IMPROVEMENT AND DEVELOPMENT OF HIGH-FREQUENCY WIRELESS TOKEN-RING PROTOCOL

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ABSTRACT

IMPROVEMENT AND DEVELOPMENT OF HIGH-FREQUENCY WIRELESS TOKEN-RING PROTOCOL

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STANAG 5066 Edition 2 is a node-to-node protocol developed by NATO in order to communicate via HF media. IP integration is made to be able to spread the use of STANAG 5066 protocol. However, this integration made the communication much slower which is already slow. In order to get faster the speed and communicate within single-frequency multi-node network, HFTRP, which is a derivative of WTRP, is developed. This protocol is in two parts, first is a message design for management tokens exchanged by communicating nodes, and second is the algorithms used to create, maintain, and repair the ring of nodes in the network. Scope of this thesis is to find out a faster ring setup, growing procedure and to implement. Beside, finding optimum values of tuning parameters for HFTRP is also in the scope of this thesis.

Keywords: Wireless Token Ring Protocol, Medium Access Protocol, Medium Access Protocol Implementation, STANAG 5066, High Frequency Data-Link Protocol

YÜKSEK FREKANSTA SİMGELİ HALKA PROTOKOLÜNÜN (HFTRP) GELİŞTİRİMİ VE GERÇEKLEŞTİRİMİ

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STANAG 5066 Edition 2 yüksek frekanslı ortamlarda kullanılmak üzere NATO tarafından geliştirilen noktadan noktaya iletişim sağlayan bir protokoldür. Bu protokolün daha çok yerde kullanılabilmesi için IP ile entegre hale getirilmiştir. Fakat bu entegrasyon çok yavaş olan haberleşmeyi daha da yavaş hale getirmiştir. Haberleşme hızını arttırabilmek için ve tek frekansta çok nokta arasında iletişimi sağlamak için bir WTRP türevi olan HFTRP geliştirilmektedir. Bu protokol iki kısımdan oluşur; biri terminaller arası kullanılacak yönetim simgelerinin tasarımı, ikincisi de iletişim ortamının yaratılması, bakımı ve düzeltilmesi için kullanılacak algoritmalardır. Bu tezde bizim amacımız, yavaş olan halka oluşturma, halkaya katılma işlemlerini hızlandırmak ve gerçekleştirmektir. Bunlara ek olarak ayar parametrelerinin en uygun değerleri bulmaktır.

Anahtar Kelimeler: Kablosuz Token Ring Protokol, Ortam Erişim Protokolü, Ortam Erişim Protokolü Gerçekleştirimi, STANAG 5066, Yüksek Frekansta Veri-Bağlantı Katmanı Protokolü

To My Family

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LIST OF ABBREVIATIONS

ANSI	American National Standards Institute
BLOS	Beyond Line of Side
BPS	Bits Per Second
CSMA	Carrier Sense Multiple Access
DCE	Data Communication Equipment
DTE	Data Terminal Equipment
ΕΟΤ	End of Transmission
FEC	Future Error Correction
FED-STD	Federal Standard
HF	High Frequency
HFTRP	High Frequency Wireless Token Ring Protocol
IDE	Integrated Development Environment
IEEE	Institute of Electrical and Electronics Engineers
ISO	International Organization for Standardization
LAN	Local Area Network
LOS	Line of Side
MAC	Medium Access Control
MIL-STD	Military Standards
NATO	North Atlantic Treaty Organization
OSI	Open Systems Interconnection
PDU	Protocol Data Unit
POSIX	Portable Operating System Interface [for UNIX]
QoS	Quality of Service
STANAG	Standardization Agreement
TDMA	Time Division Multiple Access
WTRP	Wireless Token Ring Protocol

CHAPTER 1

INTRODUCTION

High frequency (HF) communications has been an integral part of worldwide information transmission since the dawn of radio and kept pace with the information age [7]. Radio waves, which have frequency between 3 MHz and 30 MHz, are called HF. This frequency attribute gives special characteristics for reflection and propagation. Main characteristic is reflecting from ionosphere. In fact, there is no other frequency range that has ability to reflect from ionosphere. Therefore, only HF radio waves give opportunity of communicating beyond line of side without using any repeaters. Some military and industrial standards have been developed to use this communication path more effectively.

One of NATO standards is STANAG 5066 Ed2, which is a point-to-point protocol, for HF medium. In the operational scenarios, the need of communicating with more than one station has occurred. This can be achieved by some methods. One of this is using more then one radio for each communication between other stations. When using this approach, extra effort is needed on planning frequencies between stations and other effort is planning locations of radios to prevent interference.

Other approach is communicating at single broadcast frequency. However, this approach has some difficulties originated from wireless communication. Programming can solve these difficulties. There is no need of extra planning on hardware, no extra estimation for preventing interference.

Since HF has limited bandwidth and open to burst errors, some other solutions has needed other than regular wireless protocols, such as IEEE 802.5, IEEE 802.11. One solution is to add more functionality to STANAG 5066 Ed2. NATO has chosen this

approach and developed Edition 3 of STANAG 5066. Edition 3 has three MAC layer protocols. These are HF CSMA, HFTRP, HF TDMA. But, HF TDMA has not developed yet.

Although, HFTRP has much more bandwidth utilization than HF CSMA, HFTRP has some weaknesses. One of the weaknesses is need of a ring creation. This means, to operate in a stable state there is need of some pre-work on the ring. Thus, some initial time takes to get ready for operating. Also, while growing the ring when inviting others some more time is used without data transmission. These parts should be improved and waiting timers should be optimized.

In this thesis, improvements to the ring creation and growing algorithms will be explained. In which scenarios, proposed improvements have valuable effects than regular HFTRP will be examined. Beside this, optimum values to some tuning parameters will be studied and at the end implementation details and methods will be explained.

Since the need of proofing the improvements, simulation technique and tests on real environment methods are used. For simulation environment OMNeT++ open simulator [15] that is an open source discrete event network simulator has been used. In the real environment, Marconi radios and RapidM RM6 modems have been used as a physical layer. What are the improvements and results will be explained at the next chapters.

The rest of this thesis proceeds as follows. In Chapter 2, background information about High Frequency communications is given. Chapter 3 reminds the necessary background on High Frequency Token Ring Protocol and description of that protocol. In Chapter 4, the tunings and improvements proposed to enhance the HFTRP are explained. Chapter 5 defines the simulation and the implementation details of HFTRP, where a new approach to protocol implementation has been given. Chapter 6 defines the test results of original HFTRP and Improved-HFTRP. Performance comparisons of these two protocols also have been discussed in this chapter. Finally, Chapter 7 concludes the thesis work according to test results and also states future work.

CHAPTER 2

BACKGROUND AND LITERATURE

In this chapter, high frequency communications, protocols, some concepts that are used in HF domain and related studies are explained.

2.1 HF Communications

Advantages and disadvantages of HF have been discussed here. However HF has difficulties, solutions for those difficulties have been found and some are explained here.

2.1.1 HF at Military Communications

HF has the ability to communicate across long distances without the use of repeaters or satellites because of its various modes of propagation. This ability has a large utility in the military arena where ad hoc communications are required with minimal assets and planning.[16] Furthermore, satellites are more open to attacks or sniffing than HF.

2.1.2 HF Propagation

Propagation defines how radio waves radiate from transmitting source. It is believed that radio waves propagate like a straight line. However it is a bit complicated. There are two basic modes of propagation. These are ground waves and sky waves. As their names imply ground waves travel along the surface of ground and sky waves after reflecting from ionosphere return to ground. Simply, Figure 1 shows propagation paths of HF radio waves. Sky waves are not formed other than HF waves, because reflecting from ionosphere strictly dependent on frequency of radio waves. As a consequence, sky waves of HF give opportunity to communicate beyond line of side.

While communicating long distances, signals are interfered with others or burst errors are occurred. Consequently, some error correction methods have been used in HF communications. Such as, interleaving, coding, etc.

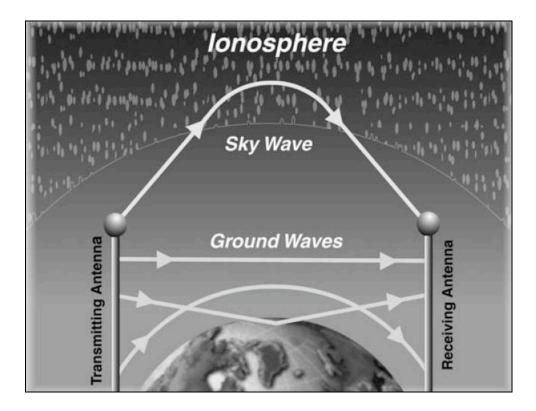


Figure 1 - HF Propagation Paths [4]

2.1.3 Interleaving

Interleaving is frequently used in digital communication and storage systems to improve the performance of FEC codes. Errors typically occur in burst rather than independently in wireless channels. If the number of errors within a code word exceeds the error-correcting code's capability, it fails to recover the original code word. Interleaving overcomes this problem by shuffling source symbols across several code words, thereby creating a more homogenous error. [18]

However, use of interleaving techniques helps error correction, it increases latency. This is because the entire interleaved block must be buffered before sending to channel and must be received before the packets can be decoded. [18]

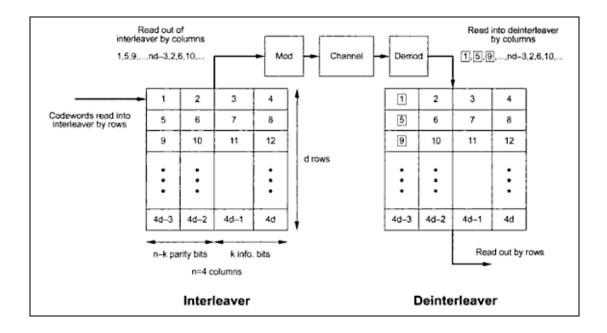


Figure 2 - Interleaver and Deinterleaver[6]

2.1.4 HF Bandwidth Limitation

Nyquist's Bit Rate theorem is used to calculate maximum channel capacity at nonnoisy media in terms of bps. Nyquist's Bit Rate Theorem [14];

$$C = 2 x B x \log_2 M bits/sec$$
(1)

where;

C: Channel Capacity

- B: Bandwidth
- M: Number of signal levels used.

To use the theorem, bandwidth and number of signal levels are need. As examined previous section, bandwidth standardized as 3 khz.

While communicating at long distance there will be so many barriers that weaken signals. Accordingly, dispatching signal shapes will be hardened. Thus, while designing waveforms for HF communication, it is not possible to use too many signal shapes. Because of those limitations generally eight or sixteen signal shapes are used at waveforms. According to the values described above;

 $C = 2 \times 3 \text{ khz} \times \log_2 8 = 6 \times 3 = 18 \text{ kbps}$ (For eight signal levels) $C = 2 \times 3 \text{ khz} \times \log_2 16 = 6 \times 4 = 24 \text{ kbps}$ (For sixteen signal levels)

Since HF communications are used for BLOS communications, probability of distortion, interference gets higher. For this reason, some other extra error corrections methods needed. These error correction methods use some capacity; as a result, capacity for pure data communications goes to the level of 9600 bps for HF. Newest coded waveform's maximum baud rate for single channel is 9600 bps.

2.1.5 HF Standardizations

The most basic standard in HF communications is the allocation of electromagnetic (radio) spectrum. These allocations are controlled by international treaties and are complex, but the simple result derived from the treaties is that in the HF region of the spectrum, assignment of frequencies to a particular link occurs in only approximately 3 kHz wide bands. Thus, the small size of the frequency band limits what speeds and error rates can be achieved. **[16]**

Both the US Military and NATO have enabled interoperability of HF radios and modems by creating standards for manufacturers to follow. Each standard can be characterized as loosely defining a particular layer of the OSI model. [16]

There are 3 major standards bodies for tactical HF communications. These are: the US Military with the MIL-STD series, NATO with its STANAG (standardization) documents and the US Federal Government with the FED-STD series. [16]

This thesis focuses on STANAG standards and specially STANAG 5066 Edition 3. Description of STANAG 5066 is "Profile for High Frequency (HF) Radio Data Communication". This standard covers all layers of OSI reference model. However, this thesis will focus on one subsection of MAC layer. It is HFTRP.

