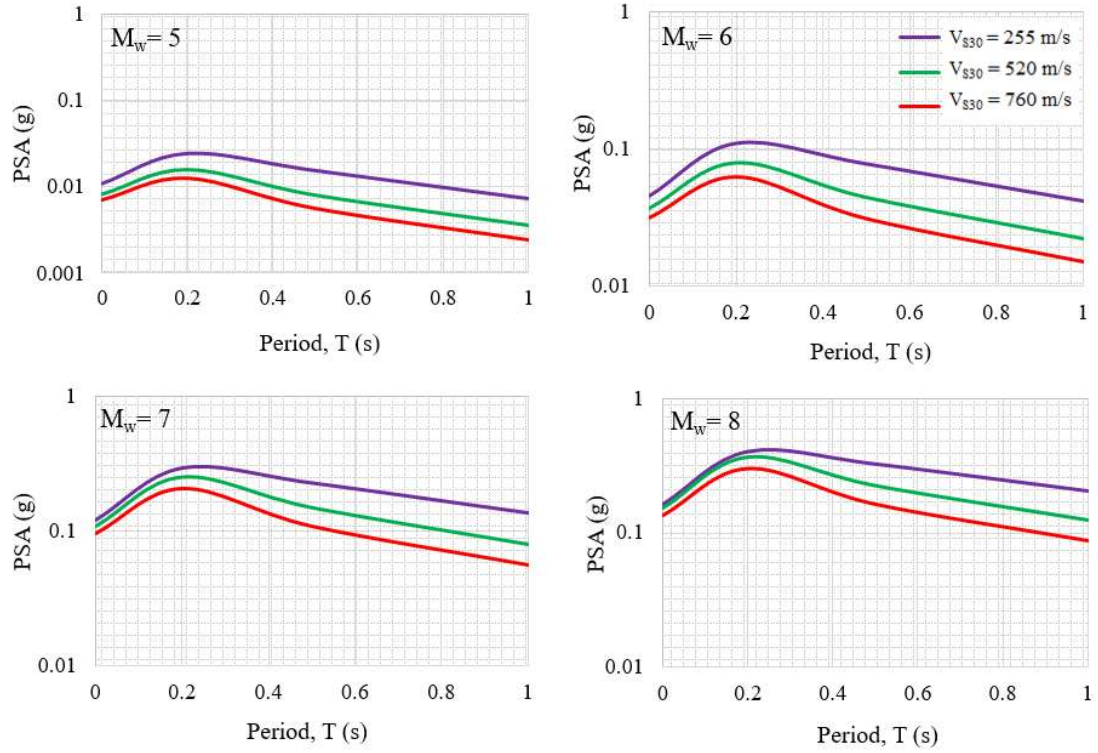


Figure 4.12. Period scaling of proposed GMPE for different soil sites ( $V_{S30} = 255, 520, 760$  m/s). The comparisons are done for  $R_{JB} = 30$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

The period-dependent variations of between-event ( $\tau$ , inter-event), within-event ( $\phi$ , intra-event) and total standard deviations ( $\sigma$ ) of the proposed GMPEs based on simulated database are provided in Figure 4.13. It is globally known that within-event standard deviations are much larger than the between-event component for GMPEs whose datasets consist of recorded ground motions (Strasser et al., 2009). But for the standard deviations of the proposed model derived from the simulated database, the within-event and between-event standard deviations are almost equal, while the within-event sigma is just slightly larger than the between-event component. In the simulated database, a systematic distribution is dominated in terms of magnitude, distance and soil properties, and the database consists of 7397 records from 1195 stations for 14 earthquakes. The pure error analysis showed unbiased weighting for the binned magnitudes of the simulated database. This results in similar and magnitude-independent within-event and between-event standard deviations due to the simulated database features. Also, standard deviations of the proposed GMPEs

show a period-dependent behavior since they increase with the period. The total aleatory variability ( $\sigma$ ) of the new model has values ranging from 0.42 natural log units for PGA and to 0.55 natural log units for  $T=1$  s.

Furthermore, as mentioned in Akkar and Cagnan (2010) standard deviations of Turkish models are generally larger than the NGA models significantly for larger magnitudes. This may be due to the differences in functional forms with respect to predictor variables or database features. The low number of large earthquakes in Turkish database increases the aleatory variability of Turkish GMPEs. However, all standard deviations computed from the proposed model are significantly lower than those obtained from the previous Turkish models as well as NGA-West2 GMPEs, and note that the main difference is between the within-event uncertainty values. Figure 4.14 compares the total sigma values for the new model, the regional model of Akkar et al. (2014) and local model of Kale et al. (2015). The total variabilities of the new model derived from the simulated database are lower than those obtained from the evaluated GMPEs, with a reduction of about 20% to 30%. Besides, the total variabilities of Kale et al. (2015) model for large magnitudes are also smaller than those obtained from Akkar et al. (2014), possibly due to the magnitude-dependent standard deviation modeling of Kale et al. (2015). The reason of low standard deviation estimates of current model can be the simulated database features and the considerable number of simulated records from moderate-to-large earthquakes (i.e.,  $M_w$  6.0-7.5).

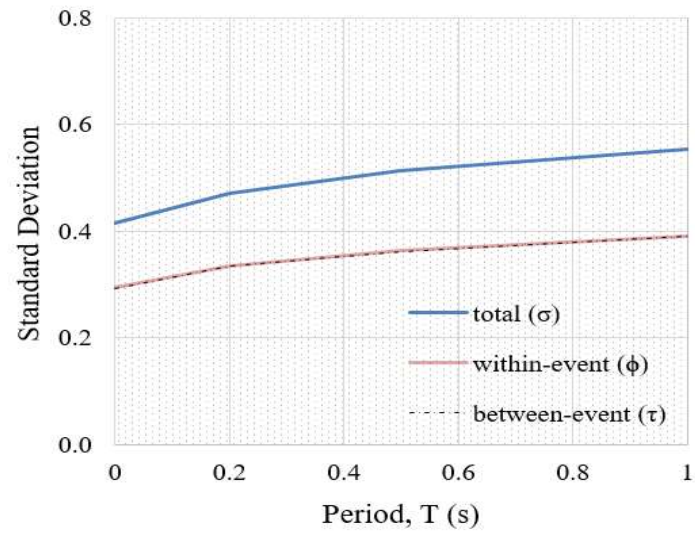


Figure 4.13. The within-event, between-event and total standard deviations of the proposed GMPEs.

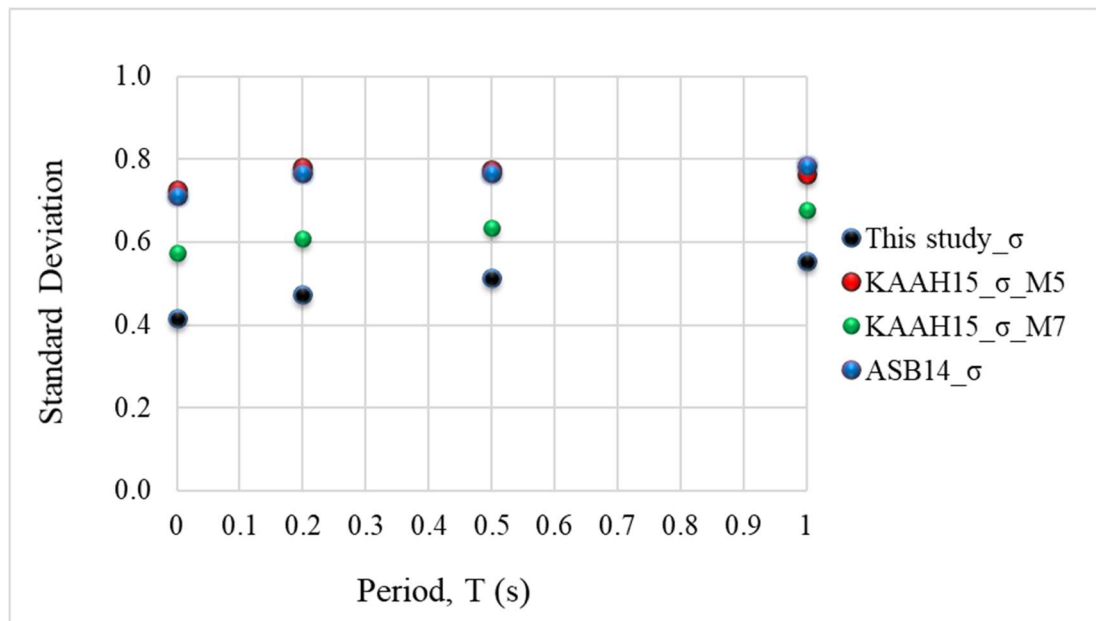


Figure 4.14. Comparisons of total standard deviations ( $\sigma$ ) for the new GMPEs, the regional model of Akkar et al. (2014) and local model of Kale et al. (2015).

#### 4.6. Comparisons with the Local, Regional and Global GMPEs

Ground-motion estimations of the proposed model are compared with the regional model of Akkar et al. (2014) [hereafter referred to as ASB14], local model of Kale et al. (2015) - KAAH15 and global GMPEs of Abrahamson et al. (2014) - ASK14, Boore et al. (2014) - BSSA14, Campbell and Bozorgnia (2014) - CB14, and Chiou and Youngs (2014) - CY14. The basic estimator parameters of the proposed GMPEs are adequate for comparisons with the regional model of ASB14 and the local model of KAAH15. For the comparisons with the NGA-West2 GMPEs additional predictor variables such as  $R_{RUP}$  distance measure, depth to top of rupture ( $Z_{TOR}$ ), hypocentral depth, down-dip rupture width ( $W$ ), basin/sediment depths ( $z_{1.0}$ : depth from the ground surface to the 1.0 km/s shear-wave horizon beneath the site, and  $z_{2.5}$ : depth to the 2.5 km/s shear wave velocity horizon) are required. These additional parameters are estimated consistently with the empirical relations given in the studies of Wells and Coppersmith (1994) and Kaklamanos et al. (2011). The recommended basin depth of each model developer is used to compare the simulated database with the NGA-West2 models. The reference site class with  $V_{S30} = 760$  m/s is utilized for the overall comparisons due to the nonconformity in site classification between the evaluated GMPEs. Vertical (dip =  $90^\circ$ ) strike-slip faulting is considered in the comparisons.

Figure 4.15 shows distance scaling comparisons between ASB14 and KAAH15 ground motion models and proposed model for PGA and spectral periods of 0.2 s, 0.5 s and 1.0 s. The median ground motions from strike slip faults on rock site ( $V_{S30} = 760$  m/s) are plotted for four different magnitudes ( $M_w$  5, 6, 7 and 8). The remarkable observation shown from the comparative plots presented in Figure 4.15 is that the proposed ground motion estimations are lower for small magnitudes particularly at short periods with respect to previous regional and local models. The apparent inconsistency in small magnitudes highly reduces with increasing magnitude. This small magnitude bias of the proposed model may be due to using low stress drop or lack of observed near field pulses in the simulations. Moreover, the small magnitude bias can arise from the use of stochastic finite-fault simulation at small magnitudes instead of stochastic point-source technique, because theoretically finite-fault method

can underestimate the ground motion amplitudes from small magnitude events. The stochastic point-source simulation is more adequate to generate accelerograms for small magnitude earthquakes. In general, the plots show similar trends for all magnitudes at selected spectral periods; however, the proposed GMPEs tends to estimate higher spectral amplitudes at close distances (i.e.,  $R_{JB} < 10\text{km}$ ) for large magnitudes (i.e.,  $M_w$  7 and 8) at selected spectral periods. The reason for the overestimation of the new model for large magnitudes at close distances can be considerable number of close distance simulated data since the stochastic method is capable of simulating near-field ground motions from large magnitude earthquakes. Besides, the new model underestimates the spectral amplitudes at  $R_{JB}$  distances greater than 10 km for large magnitudes (i.e.,  $M_w$  7 and 8) particularly at intermediate to long spectral periods (i.e.,  $T=0.5\text{s}$  and  $1.0\text{s}$ ), possibly due to the insufficient low frequency modeling of stochastic approach. ASB14 and KAAH15 predictions converge and even overlap by showing similar predictions for all magnitudes and for selected spectral periods although ASB14 is on the safe side for magnitudes  $M_w$  5 and  $M_w$  6 at distances of  $R_{JB} < 60\text{ km}$ .

NGA-West2 GMPEs are included to comparisons in Figure 4.16 which shows distance scaling comparisons at selected spectral ordinates (PGA, PSA at  $T= 0.2\text{ s}$ ,  $0.5\text{ s}$  and  $1\text{ s}$ ) for magnitudes  $M_w$  5 (representing small magnitude events) and  $M_w$  7 (representing large magnitude events). The evaluations are again for a reference rock site,  $V_{S30} = 760\text{ m/s}$ . For small magnitude, all evaluated GMPEs show great variation with respect to one another at all periods, although the new model and KAAH15 predict smaller amplitudes at all distances for all periods, and median estimations of ASB14 is much closer to NGA-West2 models. In general, the new GMPEs is on the low side for  $M_w$  5 at almost all distances. For large magnitude, the comparisons provide good agreement between the new GMPEs and evaluated models, but the proposed model estimates higher spectral values at close distances (i.e.,  $R_{JB} < 10\text{ km}$ ) for short periods and lower spectral values at large distances for long periods. For large magnitude ( $M_w$  7), spectral amplitudes predicted by all GMPEs generally converge and overlap by showing similar prediction.

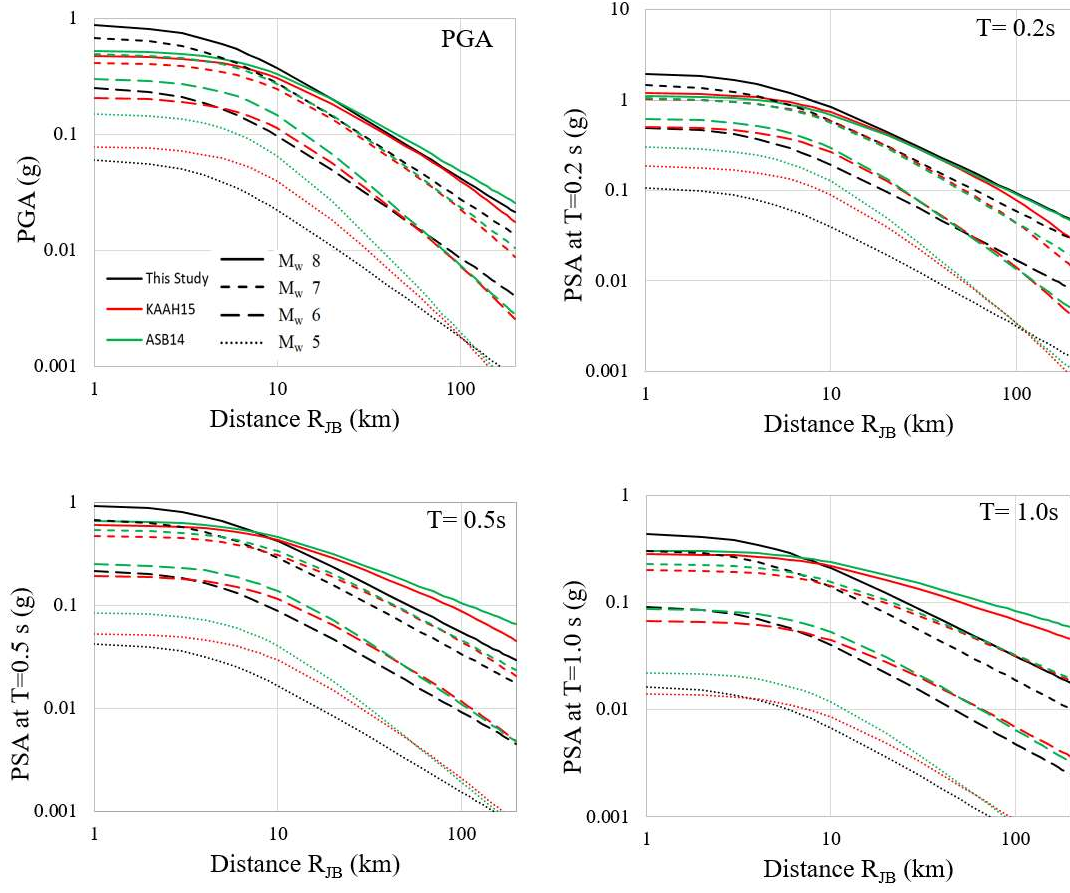


Figure 4.15. Distance scaling comparisons between ASB14 and KAAH15 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$ s,  $0.5$ s and  $1$ s) for  $M_w$  5, 6, 7 and 8. The comparisons are done for a reference rock site,  $V_{s30} = 760$  m/s.

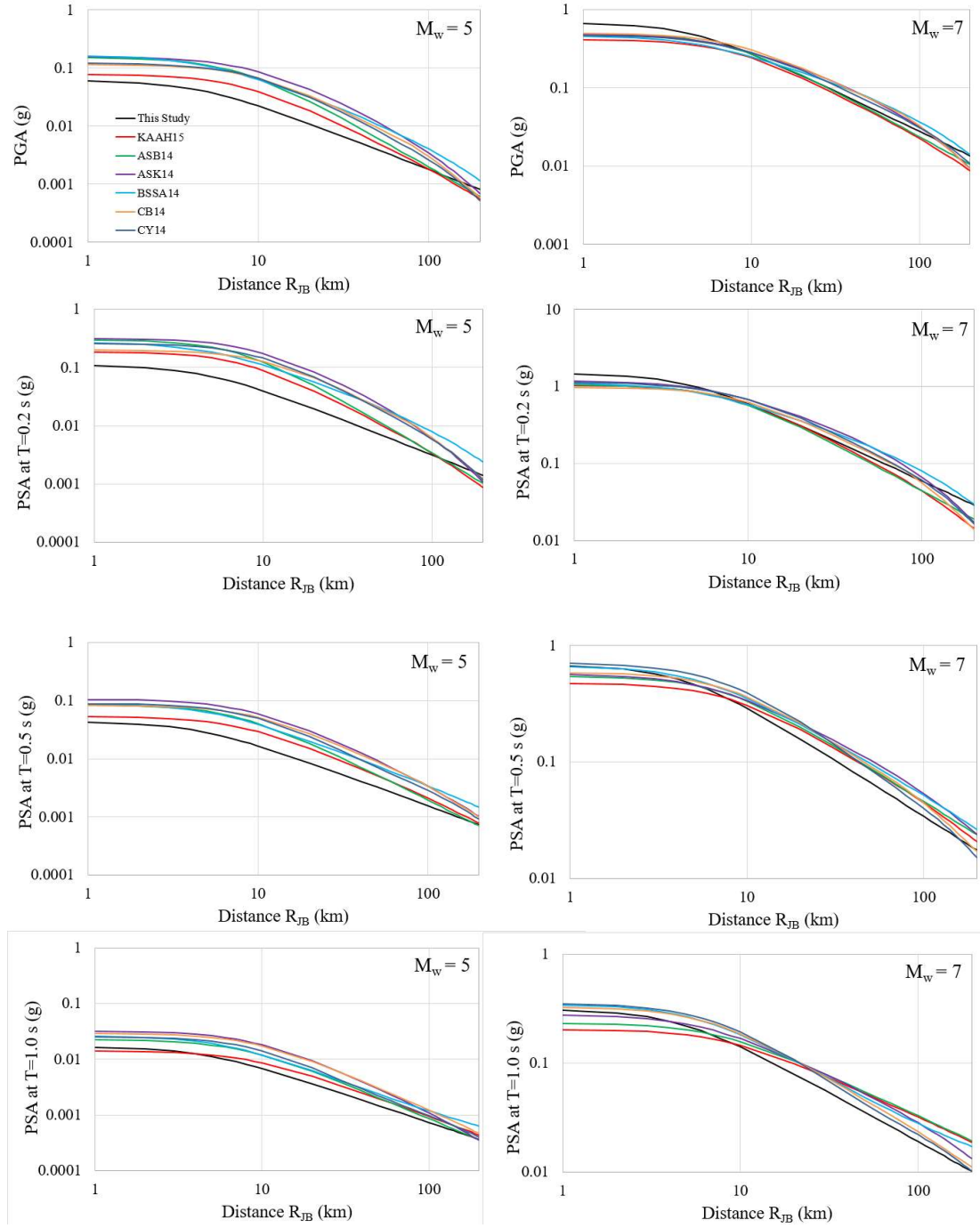


Figure 4.16. Distance scaling comparisons between the proposed model and ASB14, KAAH15 ground motion models and NGA-West2 GMPEs (ASB14, BSSA14, CB14 and CY14) at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s). The comparisons are done for magnitudes  $M_w$  5 and  $M_w$  7 for  $V_{S30} = 760$  m/s from a strike slip fault.

The magnitude scaling comparisons between ASB14 and KAAH15 models and the proposed model for PGA and spectral periods of  $0.2$  s,  $0.5$  s and  $1.0$  s are presented in

Figure 4.17. The comparisons are done at a distance of  $R_{JB} = 10$  km for a reference rock site,  $V_{S30} = 760$  m/s from a strike slip fault. The magnitude scaling predicted by the new model derived from the simulated database is very similar to those from ASB14 and KAAH15 particularly at large magnitudes ( $M_w \geq 6.5$ ) for all spectral periods, probably due to the accurate estimates of stochastic technique for near-field ground motions from large earthquakes. It is noticeable that the new model underestimates the spectral ordinates at magnitudes smaller than  $M_w 6$  for  $T \leq 0.5$ s. As stated previously, the small magnitude bias of the proposed model can be due to using the low stress drop parameter or employing the finite-fault method for small magnitudes in simulations.

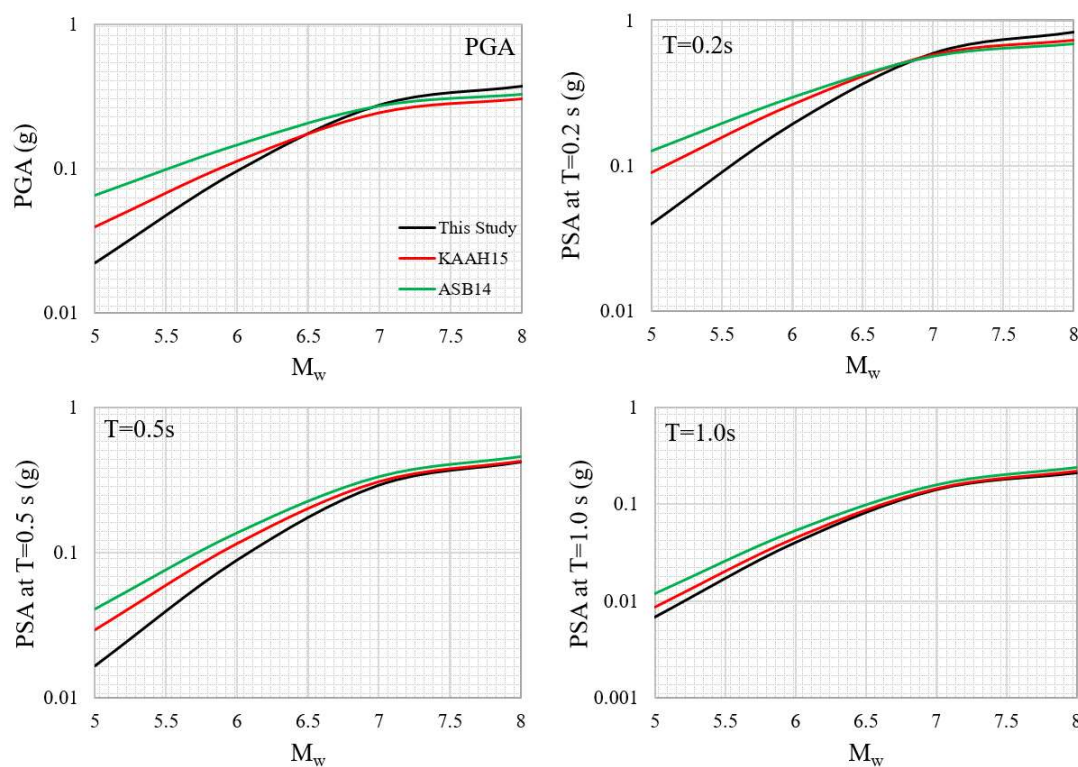


Figure 4.17. Magnitude scaling comparisons between ASB14 and KAAH15 models and the proposed GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2$ s,  $0.5$ s and  $1$ s). The comparisons are done for a reference rock site,  $V_{S30} = 760$  m/s at  $R_{JB} = 10$  km from a strike slip fault.

Figure 4.18 also shows magnitude scaling comparisons between previous models and the proposed model for PGA and spectral periods of  $0.2$  s,  $0.5$  s and  $1.0$  s but this time at distance  $R_{JB} = 80$  km. The comparisons are done for  $V_{S30} = 760$  m/s from a strike

slip fault. For the upper bound of the geometric attenuation, the magnitude scaling predicted by the new GMPEs is very similar to those from ASB14 and KAAH15 models at all magnitudes particularly for  $T \leq 0.2$  s. Although, the proposed GMPE is slightly on the low side at large magnitudes for intermediate to long periods (i.e.,  $T = 0.5$  s and 1 s). The small magnitude bias of the new GMPE does not shown for  $R_{JB} = 80$  km.

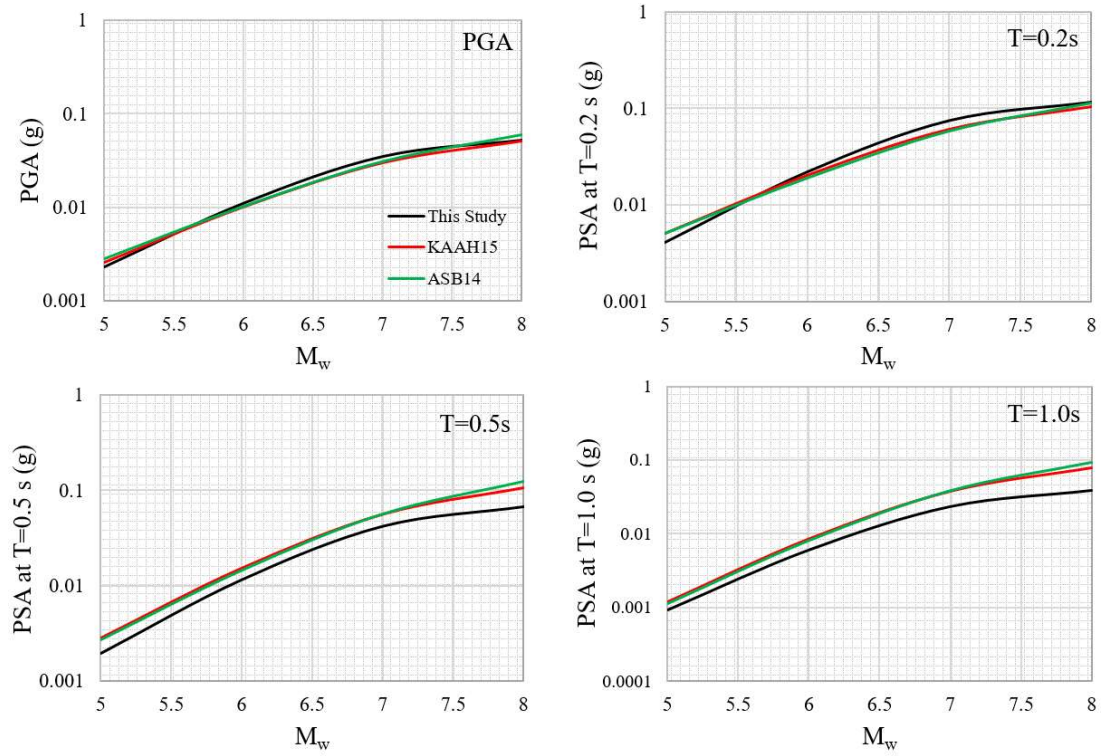


Figure 4.18. Magnitude scaling comparisons between ASB14 and KAAH15 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s, 0.5 s and 1 s). The comparisons are done for a reference rock site,  $V_{S30} = 760$  m/s at  $R_{JB} = 80$  km from a strike slip fault.

Figure 4.19 presents magnitude scaling comparisons of proposed GMPEs with previous local GMPEs and NGA-West2 models for PGA and spectral periods of 0.2 s, 0.5 s and 1.0 s. The comparisons are done for a reference rock site,  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 10$  km from a strike slip fault. For the close distance (i.e.,  $R_{JB} = 10$  km), the magnitude scaling of evaluated GMPEs are comparable at all magnitudes for  $T = 1.0$  s, while the new study estimates lower spectral amplitudes than compared

GMPEs for  $T \leq 0.5$  s at small magnitudes (i.e.,  $M_w < 6$ ). The new GMPE is on the low side at small magnitudes for selected periods. In general, regional differences, GMPEs parametric form variations and the differences in lower magnitude limits as well as the small magnitude close distance data numbers of the model development databases can be the reason of the discrepancies between evaluated models at periods  $T \leq 0.5$  s.

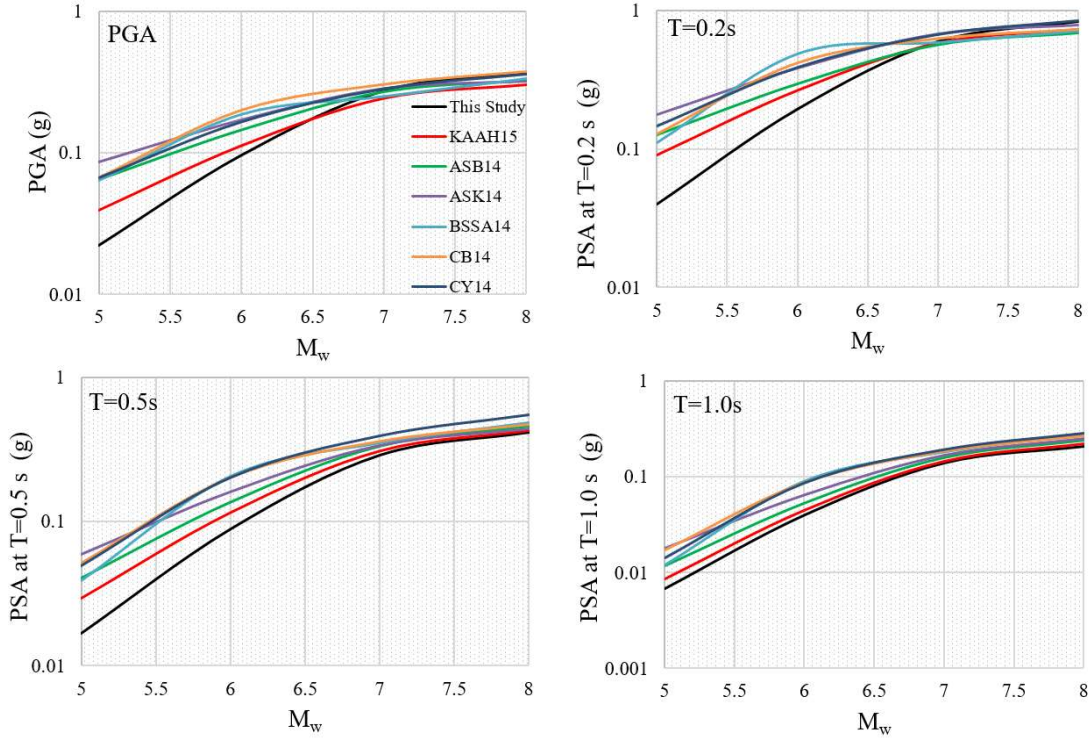


Figure 4.19. Magnitude scaling comparisons of the new model with NGA-West2 GMPEs, previous local and regional models at different spectral ordinates (PGA, PSA at  $T = 0.2$ s,  $0.5$ s and  $1$  s). The comparisons are done for  $V_{S30} = 760$  m/s at  $R_{JB} = 10$  km from a strike slip fault.

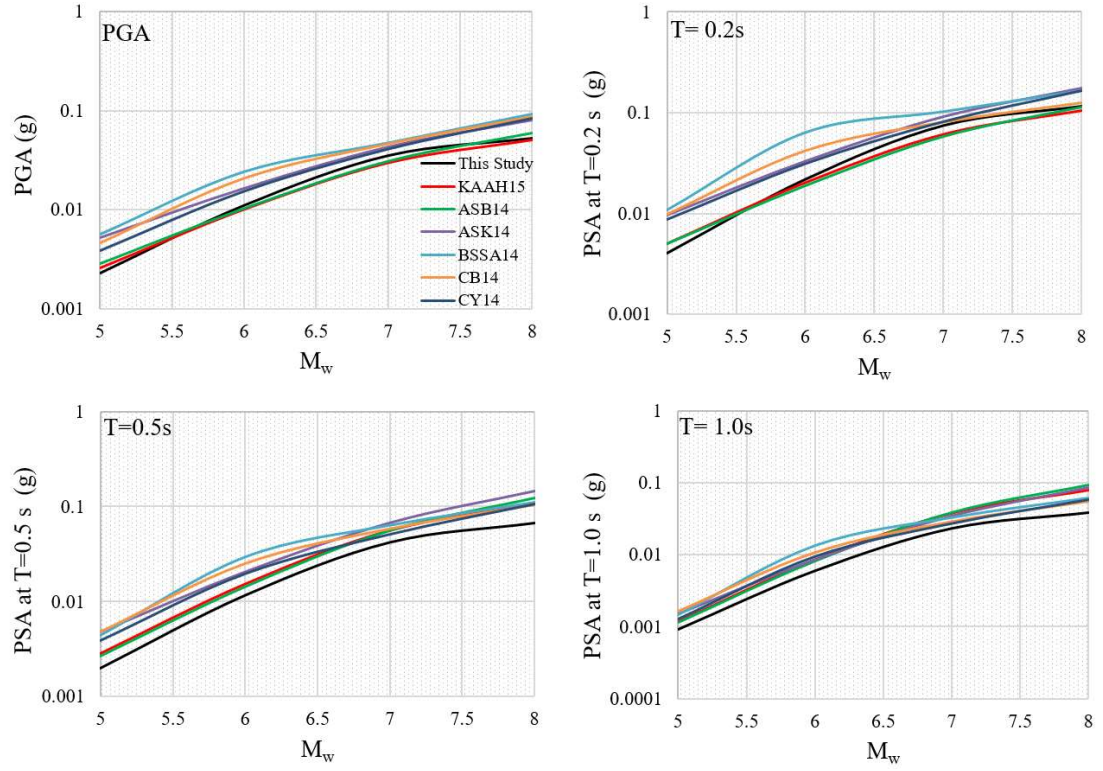


Figure 4.20. Magnitude scaling comparisons of the new GMPEs with NGA-West2 models and ASB14 and KAAH15 ground motion models at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ). The comparisons are done for  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 80$  km from a strike slip fault.

Magnitude scaling comparisons of the new GMPEs with previous local models and NGA-West2 models for PGA and spectral periods of  $0.2s$ ,  $0.5s$  and  $1.0s$  are presented in Figure 4.20 at a distance of  $R_{JB} = 80$  km from a strike slip fault ( $V_{S30} = 760$  m/s). All evaluated models show similar magnitude scaling at selected spectral periods. Although, the plots show the slightly lower spectral estimates of the proposed model at all magnitudes for the spectral period  $T = 0.5$  s, and slightly higher estimates of BSSA14 for  $M_w < 6.5$  at  $T = 0.2s$ .

Figure 4.21 shows the comparisons of spectral ordinates from the new model with previous local and regional predictive models and NGA-West2 GMPEs. The comparisons are done for sites with  $V_{S30} = 255$ ,  $520$  and  $760$  m/s at  $R_{JB} = 30$  km from a strike slip fault for magnitudes  $M_w 5$  and  $M_w 7$ . The comparisons for all sites indicate good agreement at large magnitudes (i.e.,  $M_w 7$ ), although the proposed GMPEs tend

to estimate relatively small spectral amplitudes at intermediate to long periods. For magnitude  $M_w$  5, there is variation between all compared models particularly at short periods while the proposed model with ASB14 and KAAH15 models predict the lowest spectral amplitudes at all periods. The small magnitude bias of the proposed model that possibly originates from the low stress drop simulation parameters and the lower ground motion amplitude estimates of the finite-fault technique for small magnitude events, can also be seen in these plots. The new model also estimates lower spectral periods for large magnitudes ( $M_w$  7) particularly at  $T \geq 0.5$ s. This can be due to the fact that stochastic method is limited at low frequencies that are highly influenced by the source mechanism of large magnitude earthquakes (Askan et al., 2013). Complex source behavior cannot be fully included in stochastic finite-fault method, since source effects are quite complicated and uncertain in compare to all other seismic properties.

Figure 4.22 presents magnitude scaling comparisons between ASB14 and KAAH15 ground motion models and the proposed GMPEs at selected spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for distances  $R_{JB} = 1, 30$  and  $80$  km. The comparisons are done for a reference rock site,  $V_{S30} = 760$  m/s on a strike slip fault. The figure display little weak scaling of the short period motion at very short distances, indicates there is little saturation with magnitude. ASB14 and KAAH15 reflect the saturation with magnitude more than the proposed model. At long periods, saturation is not significant. Discrepancy between the estimates of three models occur mostly for  $M_w < 6$  at close and intermediate distances (i.e.,  $R_{JB} = 1$  and  $30$  km) at short spectral periods, and for  $M_w > 7$  at the closest distance (i.e.,  $R_{JB} = 1$  km) at all selected periods.