2.2 Protocols

In this section some information about protocols used in HF or base protocols are given.

2.2.1 Wireless Token Ring Protocol

Wireless Token Ring protocol is the base protocol of HF Token Ring Protocol. Which is a robust, self-healing, self-coordinating and distributed MAC layer protocol for ad-hoc networks. The MAC protocol through which mobile stations can share a common broadcast channel is essential in an ad-hoc network. Due to the existence of hidden terminals and partially connected network topology, contention among stations in an ad-hoc network is not homogeneous. Some stations can suffer severe throughput degradation in access to the shared channel when load of the channel is high, which also results in unbounded medium access time for the stations. This challenge is addressed as quality of service (QoS) in a communication network. [3]. The idea behind WTRP is token ring protocol, which is used at wired networks.

2.2.2 Token Ring Protocol

Token Ring is a LAN protocol defined in the IEEE 802.5 where all stations are connected in a ring and each station can directly hear transmissions only from its

immediate neighbor. Permission to transmit is granted by a message (token) that circulates around the ring.

Token-passing networks move a small frame, called a token, around the network. Possession of the token grants the right to transmit. While the information frame is circling the ring, no token is on the network, which means that other stations wanting to transmit must wait. Therefore, collisions cannot occur in Token Ring networks.

Unlike Ethernet CSMA/CD networks, token-passing networks are deterministic, which means that it is possible to calculate the maximum time that will pass before any end station will be capable of transmitting. This feature and several reliability features make Token Ring networks ideal for applications in which delay must be predictable and robust network operation is important. [11]

2.2.3 HFTRP

HFTRP is an enhanced MAC protocol of STANAG5066 for single-frequency broadcast and multi- node environments. This protocol can be described with two parts, message formats and algorithms. Detailed definitions of these parts will be at the next chapters. Message format is derived from the type 6 D_PDU of STANAG 5066, which is defined in Annex C Edition 2 message catalogue [12]. Furthermore, Algorithms are originated from Mustafa Ergen's WTRP and tailored for HF wireless networks. As WTRP, HFTRP provides quality of service (QoS) in terms of bounded latency and reserved bandwidth. STANAG 5066 describes the difference between WTRP and HFTRP as bellow;

"Both WTRP and HFTRP require that stations in a ring take turns to transmit for a specified amount of time. Both WTRP and HFTRP are robust against single node failure. HFTRP is different from WTRP in that it provides the notion of self-rings, that it allows relaying of right-to-transmit tokens in a three-node linear network, and that it requires nodes to back off from joining a ring if the contention among nodes that wish to join is too severe." [13]

2.3 Related Work

As mentioned above section, there needed other protocols than regular wireless protocols. This new protocols can be totally new or modified versions of regular protocols for HF medium. Generally, modification preferred method. There are some modified protocols for HF. These are HF CSMA, HFTRP, and HF TDMA.

However, HF CSMA needs some more improvements for hidden node problem. It is an easy to implement protocol. And actually, HF CSMA meets most of our needs on multi node communication on singe broadcast frequency. However, it is not an effective protocol. Due to the nature of wireless radios, collisions cannot be detected. Therefore, only method can be used is collision avoidance, which is a contentionbased protocol and doesn't use bandwidth effectively. As explained previous sections, bandwidth is very limited in HF medium, therefore it must be used effectively.

Other protocol is HF TDMA. Because of implementation difficulties on time synchronization, this is not a preferred protocol for HF. Although, it is not a preferred protocol, NC3A planned to develop a HF TDMA protocol for HF.[13]

Other protocol is HFTRP, which this thesis will propose some improvements and tunings in time estimation. HFTRP is a contention-free protocol and uses bandwidth more efficient. Beside, it provides QoS in terms of bounded latency.

There were some studies on HFTRP before STANAG 5066. After these studies HFTRP annex is added to STANAG 5066 Ed3. These studies are "Robust Token Management For Unreliable Networks,"[8], "Token Relay With Optimistic Joining"

[10]. These studies introduces us some solutions in some scenarios but doesn't give the bests values of tuning parameters for performance improvements.

CHAPTER 3

HIGH FREQUENCY TOKEN RING PROTOCOL DESCRIPTION

In this chapter, original HFTRP has been explained according to Stanag5066 Ed3. What are the tokens, states, etc. and how they affect the general idea of HFTRP have been described.

3.1 Definitions

Here term definitions will be given. These terms are used in subsequent sections and indeed these will make next sections easily understandable.

Stations and Nodes....: Station and Node are used to describe communicating entities on the same medium. Both are used with the same meaning.

Successor.....:: Successor is the node which station S sends the right to transmit token to.

Predecessor: Predecessor is the node which station S receives the right to transmit token from.

Token.....: Token is a message passes between stations to operate HFTRP algorithms. Token types and descriptions will be specified in further detail in 3.2.

Node State: On the hearth of HFTRP algorithm, there is a final state machine. Node state is the current state of that final state machine for specified

Node. There are thirteen states and these states will be explained in further detail in 3.3.

Transmit Order: The order in which the RTT token is passed around the ring is called Transmit Order.

Timer.....: As other protocols, which have failure recovery features, HFTRP has timers associated with each state to manage failures. Further details are in 3.1.

Sequence Numbers....: Sequence number at RTT token indicates the number of occurrence that this RTT has been held. *Station sequence* number indicates the sequence number of last received RTT of that station. Finally, *Generation sequence* number at RTT token indicates the age of the ring. Therefore age of the ring can be explained with the number of rounds of RTT token at the traversing ring.

Notational Conventions: SUCCESSOR(S_A) denotes the successor of station S_A . PREDECESSOR(S_A) denotes the predecessor of station S_A . RTT(seq_val) denotes the RTT token which has a sequence number of seq_val and ACK(seq_val) denotes the ACK token which is an acknowledgement for the RTT token with sequence number of seq_val.

3.2 Token Specification and Token Types

HFTRP token-message definitions are based on WTRP. However, IEEE 802.x MAC address sizes are adapted to the HF address sizes. This means 4-byte addresses are being used instead of 6-byte address. Figure 3 shows the format of WTRP frame. However, Frames are variable length in WTRP, here all fields have been illustrated at the same frame. HFTRP frame contains all fields, although all fields are not used. Descriptions of fields at that frame are given in Table 1;

FC	RA	DA	SA	SEQ	GENSEQ	NS	NON	
1	6	6	6	4	4	4	4	bytes

Figure 3 - WTRP Frame Format

Table 1 - WTRP Frame Fields Descriptions

Field	Meaning	Description
FC	Frame Control	Identifies the type of packet (Token Type)
RA	Ring Address	Identifies the current ring owner.
DA	Destination Address	Specifies the destination node address of this token
SA	Source Address	Specifies the source node address or the sender node address of this token
Seq	Sequence Number	Specifies the age of current RTT token. It is initialized to zero at ring initialization and then incremented by every node upon receiving the RTT token
GenSeq	Generation Sequence Number	Specifies the current ring age. It is initialized to zero upon ring creation and then incremented at every rotation of the token by the ring owner.
NS	New Successor	In an SLS token specifies the new successor for the token receiver. However, this field specifies different information for other token types.
NoN	Number of Nodes	For all token types specifies current ring size or current number of nodes in the ring

All HFTRP frames contain all these fields and these fields are embedded to a Type-6 Management DPDU in accordance with STANAG 5066 Annex C. This new frame is a kind of extended EOW message. From now on, DPDU will be used instead of frame when mentioning from HFTRP frame. All data fields required of HFTRP are encoded as shown in below. The EOW field in this Type-6 message format is an integral part of the Type-6 message, rather than a 'piggy-backed' short EOW message that is unrelated to it, and its extended-field elements continue in the DPDU_HEADER_SPECIFIC_PART of the Type 6 message basic Type 6 DPDU message are shown in light or dark grey. Fields required by the Type 6 DPDU message that meet the HFTRP information exchange requirements are shown in white-texton-dark-grey; new fields for HFTRP information exchange requirements are shown in black-on-white. [13]

One part of main protocol structure is messages passed between nodes. Here in, these messages will be described;

Direct Right-to-Transmit Token (RTT)

As name implies Right to Transmit token grants the right of transmitting any data, token, etc. Only the station, which has that token, can send data and there must be only one token in each ring, thus collisions are prevented. After all data have been transmitted, RTT token should be passed to the successor. If there is too many data to send, while transmitting all data, other nodes may not send their data. To prevent this type of situations, there is a predefined maximum time of holding the RTT token and this predefined time may not exceed 255 half seconds, which means 127.5 seconds. Each station should pass the token at least when that predefined time is over. Hence QoS is guarantied in terms of bounded latency. Furthermore, this predefined time is multiplied with NoN in the RTT token to find the maximum time of waiting to transmit our data. Direct right to transmit token can be encoded as shown in Table 3.

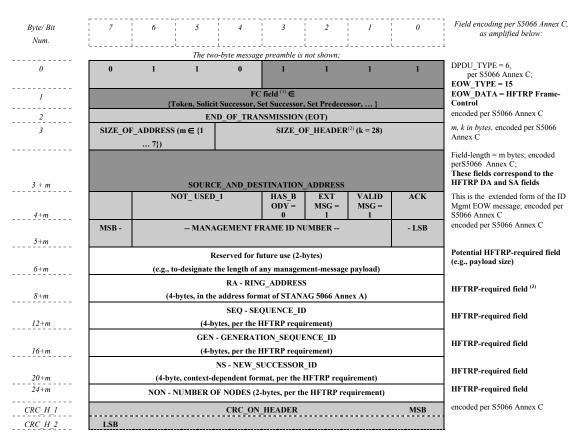


Table 2 - EOW-HFTRP-Token Message [13]

(1) Field-values corresponding to the enumerated frame-control functions as defined herein

- (2) the given value are based on the use of 4-byte fields are required for SEQUENCE and GENERATION SEQUENCE, but see the text for further discussion.
- (3) to reduce complexity in message parsing, these fields are encoded as a full fixed-length address fields following the STANAG 5066 rules, regardless of the encoding of the SA and DA fields

Acknowledgement (ACK)

ACK token is used to confirm the successful delivery of other tokens, such RTT token. Using this token is an implicit acknowledgement mechanism. Some other data can be used as explicit acknowledgement mechanism. RTT, REL, SLS tokens or data can be examples of explicit acknowledgement. Acknowledgement can be encoded as shown in Table 4.

Field	Value	Format	Comment
FC	00000001	unsigned character	Defined constant for the RTT type
DA	w.x.y.z	S5066 Address	Destination address of the node to which the RTT is being passed
SA	a.b.c.d	S5066 Address	Source address of the RTT token originator
RA	e.f.g.h	S5066 Address	Address of the ring owner
SEQ	$0 \le seq$	unsigned integer	
GEN	$0 \leq \text{gen}$	unsigned integer	
NS	Don't care	unsigned integer	Not-used
NON	0 < non	unsigned integer	Number of nodes in the ring where RTT token
			belongs.