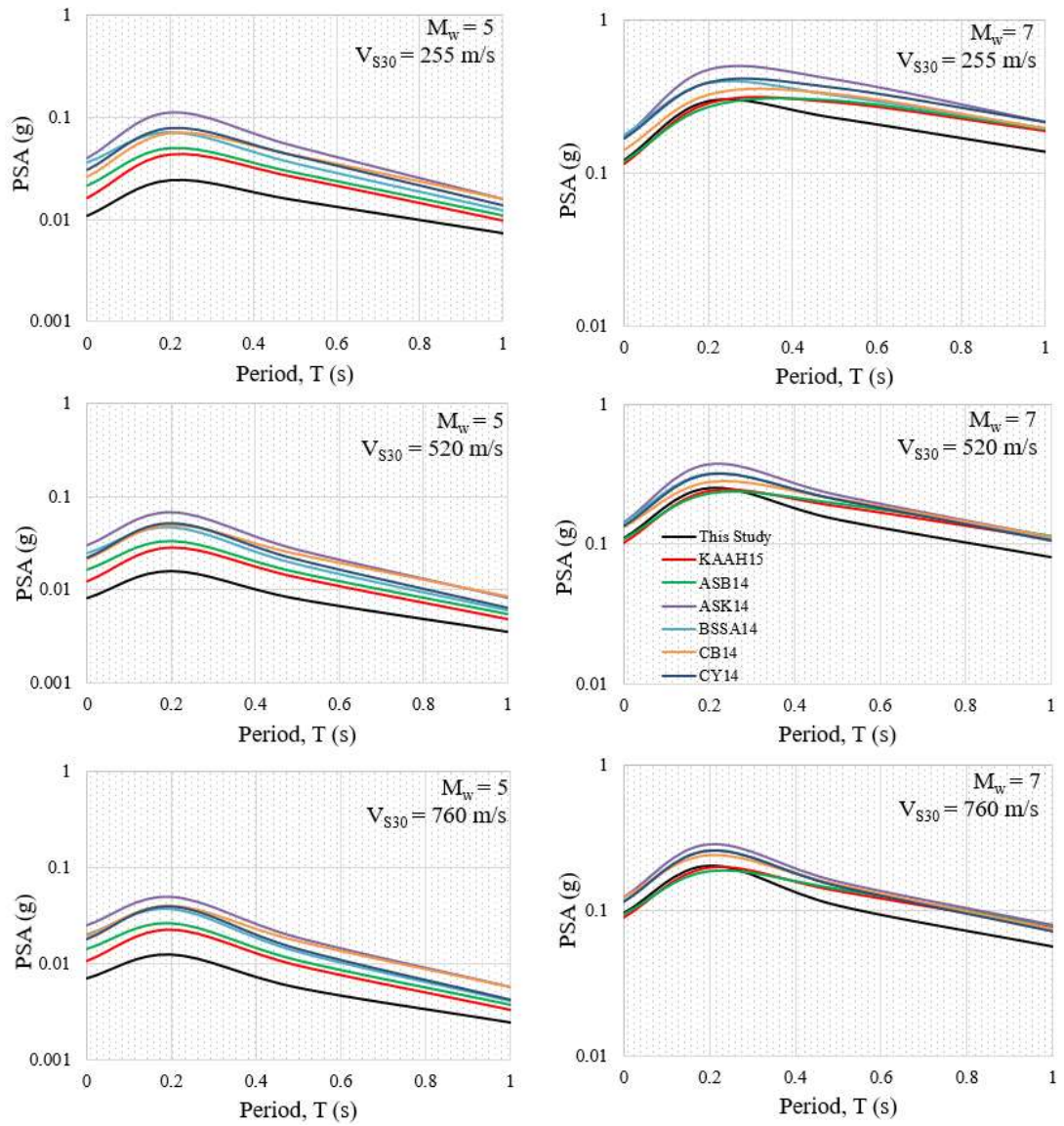


Figure 4.21. Comparisons of spectral ordinates from the proposed model with NGA-West2 models, ASB14 and KAAH15 predictive models. The comparisons are done for  $V_{S30} = 255, 520$  and  $760$  m/s at  $R_{JB} = 30$  km from a strike slip fault for magnitudes  $M_w$  5 and 7.

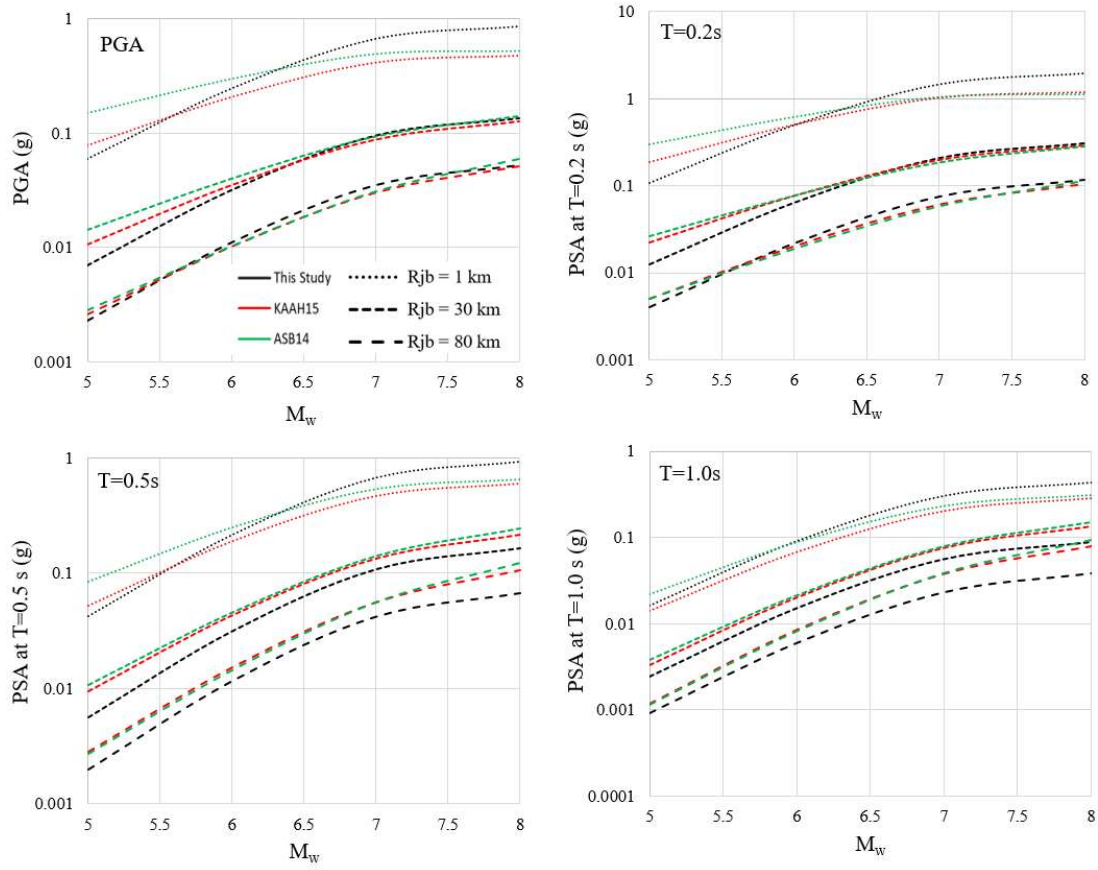


Figure 4.22. Magnitude scaling comparisons between ASB14, KAAH15 models and the proposed GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ) for distance ranges  $R_{JB} = 1, 30$  and  $80$  km. The comparisons are done for  $V_{S30} = 760$  m/s on a strike slip fault.

Additional figures that provide the median ground motion comparisons between the proposed model and ASB14, KAAH15 models and NGA-West2 prediction models (ASK14, BSSA14, B14 and E14) considering different  $V_{S30}$  values, and  $R_{JB}$  distances are included in Appendix B.

## CHAPTER 5

### CONCLUSIONS

#### 5.1. Summary

This thesis evaluates the use of regional GMPEs derived from the simulated ground motion database of the Erzincan and Duzce regions by comparing the proposed model with the up-to-date local Turkish GMPEs (Kale et al., 2015) and other previous regional and global predictive models. Ground motions are simulated from the Erzincan and Duzce regions which are located on the Eastern and Western territories of seismically active North Anatolian Fault zone, respectively. These target areas can be considered as representative of source mechanisms for most of the Turkish earthquake characteristics. The synthetic database is implemented from the past studies (Ugurhan and Askan, 2010; Askan et al., 2013; Askan et al., 2015; Karimzadeh et al., 2017b; Karimzadeh et al., 2018). Simulated ground motion records are generated from the 1992 Erzincan ( $M_w$  6.6) and the 1999 Duzce ( $M_w$  7.1) earthquakes with stochastic finite-fault technique. Validated simulation models are used to produce a large number of potential scenario earthquakes. The regional GMPEs is derived from this generated synthetic database. Functional form is structured with the number of estimator parameters limited by the simulated database. The proposed GMPEs derived from the synthetic database are assessed by comparing the proposed model with the observed local GMPEs of Kale et al. (2015). The same functional form of Kale et al. (2015) is employed in the proposed model to remove the epistemic uncertainty that results from the functional form selection and to make reliable comparisons. Regression analysis is performed to obtain the model coefficients and standard deviation values. Residual analyses are presented for the model development synthetic database and the recorded Turkish ground motion database. Finally, the proposed ground motion model is evaluated against the existing local, regional and global GMPEs.

## 5.2. Conclusions

The main conclusions obtained in this study are listed as follows:

- The finite-fault simulations can efficiently model the near-source ground motions of large magnitude earthquakes, particularly the significant effect of finite-fault features (rupture geometry, spatial slip variation, rupture directivity) on ground motion characteristics. This feature of the finite-fault simulations is important since the recordings from large earthquakes at close distances are the main deficiency of the GMPEs based on regional real ground motion databases.
- Examining residuals for the simulated database indicates that the proposed model fits the simulated data well, and show unbiased estimations with respect to the predictor parameters with near-zero mean residuals. The proposed GMPEs also agree well with recorded database of Kale et al. (2015); however, a tendency to positive or negative residuals are observed for small magnitude events (i.e.,  $M_w < 5$ ), for close distances (i.e.,  $R_{JB} < 20$  km), and for large site parameter values (i.e.,  $V_{S30} > 700$  m/s), possibly resulting from the model development database features.
- It is observed that the within-event and between-event variabilities of the new model are almost equal. The total aleatory variability ( $\sigma$ ) of the proposed model derived from the simulated database is magnitude-independent and period-dependent with values ranging from 0.42 natural log units for PGA and to 0.55 natural log units for  $T = 1$  s. The calculated uncertainties are lower than those obtained from the selected empirical GMPEs, where the main difference is between the within-event uncertainty values. The lower sigma values of the current model can be due to the systematic features of the simulated database and considerable number of records from moderate to large earthquakes.

- The median estimation comparisons between the proposed model and regional predictive models as well as global GMPEs indicate good agreements in general. However, the median estimations of the proposed GMPEs show some biases when they are compared to the selected models. Significant observations related to the median estimations are given as follows:
  - The proposed model underestimates the spectral amplitudes for small magnitudes (i.e.,  $M_w \leq 5$ ) particularly at short periods. This small magnitude bias can arise from using low stress drop, lack of observed near field pulses in the simulations or use of finite-fault simulation method instead of point-source technique for small magnitude earthquake simulations.
  - The new model yields relatively lower spectral amplitude estimates for large magnitudes (i.e.,  $M_w > 7$ ) particularly at  $T > 0.5$  s. This underestimation results from the theoretical background of stochastic simulation processes, since the stochastic methods are inherently limited to generate the coherent motions of long periods.
  - The proposed GMPEs tends to estimate higher spectral amplitudes at close distances (i.e.,  $R_{JB} < 10\text{km}$ ) for large magnitudes (i.e.,  $M_w > 7$ ) for all spectral periods. This overestimation is possibly due to the considerable number of simulated near-source data. Thus, it can be stated that the stochastic method can successfully simulate near-field ground motions from large earthquakes.
- The proposed ground motion model is evaluated by comparing with local, regional and global GMPEs for magnitude, distance and  $V_{S30}$  limits of  $M_w = 5\text{--}8.0$ ,  $R_{JB} \leq 200$  km, and  $V_{S30} = 255\text{--}760$  m/s, respectively. However, the largest magnitude in the simulated database is  $M_w 7.5$ . Besides, the upper distance limit of the comparisons is beyond the data limits of the simulated database, and the proposed model is not well constrained for distances larger than 120 km as observed from the residual analysis. Note that, the proposed

model is considered as applicable for  $V_{S30} \leq 760$  m/s, but the upper limit of the model development database is  $V_{S30} = 520$  m/s and the proposed model should be used with caution for sites above this limit. The equations are presented for the prediction of peak ground acceleration, peak ground velocity, and 5%-damped pseudo-absolute-acceleration spectra at oscillator periods between 0.01 s and 4 s.

- Overall, the comparable median estimations between the proposed model and the selected GMPEs indicates that the simulated ground motions with physical earthquake source, propagation, and site effects can be contributed in developing GMPEs.

### **5.3. Suggestions for Future Research**

- In this dissertation, only strike-slip faulting is used in the model development database since the observed earthquakes used for simulations have strike-slip mechanism. When the earthquakes with normal and reverse faulting are simulated, it is possible to expand the country-based synthetic database to contain the style of faulting differences.
- The long period bias of the median estimations for large magnitudes is originated from the insufficient low frequency modeling of stochastic approach. The stochastic technique provides solutions to the high frequency band of ground motions which has an arbitrary character constituted of random phases. However, deterministic simulations can accurately model the low frequencies (long period) by using precise source characteristics and wave velocity patterns. It is possible to use the deterministic methods in areas that are well studied seismologically.

- Hybrid methods can successfully model the broadband ground motions with the whole frequency range by combining the low and high frequencies generated from deterministic and stochastic methods, respectively. The current local simulated database can be enhanced with simulated ground motions by using the hybrid simulation methods.
- The structure of the ground motion database, such as distributions in magnitude and distance, may affect the ground motion estimates. Empirical databases can be extended by adding ground motion records from small-to-moderate magnitude earthquakes. Eventually, hybrid ground motion databases can be constituted by integration of the available real ground motion data from small-to-moderate size earthquakes and simulated ground motions at close distances from large earthquakes to develop more reliable ground motion relations.



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## **APPENDICES**

### **APPENDIX A**

#### **ENTIRE LIST OF THE REGRESSION COEFFICIENTS OF THE PROPOSED MODEL**

The whole list of the regression coefficients of the predictive model provided in Chapter 4 is presented in this appendix.

Table A.1. *Period-Dependent  $f_{site}$  Coefficients (Kale et al., 2015)*

| Period (s) | sb1      | sb2      | Period (s) | sb1      | sb2      |
|------------|----------|----------|------------|----------|----------|
| 0          | -0.41997 | -0.28846 | 0.48       | -0.94384 | -0.38532 |
| -1         | -0.72057 | -0.19688 | 0.5        | -0.95097 | -0.37956 |
| 0.01       | -0.41729 | -0.28685 | 0.55       | -0.96584 | -0.3661  |
| 0.02       | -0.39998 | -0.28241 | 0.6        | -0.97746 | -0.35382 |
| 0.03       | -0.34799 | -0.26842 | 0.65       | -0.9867  | -0.34252 |
| 0.04       | -0.27572 | -0.24759 | 0.7        | -0.99416 | -0.33206 |
| 0.05       | -0.21231 | -0.22385 | 0.75       | -1.00027 | -0.32233 |
| 0.075      | -0.13909 | -0.17798 | 0.8        | -1.00532 | -0.31322 |
| 0.1        | -0.26492 | -0.28832 | 0.85       | -1.00956 | -0.30466 |
| 0.11       | -0.31346 | -0.31798 | 0.9        | -1.01314 | -0.29659 |
| 0.12       | -0.36002 | -0.34246 | 0.95       | -1.01619 | -0.28896 |
| 0.13       | -0.40424 | -0.36297 | 1          | -1.01881 | -0.28172 |
| 0.14       | -0.44592 | -0.38036 | 1.1        | -1.0172  | -0.26827 |
| 0.15       | -0.48496 | -0.39525 | 1.2        | -1.00204 | -0.25599 |
| 0.16       | -0.52137 | -0.40811 | 1.3        | -0.9881  | -0.24469 |
| 0.17       | -0.5552  | -0.4193  | 1.4        | -0.97519 | -0.23423 |
| 0.18       | -0.58656 | -0.42911 | 1.5        | -0.96317 | -0.22449 |
| 0.19       | -0.61558 | -0.43774 | 1.6        | -0.95193 | -0.21538 |
| 0.2        | -0.64239 | -0.44574 | 1.7        | -0.94136 | -0.20682 |
| 0.22       | -0.69002 | -0.45499 | 1.8        | -0.93141 | -0.19876 |
| 0.24       | -0.73062 | -0.45939 | 1.9        | -0.92199 | -0.19112 |
| 0.26       | -0.7653  | -0.45988 | 2          | -0.91305 | -0.18388 |
| 0.28       | -0.79499 | -0.45739 | 2.2        | -0.89645 | -0.17043 |
| 0.3        | -0.82052 | -0.45287 | 2.4        | -0.88129 | -0.15815 |
| 0.32       | -0.84256 | -0.44255 | 2.6        | -0.86735 | -0.14685 |
| 0.34       | -0.86167 | -0.43399 | 2.8        | -0.85444 | -0.13639 |
| 0.36       | -0.87832 | -0.42592 | 3          | -0.84242 | -0.12665 |
| 0.38       | -0.89288 | -0.41829 | 3.2        | -0.83118 | -0.11754 |
| 0.4        | -0.90568 | -0.41105 | 3.4        | -0.82062 | -0.10899 |
| 0.42       | -0.91697 | -0.40417 | 3.6        | -0.81066 | -0.10092 |
| 0.44       | -0.92698 | -0.3976  | 3.8        | -0.80124 | -0.09329 |
| 0.46       | -0.93589 | -0.39133 | 4          | -0.79231 | -0.08605 |