Table 3 - RTT token fields values

Table 4 – ACK Token Fields Values

Field	Value	Format	Comment
FC	00000010	unsigned character	Defined constant for the ACK type
DA	w.x.y.z	S5066 Address	(destination) address of the node to which the ACK is being sent
SA	a.b.c.d	S5066 Address	(source) address of the ACK token originator
RA	e.f.g.h	S5066 Address	Equal to RA-value of the acknowledged token
SEQ	$0 \leq seq$	unsigned integer	Equal to SEQ-value of the acknowledged token
GEN	$0 \leq \text{gen}$	unsigned integer	Equal to GEN-value of the acknowledged token
NS	a valid FC- type value	unsigned character	Equal to FC-value of the acknowledged token
NON	0 < non	unsigned integer	Equal to NON-value of the acknowledged token

Solicit Successor Token (SLS)

SLS Token is used to enlarge the ring. In other words, station holding the RTT sends SLS token to invite non-members to join the ring. This invitation procedure takes place in a periodic time. This periodic time will be explained in details at later sections. Solicit Successor Token can be encoded as shown in Table 5.

Field	Value	Format	Comment
FC	00000011	unsigned character	Fixed value defining the SLS type
DA	0xffffffff.	S5066 Address	Broadcast address to which the SLS-token is sent
SA	a.b.c.d	S5066 Address	Source address of the SLS token originator
RA	e.f.g.h	S5066 Address	Address of the ring owner
SEQ	$0 \le seq$	unsigned integer	unused
GEN	$0 \leq \text{gen}$	unsigned integer	unused
NS	w.x.y.z	unsigned integer	The tentative successor specified for the responder of this SLS token. (N.B. this is nominally the successor of the SLS-token originator)
NON	0 < non	unsigned integer	Number of nodes in the token-originator's ring

Table 5 - SLS Token Fields Values

Set Successor Token (SET)

SET token is used as an answer to SLS token. In other words, SET token is used by only non-members to indicate new successor of soliciting node that non-member is joining to the ring and from now on sent the RTT token to that new member. Set Successor Token can be encoded as shown in Table 6.

Relay Token (REL)

REL token is used to give the right to transmit to one stations unreachable successor. While a station joining the ring, it is enough to be in coverage of its predecessor. Thus, may be the successor of its predecessor which is the successor of new station is not in the coverage range. To come over such situations REL token is being used. In other words, REL token is sent to the predecessor of station S to relay to the successor of station S. Relay Token can be encoded as shown in Table 7.

Field	Value	Format	Comment
FC	00000100	unsigned character	Fixed value defining the SET type
DA	w.x.y.z	S5066 Address	Destination address to which the SET-token is sent
SA	a.b.c.d	S5066 Address	Source address of the SLS token originator
RA	e.f.g.h	S5066 Address	Address of the ring owner
SEQ	$0 \leq seq$	unsigned integer	unused
GEN	$0 \leq gen$	unsigned integer	unused
NS	<i>m.n.o.p</i>	S5066 Address	Specifies the new successor for the destination node.
NON	0 < <i>non</i>	unsigned integer	unused

Table 6 - SET Token Fields Values

Table 7 - REL Token Fields Values

Field	Value	Format	Comment
FC	00000101	unsigned character	Fixed value defining the REL type
DA	<i>w.x.y.z</i>	S5066 Address	The destination address of this REL token, i.e., of
			the intended relay (this is normally the source
			node's predecessor)
SA	a.b.c.d	S5066 Address	Source address of the REL token originator
RA	e.f.g.h	S5066 Address	Address of the ring owner
SEQ	$0 \leq seq$	unsigned integer	
GEN	$0 \leq gen$	unsigned integer	
NS	<i>m.n.o.p</i>	S5066 Address	Specifies the intended final destination node of
			this REL token.
NON	0 < non	unsigned integer	Number of nodes in the token-originator's ring

Delete Token (DEL)

DEL token is used to indicate that RTT token became obsolete, and new RTT token will be generated. That is, DEL token means that stop sending the obsolete RTT token.

RTT token becomes obsolete, when the ring owner leaves the ring or becomes in an unstable state. At such a case, DEL token is used to inform the ring. Delete Token can be encoded as shown in Table 8.

Field	Value	Format	Comment
FC	00000110	unsigned character	Fixed value defining the DEL type
DA	w.x.y.z	S5066 Address	DA-field value of the RTT token to be deleted
SA	a.b.c.d	S5066 Address	SA-field value of the RTT token to be deleted
RA	e.f.g.h	S5066 Address	RA-field value of the RTT token to be deleted
SEQ	$0 \leq seq$	unsigned integer	SEQ-field value of the RTT token to be deleted
GEN	$0 \leq \text{gen}$	unsigned integer	GEN-field value of the RTT token to be deleted
NS	m.n.o.p	S5066 Address	NS-field value of the RTT token to be deleted
NON	0 < non	unsigned integer	NON-field value of the RTT token to be deleted

Table 8 - Delete Token Fields Values

3.3 State Machine Specification

HFTRP has a very complex state machine. To come over that complexity transition tables have been used. These transition tables includes The current state, The Event that triggers the transition, The action that shall be taken as a result of the transition, The next state to which the protocol transits, The timer that is started.

Here in this thesis all the specifications will not be given. All specifications can be found at the Standardization Agreement of NATO, STANAG 5066: Profile for HF Data Communications Annex L of Edition 3 [13]. Here, only general meanings and purpose of usages for states are given. After these, some transitions and states are given accordingly with the scenarios. These scenarios are, creating a ring, joining to an already created ring, leaving a ring, relaying along the ring.

There are thirteen states in HFTRP and these states structured as nearly like a mash. At Figure 4 you can see how complex the states. In the scope of this thesis a very generic state machine pattern has been designed and implemented to come over this complexity. This implementation can be used where there is a state transition table. This implementation will be discussed later chapters. Table 9 shows all the states and state descriptions.

3.1 Timers

Each state is associated with one or more timer and some states are associated with identical timers. Timers are used as default recovery mechanisms against unpredicted events, such as message reception not occur, etc. Moreover, timers are like triggers of state machine on the lack of messages. Some times are randomized to prevent protocol deadlock, collisions, etc. Table 10 shows all the timers and their descriptions.

Table 9 - HFTRP States and Their Descriptions

State Name	Description
Floating State (FLT)	The Floating state is a state in which a node waits to join a ring
Offline State (OFF)	The Offline state is a state in which a station acts as if it were physically
	offline
Soliciting State	The Soliciting state is a state in which a station has just broadcasted a SLS
(SLT)	token and is waiting for some station to respond.
Idle State (IDL)	The idle state is a state in which a station has successfully passed the RTT
	token to its successor.
Monitoring State	The Monitoring state is a state in which a station has finished transmitting
(MON)	data and passed the RTT token to its successor, but has received neither an
	implicit nor an explicit acknowledgement for the successful delivery of the
	RTT token
Have Token State	The Have Token state is a state in which a station holds a valid RTT token
(HVT)	and has the full right to transmit on the HF channel
Joining State (JON)	The Joining state is a state in which a station has received an SLS token
	from a ring other than its own and replied by sending a SET token to the
	solicitor
Pass New Token	The Pass New Token state is a state in which a station has determined that
State (PNT)	the RTT token of its ring has been dropped, and in response has generated
	and passed a new RTT token to its successor, but not yet received an
	acknowledgement of the successful delivery
Self Ring State	The Self-Ring state is a state in which a station has just started or restarted
(SFR)	and in which it has not heard (i.e., received DPDUs from) any other ring
	except its own
Seeking State (SEK)	The Seeking state is a state in which a station that is in a self ring has
	broadcasted an SLS token and is waiting for a response;
Pairing State (PAR)	The Pairing state is a state in which a station in a self ring has passed the
	RTT token to the prospective second member of its ring
Relaying State	The Relaying state is a state in which a station relays a REL token for a
(RLY)	member which cannot reach its successor
Relaying Monitor	The Relay Monitoring state is a state in which a station which has sent a
State (RLM)	REL token to a potential token-relay station or monitors for the successful
	delivery of the REL token

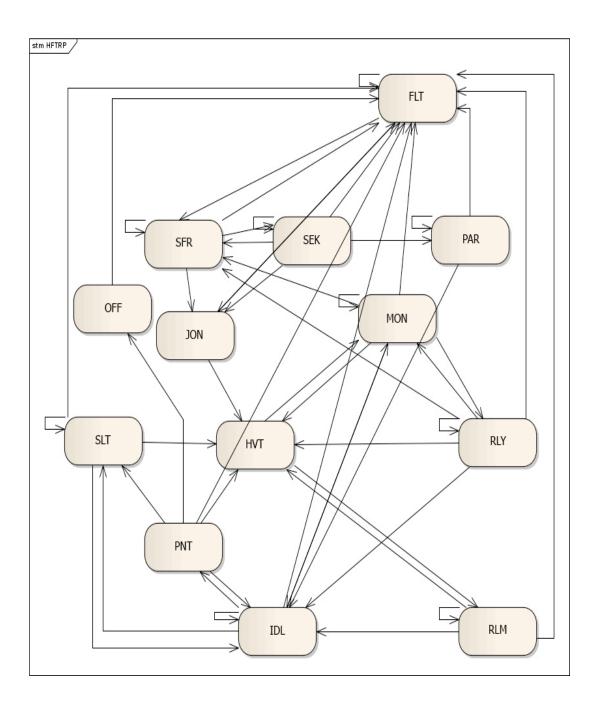


Figure 4 - State Overviews and Transitions

Table 10 - Timers and Descriptions

Claim Token	Controls the time a station waits while in the floating state to claim a token	
(TCTL)	before exiting to another state; a station restarts its TCLT timer when it goes	
	to FLT state	
Contention Timer	Controls the time a station waits for a response from another station	
(TCON)	following an attempt to join the network, so-named because failure to	
	receive a response is attributed to contention with other nodes attempting to	
	join the network at the same time; a station restarts its contention timer when	
	it goes to JON state	
Idle Timer (TIDL)	Controls the time a station waits for return of the RTT token before	
	declaring it lost; a station restarts its idle timer when it goes to either IDL	
	state or PNT state	
Offline Timer	Controls the time a station waits before it exits the offline state and resumes	
(TOFF)	other operations; a station restarts its offline timer when it goes to OFF state	
Solicit Reply Timer	Controls the time a station waits before replying the SLS token; a station	
(TSRP)	restarts its solicit reply timer when it receives a SLS token in SFR, SEK, or	
	FLT state	
Solicit Successor	Controls the waiting time before sending an SLS token when in the self-ring	
Timer (TSLS)	(SFR) state; a station restarts its solicit successor timer when it goes to SFR	
	state	
Solicit Wait Timer	Controls the waiting time before quitting waiting reply for SLS token; a	
(TSLW)	station restarts its solicit wait timer when it goes to SLT state	
Token Pass Timer	Controls the waiting time after passing an RTT (or other) token to another	
(TPST)	station and failing to hear an implicit or explicit acknowledgement of its	
	receipt; i.e., the waiting time before declaring a lost token; a station restarts	
	its token pass timer when it goes to MON, PAR, RLY, or RLM state	
Token Holding Time	Controls the maximum time a node may hold the RTT token before passing	
Timer (TTHT)	it to a successor; a station restarts its token holding time timer when it goes	
	to HVT state	

3.1.1 Calculation of Timers

However timers are generally calculated. Some timers are fixed values. Calculated values are dependent some other values and equations. These will be given at the next sections. Which timers are calculated and which are fixed is shown at the next table. Moreover, computed timers are being explained at the next sections. While explaining the computation methods, some scalar tuning parameters are used. These tuning parameters are used to tune up the protocol; default values are given at Table 12. Tuning values are the one improvement subject of this thesis. Enhancements and improvements will be discussed at later chapters.