Table A.2. *Period-Dependent Regression Coefficients*

| Period | b1      | b3       | b4       |
|--------|---------|----------|----------|
| 0      | 1.49363 | -0.12517 | -1.04879 |
| -1     | 5.51025 | -0.17197 | -0.90738 |
| 0.01   | 1.49637 | -0.12499 | -1.04859 |
| 0.02   | 1.52215 | -0.12434 | -1.04919 |
| 0.03   | 1.59512 | -0.12239 | -1.05013 |
| 0.04   | 1.73669 | -0.11978 | -1.05452 |
| 0.05   | 1.86901 | -0.11629 | -1.04998 |
| 0.075  | 2.16294 | -0.1137  | -1.04991 |
| 0.1    | 2.34622 | -0.12448 | -1.05259 |
| 0.11   | 2.3719  | -0.12613 | -1.05459 |
| 0.12   | 2.4008  | -0.12996 | -1.05696 |
| 0.13   | 2.41361 | -0.13302 | -1.05689 |
| 0.14   | 2.41346 | -0.13551 | -1.05623 |
| 0.15   | 2.40726 | -0.13758 | -1.05484 |
| 0.16   | 2.39825 | -0.13934 | -1.05476 |
| 0.17   | 2.39488 | -0.14085 | -1.05791 |
| 0.18   | 2.37648 | -0.14217 | -1.05834 |
| 0.19   | 2.34986 | -0.14333 | -1.05653 |
| 0.2    | 2.32386 | -0.14437 | -1.0544  |
| 0.22   | 2.27774 | -0.14617 | -1.05373 |
| 0.24   | 2.20887 | -0.1477  | -1.04668 |
| 0.26   | 2.14376 | -0.14903 | -1.04006 |
| 0.28   | 2.083   | -0.15022 | -1.03381 |
| 0.3    | 2.02111 | -0.15131 | -1.0279  |
| 0.32   | 1.95449 | -0.15232 | -1.02229 |
| 0.34   | 1.89149 | -0.15326 | -1.01696 |
| 0.36   | 1.83297 | -0.15416 | -1.01187 |
| 0.38   | 1.77221 | -0.15502 | -1.00702 |
| 0.4    | 1.71654 | -0.15584 | -1.00237 |
| 0.42   | 1.66577 | -0.15665 | -0.99792 |
| 0.44   | 1.61535 | -0.15743 | -0.99365 |
| 0.46   | 1.56523 | -0.1582  | -0.98954 |

Table A.2. *Cont'd.*

| Period | b1       | b3       | b4       |
|--------|----------|----------|----------|
| 0.48   | 1.51699  | -0.15895 | -0.98559 |
| 0.5    | 1.47234  | -0.15969 | -0.98178 |
| 0.55   | 1.36207  | -0.16149 | -0.97284 |
| 0.6    | 1.25863  | -0.16326 | -0.96463 |
| 0.65   | 1.16626  | -0.16499 | -0.95703 |
| 0.7    | 1.07954  | -0.1667  | -0.94999 |
| 0.75   | 0.99388  | -0.16839 | -0.94342 |
| 0.8    | 0.9146   | -0.17007 | -0.93728 |
| 0.85   | 0.83966  | -0.17174 | -0.93152 |
| 0.9    | 0.7674   | -0.1734  | -0.92609 |
| 0.95   | 0.69605  | -0.17505 | -0.92098 |
| 1      | 0.62856  | -0.1767  | -0.91614 |
| 1.1    | 0.50535  | -0.17999 | -0.90719 |
| 1.2    | 0.39819  | -0.18327 | -0.8991  |
| 1.3    | 0.29849  | -0.18654 | -0.89173 |
| 1.4    | 0.20471  | -0.18981 | -0.88497 |
| 1.5    | 0.11612  | -0.19307 | -0.87875 |
| 1.6    | 0.03457  | -0.19633 | -0.87299 |
| 1.7    | -0.03913 | -0.19959 | -0.86764 |
| 1.8    | -0.10832 | -0.20284 | -0.86266 |
| 1.9    | -0.17504 | -0.20609 | -0.858   |
| 2      | -0.23544 | -0.20934 | -0.85363 |
| 2.2    | -0.34621 | -0.21584 | -0.84565 |
| 2.4    | -0.44879 | -0.22234 | -0.83852 |
| 2.6    | -0.54311 | -0.22883 | -0.83212 |
| 2.8    | -0.63161 | -0.23533 | -0.82631 |
| 3      | -0.7105  | -0.24182 | -0.82103 |
| 3.2    | -0.78125 | -0.24831 | -0.81618 |
| 3.4    | -0.84691 | -0.2548  | -0.81173 |
| 3.6    | -0.90854 | -0.26129 | -0.80761 |
| 3.8    | -0.96598 | -0.26778 | -0.80378 |
| 4      | -1.02045 | -0.27427 | -0.80023 |

Table A.3. *Period-Dependent Standard Deviation Values*

| Period (s) | $\phi$ | $\tau$ |
|------------|--------|--------|
| 0          | 0.2937 | 0.2935 |
| -1         | 0.311  | 0.3108 |
| 0.01       | 0.2935 | 0.2933 |
| 0.02       | 0.2931 | 0.2929 |
| 0.03       | 0.291  | 0.2907 |
| 0.04       | 0.2887 | 0.2885 |
| 0.05       | 0.289  | 0.2888 |
| 0.075      | 0.2948 | 0.2946 |
| 0.1        | 0.3037 | 0.3035 |
| 0.11       | 0.3053 | 0.305  |
| 0.12       | 0.3118 | 0.3116 |
| 0.13       | 0.3164 | 0.3162 |
| 0.14       | 0.3192 | 0.319  |
| 0.15       | 0.3214 | 0.3211 |
| 0.16       | 0.3252 | 0.325  |
| 0.17       | 0.3279 | 0.3276 |
| 0.18       | 0.3317 | 0.3314 |
| 0.19       | 0.333  | 0.3327 |
| 0.2        | 0.3341 | 0.3338 |
| 0.22       | 0.3331 | 0.3328 |
| 0.24       | 0.3406 | 0.3404 |
| 0.26       | 0.348  | 0.3478 |
| 0.28       | 0.3516 | 0.3513 |
| 0.3        | 0.3487 | 0.3484 |
| 0.32       | 0.3464 | 0.3461 |
| 0.34       | 0.3489 | 0.3486 |
| 0.36       | 0.3501 | 0.3499 |
| 0.38       | 0.3534 | 0.3531 |
| 0.4        | 0.3553 | 0.355  |
| 0.42       | 0.3573 | 0.357  |
| 0.44       | 0.3591 | 0.3589 |
| 0.46       | 0.361  | 0.3607 |

| Period (s) | $\phi$ | $\tau$ |
|------------|--------|--------|
| 0.48       | 0.362  | 0.3617 |
| 0.5        | 0.363  | 0.3628 |
| 0.55       | 0.3673 | 0.367  |
| 0.6        | 0.3692 | 0.3689 |
| 0.65       | 0.3722 | 0.3719 |
| 0.7        | 0.3749 | 0.3746 |
| 0.75       | 0.3786 | 0.3783 |
| 0.8        | 0.3817 | 0.3814 |
| 0.85       | 0.3846 | 0.3843 |
| 0.9        | 0.3873 | 0.387  |
| 0.95       | 0.3896 | 0.3893 |
| 1          | 0.3917 | 0.3914 |
| 1.1        | 0.3977 | 0.3974 |
| 1.2        | 0.4019 | 0.4016 |
| 1.3        | 0.4038 | 0.4035 |
| 1.4        | 0.4069 | 0.4018 |
| 1.5        | 0.4096 | 0.3952 |
| 1.6        | 0.4121 | 0.3868 |
| 1.7        | 0.4152 | 0.3786 |
| 1.8        | 0.4175 | 0.3705 |
| 1.9        | 0.4204 | 0.3641 |
| 2          | 0.4219 | 0.3569 |
| 2.2        | 0.4241 | 0.3419 |
| 2.4        | 0.4277 | 0.3269 |
| 2.6        | 0.4337 | 0.3142 |
| 2.8        | 0.4411 | 0.304  |
| 3          | 0.4469 | 0.2967 |
| 3.2        | 0.4505 | 0.2882 |
| 3.4        | 0.4544 | 0.2817 |
| 3.6        | 0.4577 | 0.2732 |
| 3.8        | 0.4616 | 0.2644 |
| 4          | 0.4651 | 0.2561 |

## APPENDIX B

### ADDITIONAL COMPARISON FIGURES

This appendix presents additional median ground motion comparison plots between proposed ground motion model and [Akkar et al. \(2014\)](#), [Kale et al. \(2015\)](#) predictive models and NGA-West2 GMPEs ([Abrahamson et al., 2014](#); [Boore et al., 2014](#); [Campbell and Bozorgnia, 2014](#); [Chiou and Youngs, 2014](#)).

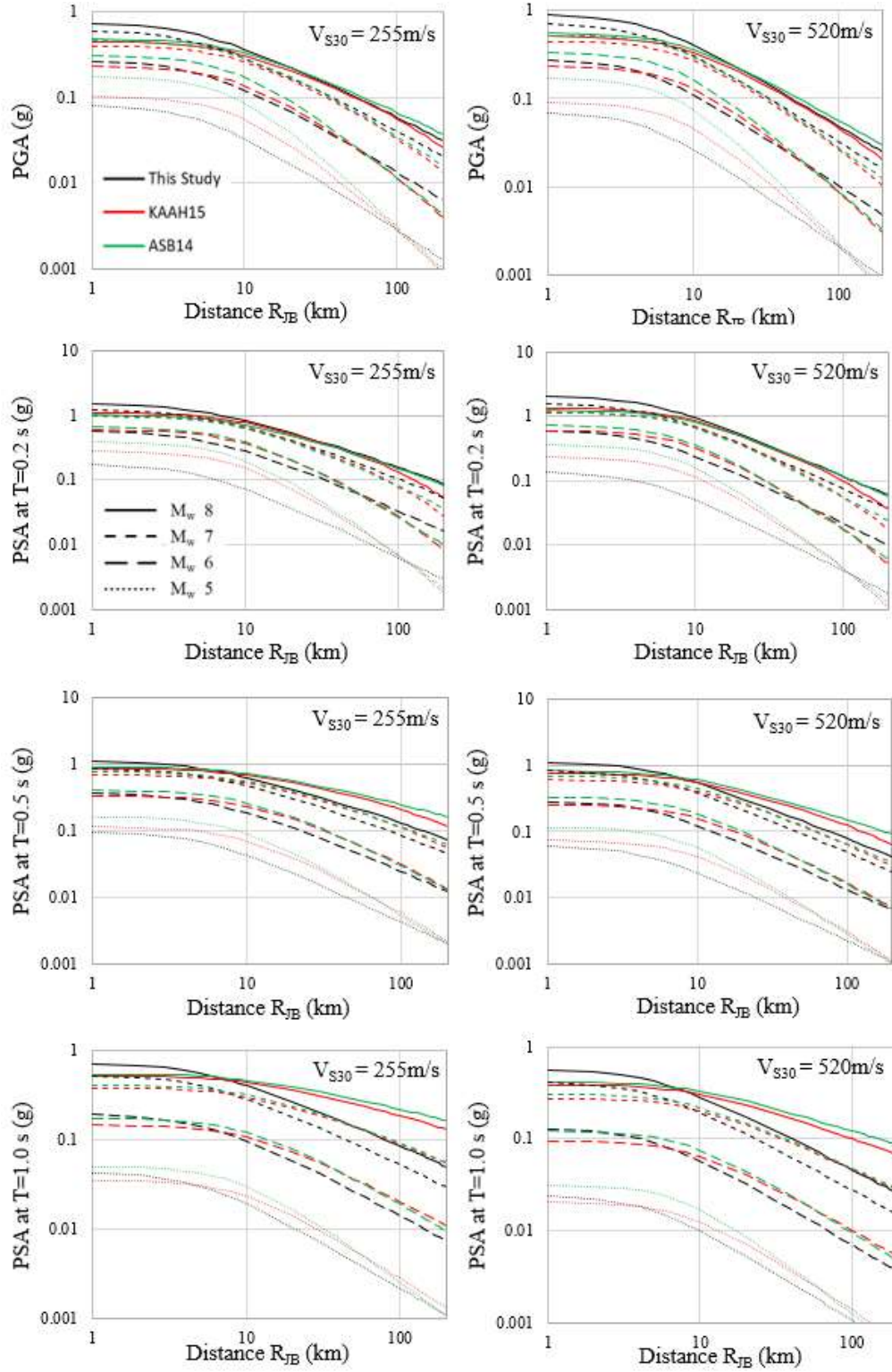


Figure B.1. Distance scaling comparisons between previous Turkish and European GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for magnitudes  $M_w$  5, 6, 7 and 8. The comparisons are done for sites with  $V_{S30} = 255$  and  $520$  m/s.

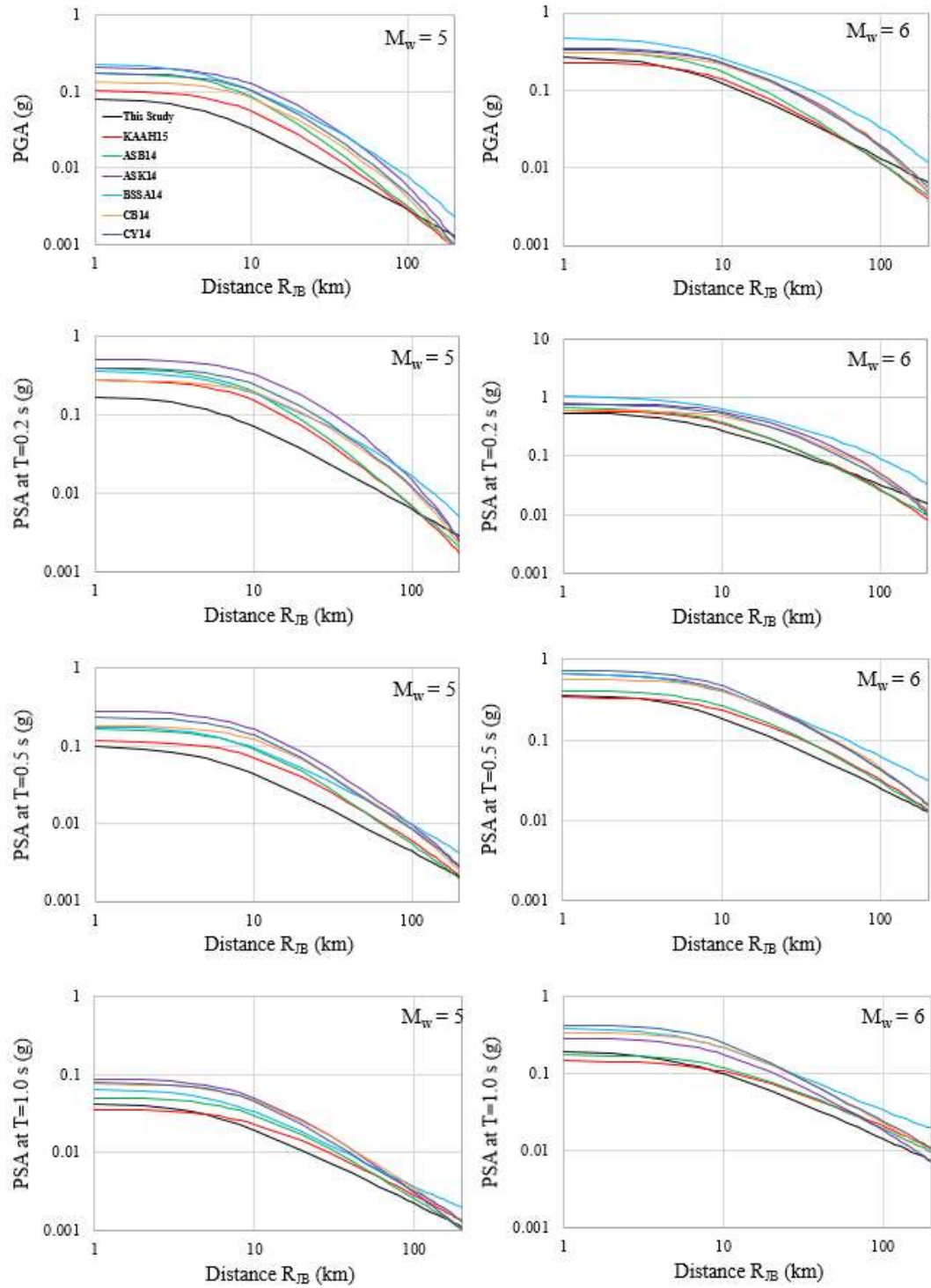


Figure B.2. Distance scaling comparisons between previous Turkish, European and NGA-West2 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for a soil site,  $V_{S30} = 255$  m/s. The comparisons are done for  $M_w$  5 and 6.

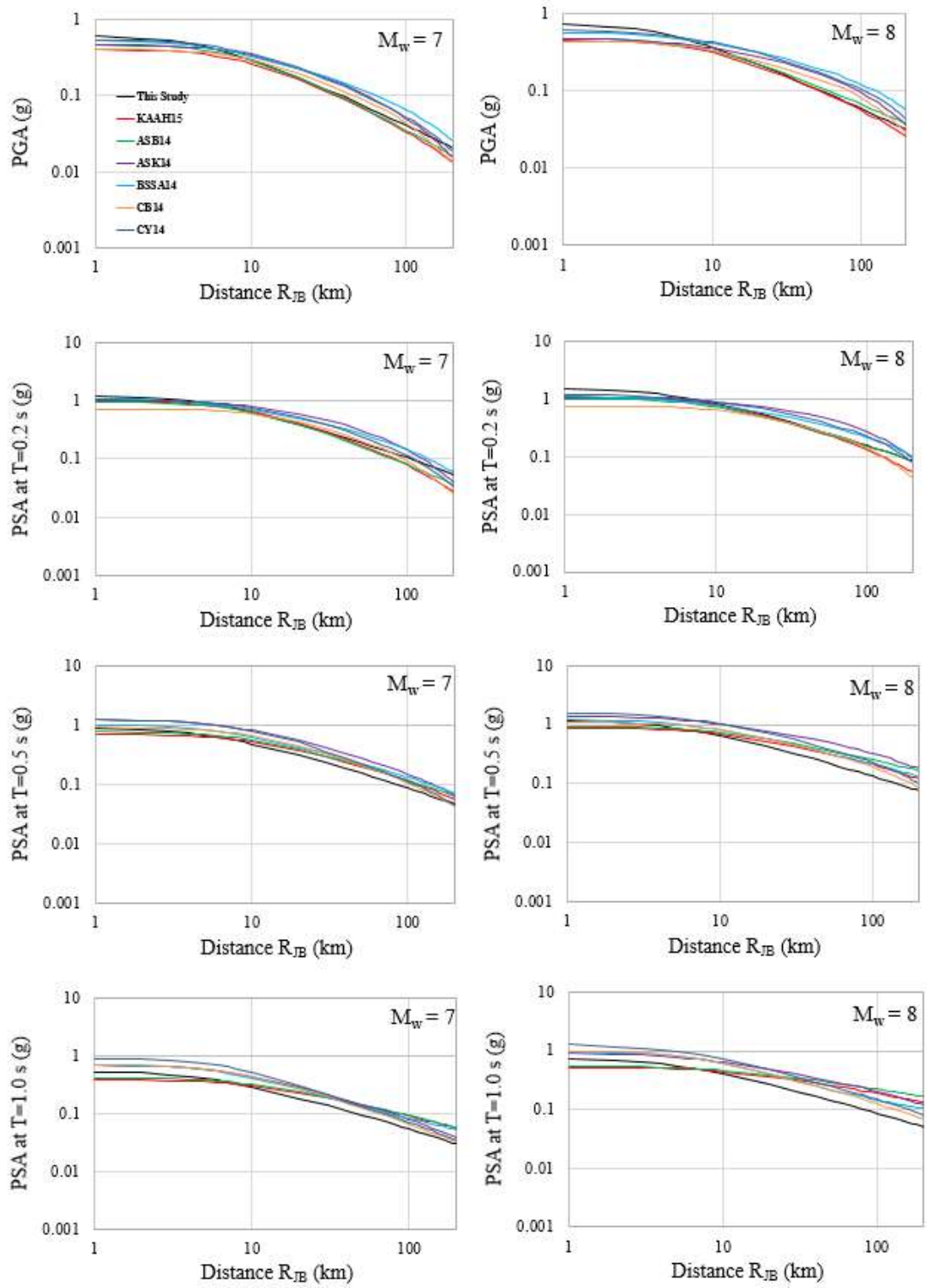


Figure B.3. Distance scaling comparisons between previous Turkish, European and NGA-West2 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for a soil site,  $V_{S30} = 255$  m/s. The comparisons are done for  $M_w$  7 and 8.