Timer Name	Default	Com-	Default-Value Name; Comments
	Value	puted	
Claim Token Timer (TCLT)	20.0	No	DEFAULT_CLAIM_TOK_WAIT_TIME
Contention Timer (TCON)	20.0	Yes	DEFAULT_CONTENTION_WAIT_TIME
Idle Timer (TIDL)	20.0	Yes	DEFAULT_IDLE_WAIT_TIME; derived value for an operating ring
Offline Timer (TOFF)	4.0	No	DEFAULT_OFFLINE_WAIT_TIME
Solicit Reply Timer (TSRP)		Yes	no initial default value defined, this is a randomized value
Solicit Successor Timer (TSLS)		Yes	This timer is for a node in self-ring state only; this is a randomized value.
Solicit Wait Timer (TSLW)	10.0	Yes	DEFAULT_SOL_SUCCR_WAIT_TIME
Token Pass Timer (TPST)	5.0	No	DEFAULT_TOK_PASS_WAIT_TIME
Token Holding Time Timer (TTHT)	1.0	No	DEFAULT_TOK_HOLD_WAIT_TIME

Calculations of these computed values are as following;

Contention Timer (TCON)

$$TCON = N_{\text{Successor}} * S_{\text{Successor}} + \Delta + W_{\text{Contention}}$$
(2)

Idle Timer (TIDL)

$$TIDL = rand[0.N_{Idle}] * S_{Idle} + W_{Idle}$$
(3)

Solicit Reply Timer (TSRP)

$$TSRP = rand[0..N_{\text{Reply}}] * S_{\text{Reply}}$$
(4)

Solicit Successor Timer (TSLS)

$$TSLS = rand[0...N_{Successor}] * S_{Successor} + W_{Successor}$$
(5)

Solicit Wait Timer (TSLW)

$$TSLW = N_{\text{Successor}} * S_{\text{Successor}} + \Delta \tag{6}$$

Where;

N: Number of Slots (For example; N_{Idle} is stands for IDLE_NUM_SLOTS)
S: Size of Slot (For example; S_{Reply} stands for REPLY_SLOT_SIZE)
W: Waiting time (For example; W_{Successor} stands for SUCCR_WAIT_TIME)

Δ : Modem Latency Delta

3.1.2 Scalar Tuning Parameters

HFTRP operation depends on a number of scalar-valued tuning parameters whose default values shall be those defined in the Table 12. These parameters are open to optimize to change the protocol responsiveness or behavior in response to different operational requirements or tradeoffs (e.g., increased collision probability for newly joining nodes versus reduced solicitation overhead).

Parameter Name	Default	Units	Comments
	Value		
	8	nodes	This is a 'soft' upper limit, imposed for
MAX NUM STATIONS			practical considerations based on performance;
			there are no field values in the protocol for
			which this imposes a limit
CYCLES_PER_SOLICITATION	20	integer	Controls the frequency at which a node issues
		8	solicitations to join the network.
		token-	Controls the number of failed attempts to pass
MAX_TOKEN_PASS_TRY	3	pass	the RTT token to a successor before giving up.
		attempts	
IDLE_WAIT_TIME	20.0	sec	Used in the computation of <i>TIDL_wait_time</i>
IDLE_SLOT_SIZE	1.0	sec	Used in the computation of <i>TIDL_wait_time</i>
IDLE_NUM_SLOTS	15	integer	Used in the computation of <i>TIDL_wait_time</i>
REPLY_SLOT_SIZE	1.0	sec	Used in the computation of <i>TSRP_wait_time</i>
REPLY_NUM_SLOTS	3	integer	Used in the computation of <i>TSRP_wait_time</i>
SUCCR_WAIT_TIME	10.0	sec	Used in the computation of <i>TSLS_wait_time</i>
SUCCR_SLOT_SIZE	1.0	sec	Used in the computation of <i>TSLS_wait_time</i>
SUCCR_SEOT_SIZE			and <i>TSLW_wait_time</i> .
SUCCR_NUM_SLOTS	10	integer	Used in the computation of <i>TSLS_wait_time</i>
BOOCK_NOW_BLOID			and <i>TSLW_wait_time</i> .
MODEM_LATENCY_DELTA	5.0	sec	Used in the computation of <i>TSLW_wait_time</i> .
CONTENTION_WAIT_TIME	20.0	sec	Used in the computation of <i>TCON_wait_time</i>

Table 12 - Scalar Tuning Parameters and Default Values

3.2 General Scenarios

The HF Token-Ring is a single-frequency network in which only the RTT tokenholder can transmit. In a healthy HF Token-Ring, there should be one and only one RTT token, and this RTT token is passed from one node to the next in the transmit order.

The ring is a closed cycle of nodes that transmit in turn, each accepting the RTT token from its predecessor in the ring, holding it while sending data, then passing it to its successor.

Once it receives the RTT token, the token holder transmits until it no longer has data to send, or until its right-to-transmit timer expires, and then it passes the RTT token to its successor.

While the transmission sequence and ownership of the RTT token in the ring is prescribed, a node with the right-to-transmit may send data to any node in the network that is within range, not just its successor or predecessor in the ring.

Each node passes the RTT token reliably, as it does the other tokens used for ringmanagement. The recipient of a RTT token sends an ACK token to acknowledge the successful delivery of that token. Participating nodes use ring-repair mechanisms to recover from token-loss, link loss, node loss, and other failures. Failure analysis and failure recovery for a token-ring are described in next sections.

3.2.1 Normal Ring Operations

Normal ring operation is sending data to any node in the network. After sending data has been finished, passing around the RTT token. In the Figure 5, node that is pointed with a red dot has the right to send data, after sending the data, it sends the RTT token to its successor, direction is shown by the arrow.

These operation are controlled by only two type of token, these are RTT and ACK to acknowledge successful arrival of RTT.

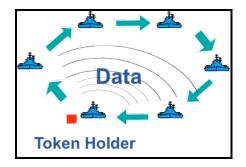


Figure 5 - Normal Ring Operation

While normal ring operations are on, there only some states are being used. Next figure shows the states and transition, used while normal operations.

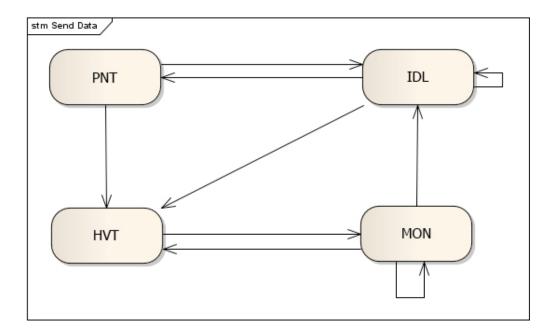


Figure 6 - States of Normal Ring Operation

3.2.2 Self-Ring and Ring Creation

The HF token-ring is self-organizing. A node wakes up and follows the OFF - > FLT - > SFR states, i.e., as a member of a single-node ring, listening on the specified frequency for transmissions from other nodes. It will take no action until it hears a transmission from another node, a solicitation from another node to join the ring, or an internal timer signals that it should send its own solicitation to join.

The limiting initial case consists of two nodes both in the SFR state without an active ring. In general, each node will generate solicitations to join (i.e., each will send a SLS token), entering the SEK state when they do so. However, their transmissions are asynchronous and randomized (in this state) as well as the times at which they are started, it can be assumed that one node will first hear the other's transmissions and enter the JON state instead. When the joining node has responded to a SLS token with a SET token and had also received an RTT token, a ring of two nodes is formed. Collisions can occur in this state, but are not persistent because of the randomization of the solicitation times and response opportunities.

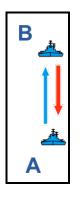


Figure 7 - Ring Creation Operation

While ring creation operations are on, there only some states are being used. Figure 6 shows the states and transition, used while normal operations.

3.2.3 Joining to Ring

A node (denoted node B in the Figure 8) in the FLT state enters the HF sub network by listening until it hears a SLS token from any token holder (denoted node A in the Figure 8), and responding with a SET token.

The SLS token is generated at repeated but randomized intervals, and contains the address of the sender's (token-holder's) successor (denoted node C in the Figure 8). Following the SLS token, the sender waits an interval for a response.

The new entrant (node B) responds to a SLS token with a SET token which designates the new entrant as the successor to the node (node A), which originated the SLS -token. The new entrant then adopts as its own successor the node (node C) designated in the SLS token to which it responded. The RTT token will now be passed around the enlarged ring that contains the new net member.

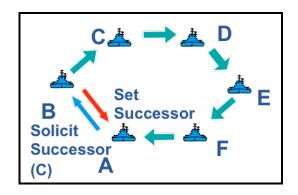


Figure 8 - Joining Operation

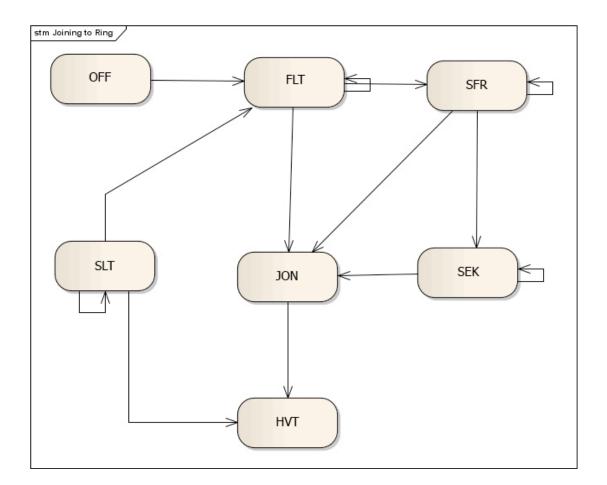


Figure 9 - States of Joining Ring Operation

3.2.4 Relaying Operation

HFTRP does not require that every member in a token ring hear all other members, as long as every member is in the same communication range as its predecessor and successor. Upon receipt of a RTT token, the node in *relaying* mode converts the RTT token into a REL token and passes it to its predecessor, instead of its successor. Its predecessor receives this REL token and converts it back to a RTT token that then is passed to its successor, the final destination. In other words, the node, which cannot reach its successor in a three-node chain network, is the relay requestor, and its predecessor is the relayor, its successor the relay target. The figure below shows a three-node chain topology formed by S_A , S_B , and S_C . Station S_B cannot reach its

successor S_C , accordingly it relays the REL token through S_{A_1} which is in the same communication range as both S_B and $S_{C_1}S_A$ then passes this REL token to S_{C_2} .

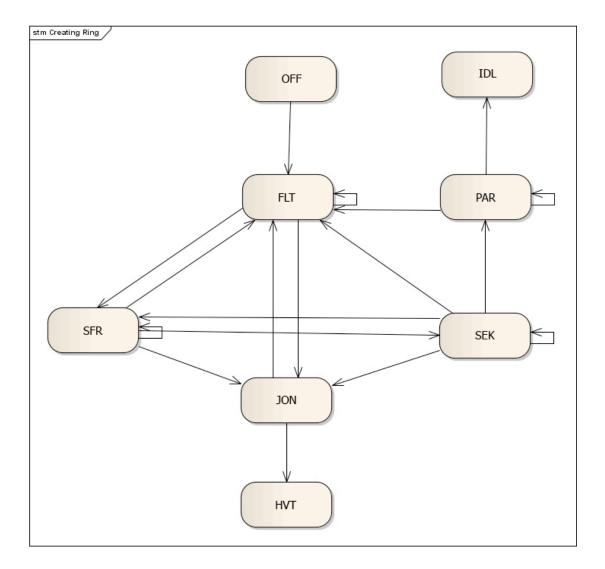


Figure 10 - States of Creating Ring Operation

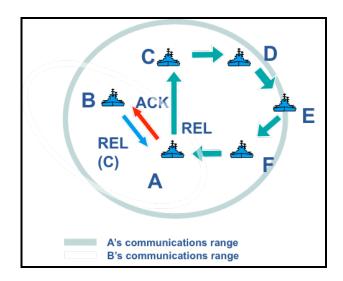


Figure 11 – Relaying Operation

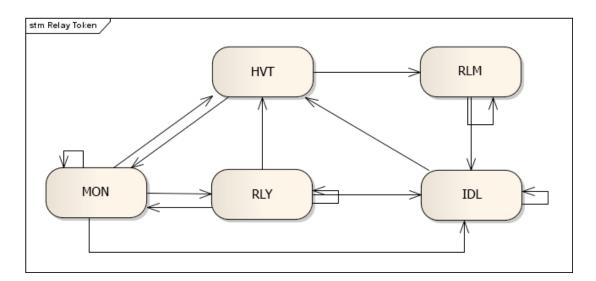


Figure 12 - Sates of Relaying operation

CHAPTER 4

PROPOSED IMPROVEMENTS ON HFTRP

In this chapter, proposed improvements to HFTRP and optimum values proposed for scalar tuning parameters will be explained. Firstly, scalar tuning parameters and their proposed optimum values will be explained. Secondly, EOT calculation, which is a complex problem on HF, will be explained. At the end of this chapter, improvement on state machine will be explained.