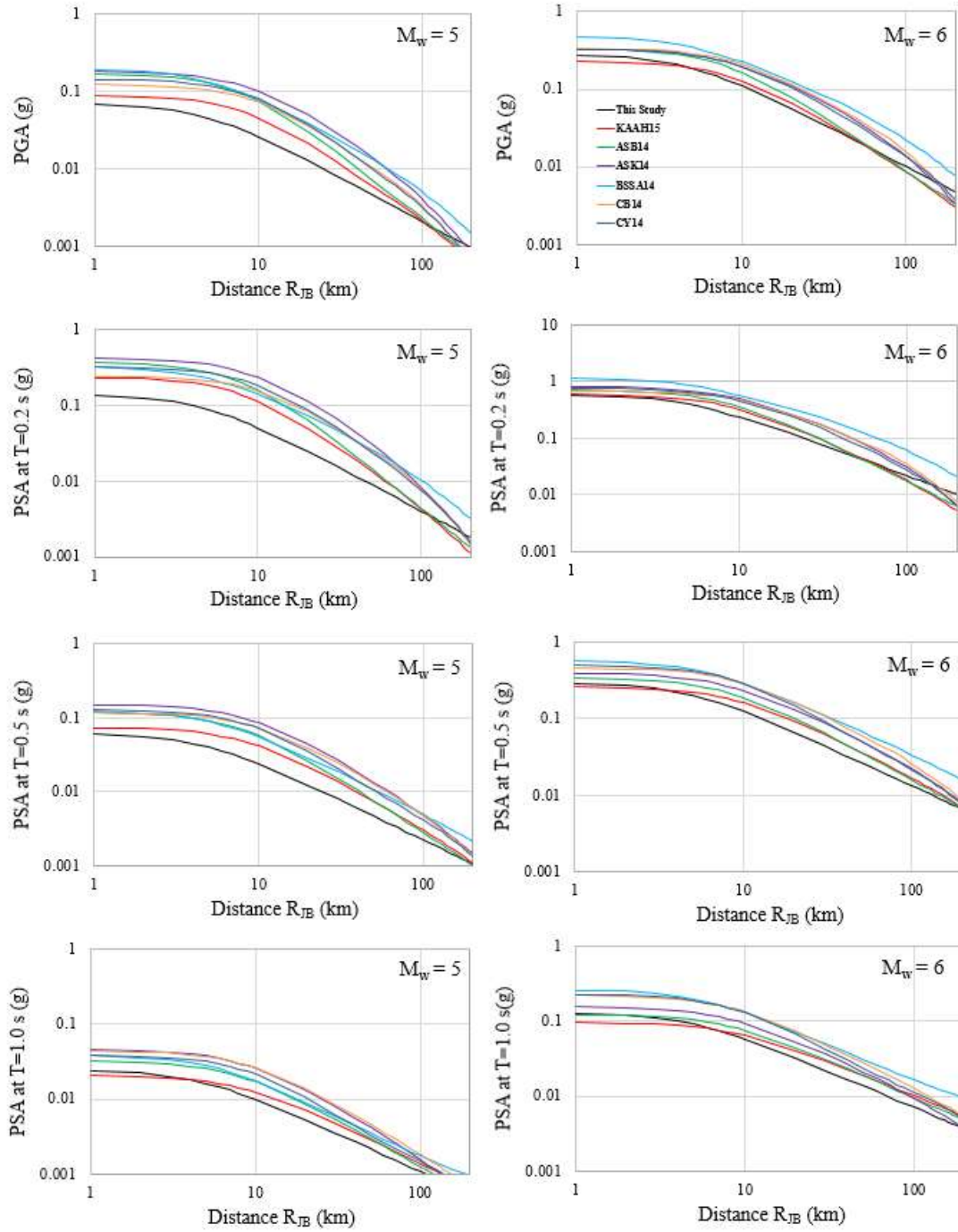


Figure B.4. Distance scaling comparisons between previous Turkish, European and NGA-West2 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for  $V_{S30} = 520$  m/s. The comparisons are done for  $M_w$  5 and 6.

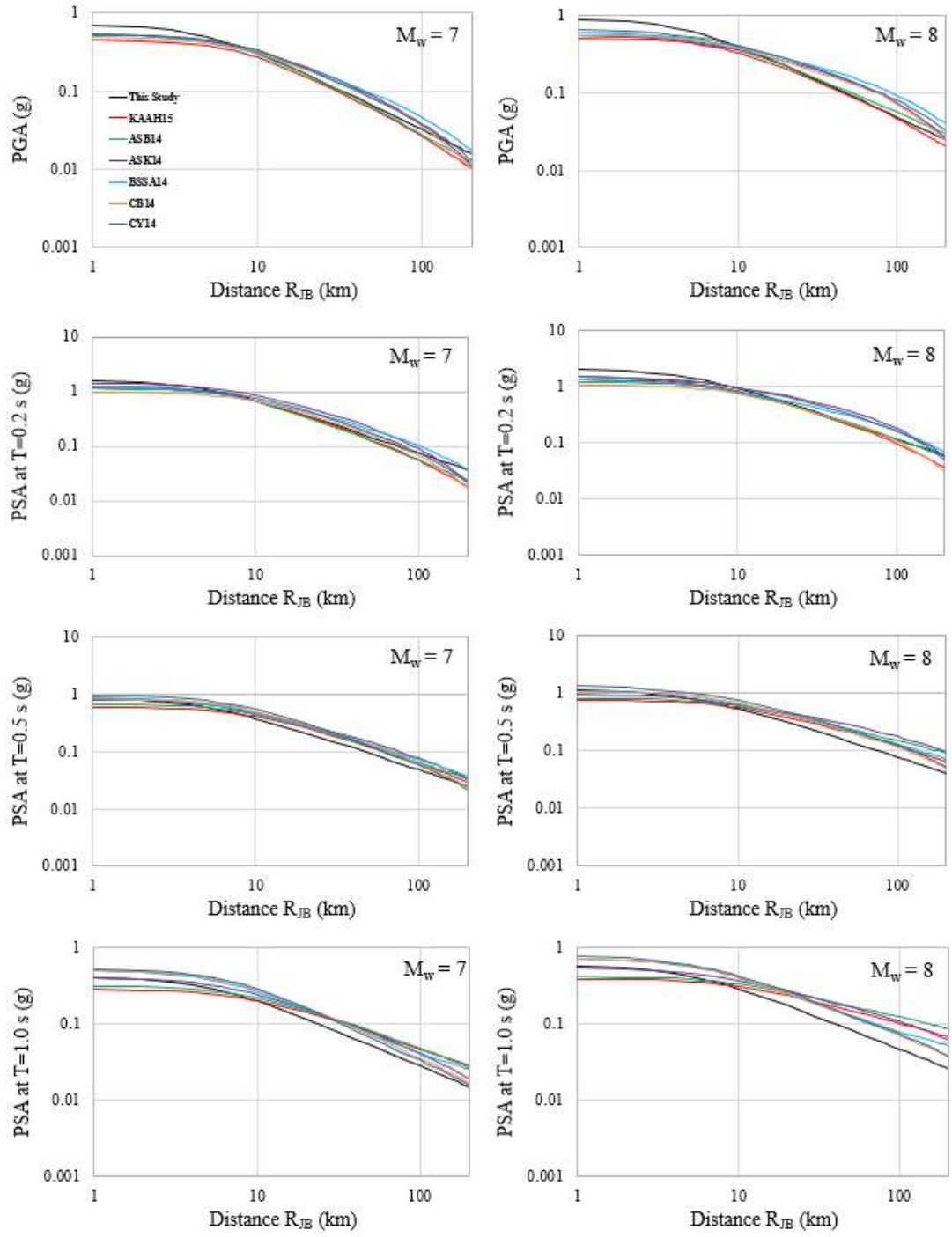


Figure B.5. Distance scaling comparisons between previous Turkish, European and NGA-West2 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for  $V_{S30} = 520$  m/s. The comparisons are done for  $M_w = 7$  and  $8$ .

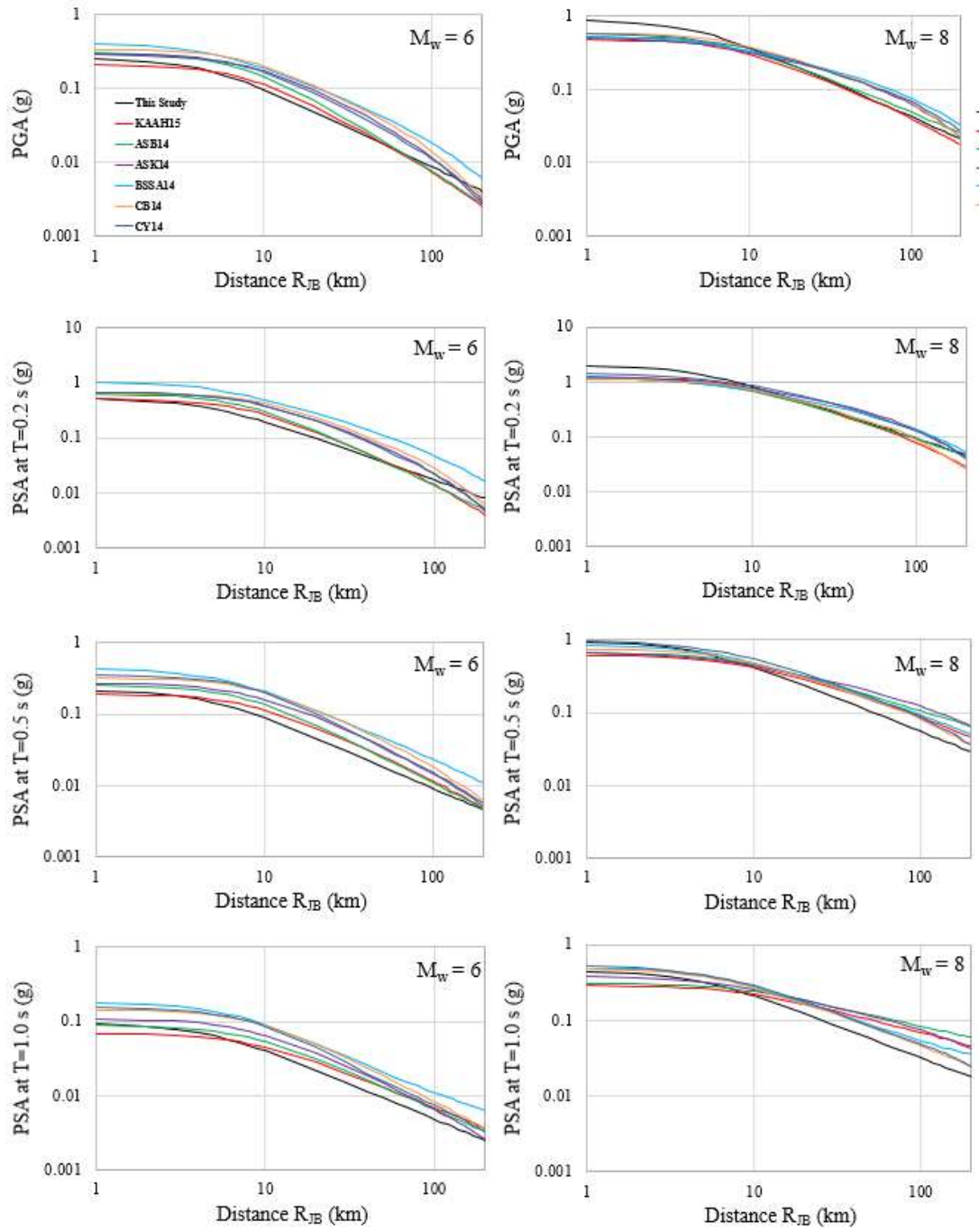


Figure B.6. Distance scaling comparisons between two previous Turkish, European and NGA-West2 GMPEs and the proposed model at different spectral ordinates (PGA, PSA at  $T = 0.2$  s,  $0.5$  s and  $1$  s) for  $V_{S30} = 760$  m/s. The comparisons are done for  $M_w$  6 and 8.

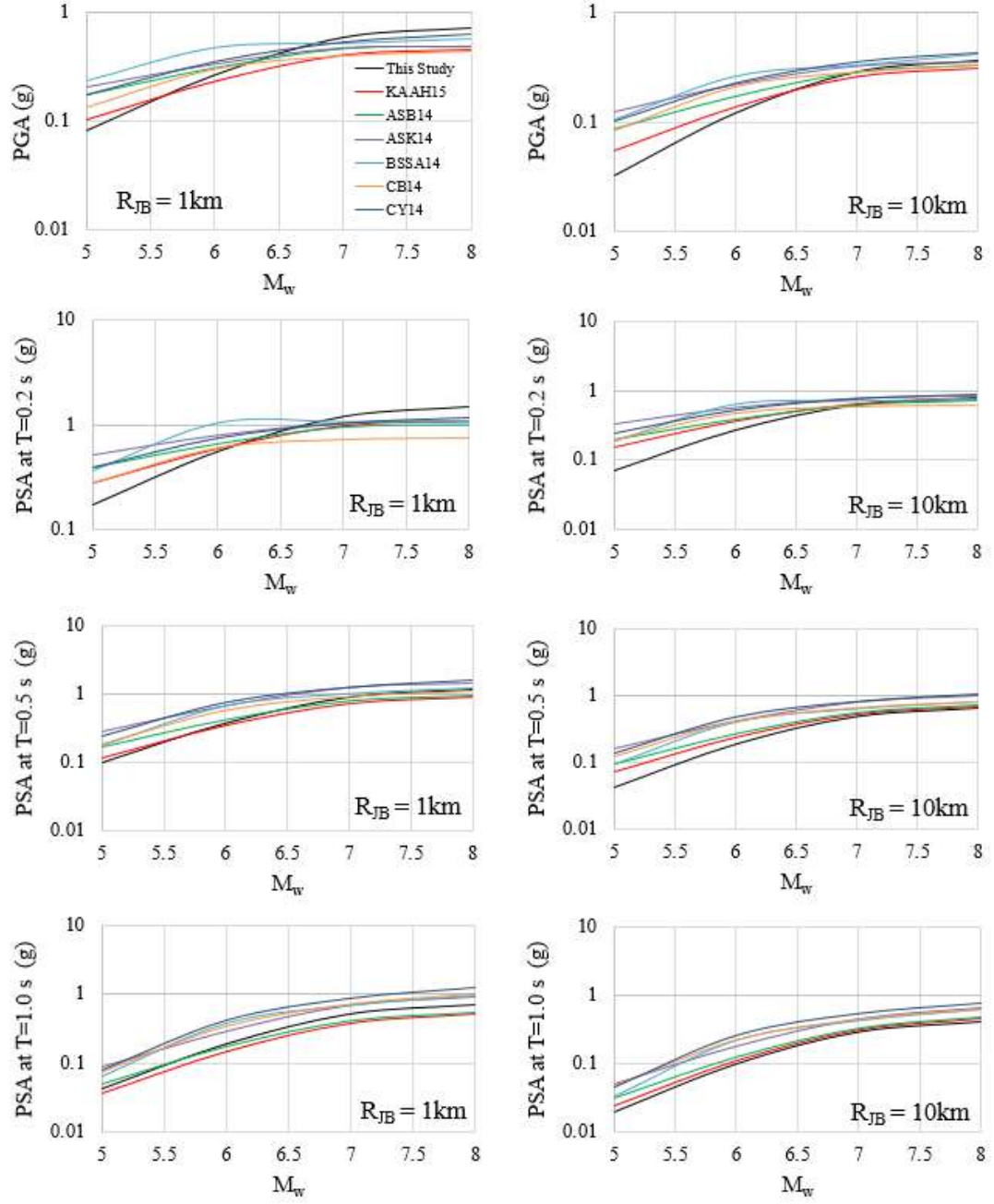


Figure B.7. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2\text{ s}$ ,  $0.5\text{ s}$  and  $1\text{ s}$ ). The comparisons are done for a soil site,  $V_{S30} = 255\text{ m/s}$  at a distance of  $R_{JB} = 1$  and  $10\text{ km}$  from a strike slip fault.