4.1 Scalar Tuning Parameter Values

Scalar tuning parameters are the parameters that are left to the implementers of HFTRP. By using these parameters, HFTRP can be tuned to operate with optimum timings. In next sections, first the default value for that parameter will be given and than proposed optimum value will be explained.

4.1.1 MAX_NUM_STATIONS

This parameter's default value is 8. However, it is suitable for HFTRP, This parameter may get smaller according to required bandwidth. Because this parameter directly determines the minimum guarantied bandwidth of each node. For example, if the baud rate is 2400 bits per second, 300 bits per second bandwidth will be guarantied for each node in an 8-node environment. This value easily can be found by dividing 2400 to 8 and also this bandwidth is used in token exchange mechanism.

4.1.2 CYCLE_PER_SOLICITATION

This parameter's default value is 20. This parameter is too much for small rings. This parameter can be based on the number of nodes in the ring and generation sequence Id with the upper limit for this parameter is 15. Generation sequence Id is used to

avoid waste of bandwidth. This parameter directly affects the growing speed of the ring.

As explained in background chapter, HFTRP is a multi-node protocol for HF. Beside this, there are other methods, which are used in peer-to-peer environments more effectively. For this reason, this means that, there are generally minimum three nodes in the environment. Thus, trying to grow up to three nodes fast will save time for forming the ring. As consequent no node will have delays on sending data. On the other hand, when the bandwidth is considered, more than four nodes on the same environment will suffer from delays and bandwidth. As a result using more cycles to invite new nodes to the ring will save time at waiting on solicitation procedure.

Thus, according to the information above, the value for this parameter has been proposed with the following formula;

$$CYCLE_PER_SOLICITATDN = \begin{cases} 2 \ f \ orNoN = 2 \ and GenSeq < 10 \\ 5 \ f \ orNoN = 2 \ and GenSeq \ge 10 \\ 5 \ f \ orNoN = 3 \ and GenSeq < 20 \\ 10 \ f \ orNoN = 3 \ and GenSeq \ge 20 \\ 15 \ f \ orNoN > 3 \ orGenSeq > 20 \end{cases}$$

$$(7)$$

4.1.3 Slot Sizes

However, slot sizes are used to prevent collisions. Default value for slot sizes, which is 1.0 second, is not enough for long interleaving configuration. Furthermore, when the size of a token that is 296 bits considered, 1 second is not enough for data rates below 300. Thus, a formula according to interleaving and data rate must be found for slot sizes.

When token is sent to modem, first modem puts data to interleaver buffer than transmits data to radio. Need of buffering is explained in background chapter. Here a delay occurs according to interleaver buffer size. This delay is called interleaving delay. Generally, there are three sizes of interleaver buffers. These are called zero, short and long interleaving. However, delay times are directly associated with the size of interleaver buffer, delay times are given in units of seconds and data rate determines the size of interleaver buffer. For example, when interleaving delay is 0.6s and data rate is 2400 bps, interleaver buffer gets 1440 bits from the equation of 2400 * 0.6. In other words, if a block of date is send to modem, it takes interleaving delay plus modem processing delay to be transmitted to the radio. According to these information, Equation 8 is the proposed time for slot sizes;

```
SLOT_SIZE =InterleavingTime + ModemDelay
(8)
SLOT_SIZE = InterleavingTime * ceil(TokenSize / DataRate) + ModemDelay
```

Second formula is for data rates 300 bps and less, because token cannot fit to one interleaving buffer for these data rate. Actually if real values are used as 2400 bps for data rate at second formula, first equation would be the result. Therefore, second one is generic formula.

Slot #1	Slot #2	Slot #3		
Data of Node 1 is being buffered	Data of Node 1 is being transmitted	Transmission is over for Data of Node 1		
	Data of Node 2 is being buffered	Data of Node 2 is being transmitted		
Node 1 selected first slot and Node 2 selected second slot				

Figure 13 - Choosing Slot

4.1.4 Number of Slots

This parameter is one of parameters that are used to avoid collisions. This parameter determines the probability of collisions. If two nodes choose the same slot and if this slot is the earliest slot than collision occurs. If the smallest chosen slot is unique than other collisions are not important. Because generally when a node receives data it quits sending data until transmission over.

For example, if one of nodes chooses slot #3 and others chooses greater slots than #3 this is ideal case there will be no collision or not important. If one of nodes chooses #4 and one of others chooses #4 again and if there is no chosen slot smaller than #4, collision occurs.

Here is the equation for probability of collisions according to the example.

$$P = 1 - N * \left(\frac{\sum_{i=1}^{S-1} i^{N-1}}{S^N}\right)$$
(9)

Where;

P : Probability of Collisions for at least two nodes in first chosen slot

N : Number of active and contending nodes in the same broadcast channel

S : Number of slots

According to that formula, when there would be fewer nodes in the channel, few slots would be enough to not collide. If the probability of not colliding desired below

the 25% and there are totally 4 nodes, the number of slot tuning parameters should be chosen as following.

Since there would be 3 nodes to reply a SLS token, REPLY_NUM_SLOTS could be 6. While assuming that there are 3 nodes contending, probability of collisions would be 23.6%.

Since there would be 4 nodes to solicit SLS token, SUCCR_NUM_SLOTS could be 8. While assuming that there are 4 nodes contending, probability of collisions would be 23.4%.

Since there would be 3 nodes to send new RTT token, IDLE_NUM_SLOTS could be 6. While assuming that there are 3 nodes contending, probability of collisions would be 23.6%.

According to these information adaptive number of slots can be used while ring growing. For example, when number of nodes at ring is 3 and assumed mature ring with 6 nodes, slot numbers can be adjusted according to the rest, which is 3.

4.1.5 IDLE_WAIT_TIME

This parameter affects the waiting time to hear any ring activity before passing new RTT. Hence, this parameter should be greater than TOKEN_PASS_WAIT_TIME. Three times of TOKEN_PASS_WAIT_TIME can be used as IDLE_WAIT_TIME. Because, token holder tries to send an RTT token three times if node cannot here all of these three try that means token holder is dropped from the ring.

4.1.6 SUCCR_WAIT_TIME

This parameter is the other parameter that is used to avoid collisions. This parameter is for listening before transmitting token. This parameter does not actually affects the probability of collisions. Thus, this parameter can be as short as IDLE_WAIT_TIME. This value is enough to determine the ring activity. In other words, this time is the maximum time that there would be no ring activity.

4.1.7 CONTENTION_WAIT_TIME

This parameter is used to detect the failure at the joining. Value for this parameter is enough to be three times of TOKEN_PASS_WAIT_TIME. Because, inviting node will try three times to send RTT token to new successor.

4.1.8 TOKEN_PASS_WAIT_TIME

This parameter is used to decide to resend RTT token in case of any failure. After sending RTT token, node waits an implicit or explicit ACK. If an ACK is not received, sends the RTT again. ACK waiting time is TOKEN_PASS_WAIT_TIME. Therefore, this parameter should be as long as round trip time. Round trip time is a function of interleaving because time to transmission being over is totally related to interleaving delay for small data like tokens. As explained in slot size, data is totally transmitted to remote end in two times of interleaving delay with modem delay added. Same operation is applied again for reply so should be multiplied with two. According to this information, here is the equation;

$$TOKEN _PASS _WAIT _TIME = 2 * (2 * int erleavingDelay + ModemDelay)$$
$$TOKEN _PASS _WAIT _TIME = 2 * ((ceil(TokenSize / DataRate) + 1)$$
(10)
$$* int erleavingDelay + ModemDelay)$$

Second formula is for data rates 300 bps and less, because token cannot fit to one interleaving buffer for these data rate. Actually, if real values are used as 2400 bps data rate at second formula, first equation would be the result. As a result, second one is generic formula.

4.1.9 MODEM_LATENCY_DELTA

This parameter is defined from the modems configuration. Modem processing dalay, Audio delay and DTE rate are the main factors for this parameter. Generally, this parameter is used 1 seconds.

4.2 EOT Calculation

EOT is the total time for remaining data. This value is calculated at the beginning of transmission session. Transiting to HVT state triggers calculating EOT. Beside, this value is used as Token Holding Time. This calculation is important. Because when, data transfer is ended. State transition occurs and ACK waiting time starts.

Calculation of this is not easy, because it is dependent to too many factors. These factors are audio delay, electromagnetic wave propagation delay, processing delay, interleaving delay, transmission rate, DTE rate. In the Figure 14 all the path of data can be seen.

Firstly data are being transmitted from PC to modem. Here the DTE rate is important. Then, modem processes the data. Here the processing delay and interleaving delay are important. Then, modem sends data to radio. Here the audio delay and transmission rate are important. Then radio gives the signals to antenna. Here the electromagnetic wave propagation is important. At the receiver side, all the process are also done, but in the reverse order but audio delay.

While doing the calculation, some criteria are important. Some equipment uses the store and forward mechanism in communication path. Modem is the one that is using store and forward mechanism so this should be considered while calculating the EOT. In store and forward mechanism, how many data is stored is important. In HF modems this data is dependent to waveforms interleaver buffer. Generally, this buffer is measured by time in terms of seconds. Modem transmits data to radio after predefined interleaving time. Data are being transmit over radio is the same with that

interleaving time. At the receiver side date is being stored again in modem then forwarded to remote PC.

To sum up EOT calculation, data is sent to modem and buffered in interleaver buffer. Thus, first time is *interleaver buffer size/DTE data rate*. Than data sent to radio, here is *Audio Delay*. Than date is transmitted over air, here is *Data Size/Data Rate*. On the receiving side buffering on deinterleaver takes place, but it is done simultaneous with the data being transmitted on the air. At last deinterleaved data is sent to remote DTE here again *interleaver buffer/DTE data rate*. Actually, there is also propagation and modem processing delays. However, these delays are too small beside other delays and can be discarded. Nevertheless, you can use MODEM_LATENCY_DELTA for all these delays. Here is the whole formula explained at this paragraph.

EOT = Audio Delay + interleaving buffer size/DTE data rate + ceil((Data Size / Data Rate), interleaving delay) + interleaving buffer size / (DTE rate of remote PC) (11)

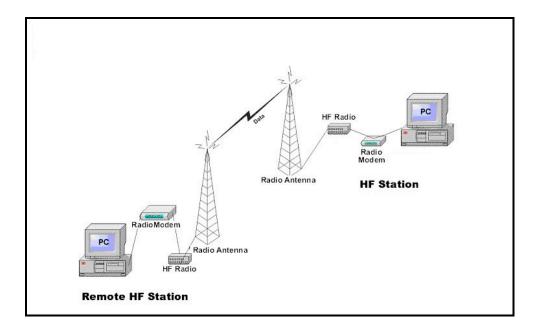


Figure 14 - HF Communication Environment

4.3 State Machine Changes

State machine of the HFTRP is very complex. Therefore this state machine should be simplified. According to this need, number of states can be reduced and state machine visualization can be revised. In this thesis, removing one state that is SFR has been recommended. By removing this state, there will be performance enhancements on constructing the ring.

Nearly, all the transition and action over SFR state are handled with the same manner by FLT. Thus, removing this state will not overload the FLT state. Because, from all the states have transitions coming to SFR, also have transitions to FLT. For this reason, there is no new transition needed. The only new transition will be FLT to SEK instead of FLT to SFR. As a consequence, where the next state is SFR should be substituted by the FLT at all transition tables.

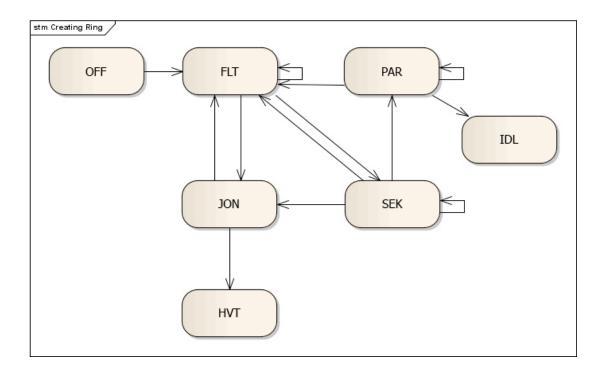


Figure 15 – Improved-HFTRP Ring Creation States

state event		condition	action	next	start
state	event	condition	action	state	timer
FLT	RCV: SLS token	Can reach new succ; Ready to join (failed_to_join_count < 3 OR getRandNum(3) ==0)	SET: failed_to_join_count = 0 IF did_not_try > 3. SET: did_not_try =0 SET: tentative_successor = SLS{NS} SET: tentative_predecessor = SLS{SA}	FLT	TSRP
FLT	RCV: SLS token	Can reach new succ; Not ready to join (failed_to_join_count >=3 AND GettRandNum(3) != 0)	SET: failed_to_join_count to zero if did_not_try > 3 INC: did_not_try by one	FLT	TCLT TSLS
FLT	SNOOP: any token			FLT	TCLT TSLS
FLT	EXP: TSRP		SET: successor = tentative_successor; SET: predecessor = tentative_predecessor SEND: SET token to predecessor	JON	TCON
FLT	EXP: TCLT TSLS		SET: failed_to_join_count to zero SEND: SLS token to all	SFR SEK	tsls Tslw

Table 13 – Improved-HFTRP FLT State Transition Table

TCLT timer, which is a fixed value, was used to trigger transition from FLT to SFR. By removing SFR state, this timer will also be obsolete. Instead of using that timer, TSLS timer will be used to trigger state transition from FLT to SEK. Thus, at all transition tables, TCLT should be substituted by the TSLS. Table 13 is the proposed transition table for FLT for improved-HFTRP.

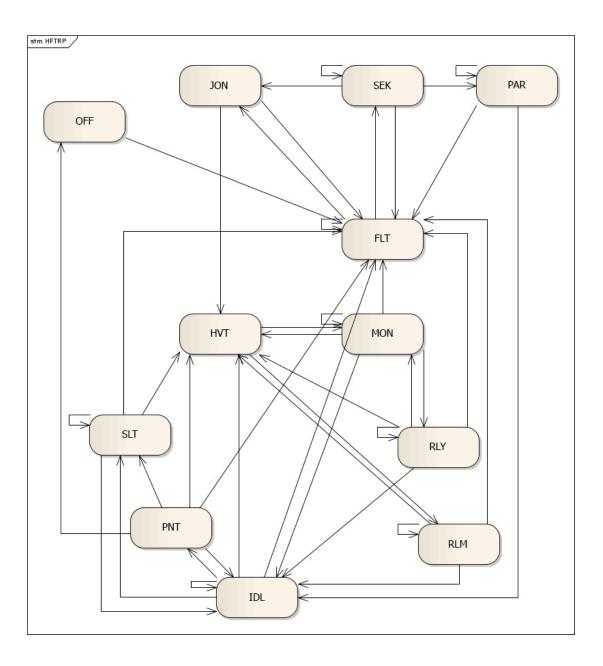


Figure 16 – Improved-HFTRP State Transitions

When the TCLT timer is removed, this amount of time will be gained as a performance enhancement on ring creation. Figure 15 shows the ring creation of Improved-HFTRP.

After removing SFR state, some reorganization has made on state transition diagram of HFTRP to make the diagram more understandable. However, it is needed some more modifications to be understandable. Figure 16 is the diagram for Improved-HFTRP.

CHAPTER 5

IMPLEMENTATION AND SIMULATION

In this chapter, problems have been encountered when implementing the HFTRP and their solutions will be discussed. HFTRP is implemented for two platforms. One is for operating system's user space and the other one is for simulator. Main problem is implementing a complex state diagram and state transitions. The other problem is estimation of time, how long it will take to send data. This is important because, when the state transition will occur depends on that information at some states.

5.1 Implementation Language

HFTRP is a communication protocol and is located at the Data-Link layer of OSI reference model. Furthermore, these kinds of protocols are implemented at near operating systems' device drivers level. Generally, device drivers are implemented with C or C++ programming languages. To implement such complex problems in an object oriented language is easier and faster. Thus, the C++ language has been chosen to implement HFTRP. By using C++, design patterns have been easily used. In addition, using C++ made easy to port the protocol to simulation environment. Just adding some wrappers was enough to run at simulator.

5.2 Target Operating System

Primarily, the protocol should run at any operating system. But the Linux operating system has been chosen for prototyping. Because, Linux is an open source operating system and gives opportunity to see and manipulate system wide codes like kernel. The other reason of choosing Linux is being used widely at embedded systems. However, these opportunities hasn't been used, may be later times can be used.

Nonetheless, linux operating system has been chosen. ANSI C++ and POSIX standards have also been used as a guide.

5.3 State Machine Implementation

Protocol's state machine overview is given at Figure 4 - State Overviews and Transitions. According to that figure there are thirteen states and there are lots of transitions nearly a mash. And Table 14 – Outbound Transition Table of ILDE State shows an example how many transitions can be from one state.

To over come from that complex state machine, there are some methods. First one is switch case structure. This approach is not feasible for complex state machines. Because all states and actions are reside at the same method. As a consequence, there will be too long methods that are not maintainable.

Second one is table-based implementation. This approach is useful when there is only transition without actions. In other words, if there is no actions are triggered when transiting from one state to other state, this approach can be used.

The third approach, which is chosen, is state pattern. In that approach, all states are handled as a class and all actions and transitions are handled in that class. Therefore, complexity is localized just to one state. That is, while implementing we focus on just that state and easily translate outbound transition tables to coding.

These object oriented patterns first introduced us by the book, "Design Patterns: Elements of Reusable Object-Oriented Software".[5] Two patterns have been used from this book. These are state pattern to implement states and transitions. The other pattern is singleton pattern. This pattern is used at the creation phase of a state. By using this pattern, each state class has guarantied that has only one instance. State pattern consists of three parts. These are context, state and concrete state.[5] How these classes are appeared in my design will be discussed here.

state	event	condition	Action	next state	start timer
IDL	RCV: SLS token		SET: last_solicitor = SLS{SA}	IDL	none
IDL	SNOOP: RTT token		UPDATE ring information	IDL	none
IDL	RCV: SET token		SET: successor to be SET{NS}	IDL	none
IDL	RCV: RTT token	RTT{GENSEQ} <= current_gen_seq AND RTT{RA} < ring_owner	SEND: DEL token to RTT{SA}	IDL	TIDL
IDL	RCV: RTT token	(RTT{GENSEQ} > current_gen_seq OR RTT{RA} >= ring_owner) AND Ready to solicit successor	SEND: SLS token to everyone	SLT	TSLW
IDL	RCV: RTT token	(RTT{GENSEQ} > current_gen_seq OR RTT{RA} >= ring_owner) AND Not ready to solicit successor	SEND: Data	HVT	none
IDL	SNOOP: RTT from a different ring	RTT{GENSEQ} >= current_gen_seq AND RTT{RA} > ring_owner	RESET ring information.	FLT	TCLT
IDL	EXP: TIDL			PNT	TPST
IDL	RCV: REL token	REL{NS} = my_addr		HVT	ттнт
IDL	RCV: REL token	REL{NS} != my_addr	SEND: REL token to REL{NS}	RLY	TPST

Table 14 – Outbound Transition Table of ILDE State [7]

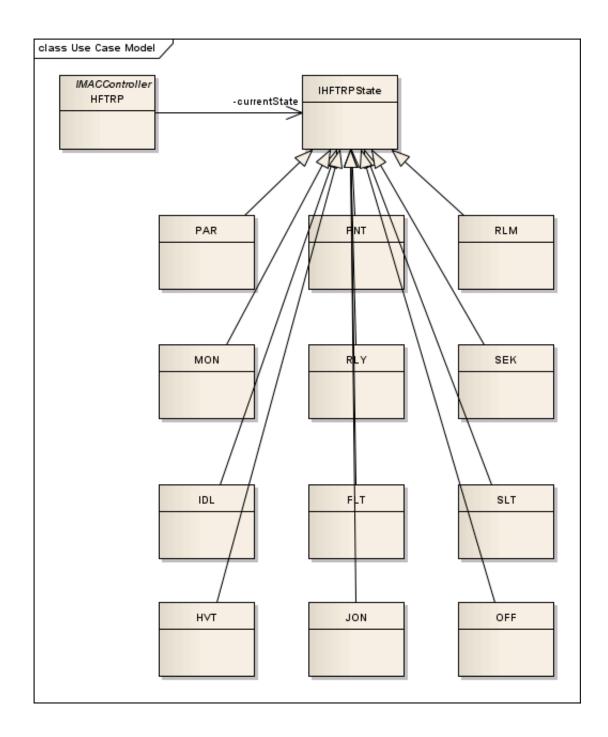


Figure 17 - Design of State Pattern

If the parts of state pattern is revised according to Figure 17, context is HFTRP which is a derived class of IMACController, state is IHFTRPState and concrete states are SLT, PAR, PNT, RLM, MON, RLY, SEK, IDL, FLT, HVT, JON, OFF.

Generally in state pattern, context class has the methods of state class. For HFTRP design, here is the main methods, which state has, to maintain transition table.

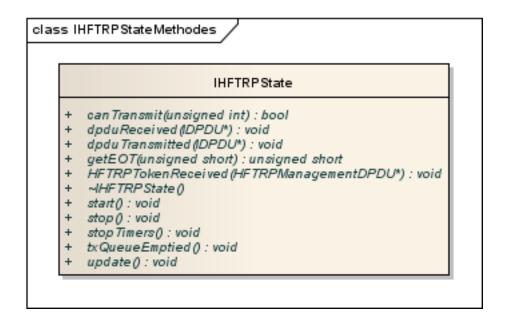


Figure 18 - HFTRP State Methods

In the Figure 18 general methods can be seen. These methods are enough to handle the complex transition table. In the transition table there are six columns. First one is state that denotes current state. This is handled by state itself on state pattern. Second one is event that is the starting trigger of transition. There is three type of event; timer event, token event and data queue event. These are handled with three different methods. Third one is condition that is guard of that event and handled in each event's method. Forth one is action that is again handled in each event's method. Fifth one is next state that is handled in context's chageState method. The last one is start timer that is handed in the start method of each state. Detailed description of methods is given at Table 15.

Method Name	Description
canTransmit	Returns true, if this state is suitable for
	transmitting data. This method returns true only
	in HVT state.
dpduReceived	This is an event trigger for dpdu reception.
dpduTransmitted	This is used in the estimation of EOT.
getEOT	Returns the EOT for given size.
HFTRPTokenReceived	This is an event trigger for token reception.
start	This is an entry function for state. Last column
	of outbound transition table is handled in that
	method.
stop	Changes state to OFF from any state.
stopTimers	While changing state stops previous states
	timers
txQueueEmptied	This is an event trigger for empty data buffer.
update	This method handles the timer events. Checks if
	timer has expired or not.