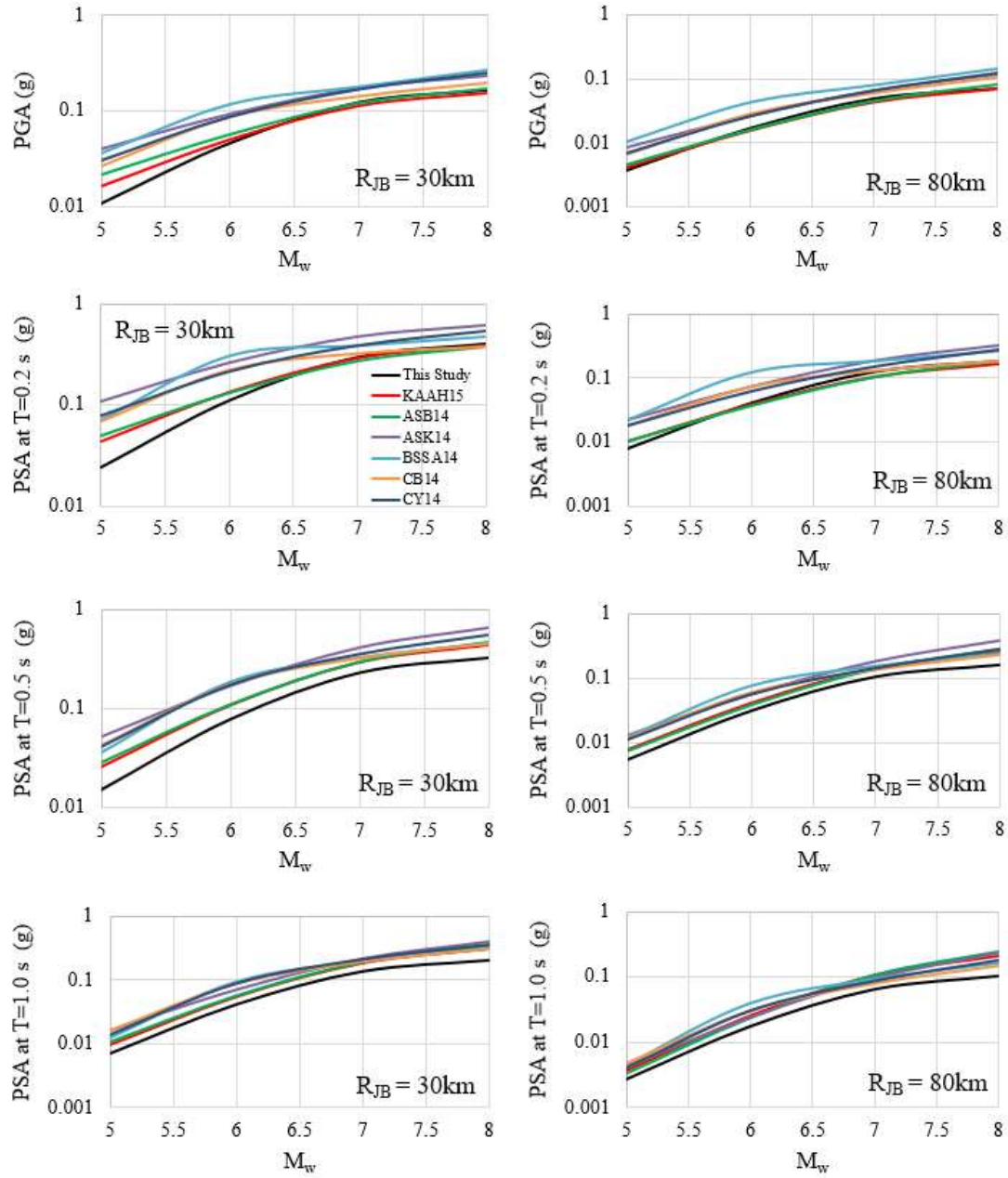


Figure B.8. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ). The comparisons are done for a soil site,  $V_{S30} = 255$  m/s at a distance of  $R_{JB} = 30$  and  $80$  km from a strike slip fault.

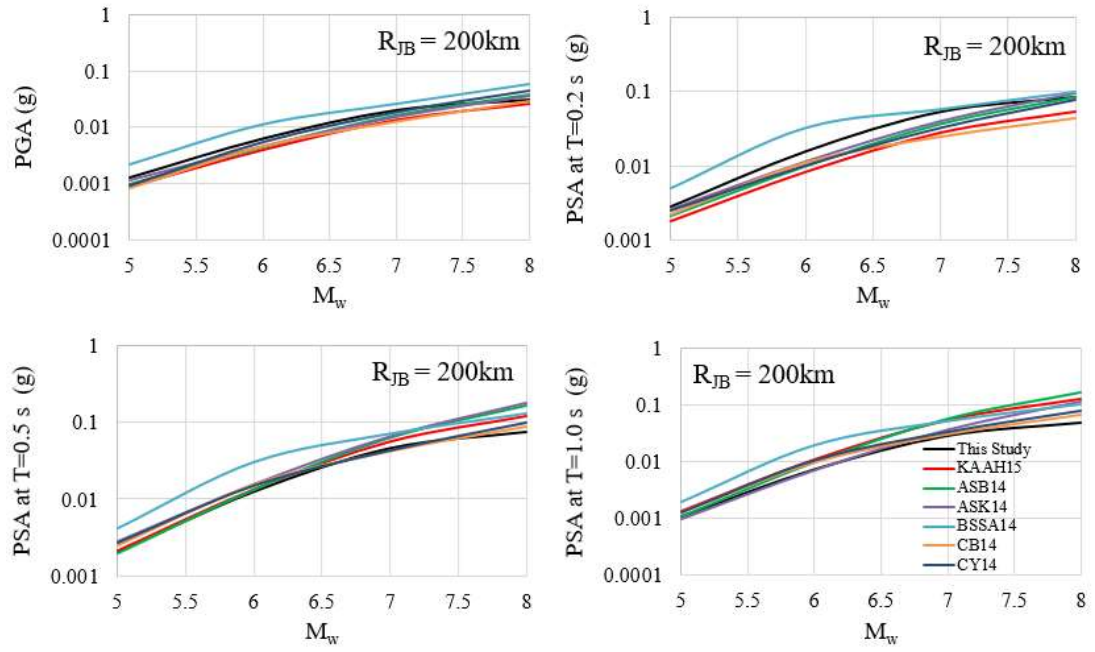


Figure B.9. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ). The comparisons are done for a soil site,  $V_{S30} = 255$  m/s at a distance of  $R_{JB} = 200$  km from a strike slip fault.

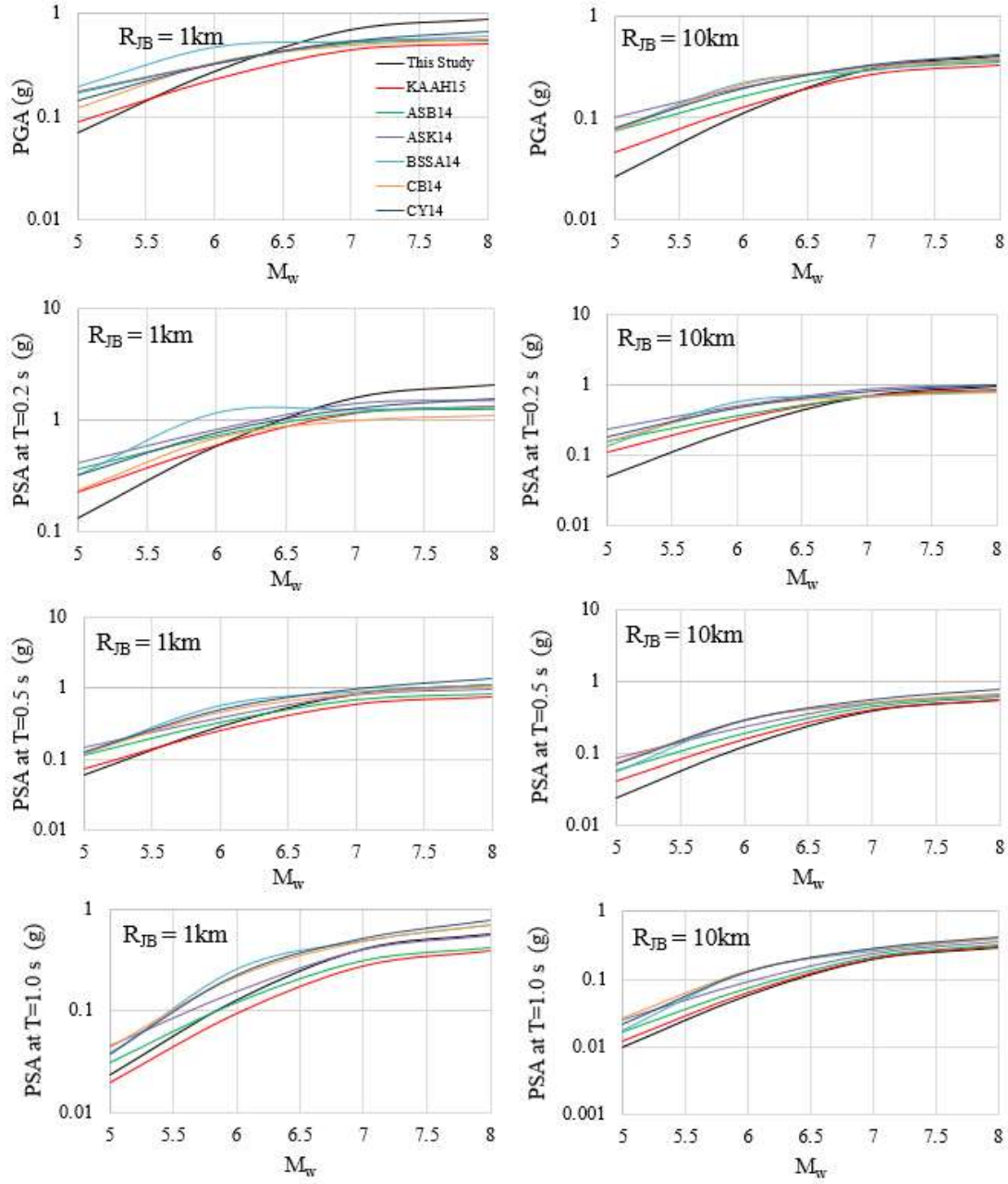


Figure B.10. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2\text{ s}$ ,  $0.5\text{ s}$  and  $1\text{ s}$ ). The comparisons are done for  $V_{S30} = 520\text{ m/s}$  at a distance of  $R_{JB} = 1$  and  $10\text{ km}$  from a strike slip fault.

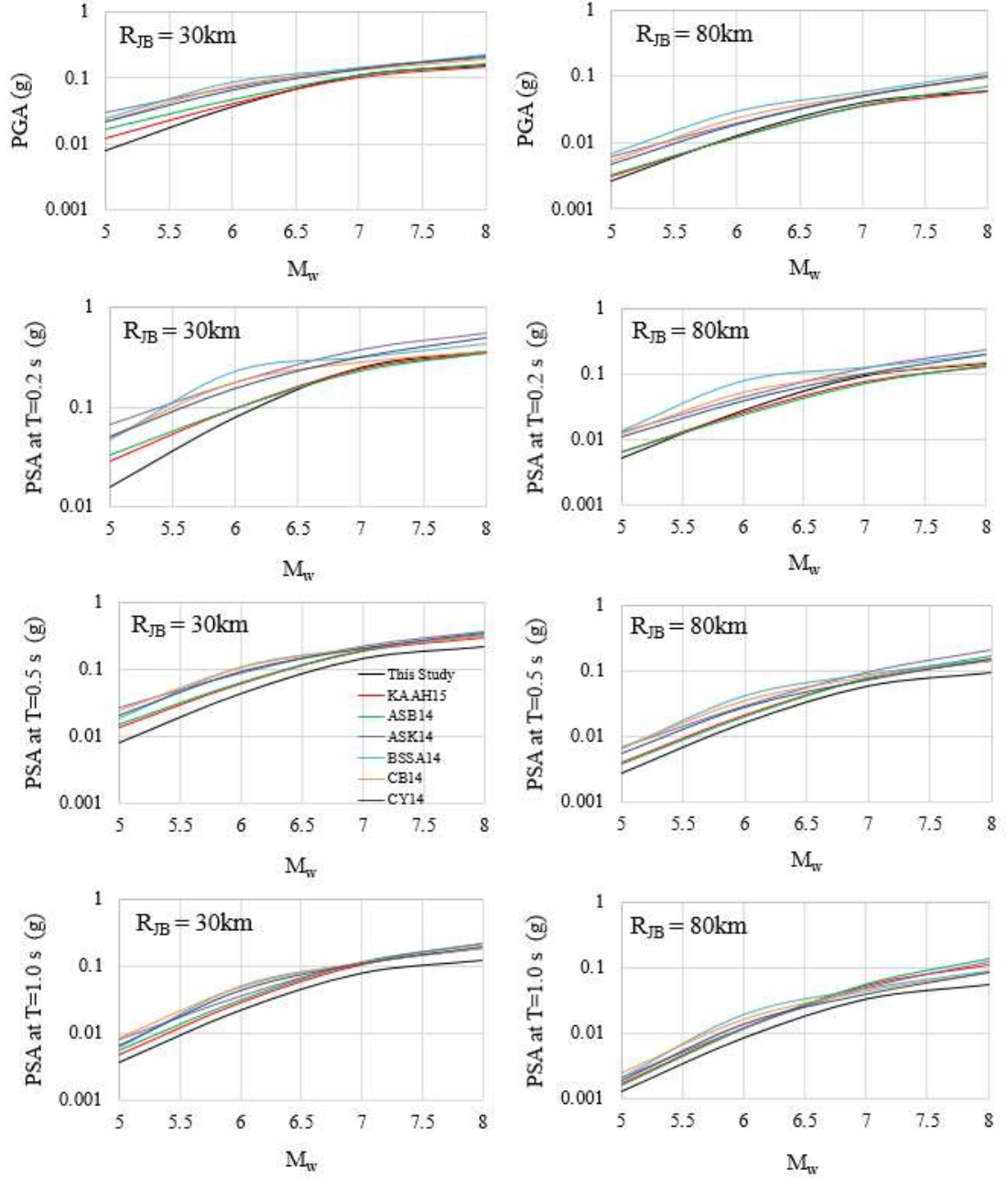


Figure B.11. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2\text{s}$ ,  $0.5\text{s}$  and  $1\text{s}$ ). The comparisons are done for  $V_{S30} = 520\text{ m/s}$  at a distance of  $R_{JB} = 30$  and  $80\text{ km}$  from a strike slip fault.

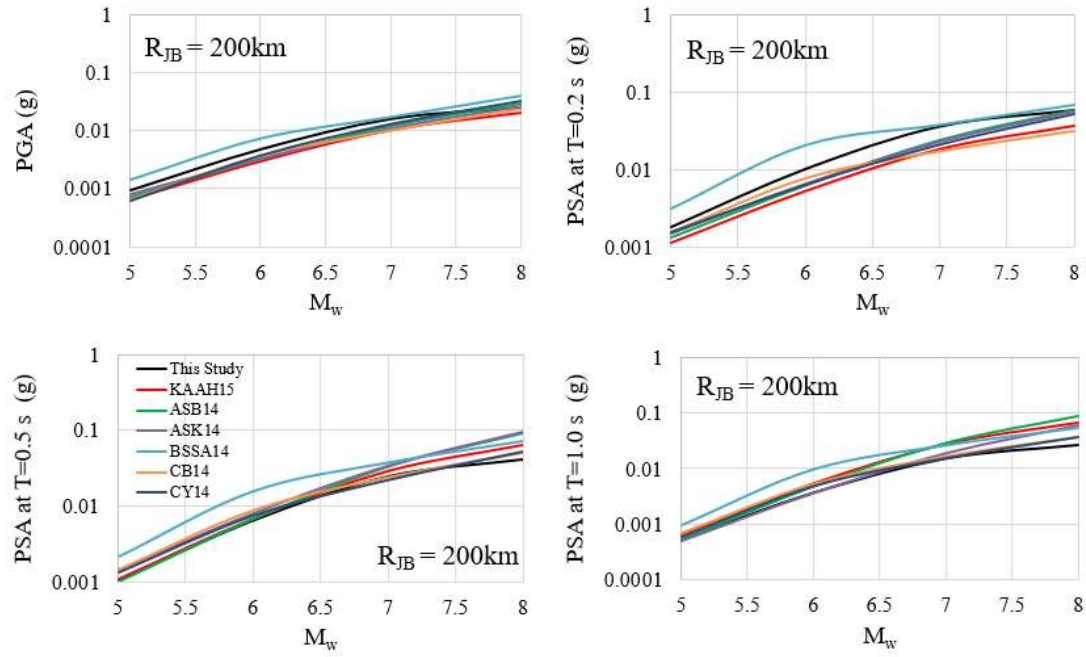


Figure B.12. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ). The comparisons are done for  $V_{S30} = 520$  m/s at a distance of  $R_{JB} = 200$  km from a strike slip fault.

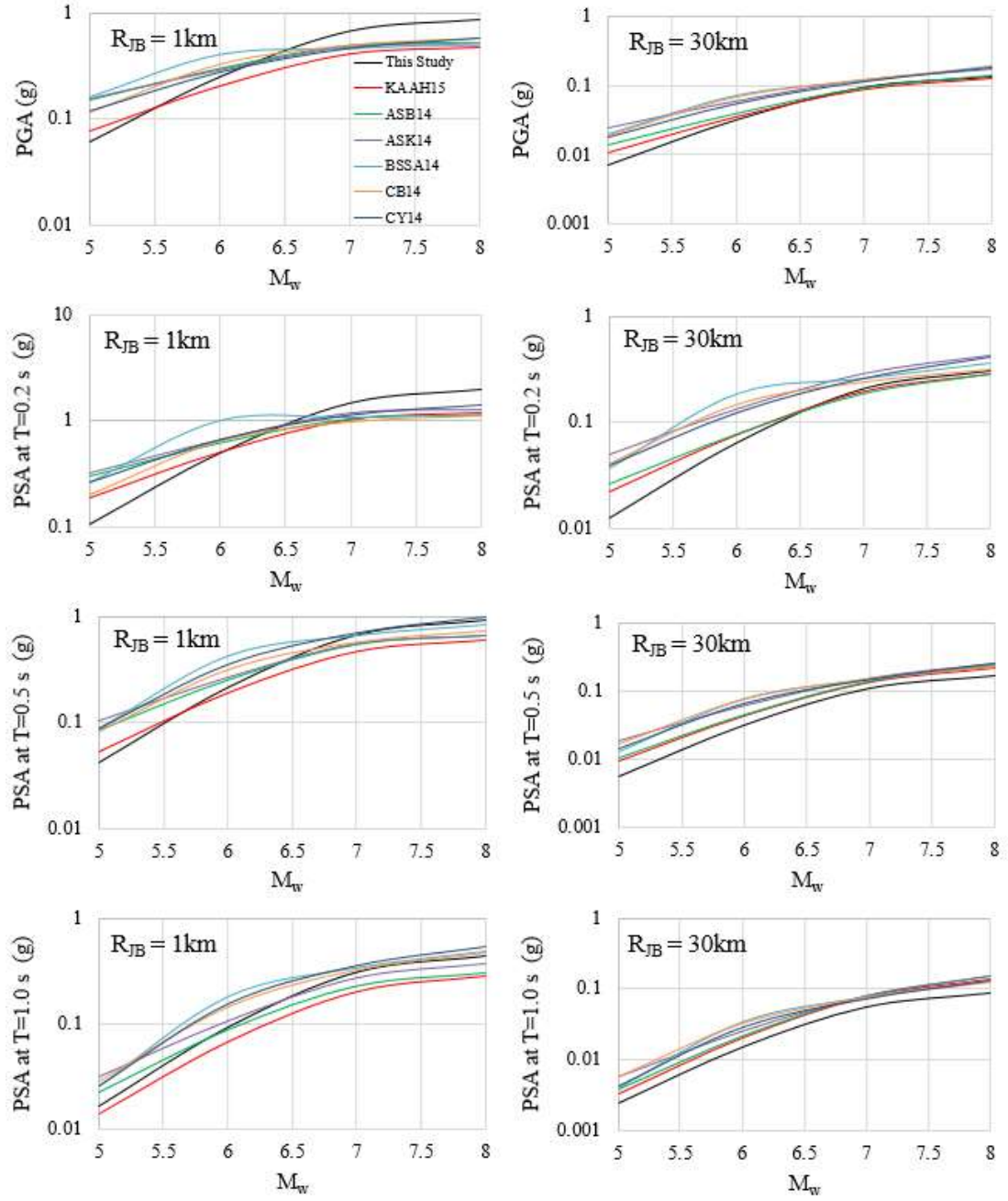


Figure B.13. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2s$ ,  $0.5s$  and  $1s$ ). The comparisons are done for  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 1$  and  $30$  km from a strike slip fault.

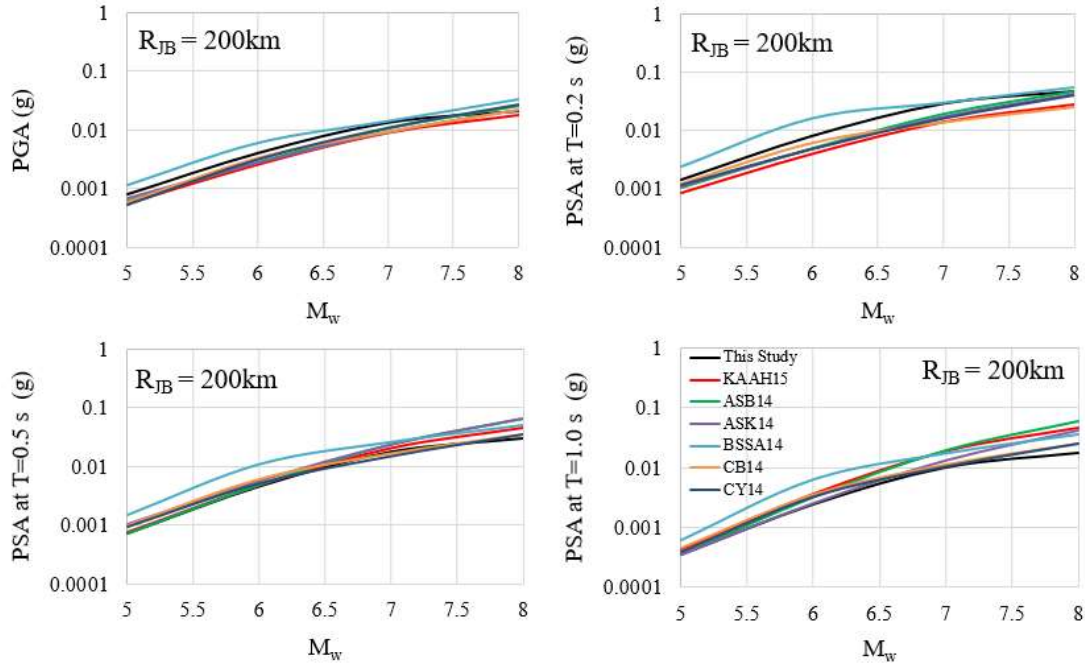


Figure B.14. Magnitude scaling comparisons of the proposed model with local, regional and global GMPEs at different spectral ordinates (PGA, PSA at  $T = 0.2 \text{ s}$ ,  $0.5 \text{ s}$  and  $1 \text{ s}$ ). The comparisons are done for  $V_{S30} = 760 \text{ m/s}$  at a distance of  $R_{JB} = 200 \text{ km}$  from a strike slip fault.

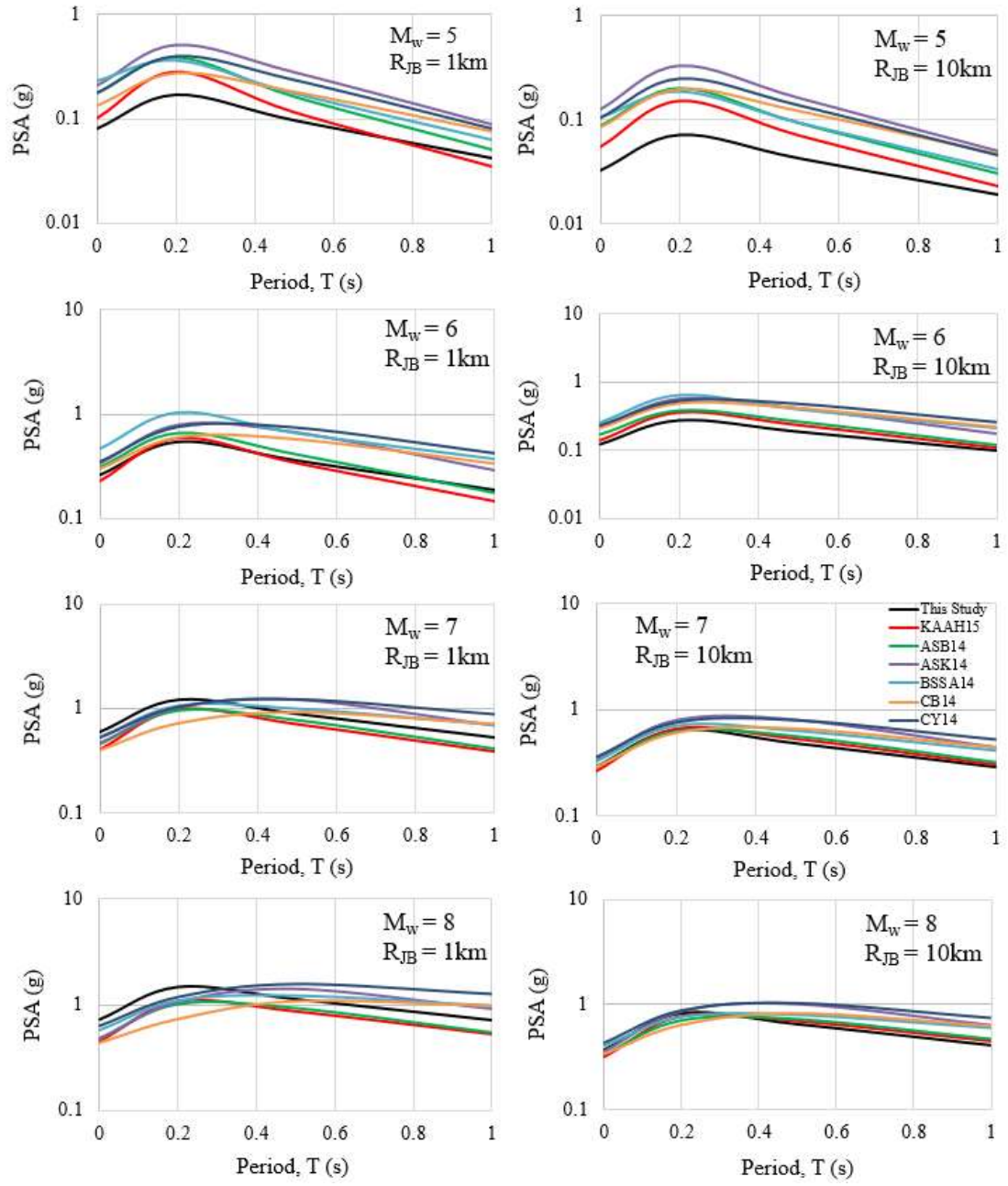


Figure B.15. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for a soil site,  $V_{S30} = 255 \text{ m/s}$  at a distance of  $R_{JB} = 1$  and  $10 \text{ km}$  from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

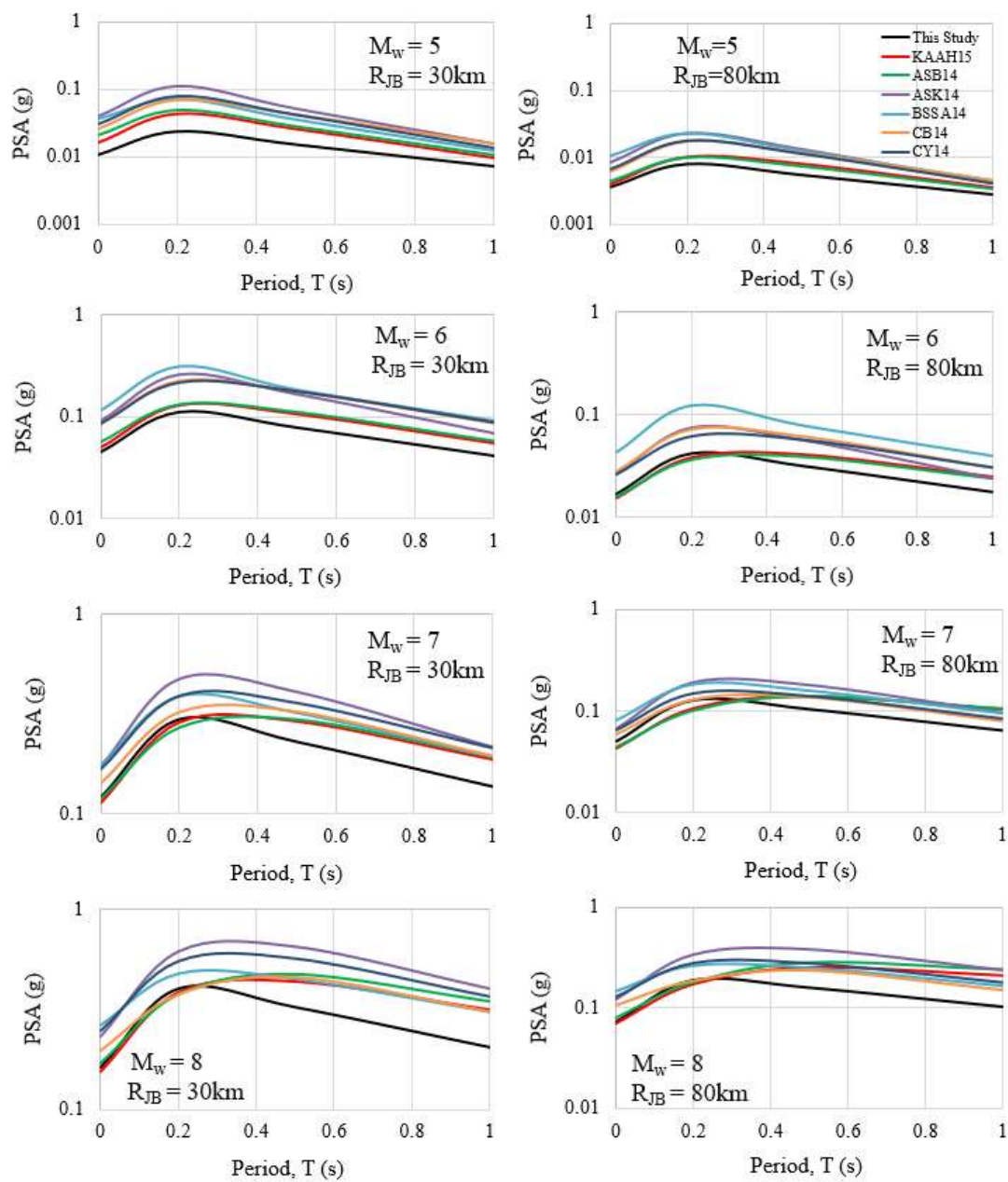


Figure B.16. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for a soil site,  $V_{S30} = 255 \text{ m/s}$  at a distance of  $R_{JB} = 30$  and  $80 \text{ km}$  from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

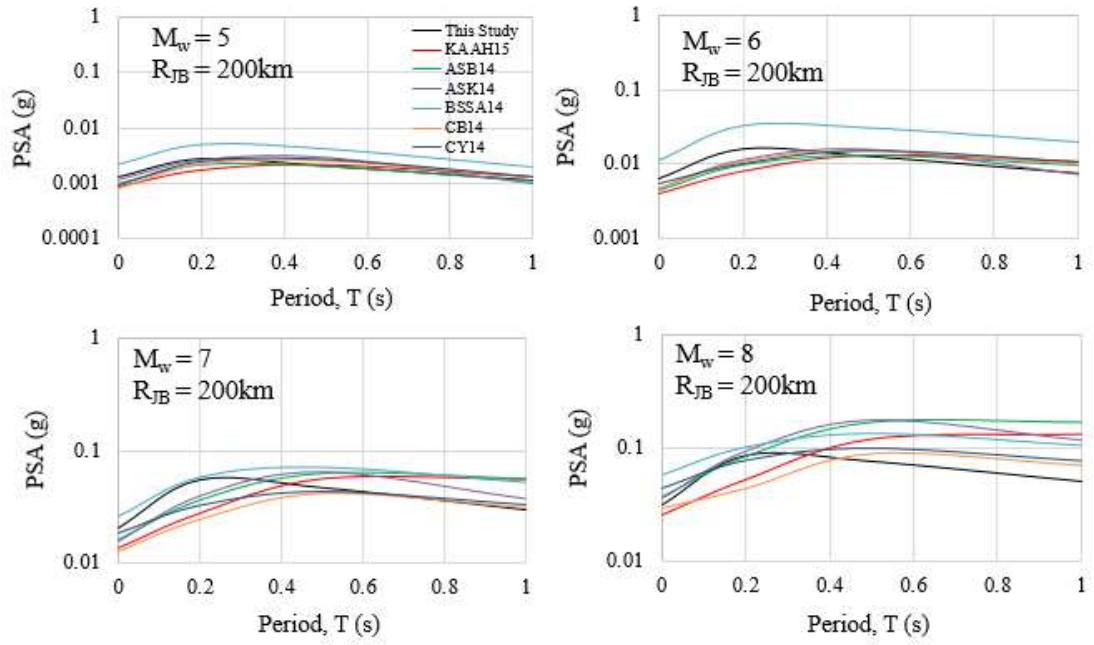


Figure B.17. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for a soil site,  $V_{S30} = 255$  m/s at a distance of  $R_{JB} = 200$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

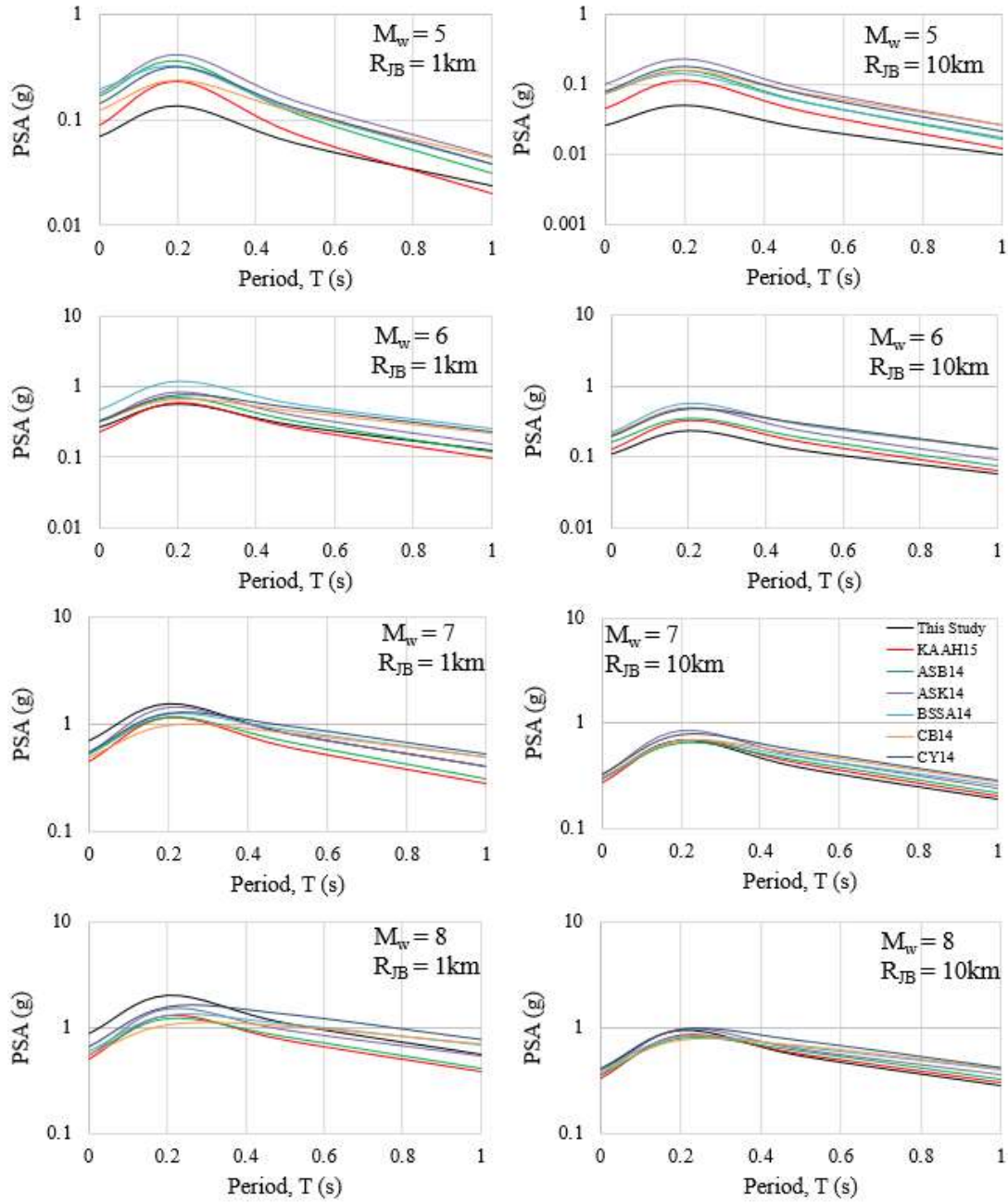


Figure B.18. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 520$  m/s at a distance of  $R_{JB} = 1$  and  $10$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