Table 15 - Method Descriptions

Figure 20 shows an implementation example with methods in a small size with one state. All states have nearly the same methods and connections between IHFTRState and HFTRP. HFTRP is the context and all method calls are handled via that class. As you can see, HFTRP has all the methods in IHFTRPState and these methods are calling the equivalent method of currentState, which is an instance of any state. Here is an example method implementation of HFTRP.

bool HFTRP::canTransmit(unsigned int sizeInBytes)
{
 return currentState->canTransmit(sizeInBytes);
}



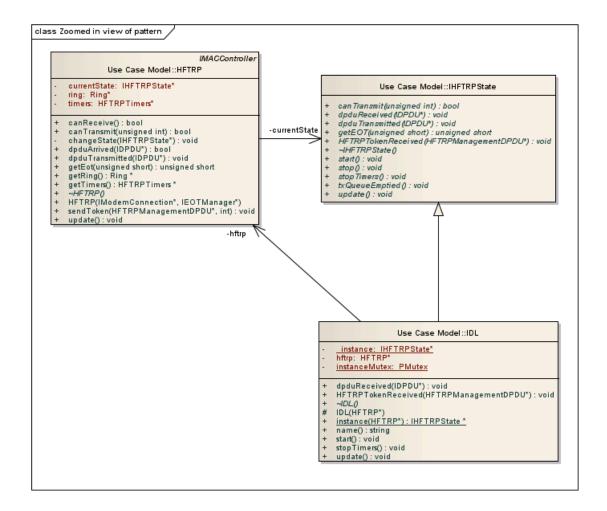


Figure 20 - State Pattern with Methods

In the figure above, there is an associationrelationship between IDL, which is a concrete state, and HFTP. Via this relation, in each concrete state, changeState method can be called. And transition table can be implemented locally in each state. Otherwise there would be a new class and focus area that manages state changes.

```
void HFTRP::changeState(IHFTRPState * state)
{
    // Stop previous Timers
    this->currentState->stopTimers();
    this->currentState = state; // change state
    this->currentState->start(); // start timer
}
```

Figure 21 - Change State Method

5.4 Simulator

Some simulation programs have been examined for this project. Some of them are NS2, NS3 and OMNeT++ that all are open source. Although, NS2 is widely used network simulator as academic purposes, NS3 has started to substitute it. Programming language of NS2 is C and of NS3 and OMNeT++ is C++. Thus, NS2 is eliminated because of its programming language and API it provides. On the other hand, OMNeT++ has an eclipse like ide with visual planning. But NS3 doesn't have such a well-developed ide. In conclusion, OMNeT++ has been chosen for simulation platform.

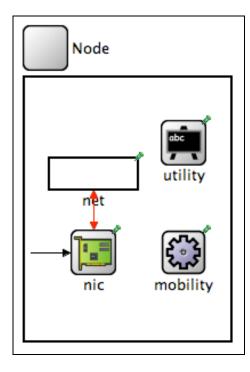
Simulating in OMNeT++ consists of two operations. One is planning and the other is module development. Module development is not necessary for all simulations. If you want to simulate with well-known and previously implemented protocols, there is no need of implementing module, just use it from framework. In fact, scope of this

thesis is a newly developing protocol. Consequently, two modules have been developed; HFTRP and simple network layer modules.

First, the simulation scenarios have been planned. These scenarios will be explained at next chapter, test results. But, here the fundamentals will be explained.

Simulation consists of nodes, world and connection manager modules. Connection manager controls the virtual connections between nodes according to distance and txpower. World module determines the locations of nodes and boundaries for mobility actions. Nodes are the main part of simulation. Nodes' configuration is simple network layer, nic that consists of mac layer and phy layer, mobility and utility modules. That is mac layer is HFTRP, which has been developeded.

Figure 22 and Figure 23 shows the graphical representations of these modules.



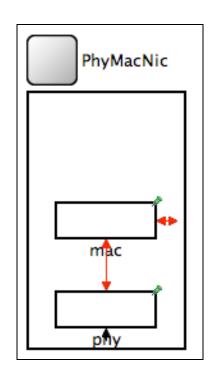


Figure 22 – Node and Nic Contents

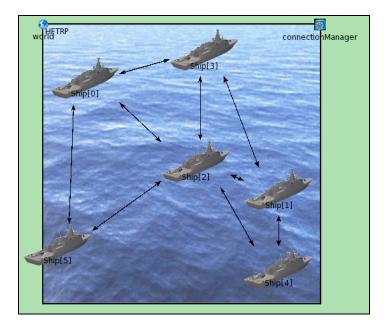


Figure 23 - Scenerio Planning Example

CHAPTER 6

TEST RESULTS

In the scope of this thesis two protocols have been developed. These are original HFTRP and Improved HFTRP. These two protocols are compared according to some performance criteria. In this chapter, scenarios and results of these comparisons will be explained. What are the performance enhancements for Improved-HFTRP will be analyzed.

6.1 Real Environment Tests

In real environment, only three-node tests have been performed, due to the lack of physical equipments. Because, radios and modems for HF are too expensive. This test setup contains three ships that are equipped with HF Modems and HF Radios. Thus, in real environment only ring creation and growing up to three nodes have been tested. Related test results are given in the Figure 25 according to the mean times. Configuration of each node and scenario is given at Figure 24.

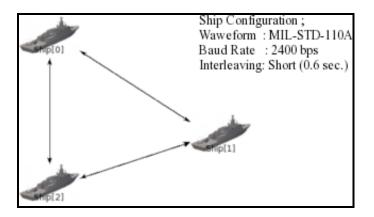


Figure 24 - Real Environment Scenario

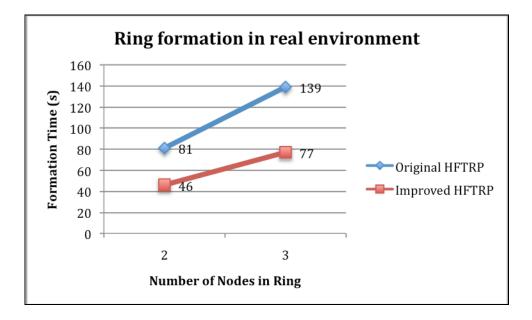


Figure 25 - Real Environment Test Results

Figure 25 shows the test results of real environment with comparison of original HFTRP and Improved-HFTRP. In this chart, it can be easily seen that, removing one state and one timer associated with this state makes ring creation with two nodes 35 seconds faster than original HFTRP. Then, in growing scenario, with original HFTRP growing occurs in 58 seconds and with Improved-HFTRP growing occurs in 31 seconds. Actually, the source of this improvement lies on the proposed values of scalar tuning parameters. Scalar tuning parameters directly effecting ring growing are successor number of slots, cycle per solicitation, slot size and successor wait time. Thus, in real environment with three nodes, performance enhancement on ring creation and ring growing can be seen. In simulation environment much more tests have been performed which cannot be easily performed in real environment, due to lack of equipment and time.

6.2 Simulator Tests

Too many tests and scenarios have been done in simulator. However, only limited number of tests in real environment has been achieved. In simulation environment, Ring formation, latency, throughput tests and robustness test of ring formation have been conducted. Results will be discussed on next sections.

6.2.1 Ring Formation

In this test, simulation has been run six times for each scenario. Main characteristic of each scenario is each node can communicate with all other and no data errors. In fact each node has powerful radio outputs that can transmit data to all others. On the other hand this scenario has been run for two configuration; three-node and six-node configuration. Other configurations of nodes have the same with real environment tests.

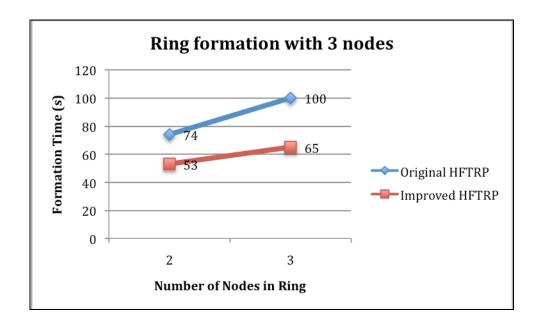


Figure 26 - Simulation Results for 3-node at Ideal Channel

Figure 26 shows the test results of simulation with comparison of original HFTRP and Improved-HFTRP for three nodes.

When the two charts for three nodes are examined, for real environment and simulation environment, however times are not equal, performance differences are parallel. Therefore, simulation environment can be used to see performance enhancements.

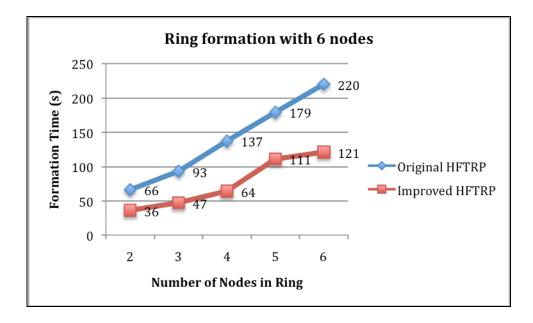


Figure 27 - Simulation Results for 6-node at Ideal Channel

Figure 27 shows the test results of simulation with comparison of original HFTRP and Improved-HFTRP for six nodes.

When two charts for simulation environment are compared, it can be seen that first creation of ring and growing to three nodes are happening much earlier at six-node environment than three-node environment. Main reason of this behavior is that there are six nodes contending for channel, thus probability of choosing first slot get much

higher and when first node transmits the others give up transmitting SLS token. Instead, they reply with SET here it doesn't matter if there are collisions. Because, only one station not colliding is enough to grow the ring. The only possibility of waste of bandwidth is that all stations choose the same channel.

6.2.2 Latency Tests

As a latency test, periodic data of 200 bytes of data have been generated each 5 seconds. When running this test, the same configuration with previous tests has been used. Data has been stamped with time when it has been generated. Then, the stamped time is compared with the real time when transmitting to the channel. In fact, this method is used to check the waiting time of data on the transmit queue. This time is important on time critical data, like surveillance data. These tests have been conducted in four different scenarios; 3 nodes running 1,000 seconds, 6 nodes running 1,000 seconds, 3 nodes running 10,000 seconds and 6 nodes running 10,000 seconds.

Results are visualized as charts and these charts are given in Figure 28 and Figure 29 and interpretations according to min, max and mean values of these charts are given on the following paragraphs.

	Original HFTRP			Improved HFTRP		
	Min	Max	Mean	Min	Max	Mean
Ship 1	0.1	72.5	11.2	0.06	26.4	4.8
Ship 2	0.02	111.2	15.5	0.07	14.8	4.1
Ship 3	0.02	66.2	10.5	0.1	25.6	4.9

Table 16 - Latency values for 3 nodes for 1000 sec

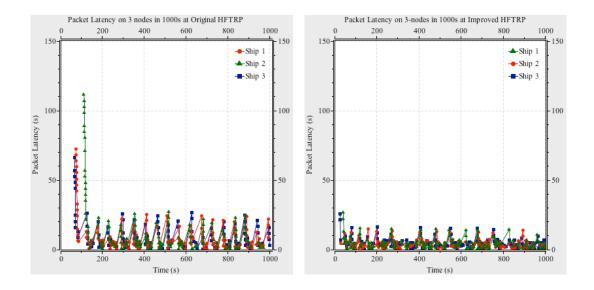


Figure 28 - Packet Latency on 3 nodes for 1000s

Charts at Figure 28 are for packet latencies on 3-node network for 1000 s. First chart is for Original HFTRP and the second one for Improved-HFTRP. As seen from the charts maximum packet latency at Improved-HFTRP is smaller than original one. This is because ring creation is faster in Improved-HFTRP than the original HFTRP. After ring creation, at each protocol packet latencies get stabile. Still, Improved-HFTRP has smaller values. Table 16 shows the numeric values for each ship and network.