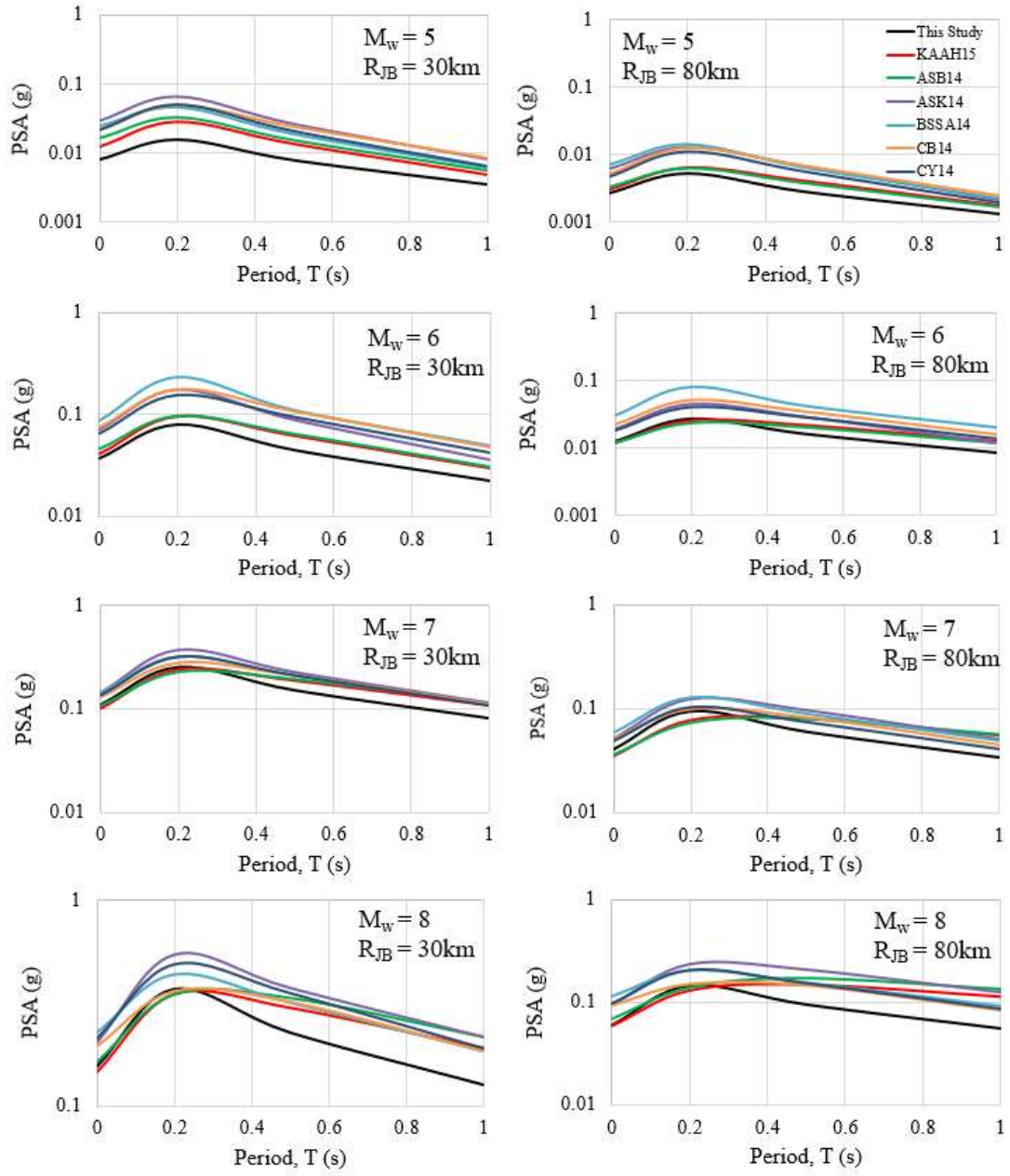


Figure B.19. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 520$  m/s at a distance of  $R_{JB} = 30$  and 80 km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

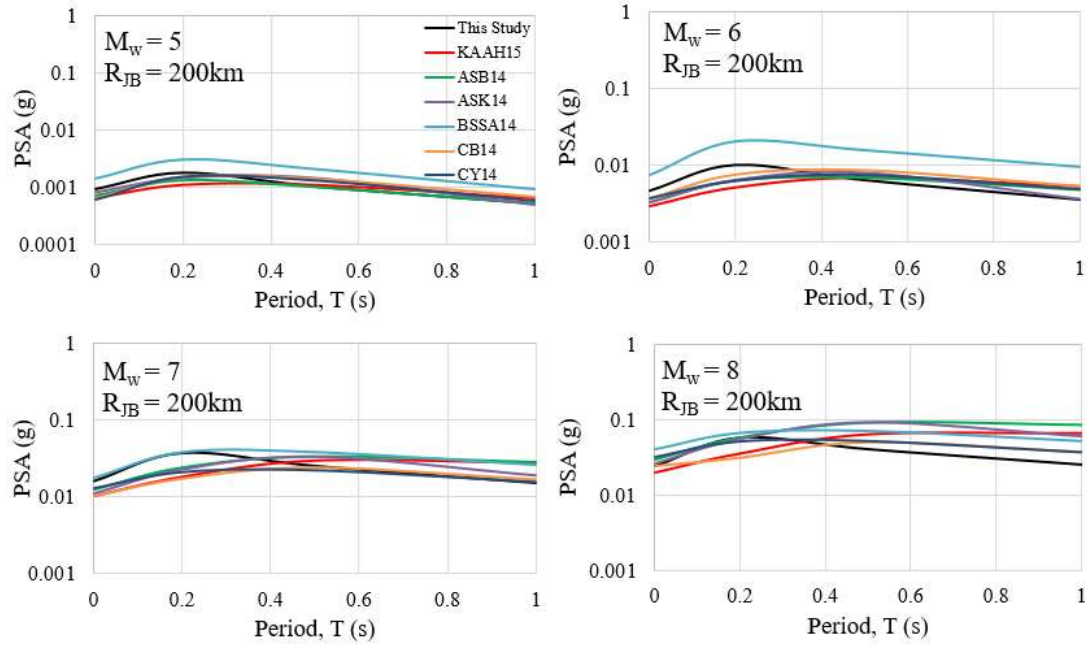


Figure B.20. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 520$  m/s at a distance of  $R_{JB} = 200$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

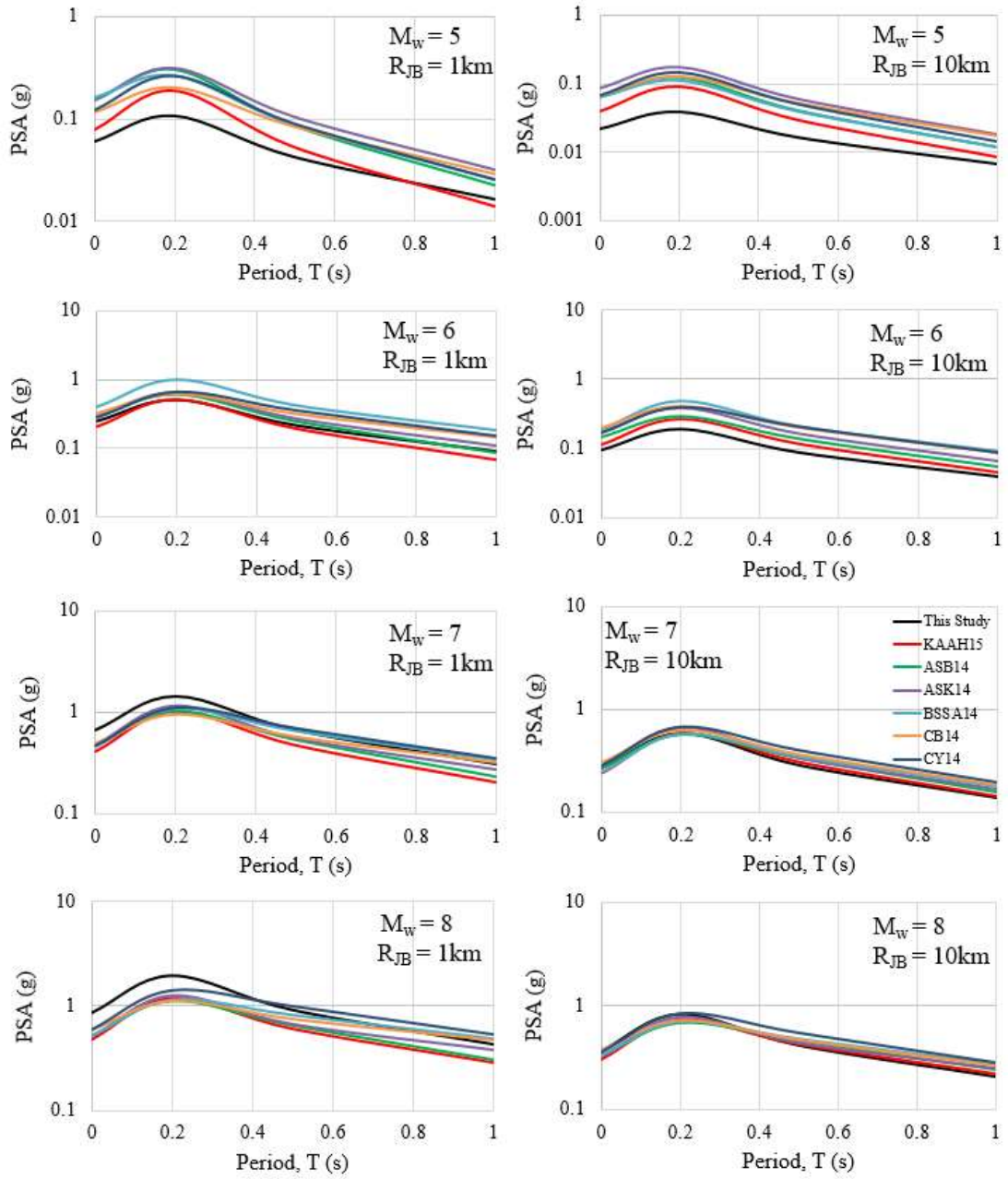


Figure B.21. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 1$  and 10 km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

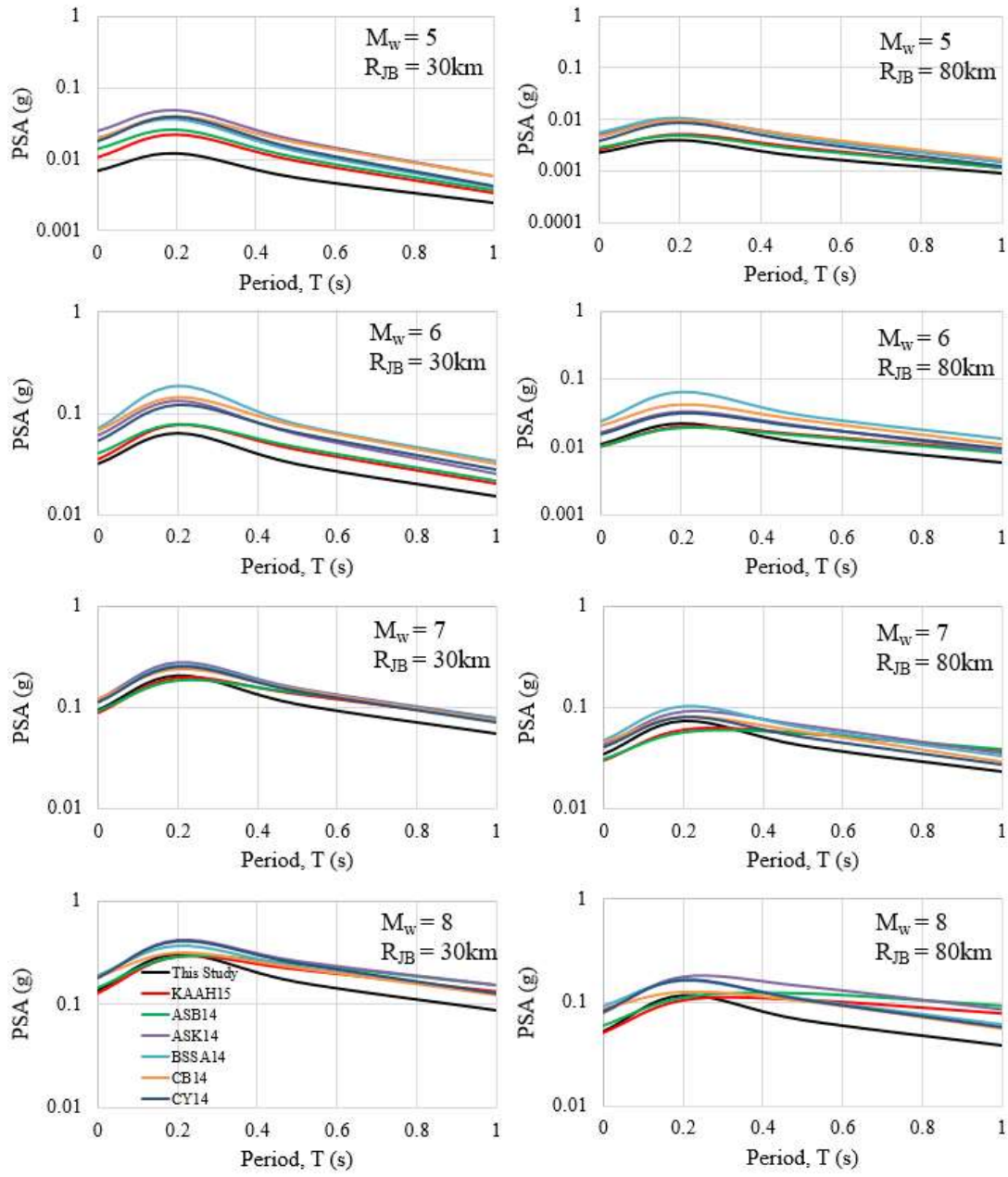


Figure B.22. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 30$  and  $80$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

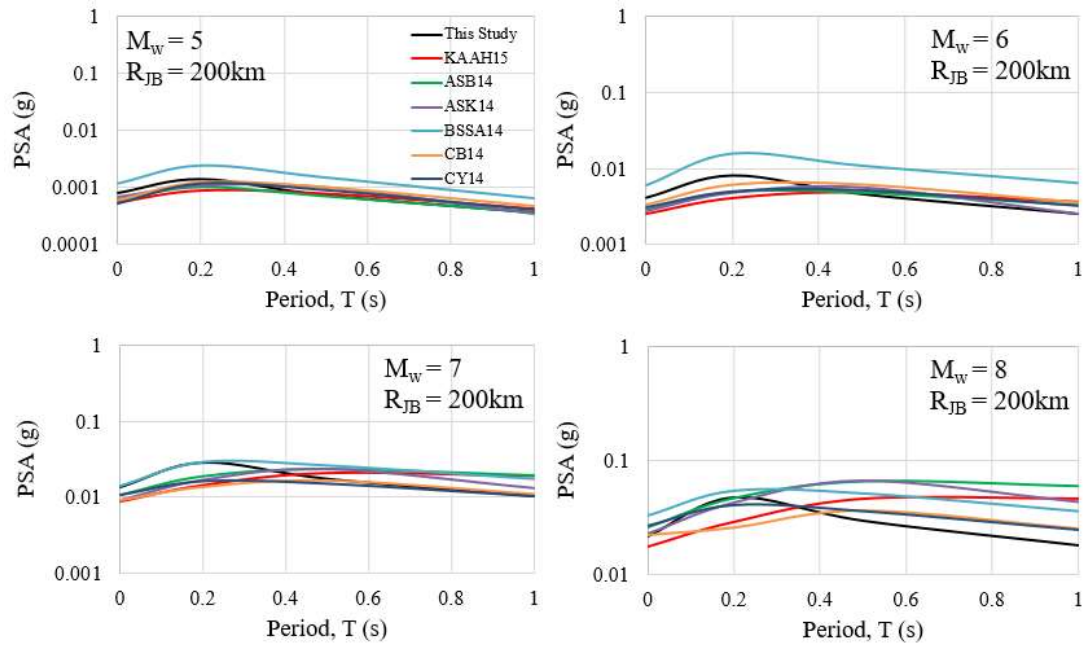


Figure B.23. Comparisons of spectral ordinates from the new model and local, regional and global GMPEs. The comparisons are done for  $V_{S30} = 760$  m/s at a distance of  $R_{JB} = 200$  km from a strike slip fault for magnitudes  $M_w$  5, 6, 7 and 8.

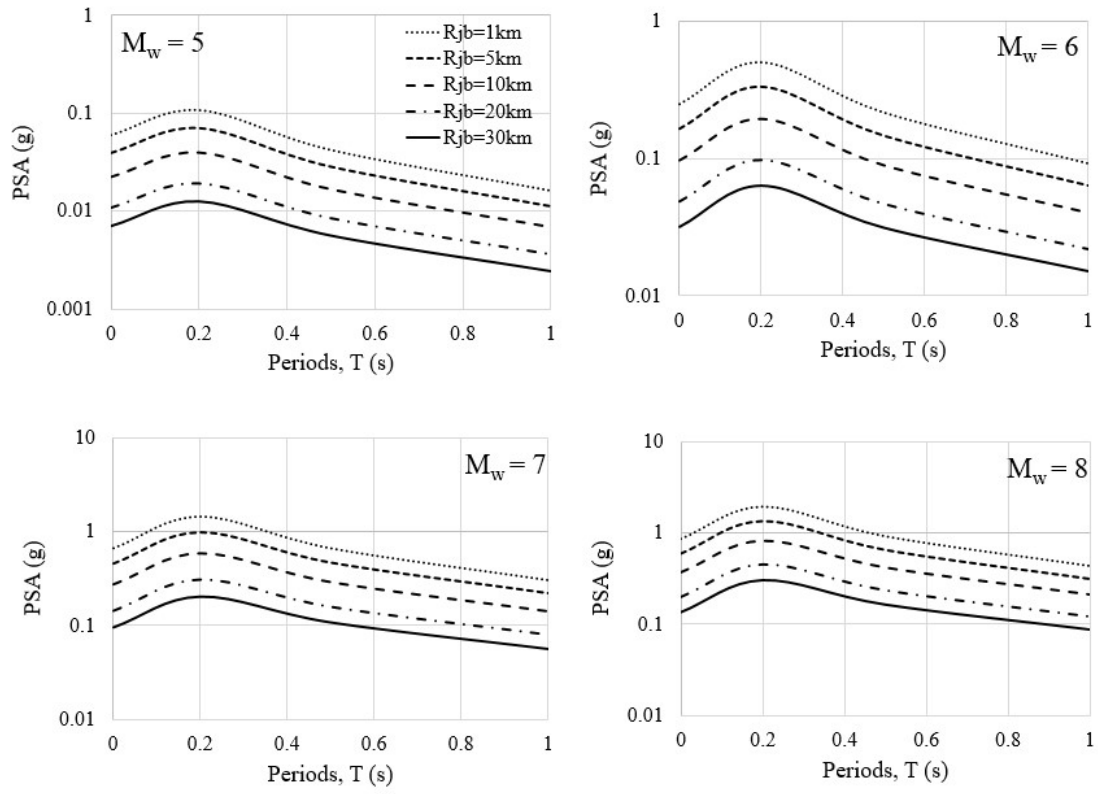


Figure B.24. Period scaling of the proposed GMPEs for distances ranging between  $1 \text{ km} \leq R_{JB} \leq 30 \text{ km}$ . The comparisons are done for a reference rock site,  $V_{S30} = 760 \text{ m/s}$  on a strike slip fault for magnitudes  $M_w$  5, 6 7 and 8.