Charts at Figure 29 are for packet latencies on 6-node network for 1000 s. First chart is for Original HFTRP and the second one for Improved-HFTRP. As seen from the charts maximum packet latency at Improved-HFTRP is smaller than original one. This is because ring creation is faster in Improved-HFTRP than the original HFTRP. After ring creation in each protocol packet latencies get stabile and again Improved-HFTRP has smaller values. And also some rises can be seen on original HFTRP, these rises are occurring because of long solicitation waitings. Table 17 shows numerical values for each ship on original HFTRP and Improved-HFTRP.

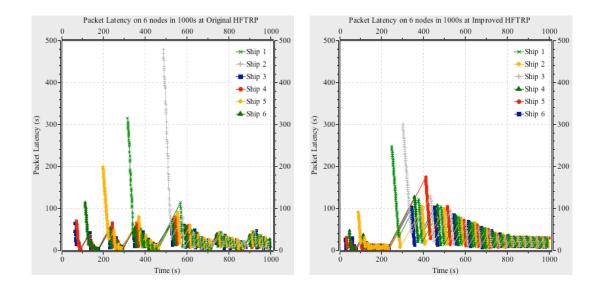


Figure 29 - Packet Latency on 6 nodes for 1000s

	Original HFTRP			Improved HFTRP		
	Min	Max	Mean	Min	Max	Mean
Ship 1	0.2	315	72.6	4.07	248.1	65.3
Ship 2	0.2	480	134	1.01	103.9	33.4
Ship 3	0.2	65.7	21	3.9	300.8	80.1
Ship 4	0.1	78.8	24.6	0.1	128.1	35.5
Ship 5	0.3	198.4	44.2	0.8	174.5	39.2
Ship 6	0.3	113.5	26.7	0.2	102.4	30.8

Table 17 - Latency values for 6 nodes for 1000 sec

Four charts in Figure 30 and Figure 31 are for packet latencies on 3-node and 6-node network for 10000 s. Charts on first column are for Original HFTRP and on the second column for Improved-HFTRP. As seen from the charts maximum packet latency at Improved-HFTRP is smaller than original ones. This is because ring creation is faster in Improved-HFTRP than original HFTRP. After ring creation in each protocol packet latencies get stabile and again Improved-HFTRP has smaller

values. Because, solicitations in original HFTRP occurs more frequent than improved-HFTRP and reply waiting time for solicitation period in original HFTRP is more than improved-HFTRP. Also mean values seem small in Original HFTRP. However, at Original HFTP mean values varies in a large scale. At Improved HFTRP mean values are in small scale.

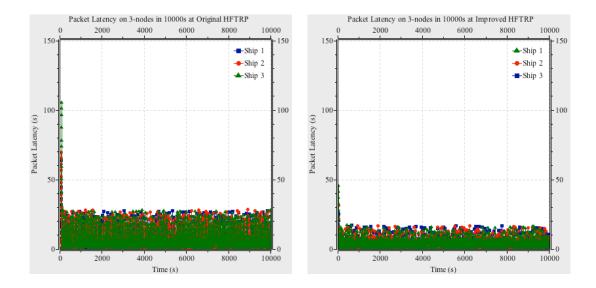


Figure 30 - Packet Latency on 3-node for 10000 sec

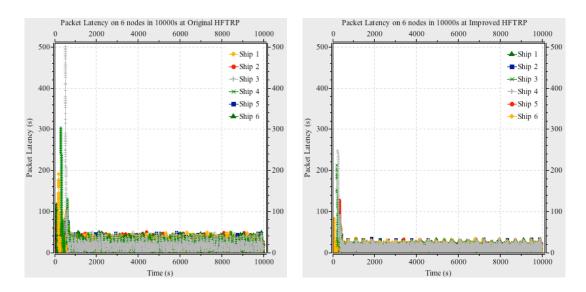


Figure 31 - Packet Latency on 6-node for 10000 sec

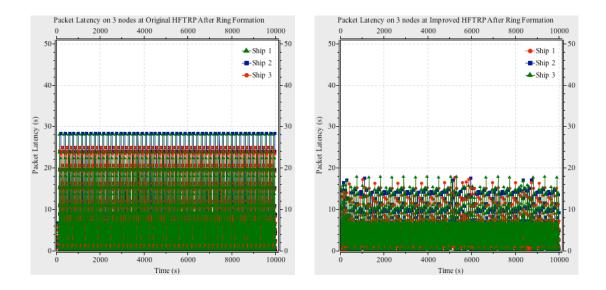


Figure 32 - Packet Latency on 3 nodes after ring formation

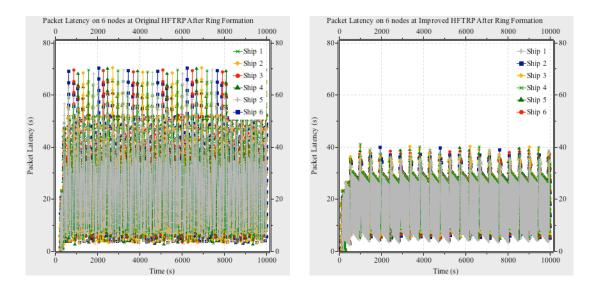


Figure 33 - Packet latency on 6 nodes after ring formation

Figure 32 and Figure 33 shows packet latencies for 3 and 6 nodes relatively, where packet generation started just after ring formation. Firstly, it can be easily seen that At Improved HFTRP packet latencies are much more smaller than the original HFTRP. Secondly, when two latencies compared for 3 nodes and 6 nodes, it can be

seen that packet latencies for 6 nodes gets more than twice of latencies for 3 nodes. Main reason of this behavior is at 3-node network token comes after 2 nodes but in 6-node network token comes after 5 nodes, hence latency gets more than twice.

To sum up the latency section, packet latencies on Improved HFTRP are smaller than original HFTRP. Because, waiting time in solicitation is less at Improved HFTRP than Original HFTRP. Moreover, number of solicitations is less in Improved HFTRP. Thus, time wasted for solicitation is much less at improved HFTRP than original HFTRP. Secondly, when number of nodes gets twice, latencies gets more than twice, due to the token pass times. At 6-node network token round times are much more than at 3-node network. In three-node network RTT token rounds again to the ring owner just after two nodes, which means two token transmitting times used as overhead in each cycle. However, in six-node network RTT token rounds again to the ring owner after five nodes, which means five token transmitting times used as overhead in each cycle.

6.2.3 Throughput Tests

While running this test, configurations of nodes were kept the same with other tests. As a throughput test, when the nodes first started, application generated 200 bytes of data that can be send maximum theoretically in the speed of channel which is 2400 bps for our configuration. In other words, the theoretical throughput for 2400 bps is 300 bytes for 1 second, 300 KB for 1000 seconds and 3000 KB for 10000 seconds. Results and comparison is given at Table 18.

From the Table 18, although, it can be easily seen that, with small data overhead gets higher and with large data overhead gets too small, in the nature of HF communication small data has been transmitted instead of large amount. By the way, ring cannot grow up to three nodes with original HFTRP in 1000 seconds. Thus, at least 1000 seconds, in other words 16 minute, should be waited before the last node

joins the ring. Which means third node will send its data about 20 minutes later in original HFTRP.

	Original HFTRP	Improved HFTRP	Theoretical Limit
3-node 500s	122 KB [*] (81.3%)	133 KB (88.6%)	150 KB
3-node 1000s	277.2 KB [*] (92.4%)	287 KB (95.6%)	300 KB
3-node 10000s	2931.4 KB (97.7%)	2956.8 KB (98.5%)	3000 KB
6-node 10000s	2935.4 KB (97.8%)	2959 KB (98.6%)	3000 KB

Table 18 - Throughput Comparison

* : Ring cannot grow up to three node

6.2.4 Robustness Test of Ring Formation

After removing one state and tuning parameters, stability of protocol could be break down. In order to test the stability of operations on ring formation, a long run has been conducted. These tests provided random movement of stations, thus random joining and leaving operations have been taken place. When a station moves, it can get out of the coverage of others, so it leaves the ring. When it gets again in coverage of any station, it is being invited to join to the ring. In that way, this station has been joined to the ring again.

This simulation shows the joining and leaving operation to prove that protocol is stabile. After one billion seconds and number of nodes at ring get six, 1 KB of data has been generated to prove that protocol is stabile and stations can send data even after one billion seconds where joining and leaving operations have been taken place. Data has been sent successfully, hence it can be said that protocol is still stable. In one billion seconds, a size change of ring is examined and this change is shown on Figure 34 for Improved HFTRP.

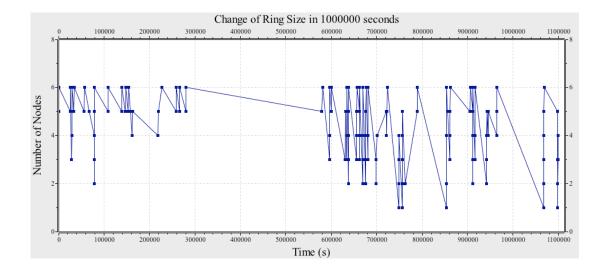


Figure 34 - Change of ring size in one billion seconds of time period

Figure 34 shows the change of ring size from the view of one station in one billion seconds, which is more than eleven days. Firstly, it can be seen that, this station has been joined to the ring as fifth node. Then one another station joined to the rind and ring size got six. After awhile, nodes at ring went to two and than again six one by one. Furthermore, it can be seen that from three hundred thousand to six hundred thousand all nodes are in the ring. Finally, it can be seen that four times this station left the ring. This can be understood from ring size where is one.

As a conclusion, Improved HFTRP performed all joining and leaving operations healthy and at the end sent 1 KB of data from each station successfully. Thus, Improved HFTRP can be used instead of Original HFTRP.

CHAPTER 7

CONCLUSION

Main aim of this thesis is to improve ring creation and growing capabilities. In other words, ring creation and growing was a slow operation and it should have been get faster. Here in this thesis improvement methods have been discussed and test results collected for both original and with proposed improvements. In fact, results showed that proposed improvements enhanced ring formation procedure. For example, ring formation in a 3-node environment was 139 seconds as average, however with proposed improvements it reduced to the level of 77 seconds at real environment. Moreover, it was 100 seconds for original HFTRP and get to 65 second average in simulation environment. In other words, the gain is nearly 40% in average of tests.

The 6-node environment tests have been performed on simulation environment. Results were also dramatic. That are, total time to form a 6-node ring was 220 seconds with original HFTRP and with Improved-HFTRP it gets to 121 seconds for mean of tests. In other words, this means 45% of gain. However, these tests are done without data, tests with data also has enhancements but not at the same level.

At tests with data we measured packet latency and throughput. For packet latency it can be said that for periodic data Improved-HFTRP is much more efficient when sending data starts with the node starts. However, in throughput, for small data Improved-HFTRP has advantages, for large data nearly there are no improvements. Because, Improved-HFTRP aims to improve ring setup and growing and when ring gets stabile, these operations will take place in the same way with original HFTRP. Therefore, 100 seconds of 10000 seconds as improvement will not affect much the overall performance.

To sum up, if our clients generally generate periodic data, such GPS data, and small data like chat or small files, using Improved-HFTRP gives much more gain. However, if clients generally generate large amount of data, then the Improved-HFTRP will not contribute much to the overall performance. In fact, in HF medium mostly small data as GPS, surveillance, chat and messaging, etc is being generated, thus Improved-HFTRP would give significant performance improvements.

As a future work, relaying operation in HFTRP can be enhanced. However, it is just limited with relaying of RTT token as REL token. As a future work in this area, relay operations with data capability can be added to protocol and these operations can be combined with routing. Moreover, REL token can be substituted by RTT token. In other words, REL token uses NS field as a final target, however, in RTT token this field is unused. Thus, RTT token can be changed to use NS field for relaying.

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